



# Systematic Approaches to Determining Degradation Rates from Continuous Meteorological and System Production Data

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## 1.

### BACKGROUND

- Project size and financing are governed by the confidence investors have in the projected energy production of a photovoltaic (PV) system over the term of its power purchase agreement or planned asset lifetime.
- Production estimates are largely a function of anticipated annual degradation rates ( $R_d$ ), which are known to vary within and across PV technologies and systems.
- The ability to more accurately and objectively quantify degradation rates is fundamental toward yielding more favorable energy production exceedance probabilities and improving the overall financial viability of large-scale PV.

### APPROACH

- Systematic approaches in qualifying and translating raw, continuous meteorological and system production data into performance time series are developed for the purpose of identifying linear trends in PV system performance as a function of time ( $R_d$ ).
- The developed approaches are implemented using data from a large-scale, commercial PV array of multicrystalline silicon modules in operation for over 2.5 years.
- Initial steps toward model validation are taken through measurements conducted on a sample of modules after two years of outdoor exposure.

### REFERENCES

- D. L. King, W. E. Boyson, J. A. Kratochvil, "Photovoltaic array performance model", Sandia National Laboratories Publication #SAND2004-3535, August 2004.
- STC: 1,000W/m<sup>2</sup> global POA irradiance ( $G_{STC}$ ), 25°C module temperature ( $T_{STC}$ ), AM1.5 spectrum according to EN 60904-3.
- International Standard IEC 61724. Photovoltaic system performance monitoring – Guidelines for measurement, data exchange and analysis, First Ed., International Electrotechnical Commission (IEC), Geneva, April 1998.
- List of Eligible Inverters per SB1 Guidelines – Go Solar California. (2011). Retrieved, May 23, 2011, from <http://www.gosolarcalifornia.org/equipment/inverters.php>.

## 2.

### Meteorological & Production Data

- For implementing (and reporting on) approaches in  $R_d$  analysis, 2.5 years of non-spectrally corrected data with a 1-min sampling interval ( $\tau_s$ ) are used. Data at each  $\tau_s$  include date, time, system AC energy output ( $E_{AC}$ , kWh), instantaneous global plane-of-array irradiance ( $G_{POA}$ , W/m<sup>2</sup>), instantaneous module temperature ( $T_{MOD}$ , °C) and instantaneous ambient temperature ( $T_{AMB}$ , °C).

- Nominal uncertainties of  $G_{POA}$ ,  $T_{MOD}$  and  $T_{AMB}$  measurements are  $\pm 5\%$ ,  $\pm 0.5^\circ\text{C}$ , and  $\pm 0.3^\circ\text{C}$ , respectively. A calibrated silicon photodiode pyranometer and temperature sensor fixed to the backsheet behind a cell were used to measure  $G_{POA}$  and  $T_{MOD}$ , respectively.
- A kWh meter was used to measure  $E_{AC}$  at the combined output of (5) inverters.

### Cell Temperature Model

- Eq. 1 is used to convert  $T_{MOD}$  to cell temperature ( $T_{CELL}$ , °C) at each indexed  $\tau_s$ . The difference between backsheet and cell temperature ( $\Delta T$ ) is  $3^\circ\text{C}$  for the modules in the chosen system [1, 2].

$$(1) T_{CELL,t} = T_{MOD,t} + \left( \frac{G_{POA,t}}{G_{STC}} \right) \cdot \Delta T$$

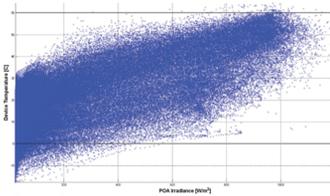


Figure 1. Correlation between  $T_{CELL}$  and  $G_{POA}$ . In this case, with a lower data acceptance criterion of  $G_{POA}$  in place (for example,  $G_{POA} > 700\text{W/m}^2$ ),  $T_{CELL}$  is effectively constrained to 0-60°C.

- $G_{POA}$  and  $E_{AC}$  are used to derive insolation ( $H_{POA}$ , Wh/m<sup>2</sup>) and power ( $P_{AC}$ , kW), respectively, over each  $\tau_s$  per IEC 61724-1 Section 8 [3].

