

# *Electrical and Thermal Transport Optimization of High Efficient n-type Skutterudites*

Jihui Yang  
GM Research &  
Development Center

present at  
2011 Thermoelectric  
Applications Workshop  
Coronado, CA

January 4, 2011

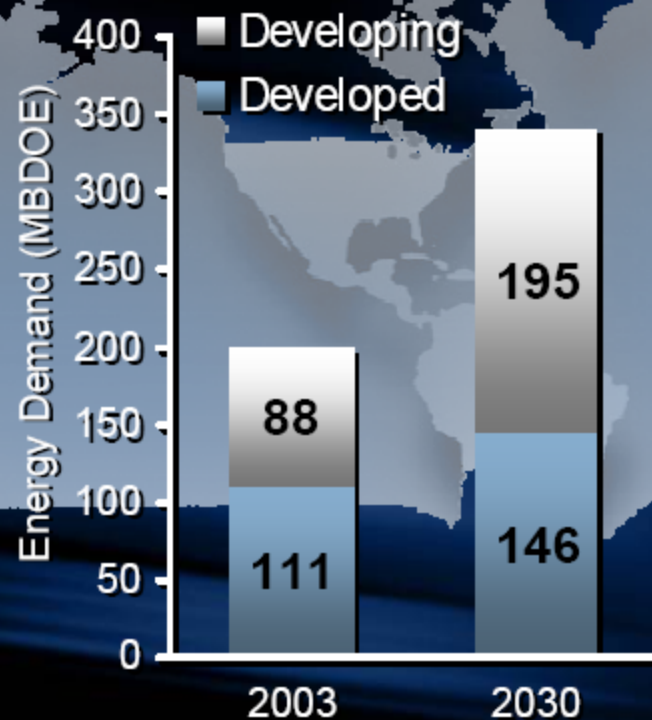
## Outline

- ☐ Potential TE automotive applications
  - The challenge
  - Where does TE fit in the solution
  - DOE program
- ☐ Multiple-filled skutterudites
  - Structural and electrical transport
  - Multiple filling on thermal conductivity
  - High ZT and materials design principle
- ☐ Conclusions

# The Challenge

- Global energy demand exceeds our current glide path for supply
- There are several risks that can disrupt the existing supply
  - Insufficient refinery capacity
  - Geopolitical issues
  - Natural disasters, wars and hostile regimes that take a significant amount of capacity offline
- Growing concern about Global Warming due to CO<sub>2</sub>
- Potential for regulations that exceed both technical capability and business feasibility

**Global increase 2% / year,  
70% increase over 2003**

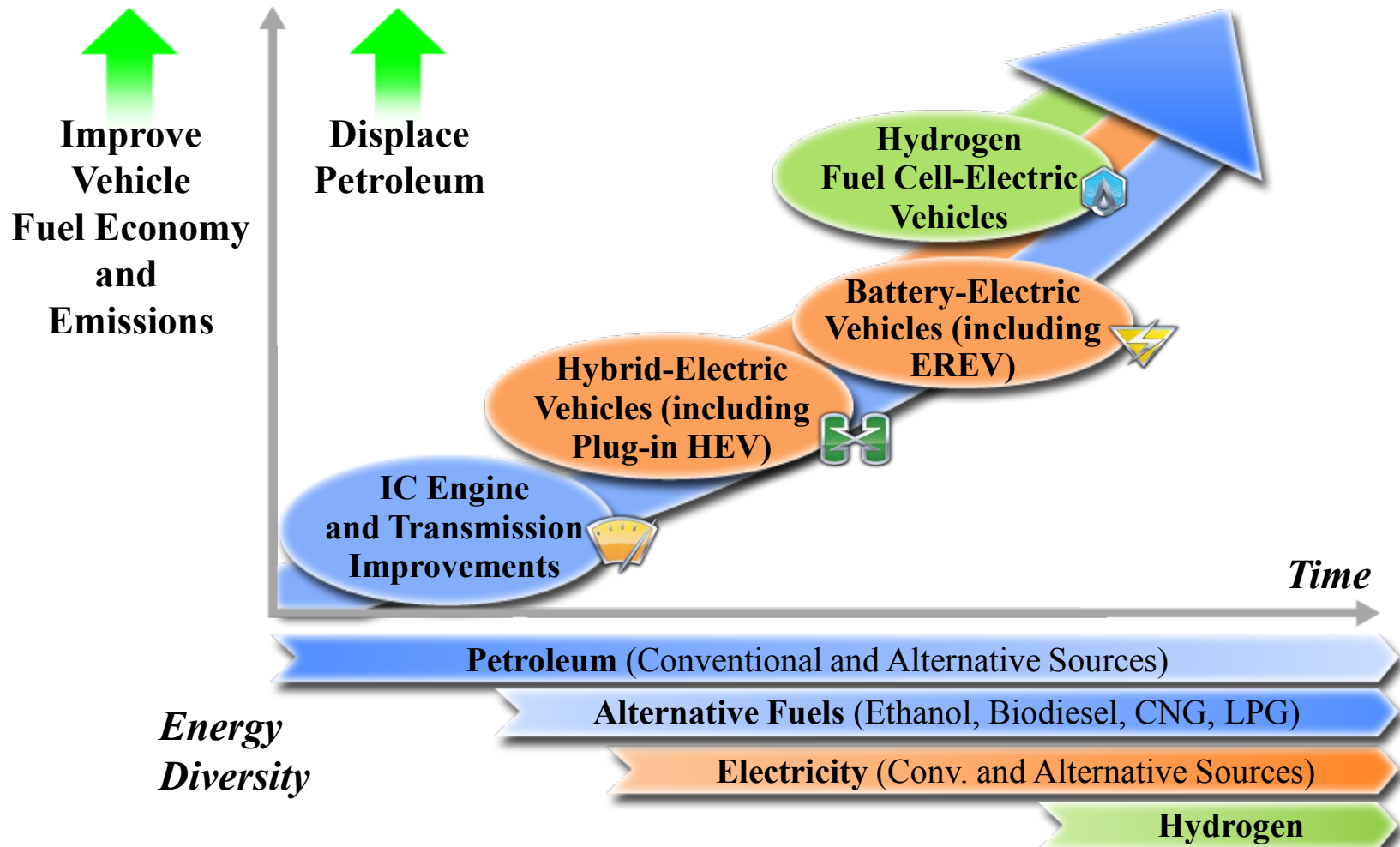


## *So What's the Solution?*

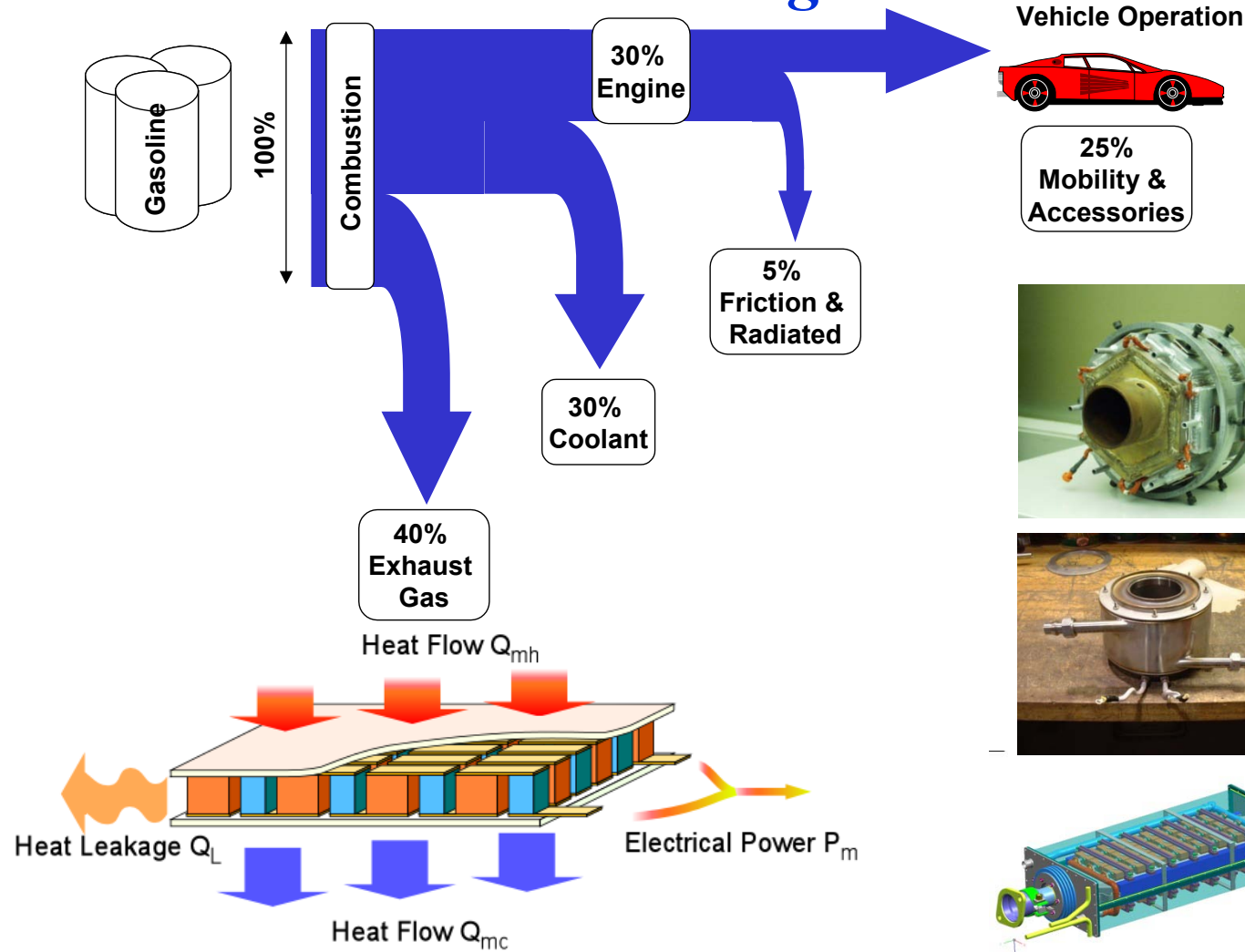
In GM's view we must

- ☐ Increase fuel efficiency of conventional propulsion vehicles in response to market demands
- ☐ Displace a portion of petroleum fuel usage
- ☐ Diversify energy sources for use in transportation applications
- ☐ Reduce net emissions of carbon dioxide

