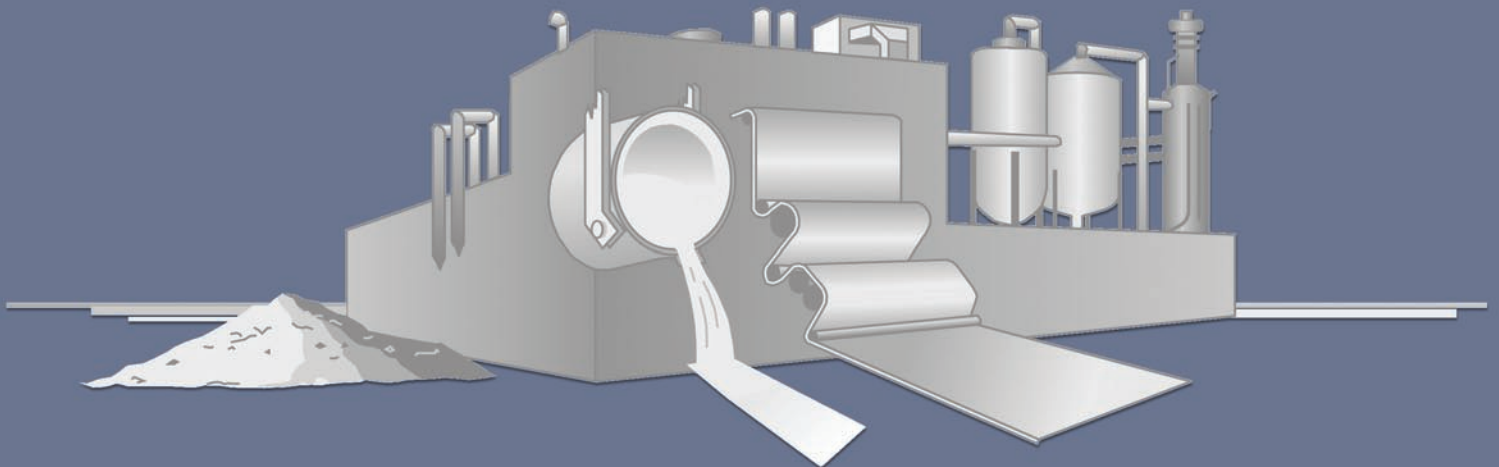


IMPACTS

February 2007

Industrial Technologies Program: Summary of Program Results for CY 2005

Boosting the Productivity and Competitiveness of U.S. Industry



U.S. Department of Energy

Energy Efficiency and Renewable Energy

Bringing you a prosperous future where energy is clean, abundant, reliable, and affordable

Foreword

A robust industrial sector relies on a secure and affordable energy supply. While all Americans are feeling the pinch of high energy prices, impacts on industry are especially acute. High energy prices not only hurt competitiveness—they have the potential to push critical U.S. manufacturing operations offshore.

The Industrial Technologies Program (ITP) is actively working through public-private partnerships to address the enormous energy challenges now facing American industry. We’ve established an impressive track record for moving innovative technologies through commercialization and onto the floors of industrial plants, where they’re at work saving energy today. We were recently notified that eight of our newest technologies have been selected to receive the prestigious R&D 100 Award in 2006. Equally notable are the significant savings identified this year through the plant energy assessments we conducted as part of DOE’s Easy Ways to Save Energy initiative.

The novel challenges confronting industry and the evolving energy picture prompted a reexamination of our strategies for technology development and delivery. I believe we have identified a number of exciting opportunities to build on our strengths, expand into new areas, and boost program impacts to support national goals. We are proud to be serving our country under the guidance of the DOE Office of Energy Efficiency and Renewable Energy (EERE). I invite you to learn more about our current program and new directions.

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Working in partnership with industry, the U.S. Department of Energy's (DOE's) Industrial Technologies Program (ITP) is helping reduce industrial energy use, emissions, and waste while boosting productivity. Operating within the Office of Energy Efficiency and Renewable Energy (EERE), ITP conducts research, development, demonstration, and technology transfer that are producing substantial, measurable benefits to industry. This document summarizes some of the impacts of ITP's programs through 2005.

Industry is the largest and most diverse energy-consuming sector in the United States. In 2005, the industrial sector used one-third of the energy consumed in the nation. Many of the energy-intensive industries, including aluminum and steel, are limited in the choice of fuels and/or feed stocks that must be used in their processes. As a result, many opportunities for energy-efficiency improvements are very process-specific to one industry. However, because some important energy applications, such as motor drives, boilers, and compressed air systems, are common across the industrial sector, crosscutting energy-efficiency opportunities also exist.

Over the past 28 years, ITP has supported more than 600 separate research, development, and demonstration (RD&D) projects that have produced over 190 technologies. In 2005 alone, 101 successfully commercialized technologies saved 99 trillion Btu in measured savings. While these energy savings are impressive, industry has reaped even greater benefits from the productivity improvements, reduced resource consumption, decreased emissions, and enhancements to product quality associated with these technological advances. In addition, many ITP-supported projects have significantly expanded basic knowledge about complex industrial processes and have laid the foundation for developing future energy-efficient technologies.

ITP's primary role is to invest in high-risk, high-value RD&D that will reduce industry's energy requirements while stimulating economic productivity and growth. Because energy is an important input for many of the nation's key manufacturing industries, reducing energy requirements will also reduce energy costs, greenhouse gases, and other emissions and will improve productivity per unit of output. As a Federal program, ITP invests in advanced technologies that are anticipated to produce dramatic energy and environmental benefits for the nation. Investments focus on technologies and practices that will provide clear public benefit but have market barriers preventing adequate private-sector investment.

ITP has developed a seven-part strategy to achieve its goals:

1. Investigate cross-cutting R&D to save energy in the top energy-consuming processes used across industry.
2. Exploit fuel and feedstock flexibility to give manufacturers options for responding to energy price and supply pressures.
3. Invest in "next-generation" technologies adaptable to processes throughout industry that could dramatically change the way products are manufactured.
4. Strengthen planning and analysis to identify opportunities with the greatest potential for energy savings and develop a robust market transformation strategy.
5. Institute rigorous stage-gate project and portfolio management procedures to assure sound project management and funding decisions.
6. Emphasize commercialization planning throughout the R&D life cycle.
7. Encourage private investment in energy efficiency through new partnerships and strategies to reach industry.

ITP tracks energy savings as well as other benefits associated with the successfully commercialized technologies resulting from its research partnerships. These benefits include current and cumulative energy savings and cumulative reductions of various air pollutants including particulates, volatile organic compounds, nitrogen oxides, sulfur oxides, and carbon.

In 2005, ITP programs were instrumental in achieving energy cost savings to industry of 402 trillion Btu and \$4.44 billion. Over the entire history of ITP programs, these cumulative net benefits are about 5.13 quadrillion Btu, which is roughly equal to \$29.3 billion (in 2005 dollars).

The bulk of this document consists of seven appendixes. Appendix 1 describes the 101 technologies currently available commercially, along with their applications and benefits. Appendix 2 describes the 142 ITP-supported emerging technologies that are likely to be commercialized within two or three years. Appendix 3 describes 90 ITP-sponsored technologies used in commercial applications in the past, the current benefits of which are no longer counted in this report. Appendixes 4 and 5 round out the reporting of impacts by showing the benefit of two ITP technical assistance activities: the Industrial Assessment Centers and BestPractices. Finally, Appendix 6 describes the methodology used to assess and track ITP-supported technologies.

Summary of ITP Program Impacts

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Industrial Energy Use

Total energy consumption in the nation's industrial sector far exceeds any other sector and is more diverse. In 2005, the industrial sector used 31.98 quad of all types of energy (slightly less than one-third of the 99.9 quad used by the entire economy), including electricity losses of 7.62 quad (see Figure 1).

Petroleum (9.53 quad), natural gas (7.94 quad), and electricity (3.47 quad delivered) are the three fuels most used by industry, with coal and biomass providing another 3.42 quad combined. The industrial sector consumed a total of 24.36 quad, of which 20.07 quad were consumed by manufacturing industries. Of that 20.07 quad, energy-intensive industries consumed 15.82 quad. The non-energy-intensive industries (4.25 quad) and non-manufacturing industries (agriculture, mining, and construction – 4.29 quad combined) accounted for the remaining energy consumption. Industry uses nearly 6.8 quad of the fossil fuels for feed stocks – raw materials for plastics and chemicals – rather than as fuels. Energy expenditures in the manufacturing sector are approximately \$100 billion.

Energy-intensive industries such as forest products, chemicals, petroleum refining, nonmetallic minerals (glass and cement, especially), and primary metals account for about 75% of all industrial energy use (see Figure 2).

Many of the energy-intensive industries are limited in their choice of fuels because the technologies currently used in specific processes require a certain fuel. For example, aluminum production requires large amounts of electricity to reduce the alumina to metal. Paper pulping leaves a large residual of wood lignin that can be reprocessed for its chemical content and consequently supplies the industry with nearly half of its primary energy. Therefore, the wide variety of fuels (and feed stocks) used in the industrial sector partially reflects the specific requirements of the processes used to make specific goods or commodities. Because of specific energy requirements, the industrial sector offers a wide variety of opportunities for energy-efficiency improvements that are specific to particular industries and that crosscut many industries (i.e., are common to many industries or are needed by many process-specific technologies).