### Energy Models

## 3.

### Inverter Efficiency Model

- The nominal module MPP voltage and temperature coefficient of voltage ( $\beta_{V_{MPP}} = -0.46\%/^\circ\text{C}$ ) are used to derive operating system voltage from  $T_{CELL}$  and the series string size,  $N_s$  (Eq. 2) [2].

$$V_{MPP,SYST,t} = N_s \times V_{MPP,MOD} \times [1 + \beta_{V_{MPP}} \cdot (T_{CELL,t} - T_{STC})] \quad (2)$$

- An empirical, 2-D cubic-spline interpolation-based model ( $f_i$ ) describing inverter efficiency as a function of power and input voltage is used to translate AC to DC production data (Eq. 3, Fig. 2). Inverter performance data made public by the California Energy Commission and California Public Utilities Commission (CEC & CPUC) provides the basis for the model [4]. DC energy ( $E_{DC}$ , kWh) is derived from  $P_{DC}$  per IEC 61724-1 Section 8 [3].

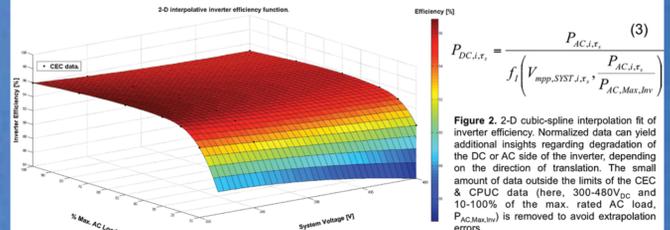


Figure 2. 2-D cubic-spline interpolation fit of inverter efficiency. Normalized data can yield additional insights regarding degradation of the DC or AC side of the inverter, depending on the direction of translation. The small amount of data outside the limits of the CEC & CPUC data (here, 300-480V<sub>DC</sub> and 10-100% of the max. rated AC load,  $P_{AC,Max,Inv}$ ) is removed to avoid extrapolation errors.

## 4.

### Recording Interval

- A data recording interval ( $\tau_r$ , where  $\tau_r \geq \tau_s$ ) is user-defined (here, 5-min is used).  $E$  and  $H_{POA}$  at each  $\tau_r$  are summed over each indexed  $\tau_r$ , while  $P$ ,  $T_{AMB}$ ,  $T_{CELL}$ , and  $G_{POA}$  are averaged.

### Performance Model

- System efficiency ( $\eta_{DC}$ , Eq. 4) is calculated over each  $\tau_r$  [2].

$$(4) \eta_{DC,t} = \frac{E_{DC,t}}{H_{POA,t} \cdot A_{SIST}}$$

### Power Temperature Coefficient

- The temperature coefficient of MPP power ( $Y_{PMP}$ ) is derived from the slope of  $\eta_{DC}$  vs.  $T_{CELL}$  following a robust linear regression fit (Fig. 3). In Fig. 4,  $Y_{PMP}$  is shown to vary when the lower data acceptance limit of  $G_{POA}$  is set at a level  $< 700\text{W/m}^2$ .

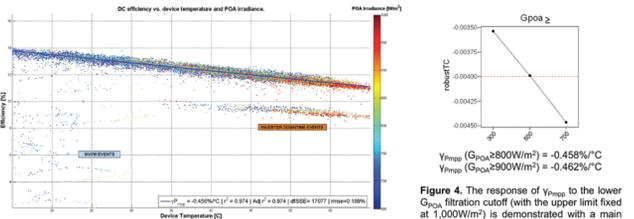


Figure 3.  $Y_{PMP}$  is extracted from the production data using a robust linear regression fit. The robust fit method is used for its stability in the presence of outliers (in this case, stemming from snow and inverter downtime events).