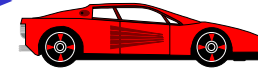
# GM Advanced Propulsion Technology Strategy



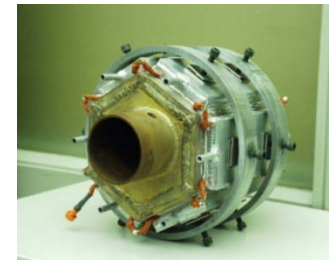
# Typical Energy Path in Gasoline Fueled Internal Combustion Engine Vehicles



Vehicle Operation



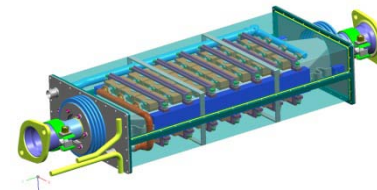
25%  
Mobility &  
Accessories



GEN I



GEN II



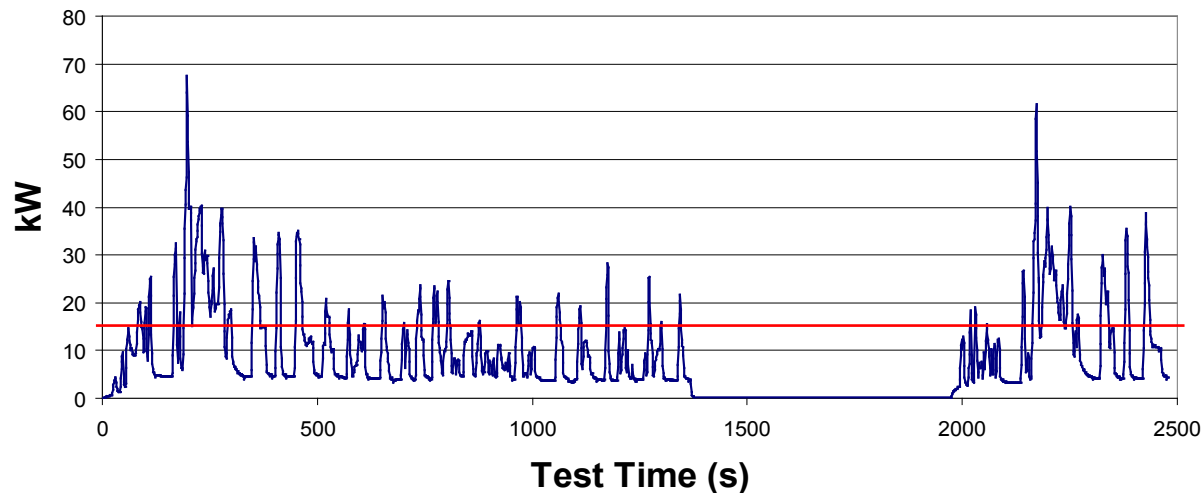
GEN III

- ❑ Today's ICE-based vehicles: < 20% of fuel energy is used for propulsion
- ❑ > 60% of gasoline energy (waste heat) is not utilized

# *TE Automotive Waste Heat Recovery Vehicle Selection – Chevy Suburban*



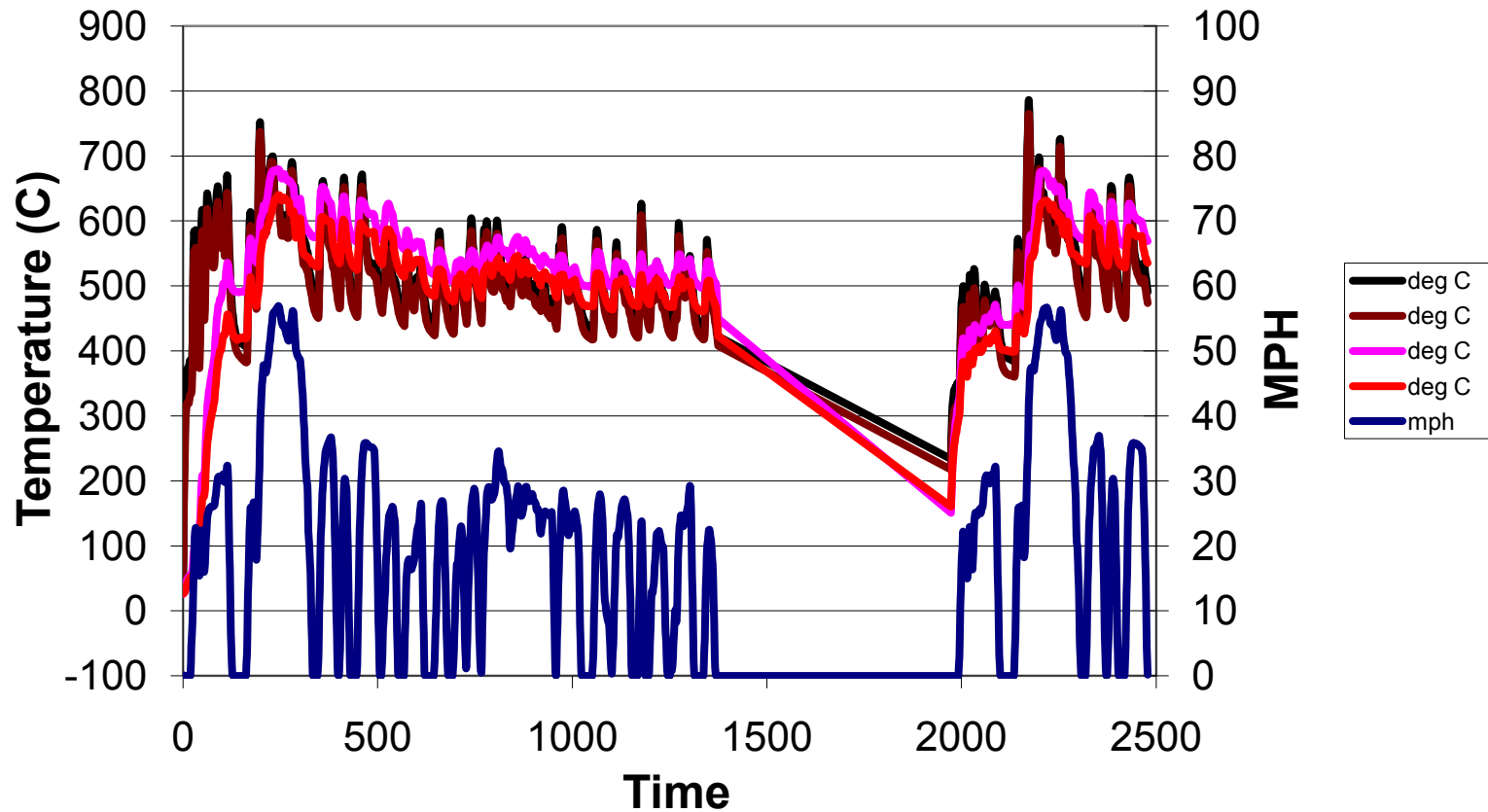
**Exhaust Heat - City Driving Cycle**



- ☐ The Suburban was selected as a test vehicle because it simplified the modifications and installation of the prototype.
- ☐ Fuel efficiency improvement will be better in small, fuel efficient vehicles than in large vehicles because the electrical load in small vehicles is a larger portion of the engine output.