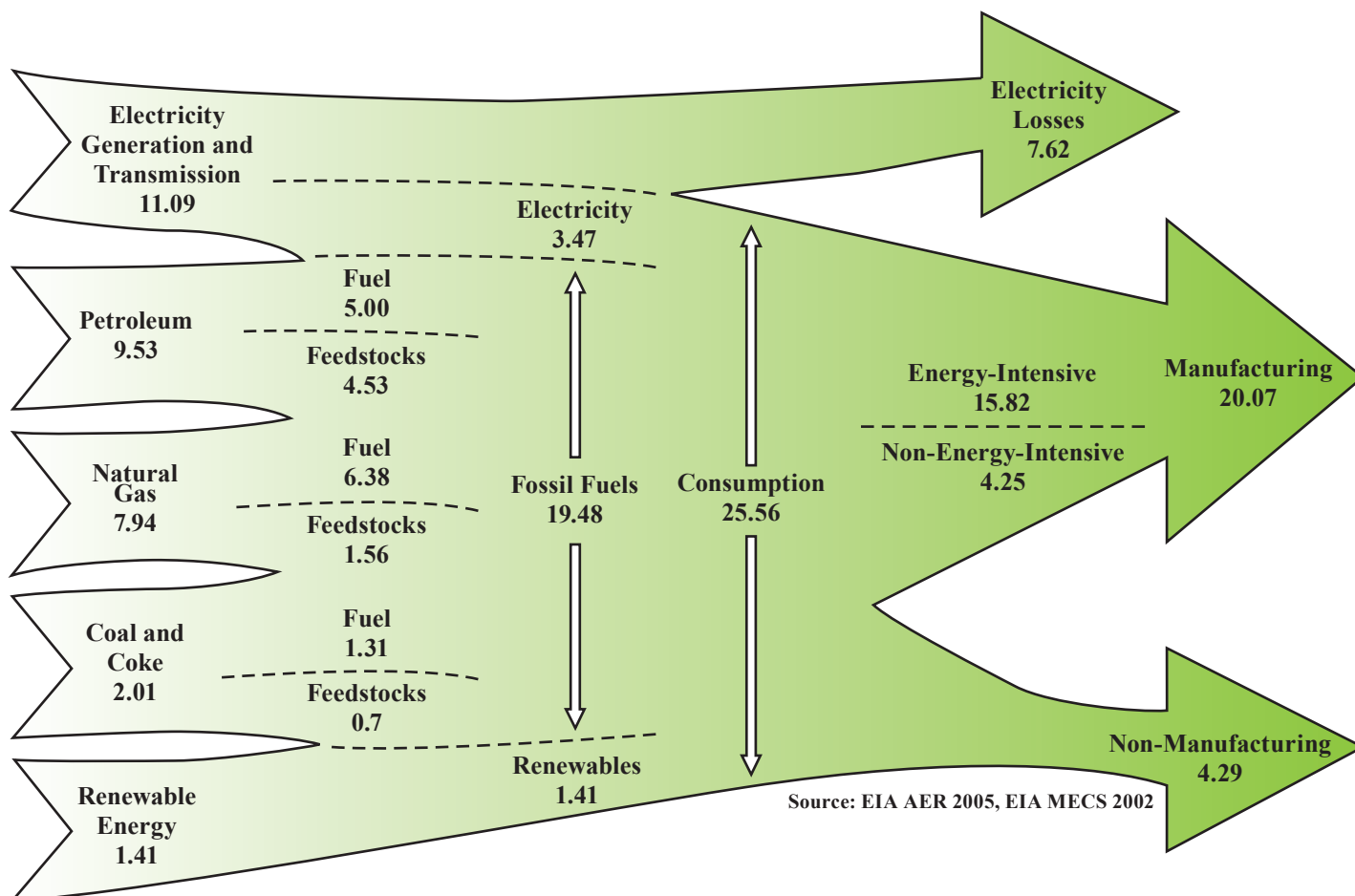
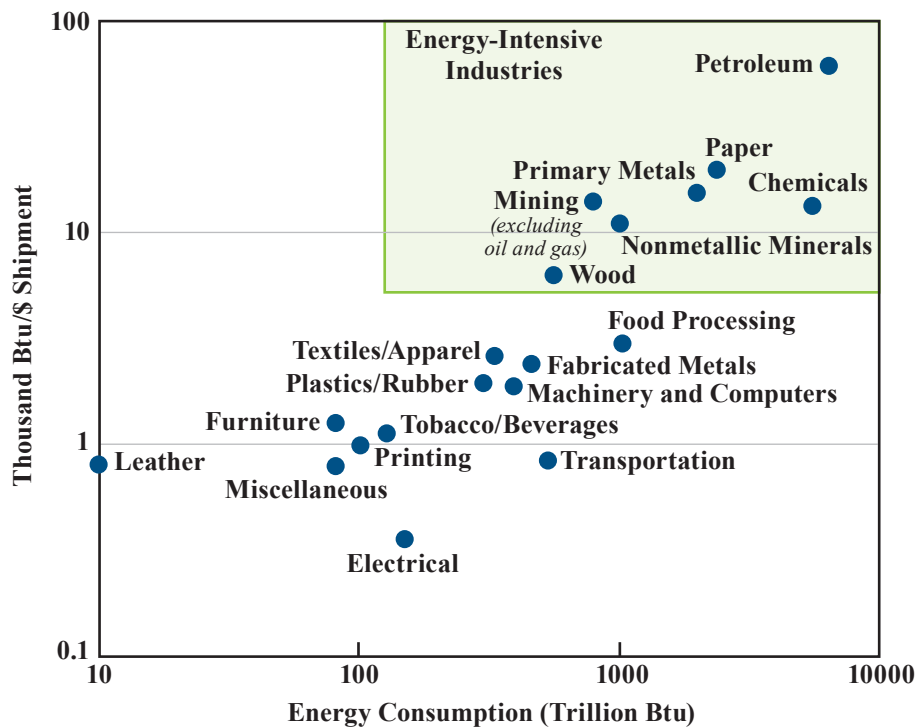


Figure 1. Industrial Energy Flows (Quad), 2005

Summary of ITP Program Impacts

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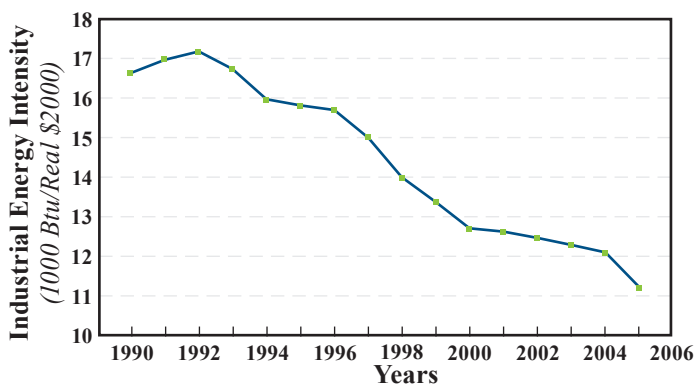


Sources: EIA MECS 2001, Bureau of Economic Analysis

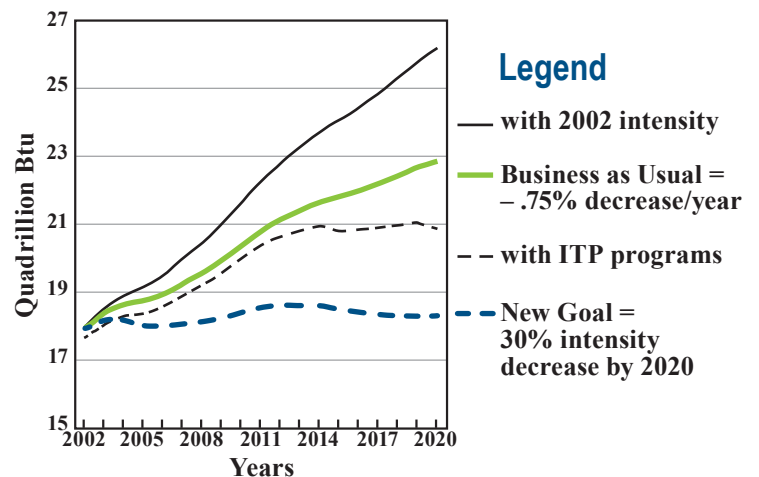
Figure 2. Energy Intensity of Manufacturing Industries

The energy intensity of the industrial sector has been declining over the past decade, in part because of investments in energy-efficient technologies by the Industrial Technologies Program (ITP), previously the Office of Industrial Technologies (OIT). Since its peak in

1992, industrial sector energy intensity has declined from 17,137 Btu/dollar of industrial GDP to 11,226 Btu/dollar of real industrial sector GDP in 2005 (see Figure 3). These reductions are expected to continue into the future, as the second part of Figure 3 shows.



Sources: EIA Annual Energy Review, 2005, Table 2.1d and BEA, Value Added for Goods Producing Industries, 1990-2003, (Constant \$2000).



Sources: EIA AEO 2004, EIA MECS 2001, ITP

Figure 3. Historical Industrial Energy Intensity and Projected Energy Use

Summary of ITP Program Impacts

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The Industrial Technologies Program Office

ITP leads the Federal government's efforts to improve industrial energy efficiency and environmental performance. The program is part of the Office of Energy Efficiency and Renewable Energy (EERE) and contributes to its efforts to provide reliable, affordable, and environmentally sound energy for the nation's future.

Large opportunities to save energy still exist in U.S. industry. Putting current knowledge to use and continuing research can make a difference. American industry can increase our nation's resilience in the face of current and future energy challenges. Advances in energy efficiency, fuel flexibility, and innovative technologies can enhance our energy security, economic growth, and environmental quality. Good starting points for reducing industry's energy consumption and reliance on oil and natural gas include:

- ◆ **More Efficient Operating and Maintenance Practices.**
Improved and more energy-efficient operating practices can be adopted rapidly at negligible cost to enhance operating efficiency in manufacturing facilities in the near- to mid-term.
- ◆ **Increased Adoption of State-of-the-Art Technology.**
Energy efficiency can be improved in the near- and mid-term by increasing industry's adoption of advanced technologies currently available. Waste heat recovery, combined heat and power (CHP), and advanced boiler technologies offer huge opportunities to save energy.
- ◆ **Fuel and Feedstock Flexibility.**
Manufacturers need the flexibility to adapt to dynamic energy prices and supply issues. Much of industry's natural gas is used for boilers and process heaters, which present primary fuel switching opportunities.
- ◆ **Development of Next-Generation Technology.**
Progress toward long-term national goals for energy and the environment rely on continuous technology innovation. The technologies required to address today's challenges can require a decade or more to progress from basic science to commercialization.

National energy security will require widespread industry adoption of innovative technologies and practices that reduce energy demand. ITP leads Federal efforts to expedite novel technology research and accelerate market introduction of dramatically more efficient industrial technologies and practices. Over the next few years, ITP will build on accumulated knowledge and strategic partnerships to take full advantage of new opportunities to accelerate and broaden impacts on industrial energy use. New challenges call for innovative solutions. The development of energy-efficient technologies ready to enter the market in the near-term must be accelerated, while conducting groundbreaking research on revolutionary technologies for the future. ITP's applied R&D focus effectively turns knowledge and concepts initiated by others into real-world energy solutions. In addition, novel strategies to expand our partner base will boost program impacts by expediting technology commercialization and adoption of efficient energy management practices. ITP is currently evaluating a number of opportunities to help industry respond to energy challenges today and tomorrow. ITP is exploring seven new strategies to help industry stay competitive today while preparing for future challenges:

- ◆ Investigate cross-cutting R&D to save energy in the top energy-consuming processes used across industry. By focusing on a small number of widely used technology areas, ITP could achieve large energy benefits throughout the manufacturing supply chain.
- ◆ Exploit fuel and feedstock flexibility to give manufacturers options for responding to energy price and supply pressures. ITP will seek to develop alternative fuel and feedstock technologies to replace oil and natural gas in the long term while supporting near-term deployment activities to reduce the impacts of fuel price hikes. Increasing the range of fuel options available to industry will foster energy independence and economic resilience.
- ◆ Invest in "next-generation" technologies adaptable to processes throughout industry that could dramatically change the way products are manufactured. Mass-scale nano manufacturing, process-integrated predictive tools, and wireless real-time sensor systems are just a few of the technologies that could bring new, cost-competitive options to American industry.
- ◆ Strengthen planning and analysis to identify opportunities with the greatest potential for energy savings and develop a robust market transformation strategy. Thorough analysis of industry market barriers and challenges will allow more effective investment decisions with a higher impact.

