**UTILIZATION REPORT**

**OF SUBJECT INVENTION**

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| **NOTE:** This EERE 356: Invention Utilization Report template is provided as a convenient method of documenting the utilization of subject inventions under EERE awards. The use of the Invention Utilization Report template is not required, but the data elements within the Invention Utilization Report template are required. |

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| **Instructions**Organizations (e.g., recipients and contractors, at all tiers) that take title to a subject invention under an EERE funding agreement, cooperative research and development agreement or technology investment agreement have agreed to submit, on request, reports on the utilization of the subject invention. Upon request from EERE, an organization is required to use this form to report on the utilization of a subject invention and submit the completed and signed form to <https://www.eere-pmc.energy.gov/SubmitReports.aspx> and the EERE program that funded the subject invention through the EERE agreement. The frequency and duration for submitting the reports shall be in accordance with the EERE request. The frequency shall not be less than annually. The duration may be for the period of the agreement up to the life of patent protection for the subject invention. ***If additional space is required to complete any fields, please append additional pages to this form.*** |

**SECTION A. GENERAL INFORMATION**

**Submitting Organization:**

**Subject Invention Title:**

**DOE “S” Number:**

If DOE “S” Number is not known, please attached a copy of the IP Reporting Form in which the invention was disclosed to DOE.

**Reporting Period:**

Provide the time period from the latter of the last Utilization Report or the IP Reporting Form regarding the subject invention and the date of the present Utilization Report.

**Patent Application Numbers/Patent Numbers:**

List all domestic and foreign pending patent applications and granted patents that claim the subject invention.

**SECTION B. INVENTION STATUS**

**1. Provide a brief update on the invention’s development since your last report. For example, is the invention concept-only, prototype, or in the process of scale-up?**

**2. Provide a brief description of your efforts to commercialize and market the invention.**

**3. What is the date of the invention’s first commercial sale or use in public?**

**4. What are the gross sales revenues to date for products embodying the invention or processes that incorporate the invention?**

**5. For licensed inventions, provide the amount of gross royalties to date.**

**6. If the invention has been assigned to another entity, please provide the name of the entity and any fee for the assignment.**

**SECTION C. BENEFITS TO U.S. ECONOMY AND U.S. MANUFACTURING**

**7. List activities conducted in the U.S. that support the commercialization of the subject invention (e.g. research and development, administration, manufacturing).**

**8. Provide an approximate number and description (e.g., sales, manufacturing, engineering) of U.S.-based jobs that support the commercialization efforts related to the subject invention.**

**9. Provide a list of manufacturing plants or facilities with locations that use the subject invention or manufacture or assemble products that embody the subject inventions.**

**10. If a U.S. Manufacturing Plan was submitted as part of the application for the Agreement under which the subject invention was made, describe how the development and commercialization efforts further that Plan.**

**SECTION D. LICENSING EFFORTS**

**11. During this reporting period, what was the total income received as a result of license or option agreements related to the subject invention?**

**12. During this reporting period, did any entity (e.g., contractor, licensee) request a waiver of any U.S. manufacturing requirements related to the subject invention?**

**If yes, how many waivers were obtained?**

**13. During this reporting period, how many exclusive licenses or options for the subject invention existed?**

**14. What is the number of domestic manufacturing licenses for the subject invention?**

**15. During this reporting period, how many non-exclusive licenses or options for the subject invention existed?**

**16. During this reporting period, how many licenses or options of any type to small businesses (<500 employees) are active?**

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**Signature** **of Authorized Representative**

*I certify that I have the authority to approve, and I do so hereby approve, the submission of this completed form on behalf of the organization named below to the U.S. Department of Energy.*

**Authorized Representative (Typed) Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Organization \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Business Address \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

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**Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**