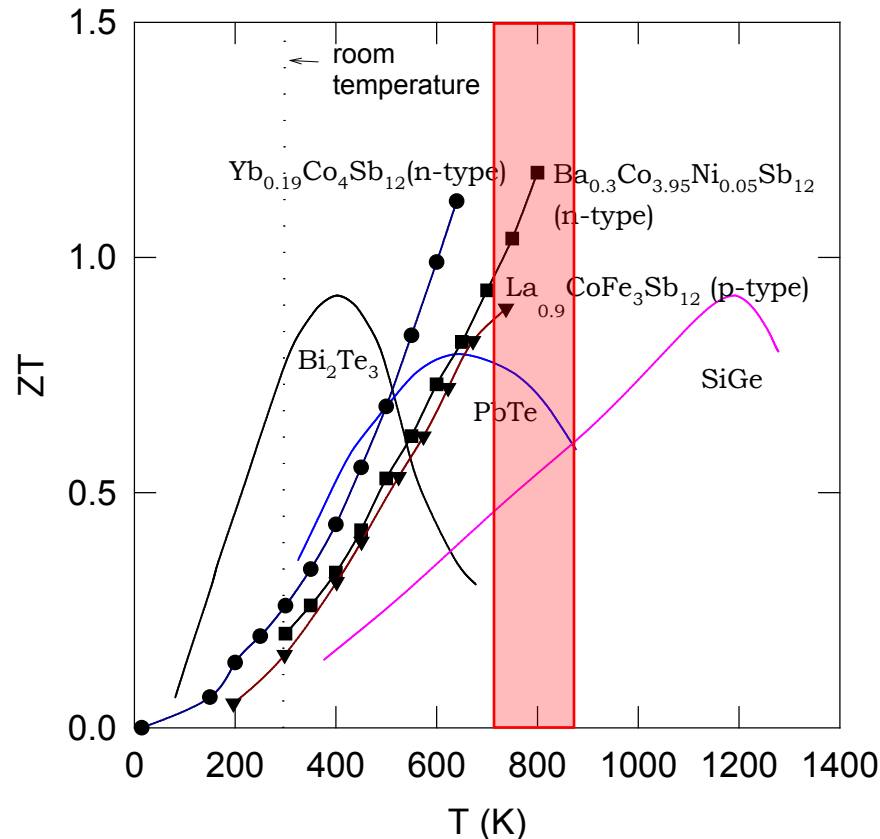
# *Chevy Suburban Exhaust Temperature*

## City Driving Cycle



□ The average exhaust temperature is around 500 – 600 °C

# *Skutterudites Have Excellent Thermoelectric Property in the Temperature Range of Interest*



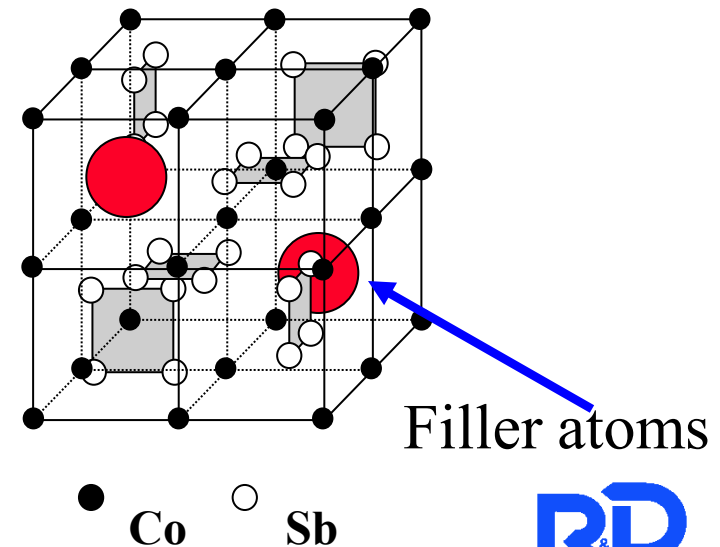
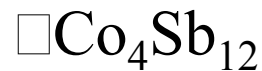
- ☐ Prospective TE power generation materials for hot-side temperature near 800 K
- ☐ Both the n- and p-type exist – optimal for TE module construction
- ☐ The materials are mechanically strong (Wed. pm talk – James S. Salvador)

# Skutterudites 101

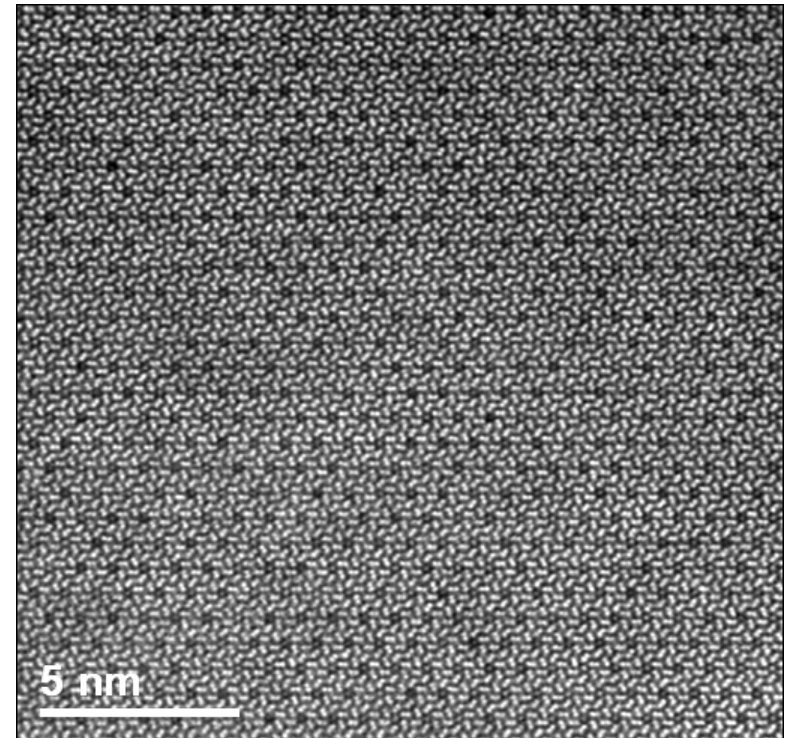
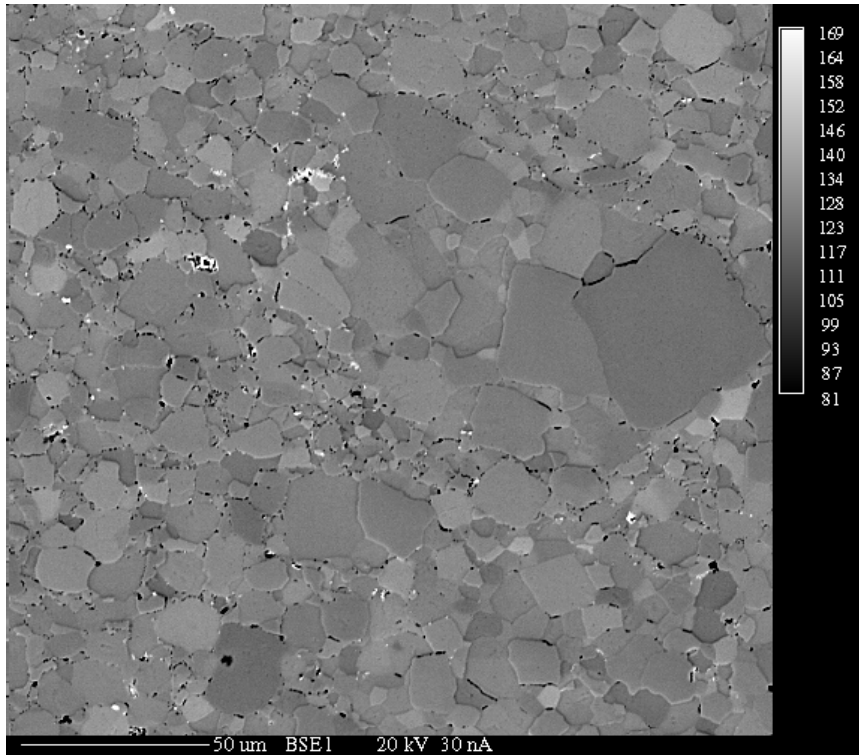
- ❑ CoAs<sub>3</sub> -based minerals found in region of Skutterud, Norway
- ❑ Compounds with the same crystal structure are known as “skutterudites”
- ❑ Filled skutterudites are electron-crystal-phonon-glass materials