Summary of ITP Program Impacts

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- ◆ Institute rigorous stage-gate project and portfolio management procedures to assure sound project management and funding decisions. ITP has developed its own program management guidelines based on the conventional Stage-Gate Management™ concept of R.G. Cooper and Associates (see Figure 4). Projects are examined at critical gates throughout the R&D cycle based on carefully defined technical and business criteria. This program management tool provides ITP managers a straightforward pathway for evaluating progress and imposes discipline in project management, raising the potential for commercial success of its R&D portfolio.
- ◆ Emphasize commercialization planning throughout the R&D life cycle. ITP will work with its R&D partners to develop robust commercialization strategies and provide other support to ensure the market success of promising new technologies.
- ◆ Encourage private investment in energy efficiency through new partnerships and strategies to reach industry. ITP will expand its alliance with equipment manufacturers who are well positioned to drive new technology to the market and publicize it to their customers. Private industry will also be challenged to increase their investment in advanced technologies, energy management and best operating practices, and the replacement of older, inefficient equipment.

In addition to these strategies, ITP partners with other program areas within EERE and performs ongoing program evaluation, including assessing past programs and the benefits that have accrued from investments.

The ITP website (<http://www.eere.energy.gov/industry>) provides a wealth of information about the program, and the EERE Information Center (1-877-337-3463, eeic@ee.doe.gov) fields questions and facilitates access to ITP resources for industrial customers.

This report also quantifies the benefits of projects in the EERE portfolio now managed through other program offices but initiated in ITP. For example, partnerships with an emerging bio-based products industry, now managed through the Biomass Program, bring expertise and technology from several industries – agriculture, forest products, and chemicals – to create plastics, chemicals, and composite materials from renewable resources. Also, the Inventions and Innovation (I&I) Program provided grants to individual inventors and small companies for conducting early development through to prototyping for innovative energy-saving ideas. In addition, projects are included that were funded by the discontinued NICE³ (National Industrial Competitiveness through Energy, Environment, and Economics) Program that developed and demonstrated advances in energy efficiency and clean production technologies.

Tracking Program Impacts

ITP has assessed the progress of the technologies supported by its research programs for more than 20 years. ITP managers have long recognized the importance of developing accurate data on the impacts of their programs. Such data are essential for assessing ITP's past performance and can help guide the direction of future research programs.

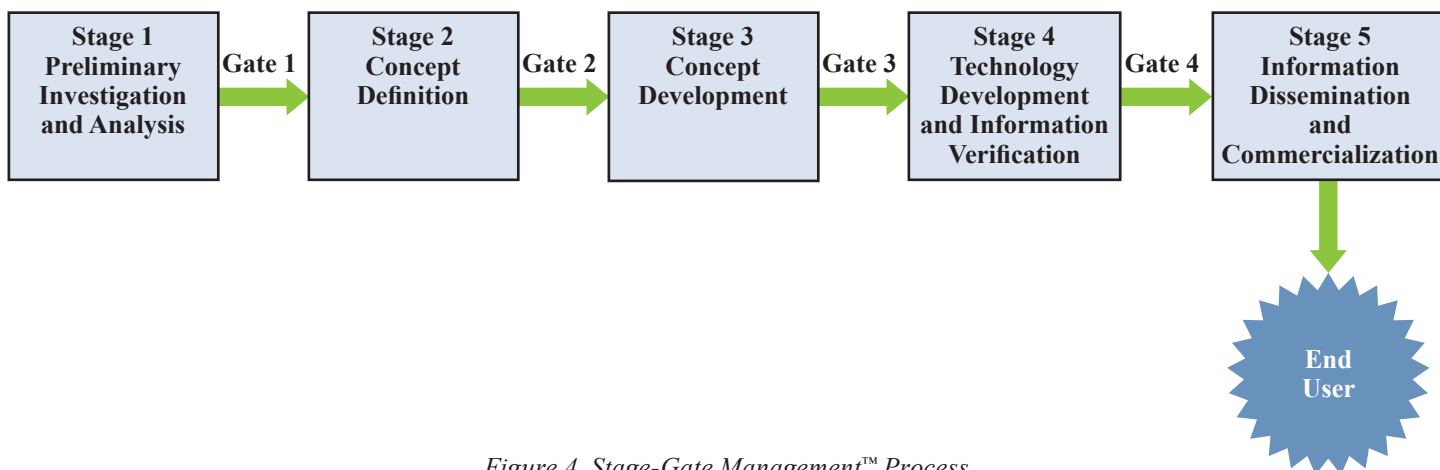


Figure 4. Stage-Gate Management™ Process

Summary of ITP Program Impacts

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Energy savings associated with specific technologies are estimated by Pacific Northwest National Laboratory (PNNL) through a rigorous process for tracking and managing data. When a technology's full-scale commercial unit is operational in a commercial setting that technology is considered commercially successful and is placed on the active tracking list. When a commercially successful technology unit has been in operation for about ten years, that particular unit is then considered a mature technology and typically is no longer actively tracked. The active tracking process involves collecting technical and market data on each commercially successful technology, including details on the following:

- ◆ Number of units sold, installed, and operating in the United States and abroad (including size and location)
- ◆ Units decommissioned since the previous year
- ◆ Energy saved
- ◆ Environmental benefits
- ◆ Improvements in quality and productivity achieved
- ◆ Any other impacts, such as employment and effects on health and safety
- ◆ Marketing issues and barriers.

Information on technologies is gathered through direct contact with either the technology's vendors or end users. These contacts provide the data needed to calculate the technology's unit energy savings, as well as the number of operating units. Therefore, unit energy savings are calculated in a unique way for each technology. Technology manufacturers or end users usually provide unit energy savings or at least enough data for a typical unit energy savings to be calculated. The total number of operating units is equal to the number of units installed minus the number of units decommissioned or classified as mature in a given year – information usually determined from sales data or end-user input. Operating units and unit energy savings can then be used to calculate total annual energy savings for the technology.

The cumulative energy savings measure includes the accumulated energy saved for all units actively tracked. These energy savings include the earlier savings from now mature and decommissioned units.

Once cumulative energy savings have been determined, long-term impacts on the environment are calculated by estimating the associated reduction of air pollutants. This calculation is based on the type of fuel saved and the pollutants typically associated with combustion of that fuel and uses assumed average emission factors.

Several factors make the tracking task challenging. Personnel turnover at developing organizations and user companies makes it difficult to identify applications. Small companies that develop a successful technology may be bought by larger firms or may assign the technology rights to a third party. As time goes on, the technologies may be incorporated into new products, applied in new industries, or even replaced by newer technologies that are derivative of the developed technology.

Program benefits documented by PNNL are conservative estimates based on technology users' and developers' testimonies. These estimates do not include either derivative effects, resulting from other new technologies that spin off of ITP technologies or the secondary benefits of the energy and cost savings accrued in the basic manufacturing industries downstream of the new technologies. Therefore, actual benefits are likely to be much higher than the numbers reported here. Nonetheless, the benefits-tracking process provides a wealth of information on the program's successes. The process of tracking these benefits is shown in Figure 5.

Over the past 28 years, ITP has supported more than 600 separate R&D projects that have produced over 190 technologies in commercial use. In 2005, there were 101 technologies that were in commercial use and yielding benefits. Appendix 1 presents fact sheets on these 101 technologies. The fact sheets include applications data, both technical and commercial, that may enable industry organizations to identify significant opportunities for adapting technologies to their particular practices. Table 1, on pages 8 and 9, provides information on the 101 currently tracked technologies. This table shows energy savings in 2005, as well as cumulative energy savings and pollution reductions. Note that for some technologies, energy savings values are unavailable, very small, or too difficult to quantify. The 101 commercial technologies saved 99 trillion Btu in 2005 and have cumulatively saved 1090 trillion Btu.

Technologies that are likely to be commercialized within two or three years are identified in Appendix 2. Some of these 142 emerging technologies have already yielded scientific information that has improved current industrial processes.

Summary of ITP Program Impacts

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After a commercial technology has contributed to energy and cost savings for about ten years, the technology is considered historical and presumed to be supplanted by newer, more effective technologies. Appendix 3 describes the 90 historical technologies that have been used in commercial applications in the past. The technologies in this category are no longer tracked. While some may still be in use, new applications of the technologies are unlikely. During the time they were tracked, these technologies yielded benefits that are counted in the cumulative tallies shown in Table 1. The 90 historical technologies cumulatively saved 2.29 quad.

The method of calculating the results for the Industrial Assessment Centers and the resulting benefits are described in Appendix 4. As Table 1 shows, the centers saved 152 trillion Btu in 2005 and cumulatively saved 1280 trillion Btu since its inception in 1977. The method of calculating the results for the BestPractices strategy and the resulting benefits are described in Appendix 5. As also shown in Table 1, BestPractices saved 151 trillion Btu in 2005 and has cumulatively saved 473 trillion Btu since its inception in 1998.

The determination of the net economic benefits of ITP programs is discussed in Appendix 6. Using the energy savings from the technologies as well as the Industrial Assessment Centers and BestPractices, the cost savings are determined annually for the fuels saved. The annual energy savings by fuel type is multiplied by the fuel's price, with prices adjusted to reflect the fuel's current costs. The sum of all energy saved times the average energy price yields an estimate of the annual savings in that particular year. To arrive at the net economic benefits, the cumulative energy savings are reduced by the appropriation allocated by the government for ITP programs and by the cost of the industry of adopting the new technologies. Details of this methodology are provided in Appendix 6. Cumulatively, since 1976 ITP technologies and programs have saved 5.13 quad and \$29.3 billion. In addition the ITP programs have cumulatively reduced emissions of carbon by 103 million tons, of nitrogen oxides by 810 thousand tons, and of sulfur dioxides by 1.62 million tons, as Table 1 shows.