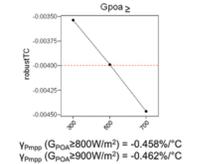


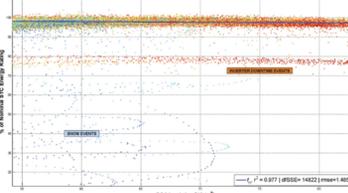
Figure 4. The response of  $Y_{PMP}$  to the lower  $G_{POA}$  filtration cutoff (with the upper limit fixed at  $1,000\text{W/m}^2$ ) is demonstrated with a main effects plot.  $Y_{PMP}$  remains highly stable for lower data acceptance limits  $> 700\text{W/m}^2$ . In this work, a lower limit of  $700\text{W/m}^2$  is applied.

## 5.

### STC Rating

$$(5) E_{DC,STC-Adj,t} = \left( \frac{H_{POA,t}}{H_{STC}} \right) \cdot [1 + Y_{PMP} \cdot (T_{CELL,t} - T_{STC})]$$

$$(6) E_{DC,STC} = f_H(H_{STC})$$



- A robust linear fit of STC-adjusted  $E_{DC}$  ( $E_{DC,STC-Adj}$ , kWh, Eq. 5) vs.  $H_{POA}$  over the range of device linearity (Fig. 5) is used to approximate performance at STC (Eq. 6) for use in Eq. 7 [2].  $H_{STC}$  is derived from  $G_{STC}$  over  $\tau_r$  following IEC 61724-1 Section 8 [2, 3].

Figure 5.  $E_{DC,STC-Adj}$  (normalized to the nominal rating) is plotted vs.  $H_{POA}$  (and  $T_{CELL}$ ). A robust linear regression is used to fit  $E_{DC,STC-Adj}$  over the range of device linearity ( $700\text{W/m}^2 \leq G_{POA} \leq 1,000\text{W/m}^2$ ). Substitution of  $H_{STC}$  into  $f_H$  (Eq. 6) yields an approximation of the system energy rating at STC that can be applied in Eq. 7.

### Relative Efficiency Delta from STC

- $f_H$  is used in Eq. 7 to compute the relative efficiency deviation (delta) from STC ( $\Delta\eta_{DC,STC}$ ) over each indexed  $\tau_r$  [2].  $R_d$  can be extracted from a linear fit of  $\Delta\eta_{DC,STC}$  vs. time (Fig. 6, Eq. 10).

$$(7) \Delta\eta_{DC,STC,t} = \left\{ \frac{E_{DC,t}}{f_H(H_{STC}) \cdot \left( \frac{H_{POA,t}}{H_{STC}} \right) \cdot [1 + Y_{PMP} \cdot (T_{CELL,t} - T_{STC})]} \right\} - 1$$

## 6.

### Irradiance Transience Model

- An irradiance transience allowance criterion ( $\Delta G_{POA}$ ) is applied within each  $\tau_r$  (Eqs. 8-9, Fig. 6) to remove unrepresentative data from imprecise measurements collected during transient (cloudy) ambient conditions. A robust linear regression is used to extract  $R_d$  using Eq. 10, where  $N_t$  is a time index multiplier.

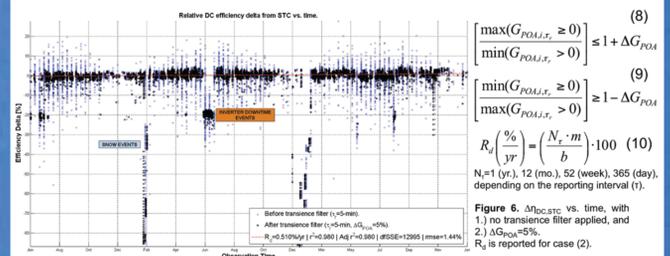


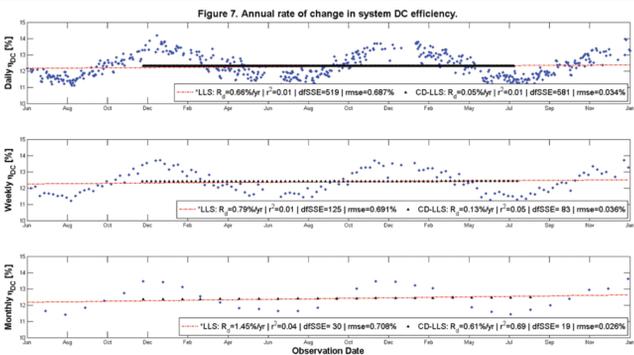
Figure 6.  $\Delta\eta_{DC,STC}$  vs. time, with 1.) no transience filter applied, and 2.)  $\Delta G_{POA} = 5\%$ .  $R_d$  is reported for case (2).