Skutterud gård, Modum

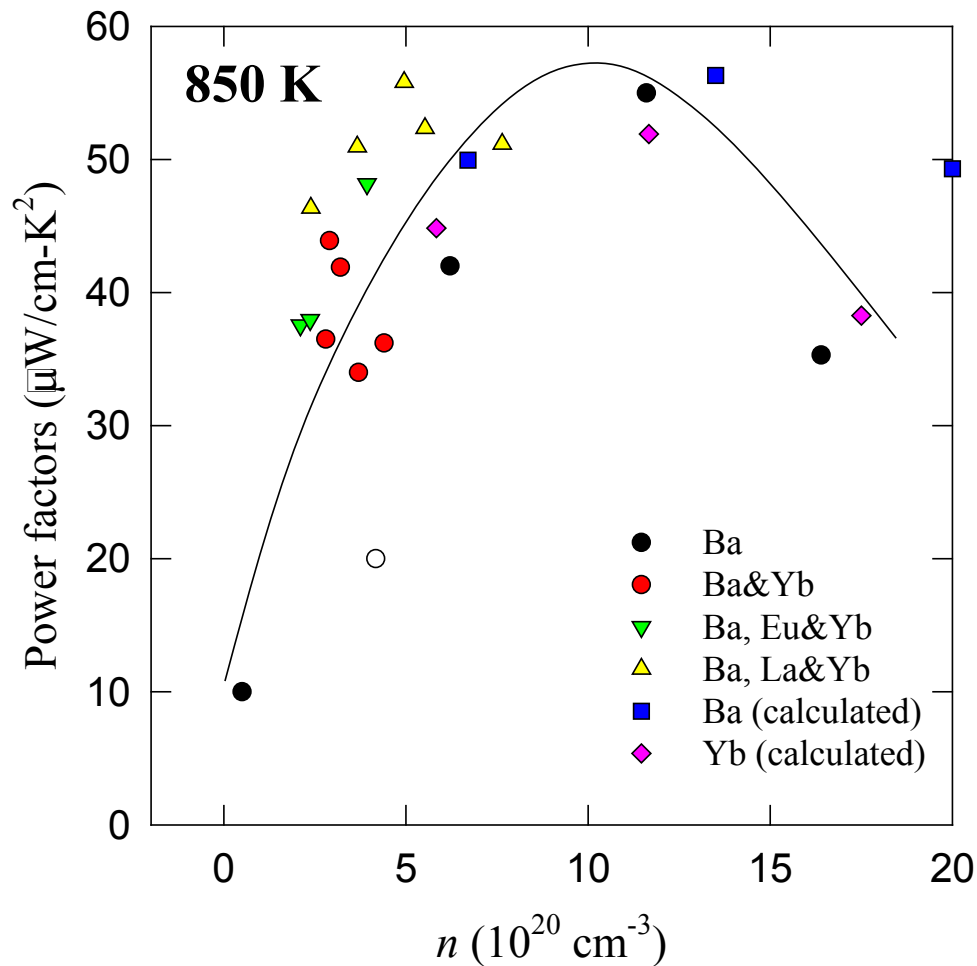


# *Structural Uniformity is Critical for Achieving Good Electrical Properties*



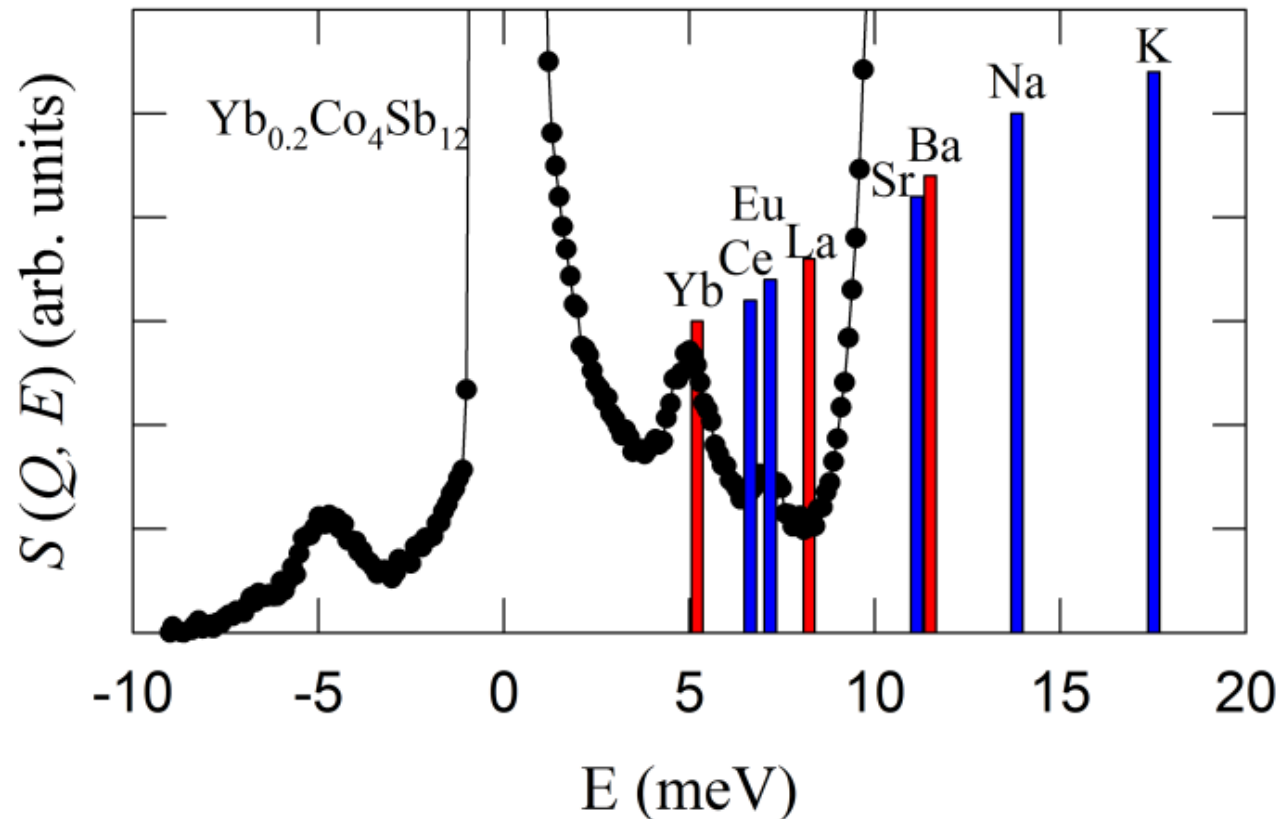
❑ Macroscopic backscattered electron image (left) and atomic-level high angle annular dark-field STEM (HAADF-STEM) along the [111] orientation (right) of  $\text{Ba}_{0.08}\text{La}_{0.05}\text{Yb}_{0.04}\text{Co}_4\text{Sb}_{12}$

# Very High Power Factor Values Achieved



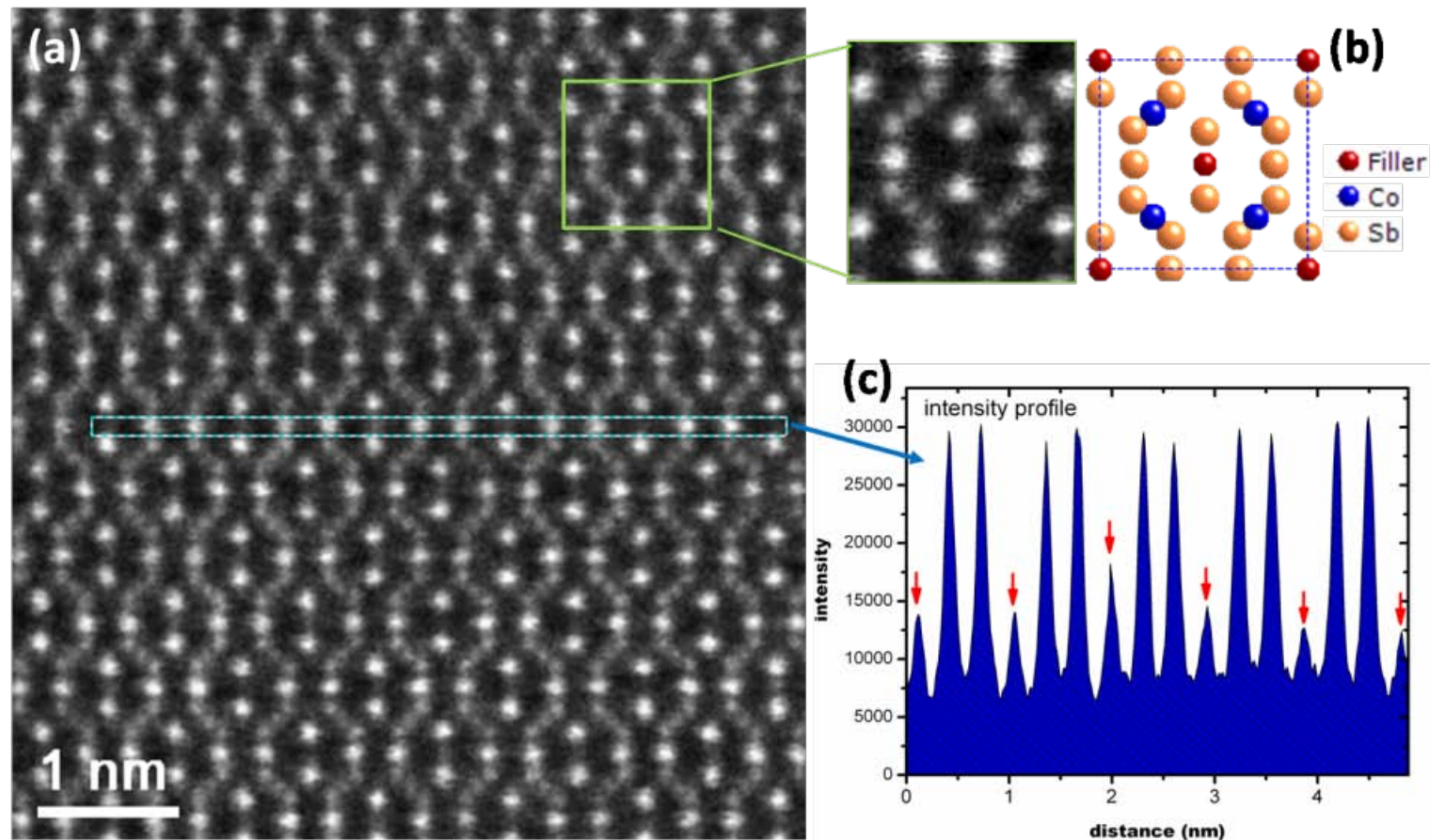
□ Power factor  $> 50 \mu\text{W}/\text{cm-K}^2$  measured in a large carrier concentration range between  $5$  and  $15 \times 10^{20} \text{ cm}^{-3}$

# Multiple-Element-Filling Concept

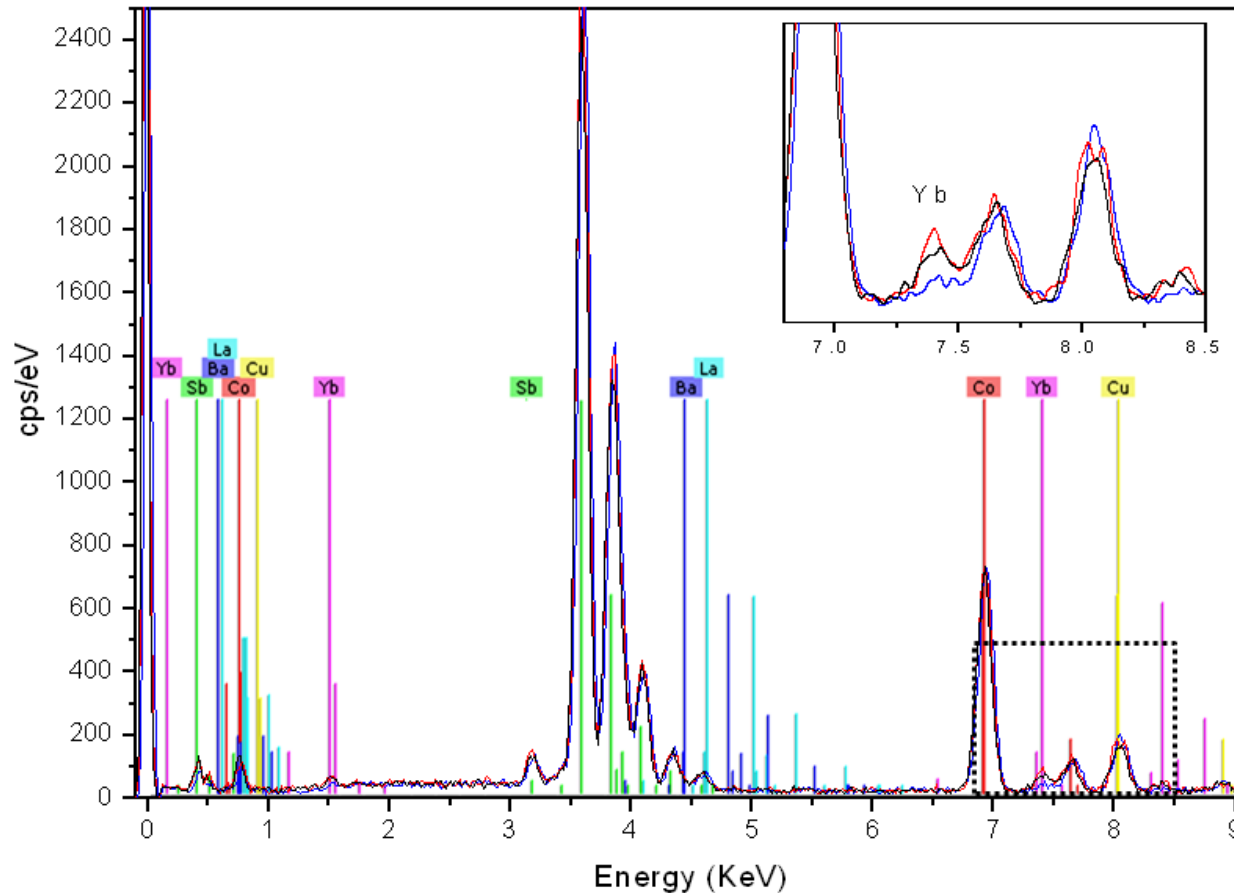


❑ Skutterudites can be designed to achieve very low lattice thermal conductivity by introducing multiple, non-overlapping phonon resonant center