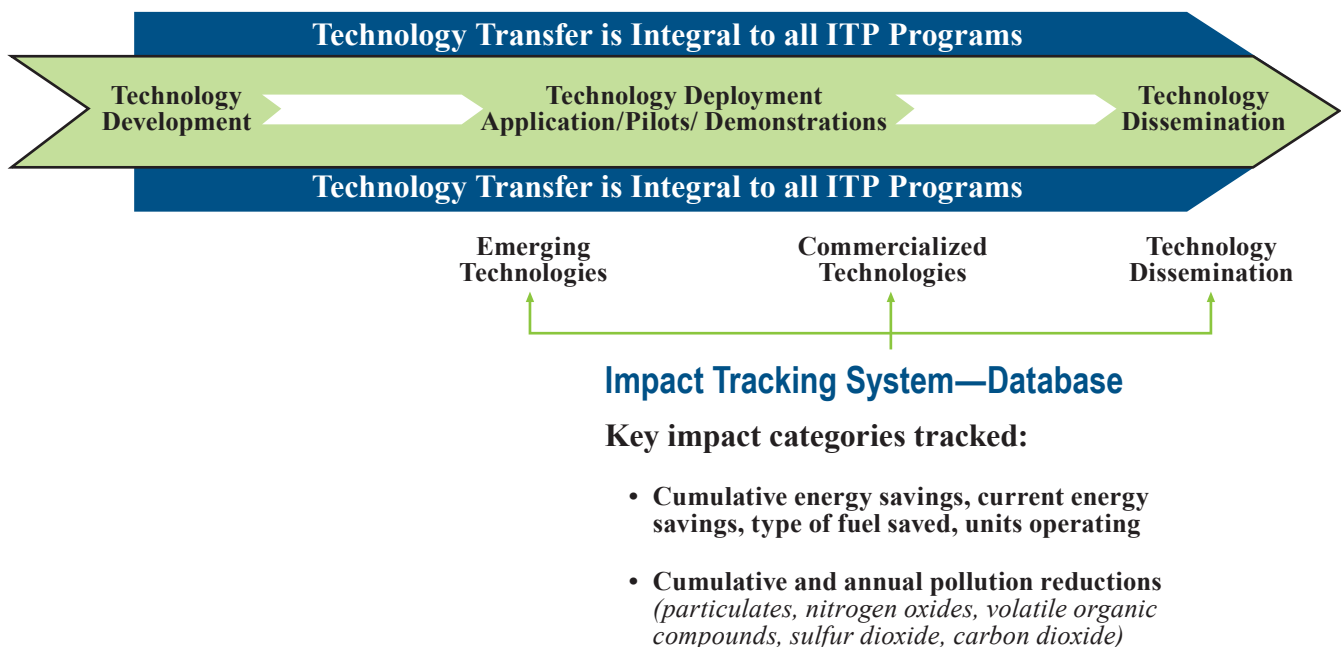


Figure 5. Technology Tracking Process

Table 1. Technology Program Impacts

IMPACTS

Technologies Commercially Available	Cumulative Energy Savings (10 ¹² Btu)	2005 Energy Savings (10 ¹² Btu)	Cumulative Pollution Reductions (Thousand Tons)				
			Particulates	VOCs	SO _x	NO _x	Carbon
ALUMINUM							
Aluminum Reclaimer for Foundry Applications	0.001	0.000	-	0.000	-	0.000	0.019
Aluminum Scrap Decoater	1.55	0.378	-	0.005	-	0.182	24.6
Aluminum Scrap Sorting	0.104	0.338	0.000	0.000	0.022	0.017	2.04
Detection and Removal of Molten Salts from Molten Aluminum Alloys	-	-	-	-	-	-	-
Isothermal Melting	0.006	0.006	0.000	0.000	0.001	0.001	0.120
Oxygen-Enhanced Combustion for Recycled Aluminum	0.025	-	-	0.000	-	0.003	0.400
Recycling of Aluminum Dross/Saltcake Waste	11.5	2.04	0.029	0.040	1.37	1.63	207
CHEMICALS							
Aqueous Cleaner and CleanRinse™ Recycling System	0.134	0.015	-	0.000	-	0.016	2.12
DryWash®	0.041	0.010	0.000	0.000	0.006	0.006	0.764
Hollow-Fiber Membrane Compressed Air Drying System	0.00	0.000	0.000	0.000	0.000	0.000	0.009
Low-Cost, Robust Ceramic Membranes for Gas Separation	0.004	0.004	-	0.000	-	0.000	0.063
Micell Dry-Cleaning Technology	0.024	0.003	0.000	0.000	0.004	0.004	0.441
Mixed Solvent Electrolyte Model	-	-	-	-	-	-	-
No-VOC Coating Products	0.005	0.001	-	0.000	-	0.001	0.082
Powder Paint Coating System	5.69	0.595	0.001	9.95	0.037	0.673	91.0
Pressure Swing Adsorption for Product Recovery	0.193	0.089	-	0.001	-	0.023	3.06
Process Heater Ultra-Low Excess Air Control	0.782	0.338	0.001	0.003	0.046	0.096	13.0
Supercritical Purification of Compounds for Combinatorial Chemical Analysis	1.79	0.578	0.008	0.006	0.386	0.288	35.1
Total Cost Assessment Tool	-	-	-	-	-	-	-
TruePeak Process Laser Analyzer	-	-	-	-	-	-	-
Use of Recovered Plastics in Durable Goods Manufacturing	0.402	0.022	0.001	0.002	0.066	0.053	7.12
FOREST PRODUCTS							
Continuous Digester Control Technology	9.00	1.00	-	0.032	-	1.05	143
Detection and Control of Deposition on Pendant Tubes in Kraft Chemical Recovery Boilers	1.42	0.759	0.011	0.006	0.824	0.219	30.9
Improved Composite Tubes for Kraft Recovery Boilers	4.57	0.727	0.017	0.018	1.33	0.620	85.9
METHANE de-NO _x ® Reburn Process	1.38	0.218	0.004	0.004	0.199	0.213	25.8
Optimizing Tissue Paper Manufacturing	-	-	-	-	-	-	-
Pressurized Ozone/Ultrafiltration Membrane System	0.630	0.315	-	0.002	-	0.074	10.0
Thermodyne™ Evaporator – A Molded Pulp Products Dryer	0.228	0.046	-	0.001	-	0.027	3.62
XTREME Cleaner™ – Removal of Light and Sticky Contaminants	1.38	0.183	0.006	0.005	0.297	0.222	27.1
GLASS							
Advanced Temperature Measurement System	-	-	-	-	-	-	-
High Luminosity, Low-NO _x Burner	-	-	-	-	-	-	-
METAL CASTING							
Ceramic Composite Die for Metal Casting	-	-	-	-	-	-	-
CFD Modeling for Lost Foam White Side	-	-	-	-	-	-	-
Die Casting Copper Motor Rotors	0.022	0.012	0.000	0.000	0.005	0.004	0.429
Improved Magnesium Molding Process (Thixomolding)	0.001	0.001	-	0.000	-	0.000	0.016
Improvement of the Lost Foam Casting Process	0.978	0.489	0.002	0.003	0.091	0.133	17.1
Low Permeability Components for Aluminum Melting and Casting	-	-	-	-	-	-	-
Simple Visualization Tools for Part and Die Design	-	-	-	-	-	-	-
Titanium Matrix Composite Tooling Material for Aluminum Die Castings	0.008	0.008	-	0.003	-	0.001	0.134
MINING							
Fibrous Monoliths as Wear-Resistant Components	-	-	-	-	-	-	-
Horizon Sensor™	0.189	0.020	0.001	0.001	0.041	0.030	3.72
Imaging Ahead of Mining	3.98	2.34	0.018	0.014	0.859	0.640	78.2
Lower-pH Copper Flotation Reagent System	0.973	0.973	0.004	0.003	0.210	0.157	19.1
Smart Screening Systems for Mining	0.003	0.001	0.000	0.000	0.001	0.001	0.062
Wireless Telemetry for Mine Monitoring and Emergency Communications	-	-	-	-	-	-	-
STEEL							
Automatic High-Temperature Steel Inspection and Advice System	2.04	1.53	-	0.007	-	0.239	32.4
Dilute Oxygen Combustion System	7.22	7.17	-	0.025	-	0.845	115
Electrochemical Dezincing of Steel Scrap	0.051	0.021	0.001	0.000	0.032	0.014	1.42
H-Series Cast Austenitic Stainless Steels	0.000	0.000	-	0.000	-	0.000	0.00
Laser Contouring System for Refractory Lining Measurements	-	-	-	-	-	-	-
Microstructure Engineering for Hot Strip Mills	-	-	-	-	-	-	-
Shorter Spheroidizing Annealing Time for Tube/Pipe Manufacturing	0.100	0.017	-	0.000	-	0.012	1.59
Transfer Rolls for Steel Production	0.068	0.035	-	0.000	-	0.008	1.08
Vanadium Carbide Coating Process	0.000	0.000	-	0.000	-	0.000	0.000