### Noise Events

### Reporting Intervals

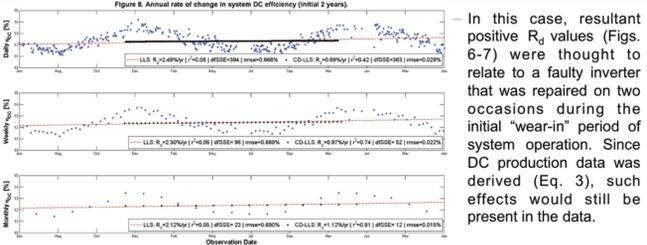
- Noise events (snow, inverter downtime) are removed prior to final analysis of  $R_d$ . Filtration criteria based around the median  $\Delta\eta_{DC,STC}$  can in some cases be applied as a categorical filter.
- Final system  $R_d$  results are derived from  $\eta_{DC}$  time series (Eq. 4), computed over daily, weekly and monthly reporting intervals (1).

## 7.



- The time series of  $\eta_{DC}$  at each indexed  $\tau$  are plotted. Simple linear least squares fit (LLS) is used to derive  $R_d$  (Eq. 10). \*With LLS, it is best if data begins and ends at the same time of year, to avoid seasonality effects – note that Fig. 7 includes the full data set (2.5 years).
- Classical decomposition (CD) method is applied to deseasonalize the  $\eta_{DC}$  time series, ideally such that all available data can be used. Centered moving averages are computed over periods of  $N_t$ . Moving average time series are fit using LLS to derive  $R_d$  (CD-LLS).

## 8.



- In this case, resultant positive  $R_d$  values (Figs. 6-7) were thought to relate to a faulty inverter that was repaired on two occasions during the initial "wear-in" period of system operation. Since DC production data was derived (Eq. 3), such effects would still be present in the data.
- The  $R_d$  analysis was (thus) run twice more: first, using the initial two full years of data from the system (Fig. 8), and then using the final two years (Fig. 9). As anticipated,  $R_d$  results converged toward a more positive rate in the first two years, and a more negative rate in the final two years.

## 9.

### Model Validation

**On-site (outdoor)**

- Randomly select sample of modules.
- Photograph modules.
- IR image under MPP load.
- Electrically isolate modules.
- Light I-V sweep using portable curve tracer following IEC 61829-1.
- IR image in short-circuit (SC) condition.
- Dismantle modules and prepare for shipping.
- Re-install modules.
- IR image (MPP and SC condition).
- Light I-V sweep using portable curve tracer following IEC 61829-1.

**Laboratory (indoor)**

- Photograph modules and packaging.
- Visual inspection per IEC 61215-10.1.
- Electroluminescence imaging (low and high current).
- Wet leakage current per IEC 61215-10.5.
- Dark I-V sweep (two current levels).
- Temperature coefficients per IEC 61215-10.4.
- Light I-V sweep using flash-based solar simulator per IEC 61215-10.3.
- Package modules and return to site.

$$R_d \left( \frac{\%}{\text{yr}} \right) = \left[ \frac{P_t - P_0}{t} \right] \cdot N_t \cdot 100 \quad (11)$$

Figure 10. Test protocols used for  $R_d$  model validation. The testing will be repeated on a recurring basis using the same sample of modules.

Figure 11. Boxplot of module  $R_d$  values calculated using Eq. 11, where the initial power,  $P_0$ , represents light-stabilized, factory-tested values, and the power after two years of operation,  $P_t$ , is based on indoor laboratory measurements. Results align well with  $R_d$  derived from the model using the final two years of data from the chosen system.

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