# *HAADF-STEM Image of $Ba_{0.08}La_{0.05}Yb_{0.04}Co_4Sb_{12}$ Along the $[001]$ Orientation*



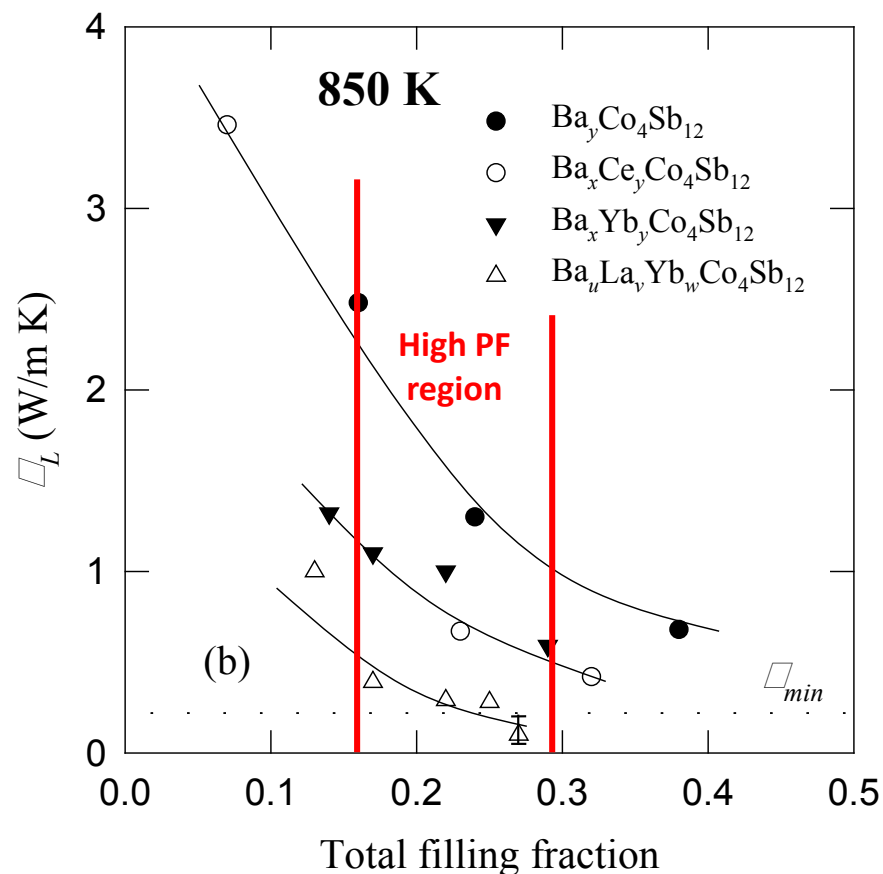
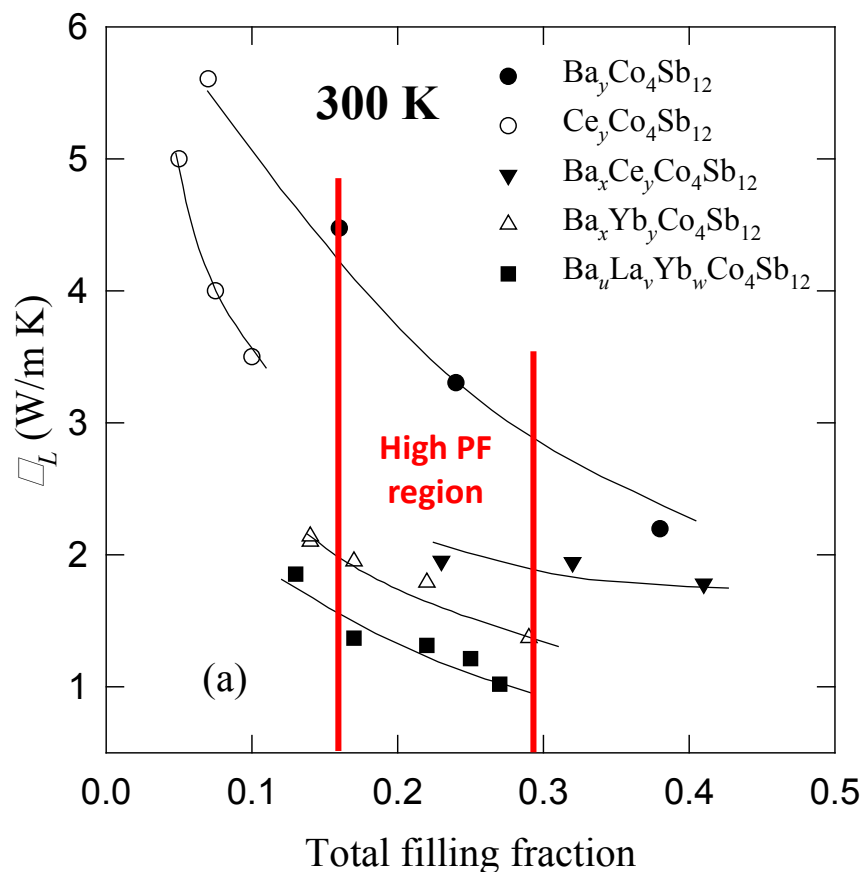
- ❑ In the  $[001]$  direction, one can identify filler-only atomic columns
- ❑ Fillers are randomly distributed in the crystallographic voids



*Cu signal is from the TEM Cu supporting grid*

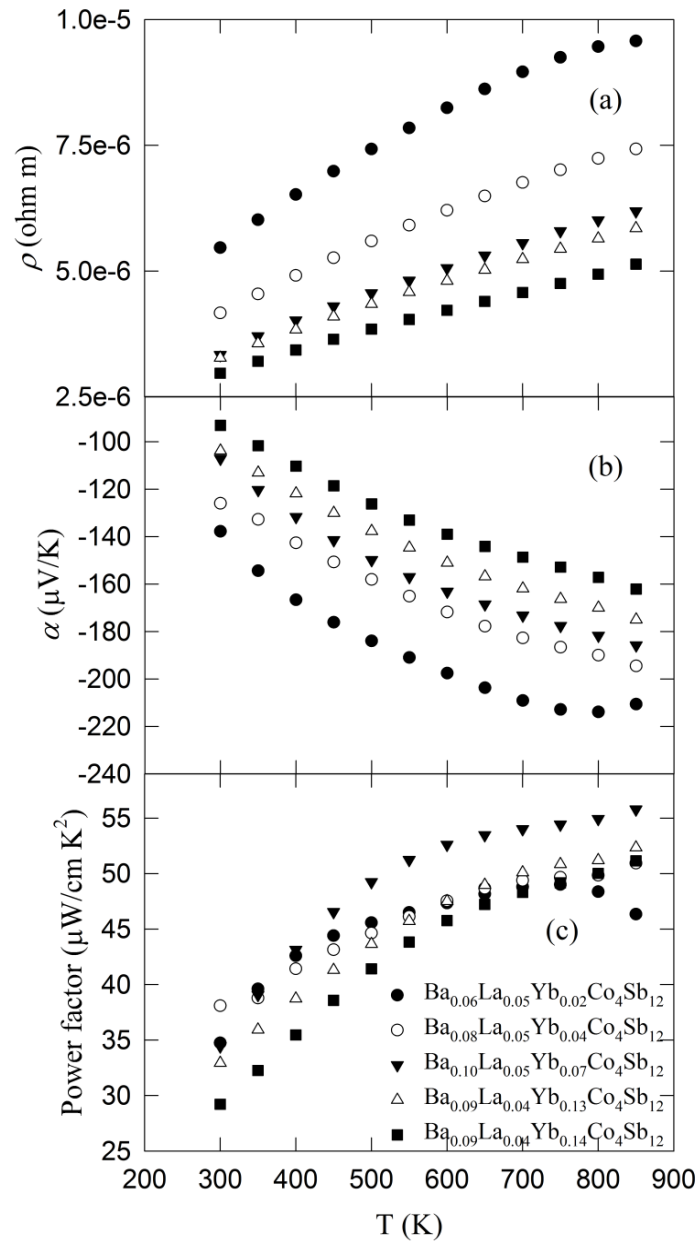
- ❑ The e-beam size is approximately 0.15-0.2 nm
- ❑ The distribution of Yb (and other fillers) are random