Table 1. Technology Program Impacts

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Technologies Commercially Available	Cumulative Energy Savings (10 ¹² Btu)	2005 Energy Savings (10 ¹² Btu)	Cumulative Pollution Reductions (Thousand Tons)				
			Particulates	VOCs	SO _x	NO _x	Carbon
CROSSCUTTING							
Adjustable-Speed Drives for 500 to 4000 Horsepower Industrial Applications	0.113	0.065	0.001	0.000	0.024	0.018	2.21
Callidus Ultra-Blue (CUB) Burner	18.1	6.70	-	0.063	-	2.11	-
Catalytic Combustion	-	-	-	-	-	-	-
Chemical Vapor Deposition Optimization of Ceramic Matrix Composites	0.000	0.000	0.000	0.000	0.000	0.000	0.004
Composite-Reinforced Aluminum Conductor	-	-	-	-	-	-	-
Cromer Cycle Air Conditioner	0.000	0.000	0.000	0.000	0.000	0.000	0.007
Dual Pressure Euler Turbine for Industrial and Building Applications	0.018	0.013	-	0.000	-	0.002	0.286
Energy-Conserving Tool for Combustion-Dependent Industries	0.006	0.002	0.000	0.000	0.001	0.001	0.110
Evaporator Fan Controller for Medium-Temperature Walk-In Refrigerators	0.070	0.016	0.000	0.000	0.015	0.011	1.37
Fiber-Optic Sensor for Industrial Process Measurement and Control	-	-	-	-	-	-	-
Fiber Sizing Sensor and Controller	-	-	-	-	-	-	-
Foamed Recyclables	-	-	-	-	-	-	-
Forced Internal Recirculation Burner	-	-	-	-	-	-	-
Freight Wing™ Aerodynamic Fairings	0.002	0.002	0.000	0.000	0.001	0.000	0.044
Ice Bear® Storage Module	0.000	0.000	0.000	0.000	0.000	0.000	0.003
Improved Diesel Engines	1,002	69.4	7.51	4.51	582	155	21,800
Infrared Polymer Boot Heater	0.000	0.000	0.000	0.000	0.000	0.000	0.004
In-Situ, Real Time Measurement of Melt Constituents	0.481	0.222	-	0.002	-	0.056	7.64
Materials and Process Design for High Temperature Carburizing	-	-	-	-	-	-	-
Method of Constructing Insulated Foam Homes	0.038	0.006	0.000	0.000	0.002	0.005	0.655
Mobile Zone Optimized Control System for Ultra-Efficient Surface-Coating Operations	0.031	0.007	0.000	0.000	0.003	0.004	0.540
Nickel Aluminide Trays and Fixtures Used in Carburizing Heat Treating Furnaces	0.034	-	-	0.000	-	0.004	0.543
PowerGuard® Photovoltaic Roofing System	0.385	0.141	0.002	0.001	0.083	0.062	7.57
Predicting Corrosion of Advanced Materials and Fabricated Components	-	-	-	-	-	-	-
Process Particle Counter	-	-	-	-	-	-	-
Radiation-Stabilized Burner	0.135	0.042	-	0.000	-	0.016	2.15
RR-1 Insulating Screw Cap	0.011	0.002	0.000	0.000	0.001	0.001	0.187
Simple Control for Single-Phase AC Induction Motors in HVAC Systems	-	-	-	-	-	-	-
Solid-State Sensors for Monitoring Hydrogen	-	-	-	-	-	-	-
SpyroCor™ Radiant Tube Heater Inserts	0.834	0.534	-	0.003	-	0.098	13.2
SuperDrive – A Hydrostatic Continuously Variable Transmission (CVT)	0.003	0.002	0.000	0.000	0.002	0.000	0.061
Three-Phase Rotary Separator Turbine	0.024	0.009	0.000	0.000	0.005	0.004	0.470
Ultra-Low NOX Premixed Industrial Burner	-	-	-	-	-	-	-
Uniform Droplet Process for Production of Alloy Spheres	-	-	-	-	-	-	-
Uniformly Drying Materials Using Microwave Energy	0.114	0.024	0.000	0.000	0.004	0.014	1.89
Waste Fluid Heat Recovery System	0.115	0.027	0.000	0.000	0.012	0.016	2.04
Waste-Minimizing Plating Barrel	3.54	0.526	0.011	0.012	0.528	0.522	65.4
OTHER INDUSTRIES							
Absorption Heat Pump/Refrigeration Unit	2.54	0.306	0.019	0.011	1.48	0.392	55.3
Advanced Membrane Devices for Natural Gas Cleaning	-	-	-	-	-	-	-
Brick Kiln Design Using Low Thermal Mass Technology	0.280	0.032	-	0.001	-	0.033	4.45
Energy-Efficient Food Blanching	0.008	0.001	0.000	0.000	0.002	0.001	0.151
Ink Jet Printer Solvent Recovery	0.397	0.052	0.167	0.130	8.01	5.96	728
Irrigation Valve Solenoid Energy Saver	0.015	0.001	0.000	0.000	0.003	0.002	0.291
Long Wavelength Catalytic Infrared Drying System	-	-	-	-	-	-	-
Stalk and Root Embedding Plow	0.123	0.021	-	-	-	-	2.60
Textile Finishing Process	0.159	0.023	0.000	0.001	0.015	0.022	2.78
Utilization of Corn-Based Polymers	0.051	0.018	0.000	0.000	0.030	0.008	1.12
Commercial Technologies Total	1,090	99	7.82	14.9	598	172	23,700
IAC Total	1,280	152	6.16	4.65	405	197	25,400
BestPractices Total	473	151	2.30	1.74	153	72.5	9,410
Historical Technologies Total	2,290	N/A	8.94	6.87	463	369	44,400
GRAND TOTAL	5,130	402	25.2	28.1	1,620	810	103,000

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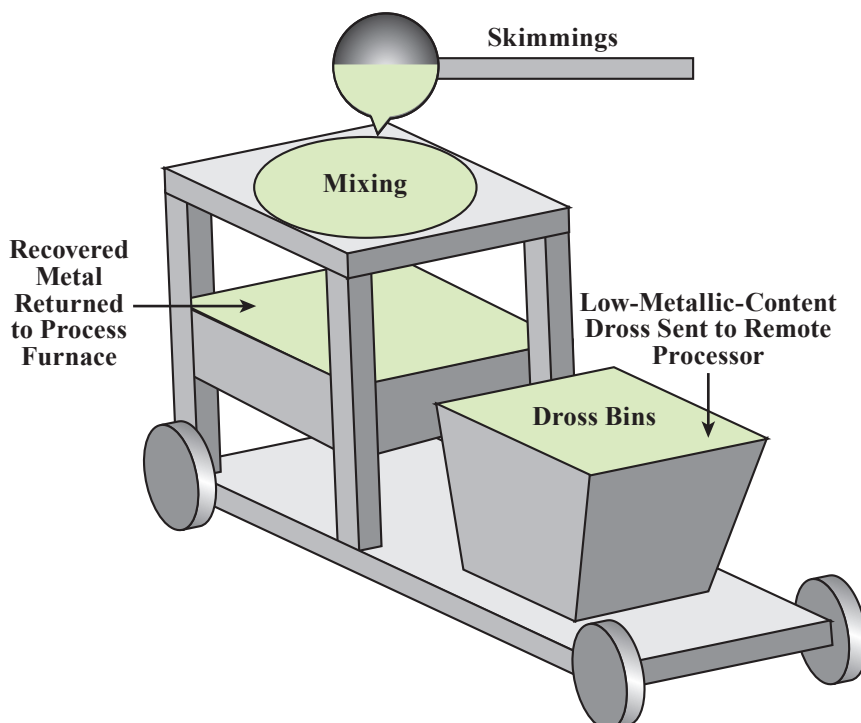
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Affordable Metallic Recovery System Saves Energy and Reduces Landfill Waste Streams

Aluminum foundries and melters typically generate rich metallic skimmings and drosses during industrial processes. While equipment is commercially available to recover a portion of the contained metallics from skimmings and drosses, the capital investment for the previous equipment has precluded its application with smaller melting units such as crucible or reverb melters. With assistance from DOE's Industrial Technologies Program, Q.C. Designs, Inc., developed an improved reclaiming process specifically to recover the metallics from small quantities of dross and skim. Recent advances in the technology permit an increase in the quantity of drosses being processed and allow the recovered metal to be returned to the generating furnace in molten form, in some cases. The process has recovered as much as 80% of the contained metal at the point of generation.

In operation, the process may be run either manually, with power-assisted stirring, or with a fully automatic programmed cycle. All operations are environmentally friendly reducing the amount of smoke and fumes normally associated with dross processing and furnace cleaning. Foundries reduce their melting losses by the in-plant recovery of drosses and their contained metals, which can then be reused directly without realloying.



Portable Aluminum Reclaimer

Overview

- ◆ Available from Q.C. Designs, Inc.
- ◆ Commercialized in 2001
- ◆ Seven units installed in the United States

Applications

In-plant aluminum foundry dross and skimming recovery

Capabilities

- ◆ Processes hot dross in quantities from 10 to 500 lb.
- ◆ Allows automatic processing or manual operation.
- ◆ Features sizes for applications in different foundry installations.

Benefits

Energy Savings

The recovered metal from the new system may be reintroduced into the process as hot ingot or in molten form, saving the energy required to remelt an ingot recovered in a traditional process. Less energy is required to transport and move the dross to an outside processor because recovery is done on-site, and the material does not have to be remelted for secondary recovery of the metallics.

Productivity

The improved ability to decrease melting losses contributes directly to profits. Typical compensation for dross materials from outside processors is 10% to 20% of true value because the generating foundry has to bear the costs of transportation, remelt and processing, landfill of the waste, and return of the recovered material. In-plant processing eliminates a large portion of these costs.

Waste Reduction

The technology minimizes the volume of material requiring landfilling and recovers a higher percentage (up to 80%) of metallics than current methods.

IMPACTS

Indirect-Fired Kiln Turns Aluminum Scrap into Valuable Feedstock

Through a grant from DOE's NICE³ Program, Energy Research Company has further developed and demonstrated an innovative aluminum-scrap melting process. This process uses an indirect-fired controlled-atmosphere kiln to remove machining lubricants, oils, and other materials from the scrap aluminum. Once removed, these materials are combusted in an afterburner, destroying all volatile organic compounds (VOCs) and releasing heat used to drive the process.

This innovation de-coats scrap aluminum parts in a controlled atmosphere with limited oxygen to avoid scrap-oil combustion and scrap oxidation. The resulting gases are then combusted in an incinerator, apart from the scrap, to destroy the volatile organic compounds. The heat released from this atmospheric combustion drives the de-coating process. There are currently 3 units operating in the United States and an additional 15 worldwide.

Benefits

Energy Savings

Energy savings of 55% over conventional kiln decoating.

Environmental

Reduces solid-waste disposal needs because of reduced dross and oxidized product.

Productivity

Improved product quality and reduced material loss due to better process control.