# Lattice Thermal Conductivity Reduction from Single- to Triple-element-filled skutterudites

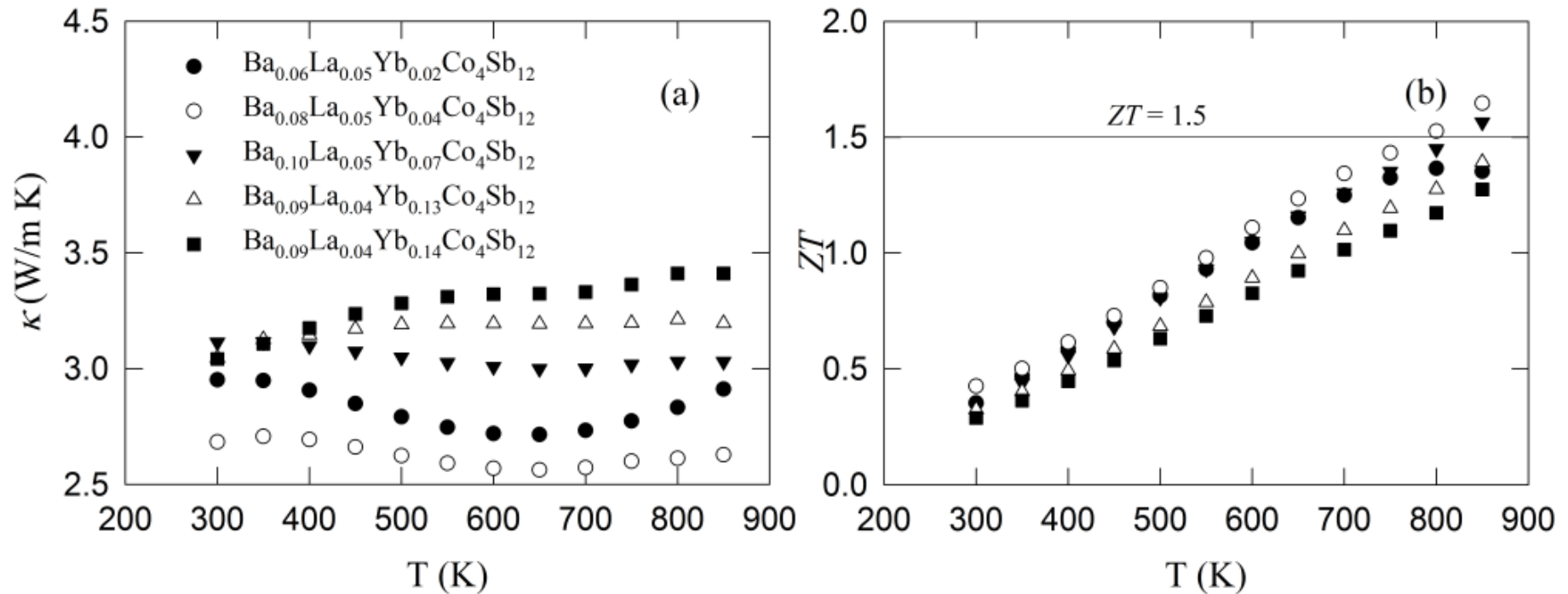


□ Minimum lattice thermal conductivity is achieved at elevated temperatures

# Very High Power Factor Values in a Wide T Range



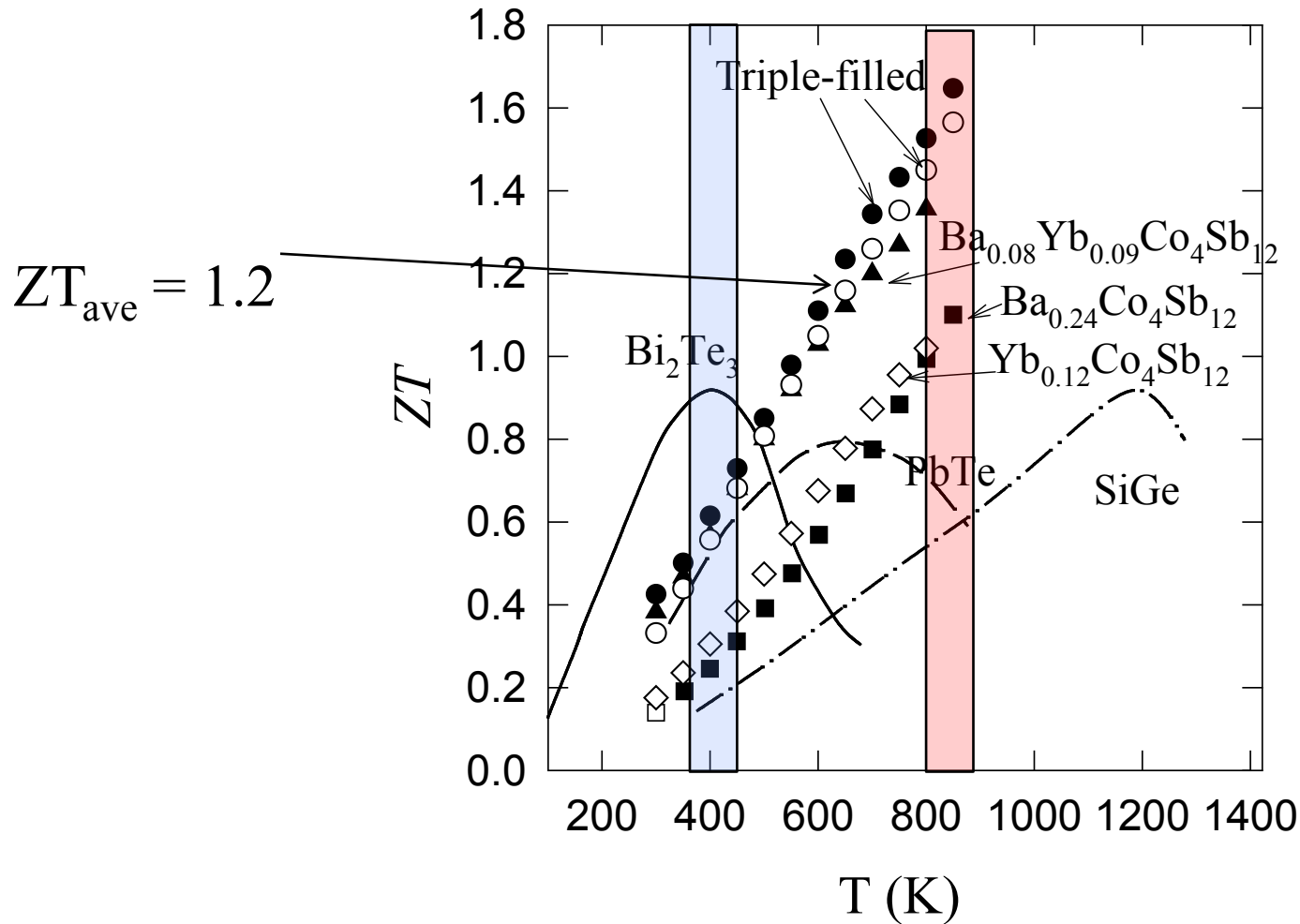
# $ZT$ of $Ba_uLa_vYb_wCo_4Sb_{12}$



❑ Multiple-filled skutterudites possess some of the highest  $ZT$  values reported for bulk TE materials

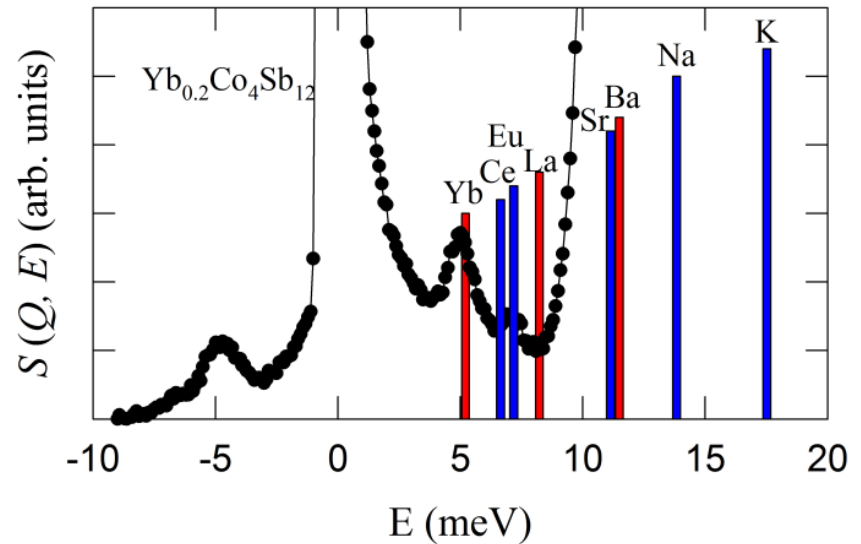
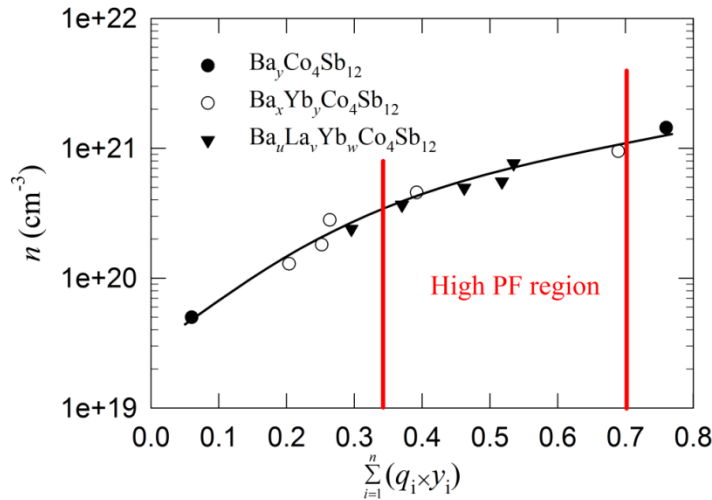
❑  $ZT_{\max} = 1.7$  at 850 K