Overview

- ◆ Developed by Energy Research Company
- ◆ Commercialized in 1997
- ◆ 3 units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.55	0.378

Emissions Reductions

(Thousand Tons, 2005)

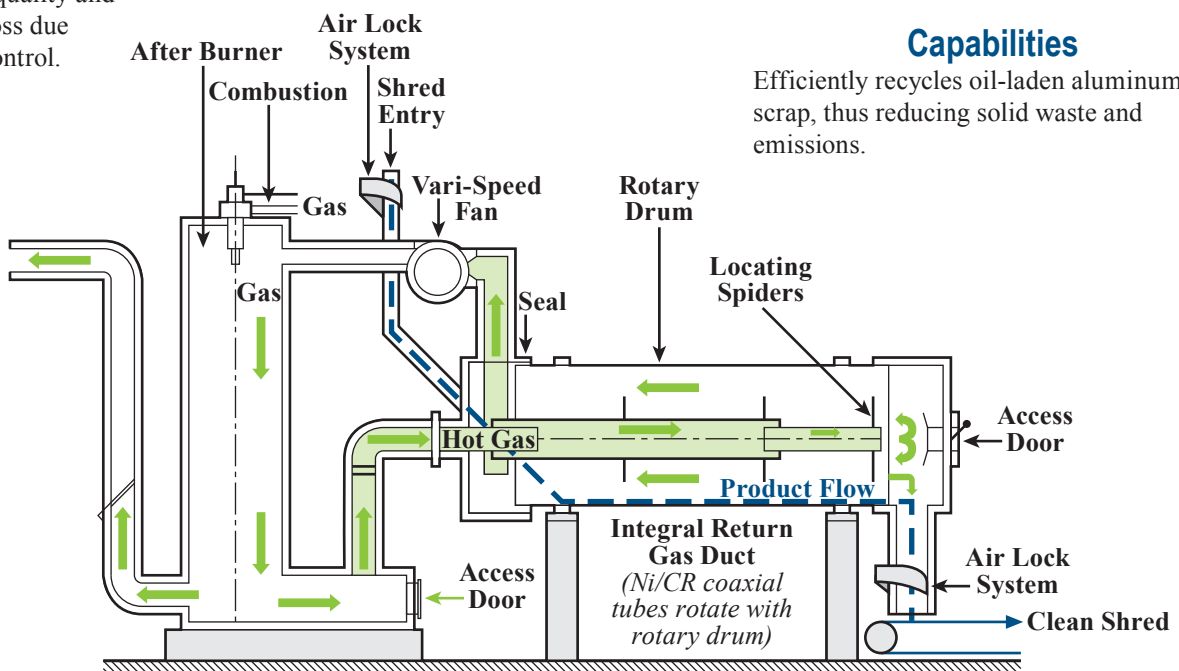
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.044	6.00

Applications

- ◆ The secondary aluminum industry that processes scrap from the manufacturing process and used aluminum
- ◆ May also be used when processing other materials with organic binders or coatings, such as fiberglass recycling

Capabilities

Efficiently recycles oil-laden aluminum scrap, thus reducing solid waste and emissions.



Aluminum Scrap Decoater

Effective Scrap Sorting Provides Large Energy Benefits

Huron Valley Steel (HVS) Corporation has developed new scrap sorting technologies, and with support from ITP, they demonstrated that aluminum scrap from aluminum-intensive vehicles can be recycled. The HVS technology assesses the composition and material recovery from the sorting steps required to produce alloy-sorted aluminum from mixed-alloy scrap. A proprietary HVS technology is used for wrought-cast separation. After the wrought fraction is tint-etched, color sorting groups the wrought iron alloys. Laser induced breakdown spectroscopy is used for real-time, remote chemical analysis of each scrap particle and allows the sorting line to separate individual alloys.

This particle-sorting technology focuses on demonstrating the capability to sort nonferrous metal scrap from the reusable materials from aluminum-intensive vehicles. The process includes physical property sorting and chemical composition sorting and is capable of real-time, piece-by-piece batching of specific alloy compositions from the analyzed scrap. This process will help improve the melt composition of recycled materials and is more efficient and less energy intensive than existing chlorination, fractional solidification, and electro-refining processes.

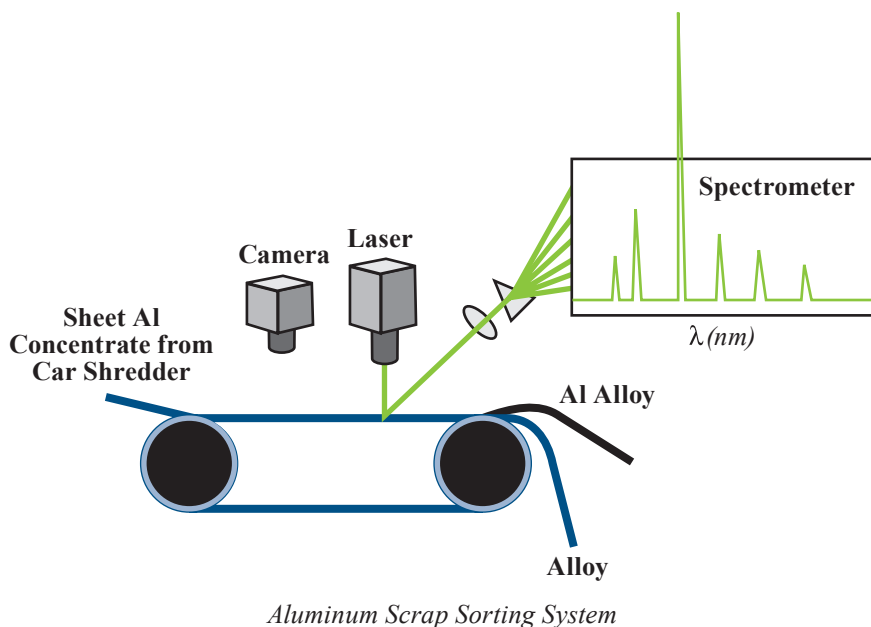
Benefits

Environmental

Using aluminum that otherwise would have been scrapped decreases the production of prime metal and thereby reduces greenhouse gas emissions.

Use of Raw Materials/Feedstocks

The process can eliminate a portion of raw aluminum production and any other alloys that the process is applied to.



Overview

- ◆ Developed by Huron Valley Steel Corporation
- ◆ 7500 tons of sorted product processed in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.04	0.338

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.002	0.073	0.054	6.63

Applications

- ◆ Sorting of mixed aluminum scrap streams
- ◆ Sorting of vehicle and other equipment scrap streams

Capabilities

- ◆ Improves sorting of mixed aluminum scrap streams.
- ◆ Allows aluminum from scrapped motor vehicles to be separated and used as high value aluminum alloys.
- ◆ Separates cast aluminum from wrought, groups aluminum into alloy families, and differentiates between wrought alloys.

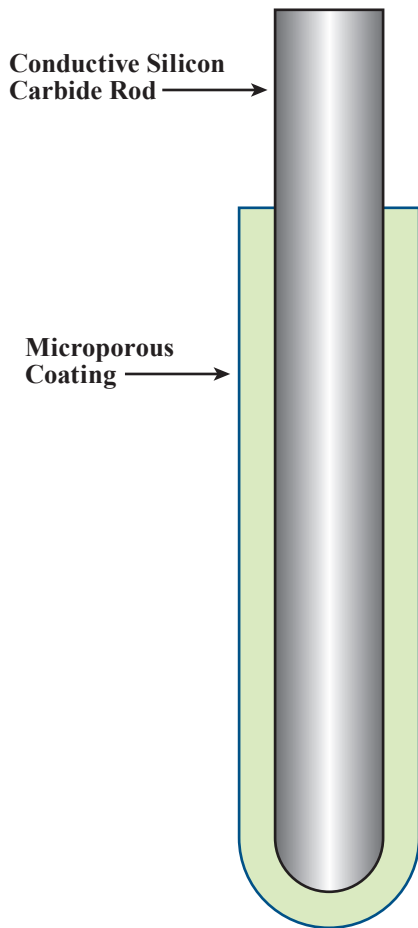
Detection and Removal of Molten Salts from Molten Aluminum Alloys

IMPACTS

New Probe and Filter Will Improve Metal Quality Through Detection and Removal of Impurities

With assistance from DOE's Inventions and Innovation Program, Selee Corporation and the Alcoa Technical Center have developed and commercialized this technology to detect and reduce chloride salts in molten aluminum. These salts have been shown to initiate defects when they agglomerate and migrate to the surface of an ingot or casting. Because they are liquid at aluminum casting temperatures, they can pass through conventional filter systems, which are designed to capture solid inclusions. Moreover, they tend to reduce the efficiency of filters by causing the release of solid inclusions.

The operation principle of the salt probe and filter is based on interfacial surface phenomena between the various liquid phases (salt and aluminum) and the solid salt system material. The probe is made up of a thin, microporous, ceramic layer that is coated onto an electrically conductive silicon carbide rod. The rod is immersed into the molten aluminum and a potential difference is applied to the probe. Salt can penetrate the coating on the probe and, due to the ionic nature of the salt, an electrical current that can be measured is formed. The filter also uses microporous ceramic to separate the salts from the liquid aluminum.



Salt Probe

Overview

- ◆ Developed by Selee Corporation and the Alcoa Technical Center
- ◆ 2 units are in operation in the United States and Canada
- ◆ Commercialized in 1999

Applications

The technology will improve metal quality by detecting and removing impurities and inclusions from molten aluminum

Capabilities

The sensor can be used as a qualitative tool to detect the presence of liquid salts above the level of 5 to 12 ppm.

Benefits

Energy Savings

Elimination of melt rejection and recast due to salt contamination, with potential annual energy savings of 0.04 trillion Btus.

Productivity and Cost

Estimated reduction in chlorine use and release of about 71,000 cubic feet per year.

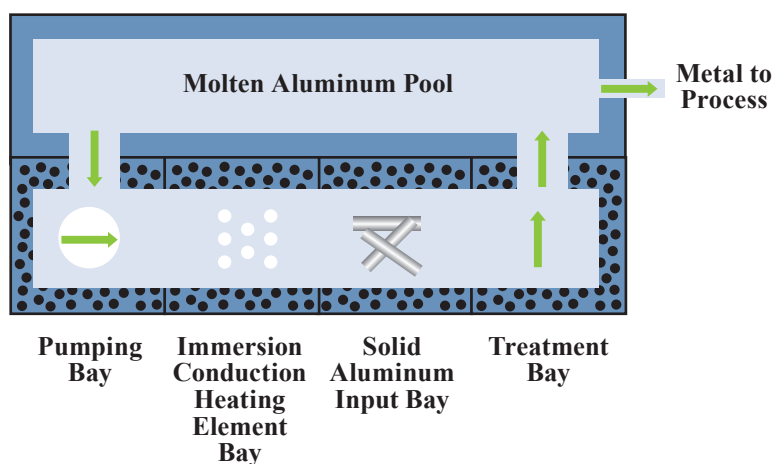
Product Quality

Improved metal quality, recovery, and reliability.

New Energy Efficient Melting Process Saves Energy and Reduces Production Losses

Aluminum melting is an energy intensive process that exhibits a 2% to 3% loss rate due to the generally open heating method for melting. A new immersion heating process, isothermal melting (ITM), has been developed by Apogee Technology, Inc., with support from ITP. The system uses immersion heaters in multiple bays. Each bay contributes to an efficiency improvement. The pumping bay provides good circulation in the isothermal systems. This circulation promotes better mixing for purifying and alloying, and more uniform temperature profiles throughout the molten pool. The heating bay is the major source of efficiency gain, where electricity is converted into heat through the immersion heaters and conducted directly to the molten metal. The heating bay raises the molten metal temperature (typically less than 90°F) just high enough to melt the solid metal being charged into the pool. The charging bay and treatment bay provide more compact areas to control and introduce solid charge or alloying and purifying elements compared to opening a hearth door and exposing the entire surface of the pool and refractory to the plant environment.