# Single-, Double-, to Triple-filled



1. X. Shi, et al. Appl. Phys. Lett. **92**, 182101 (2008)
2. X. Shi, et al., submitted (2009)

# Key Take-Aways



- ❑ Ba, Yb, and La should not be the only combination that gives rise to high ZT
- ❑ High PF values unchanged from single-, double-, to triple-element-filled n-type skutterudites
- ❑ The optimum carrier concentration corresponds to  $\sim 0.35$ - $0.75$  e/fu, which means that composition can be designed using the nominal valence state of the filler ions

## *Conclusions*

- ❑ Multiple-element-partially-filled skutterudites are amongst prospective n-type materials for automotive exhaust waste heat recovery applications
- ❑ The skutterudites-based TE generator can be further improved by optimizing the p-type materials
- ❑ There are plenty of engineering to be done
  - strength improvement
  - contacts/interconnects
  - heat exchanger
  - power electronics

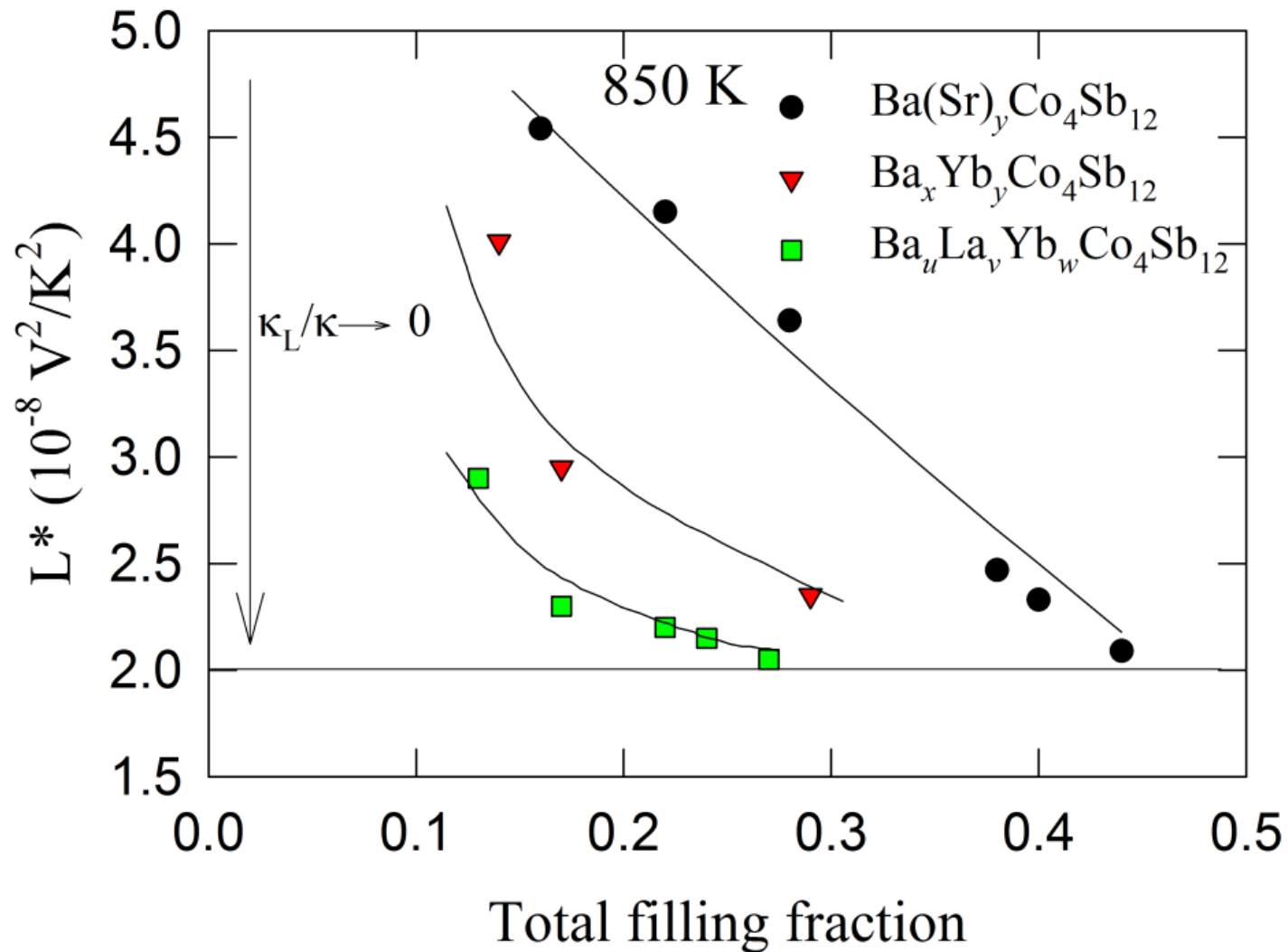
## *Acknowledgement*

- ❑ **GM and DOE for support under corporate agreement DE-FC26-04NT42278**
- ❑ **James R. Salvador, Xun Shi, Jung Young Cho, Gregory P. Meisner, Mike Reynolds (GM); Wenqing Zhang, Lidong Chen (SIC); Hsin Wang, M. Chi (ORNL); John Copley, Jack Rush (NCNR).**
- ❑ **John Fairbanks and Francis Stabler for many useful discussions**

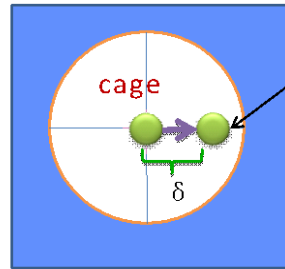
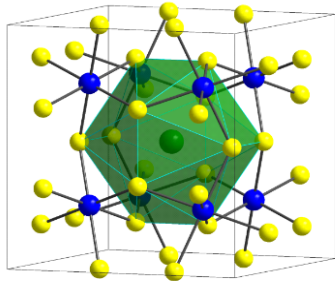
Thank You !



# Lorentz Number



# Filler Atoms in Skutterudites Rattle with Different Frequencies - Theory



Filling atom

Small displacement  $\delta$  of the filler from its equilibrium position will lead to an increase of the total energy of the system.

$$E(x + \delta) = E(x) + \underbrace{\frac{1}{2} \ddot{E}(x) \delta^2}_{\text{harmonic term}} + \underbrace{\frac{1}{6} \ddot{\ddot{E}}(x) \delta^3 + \dots}_{\text{anharmonic term}}$$

In a harmonic approximation,  $\ddot{E}(x)$  is the spring constant.

$$\omega_0 = \sqrt{\frac{\ddot{E}(x)}{m}}$$

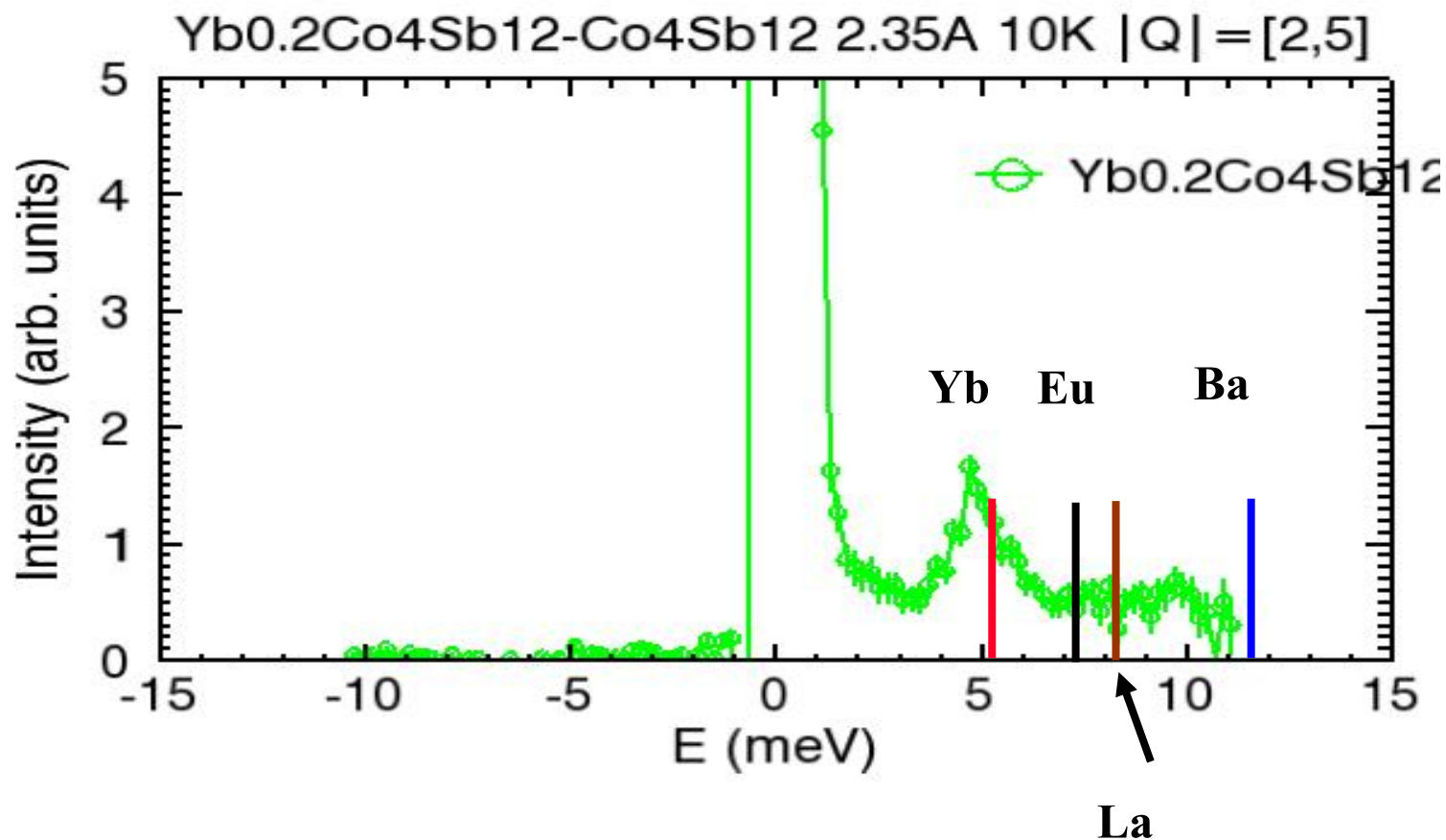
|    |                       | [111]   |                                 | [100]   |                                 |
|----|-----------------------|---------|---------------------------------|---------|---------------------------------|
| R  | Mass ( $10^{-26}$ Kg) | k (N/m) | $\omega_0$ ( $\text{cm}^{-1}$ ) | k (N/m) | $\omega_0$ ( $\text{cm}^{-1}$ ) |
| La | 23.07                 | 36.10   | 66                              | 37.42   | 68                              |
| Ce | 23.27                 | 23.72   | 54                              | 25.18   | 55                              |
| Eu | 25.34                 | 30.16   | 58                              | 31.37   | 59                              |
| Yb | 28.74                 | 18.04   | 42                              | 18.88   | 43                              |
| Ba | 22.81                 | 69.60   | 93                              | 70.85   | 94                              |
| Sr | 14.55                 | 41.62   | 90                              | 42.56   | 91                              |
| Na | 3.819                 | 16.87   | 112                             | 17.18   | 113                             |
| K  | 6.495                 | 46.04   | 141                             | 46.70   | 142                             |

- Multiple-element filling will scatter a broad range of lattice phonons, lower thermal conductivity, and improve  $ZT^{1-3}$

1. Shi, X., Zhang, X., Chen, L. D., and **Yang, J.**, *Phys. Rev. Lett.* **95**, 185503, 2005.
2. **Yang, J.**, Zhang, W., Bai, S. Q., Mei, Z., and Chen, L. D., *Appl. Phys. Lett.* **90**, 192111, 2007.
3. Shi, X., Kong, H., Li, C.-P., Uher, C., **Yang, J.**, Salvador, J. R., Wang, H., Chen, L., and

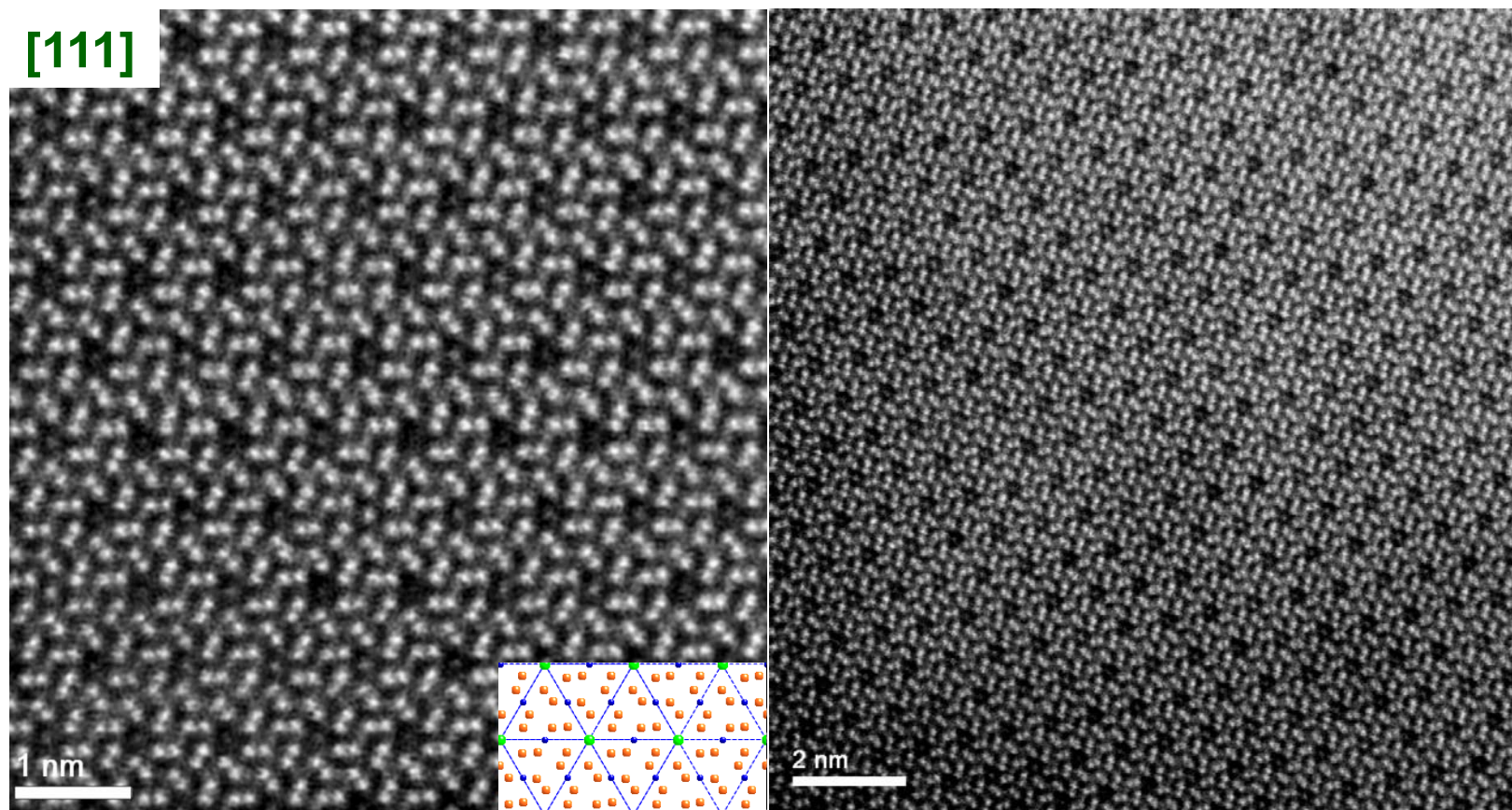
Zhang, W., *Appl. Phys. Lett.* **92**, 182101, (2008)

# *Phonon DOS Measured by Inelastic Neutron Scattering – Experiment*

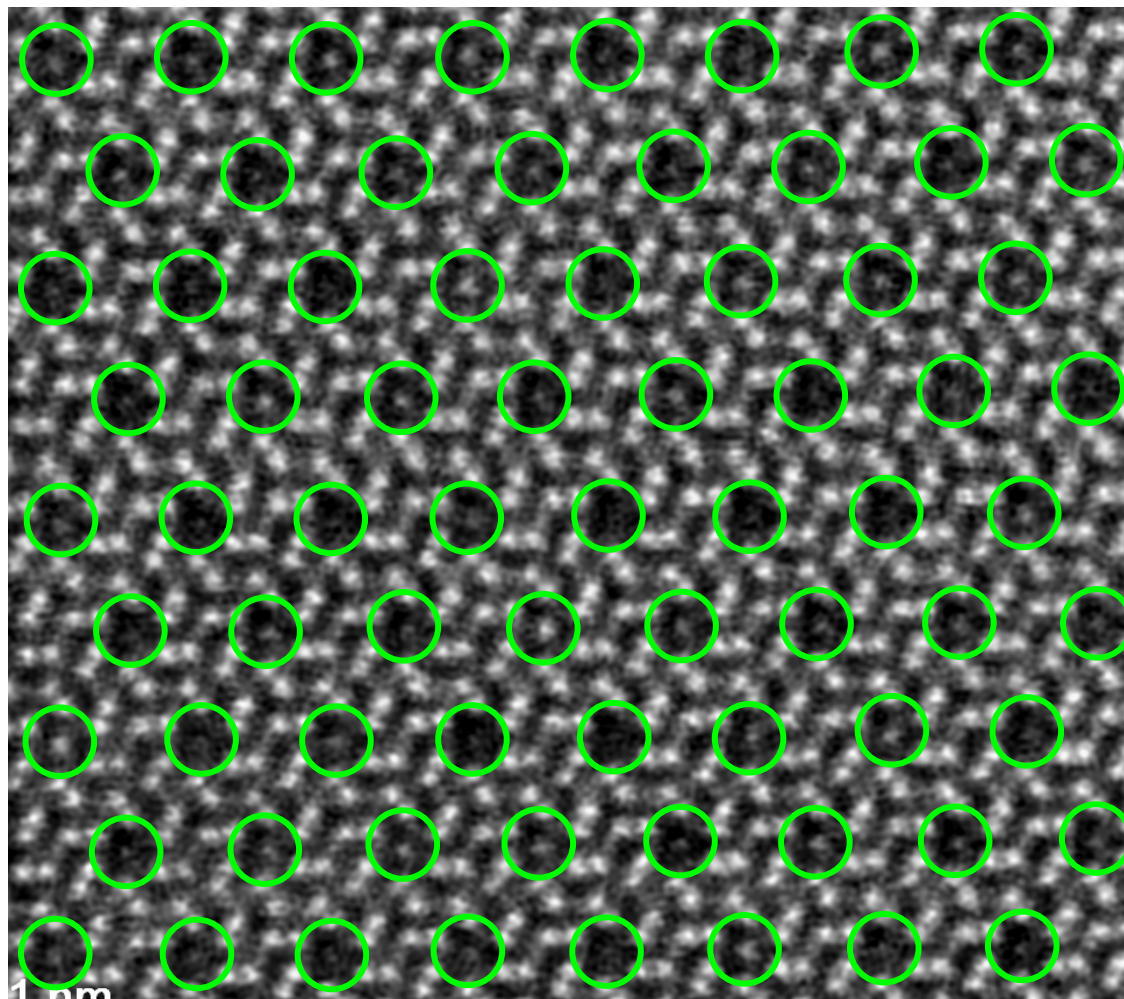


- Calculated resonant phonon frequencies are experimentally validated

# *STEM Images of the Triple-Filled Skutterudites*



# *STEM Images of the Triple-Filled Skutterudites*



# Phonon DOS Measurement – Inelastic Neutron Scattering