The challenge to developing the ITM system was the creation of immersion heaters that could provide the high heat flux and the chemical, thermal and mechanical robustness required in an industrial molten aluminum environment. Apogee Technologies' research program developed new materials, fabrication techniques and quality control systems to build immersion heaters with high heat flux (approximately 70,000 Btu/hr-ft²), approximately 5 to 10 times more than commercially available heaters. These new heater designs are based on highly thermally conductive, impact resistant ceramic coating on a metallic sheath and a highly conductive dielectric integral coupling medium between the sheath and the heat producing element. This allows heat transfer by conduction to be the dominant mode, rather than particle to particle radiation heat transfer that prevails in conventional processes. The composite refractory coating is resistant to corrosive attack by the molten aluminum, yet sufficiently thin enough to provide a high heat flux.



The Isothermal Melting System

Overview

- ◆ Developed by Apogee Technology, Inc.
- ◆ Currently operating in one plant in Ohio

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.006	0.006

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.001	0.001	0.120

Applications

Current application is to aluminum melting processes but can be applied to other metal melting processes

Capabilities

- ◆ Can be retrofit to existing furnaces.
- ◆ Applies to multiple molten metal heating operations.

Benefits

Cost Savings:

Reduces metal lost to oxidation to less than 1%.

Environmental Emissions Reductions:

Produces zero in-plant emissions compared to natural gas process heating.

Oxygen-Enhanced Combustion for Recycled Aluminum

IMPACTS

New Metal Melting System Results in Low NO_x Emissions, Reduced Energy Use, and Increased Productivity

With ITP support, Air Products & Chemicals, Inc., in cooperation with Argonne National Laboratory, Wabash Alloys, L.L.C., and Brigham Young University, developed and demonstrated a low-NO_x combustion burner integrated with an onsite vacuum-swing-absorption (VSA) oxygen-generation system. This new burner, operated at the Wabash Alloy recycled aluminum furnace, used controlled mixing of fuel, air, and high-purity oxygen streams to lower emissions and improve flame quality.

The VSA system uses a patented high-efficiency molecular sieve to remove nitrogen from the air. Conventional VSA plants are sized for peak demand, and the excess oxygen is vented to the air during off-peak operation. In this application, the oxygen VSA is improved to operate with a sieve-filled storage vessel that stores oxygen produced when demand is below the average oxygen requirement. The sieve-filled vessel provides 2.5 times the oxygen storage capacity of an empty tank of equal volume. The integration of the new burner with the VSA system greatly reduces NO_x emissions while reducing energy usage and increasing melting productivity.

Benefits

Cost Savings

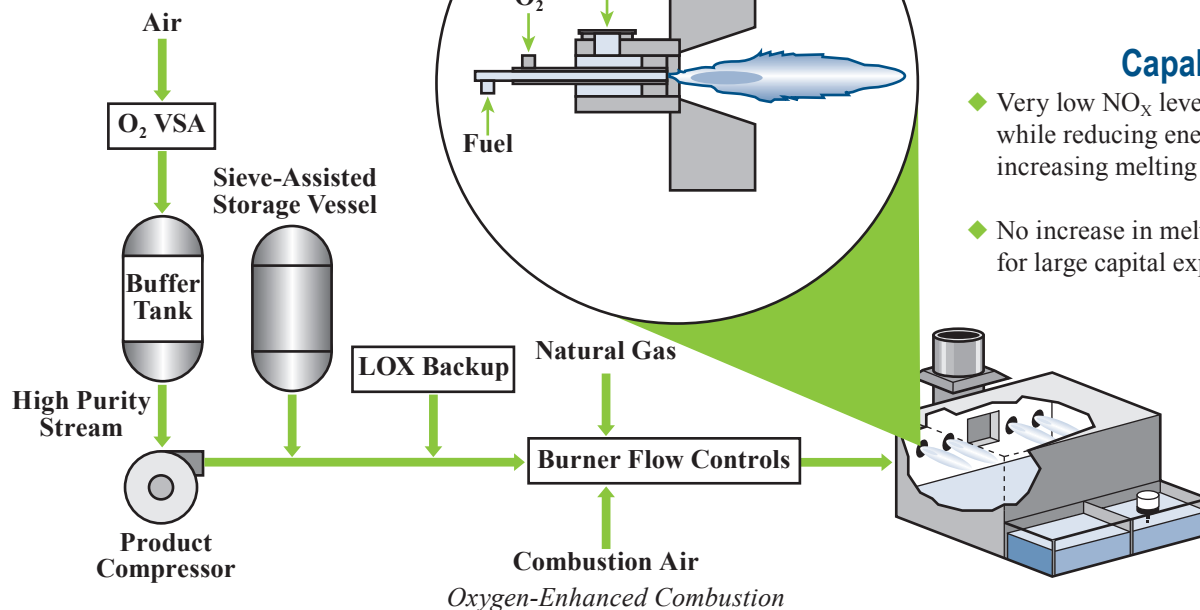
Using oxygen from storage reduces the overall oxygen consumption and costs by 33% compared to the previously installed burner.

Environmental Quality

Reduces NO_x emissions by 80%. Carbon monoxide is also significantly reduced. Both contaminants are well within stringent compliance levels.

Productivity

Increases production rate by 26%.



Overview

- ◆ Developed by Air Products & Chemicals, Inc.
- ◆ Demonstrated at Wabash Alloys in East Syracuse, NY
- ◆ Commercialized in 1999

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.025	0.0

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.0

Applications

- ◆ Can be retrofit to reverberatory furnaces commonly used to melt recycled aluminum
- ◆ Other metal melters for zinc, lead, copper, and nonferrous and ferrous metals
- ◆ Metal tolling and dross recovery operations

Capabilities

- ◆ Very low NO_x levels maintained while reducing energy use and increasing melting productivity.
- ◆ No increase in melting cost or need for large capital expenditures.

Recycling of Aluminum Dross/Saltcake Waste

IMPACTS

New Technology for Recovering Aluminum Dross/Saltcake Waste Saves Energy and Reduces Waste

The melting process used by the secondary aluminum industry when recycling aluminum creates a waste stream known as black dross/saltcake (dross). It is estimated that up to 1 million tons of dross is generated and landfilled annually in the United States. In the past, efforts to recover useful material from the dross have resulted in recovery of only a small portion of aluminum (about 3% to 10% of processed dross). The remaining 90% + of the dross, at best some 900,000 tons, is landfilled. Significant embodied energy could be saved from recovering three different components of the dross: aluminum, spent salt flux, and nonmetallic products (NMP).

With assistance from the NICE³ Program, Alumitech, Inc., now Aleris International, Inc., undertook a successful 15-month plant construction and start-up project to commercialize a process to facilitate closed-loop recycling of dross through the manufacture of industrial ceramic products from recovered nonmetallic waste.

Starting with the dross material, Aleris International separates the dross into its basic components—aluminum metal, fluxing salts, and NMP. The aluminum metal and salt fluxes can be sold back to the secondary aluminum or other industries. In 2005, aluminum metal was recovered with an embodied energy savings of about 11 million Btu per ton of dross processed with this new system. A project goal was to commercialize a new process and to make NMP usable for a variety of product applications.

Benefits

Productivity

Alumitech process not only separates the aluminum and commercial oxides for reuse but also can recycle the remaining NMP into commercially salable products completely avoiding landfilling.

Use of Raw Materials/Feedstocks

Recovers materials for use as feedstocks in other process operations, thus conserving raw materials.

Waste Reduction

Products from NMP being developed will reduce landfill to zero for secondary aluminum operations.

Overview

- ◆ Developed by Alumitech, Inc. (Now Aleris International, Inc.)
- ◆ Commercialized in 1997
- ◆ 3 units operating in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
11.5	2.04

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.005	0.242	0.287	36.5

Applications

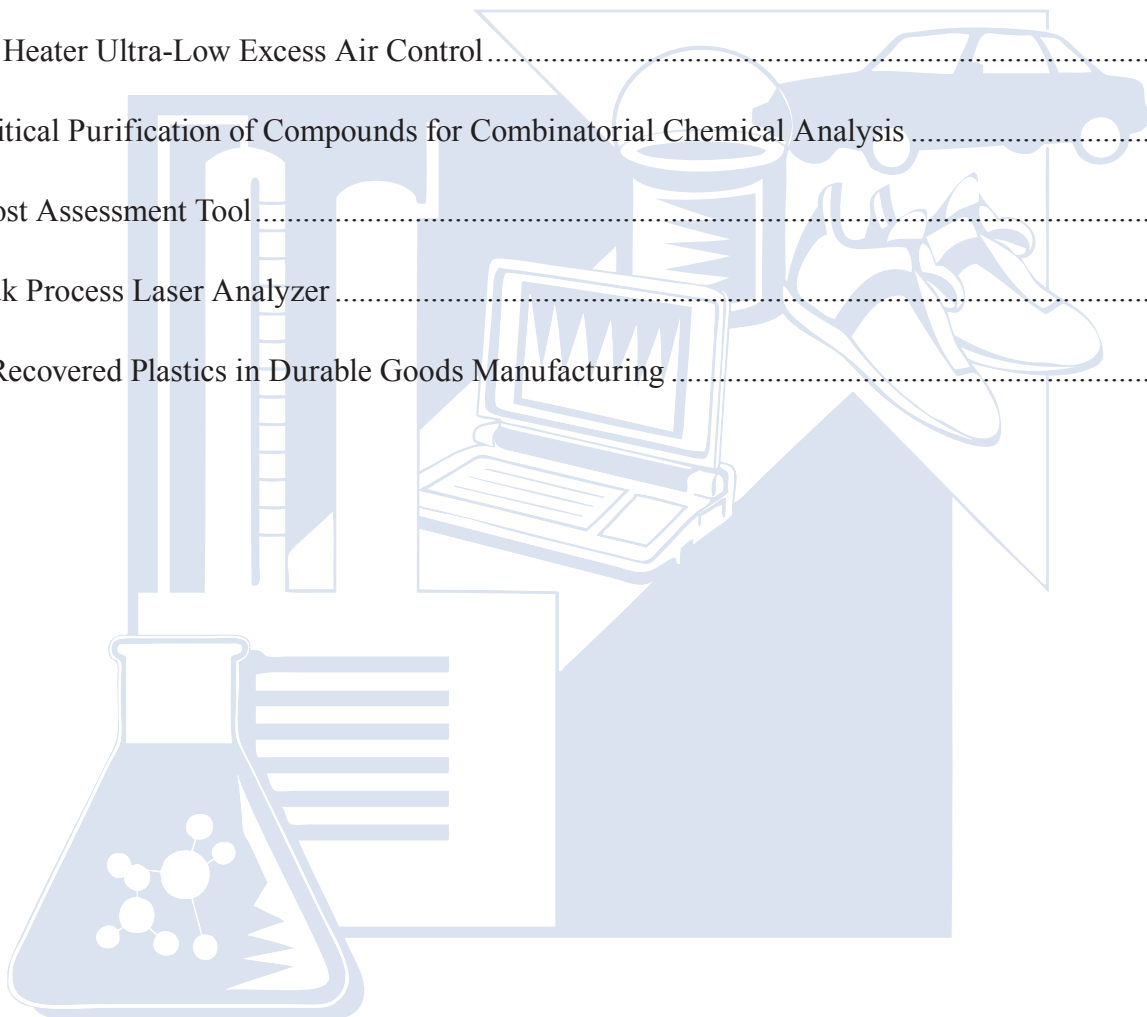
- ◆ Secondary aluminum process waste steams
- ◆ Steel-making slag products and ceramic fiber feedstock developed from waste material

Capabilities

Provides complete closed-loop recycling of secondary aluminum black dross/saltcake waste streams.

IMPACTS

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Aqueous Cleaner and CleanRinse™ Recycling System

IMPACTS

New Recycling System Improves Aqueous Cleaning System

Most traditional systems for pollution control focus on the end-of-pipe treatment and disposal of waste. The U.S. Environmental Protection Agency (EPA) has mandated a new emphasis on improved resource usage that focuses on source reduction. Many methods, including filtration, reverse osmosis, de-ionization, and distillation, could help meet this goal but often have high energy needs or produce additional waste streams.

With assistance from DOE's Inventions and Innovation Program, EcoShield Environmental Systems developed a simple mini-reactor system that chemically converts organic oily contaminants into surfactants and emulsifiers. This conversion increases the cleaning solution's ability to remove oil, grease, and dirt. The system regenerates the cleaning solution on site, creating less waste water and often decreasing the cleaning time required. The system has low energy needs and can be coupled with an energy-efficient bioreactor that will convert excess soap into biomass. The current applications of the technology have resulted in tremendous waste prevention and large cost savings.

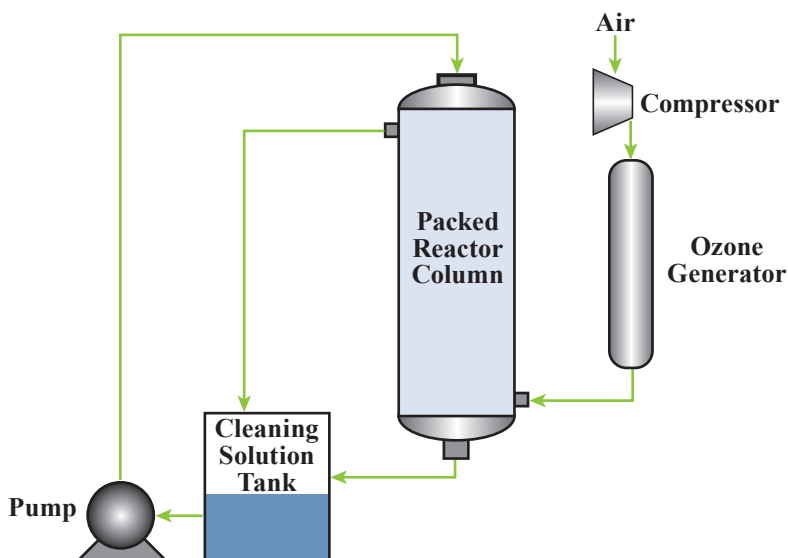
Benefits

Productivity

The system extends the life of the cleaning solution and rinse water, which reduces the costs associated with waste water disposal and chemical consumption. The system also has low operational costs (less than 5 cents per hour).

Waste Reduction

The technology reduces the chemicals typically consumed in the traditional cleaning process and extends the life of the cleaning solution. The system can be integrated with EPA's permanent pollution prevention plans.



EcoShield Aqueous Cleaner

Overview

- ◆ Developed by EcoShield Environmental Systems under an exclusive license from EcoShield Environmental Technologies Corporation
- ◆ Commercialized in 1997

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.134	0.015

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.002	0.238

Applications

Neutral to basic pH applications where aqueous waste streams containing organic contaminants are to be cleaned

Capabilities

- ◆ Converts excess soap to biomass using an optional companion bioreactor.
- ◆ Offers custom sizes and configurations for wash racks, cabinet washers, and automated lines.
- ◆ Is applicable for high-temperature installations.

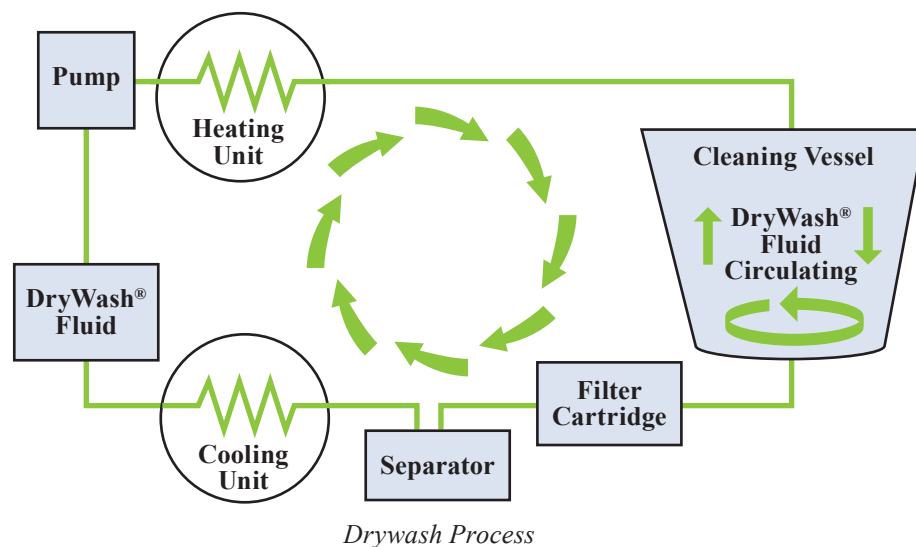
IMPACTS

A New Generation of Chemicals for Cleaning Applications

With ITP support, Raytheon Technologies, Inc. (formerly Hughes Environmental) and Los Alamos National Laboratory used defense-related expertise in supercritical fluids to develop DryWash, an entirely new CO₂-based system for dry cleaning fabrics. Current dry-cleaning practice uses perchlorethylene as the cleaning solvent to loosen and remove dirt from the fibers of clothing material. However, the dry-cleaning industry must eliminate its use of perchlorethylene because both the atmospheric emissions and the chemical itself have significant environmental impacts. Based on the desirable characteristics of CO₂ – it is inert, stable, non-corrosive, and non-flammable – the DryWash system introduces a new generation of technology to the dry cleaning industry.

DryWash uses liquid CO₂-based fluid (not generic CO₂) as the base solvent, but adds a new surfactant (dirt removing detergent additive), and then applies this new combination of cleaning liquids with a unique spraying device and agitation mechanism – all in a self-contained system. The DryWash process soaks the clothes in a liquid CO₂ filled tub at a pressure of 700 to 750 pounds per square inch and 54°F to 58°F. The load is agitated and at the end of the cycle, the dirt and oily residue drop out and CO₂ pressure is lowered, allowing for the efficient recycling of CO₂.

Global Technologies LLC began introducing the DryWash system in Europe in the fall of 1998 and started marketing in the United States in mid-1999. Commercial systems are now being sold by Alliance Laundry Systems LLC and SailStar USA.



Overview

- ◆ Developed by Raytheon Technologies, Inc. and commercialized by Global Technologies, LLC
- ◆ Commercialized in Europe in 1998 and the United States in 2000 with over 69 machines in operation in the United States

Energy Savings (Trillion Btu)

Cumulative through 2005	2005
0.041	0.010

Emissions Reductions (Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.002	0.002	0.190

Applications

Replaces conventional dry-cleaning systems that use perchlorethylene or petroleum-based solvents

Capabilities

- ◆ Uses an environmentally benign solvent (CO₂ based fluid) rather than hazardous solvents.
- ◆ Cleans equal to or better than conventional systems.
- ◆ Reduces cycle time by eliminating the energy-intensive drying step in the process.

Benefits

Profitability

Reduces cycle time by 50% and lowers operating costs.

Quality Improvement

Decreases dirt redeposition and dye transfer and has better performance in oily, particulate soil and stain removal. Reduces shrinkage and has better color retention.

Hollow-Fiber Membrane Compressed Air Drying System

IMPACTS

New Membrane Allows Drying of Compressed Air at Lower Energy and Higher Productivity

With the support of a NICE³ grant, a new hollow-fiber membrane for dehydrating gases has been developed by Air Products and Chemicals, Inc. The membrane has 5 times higher water vapor permeation coefficient and 25 times higher water vapor/air selectivity compared with first-generation membrane dryers. The membrane produces higher flow capacity and lower purge loss in compressed air drying, which enables high productivity and low energy consumption in drying compressed air. The membrane module contains a bundle of hollow-fiber membranes in a plastic shell with aluminum end caps. The feed air flows through the fiber bores; selective permeation of water vapor produces dry nonpermeate gas, a fraction of which is metered via a flow restrictor such as an orifice to provide a low-pressure purge gas that carries away the permeated moisture.

Compressed air is widely used as a utility in many industries and most often must be dried to avoid condensation or freezing in lines and to meet the needs of many processes. Whereas refrigerant dryers are used at pressure dew points of 35°F and desiccant dryers are used at dew points of -40°F, membranes can be used to cover the range between 35°F and -40°F. The membrane can achieve the necessary degree of drying while requiring less purge air and therefore achieves lower energy consumption than a heatless desiccant dryer. Modular membrane dryer systems with large flow capacity can be used to produce pressure dew points between 35°F and -40°F consuming less energy than that of desiccants. Unlike desiccant systems, membrane operation is continuous, requiring only one control valve versus at least 5 valves for flow diversion/de-pressurization in the desiccant system.

Benefits

Cost Savings

Provides purge control for additional power and cost savings.

Environmental

Reduces solid waste production.

Operation and Maintenance

Operates without valves or moving parts and is maintenance-free. Requires no electrical wiring or external power and operates silently.

Overview

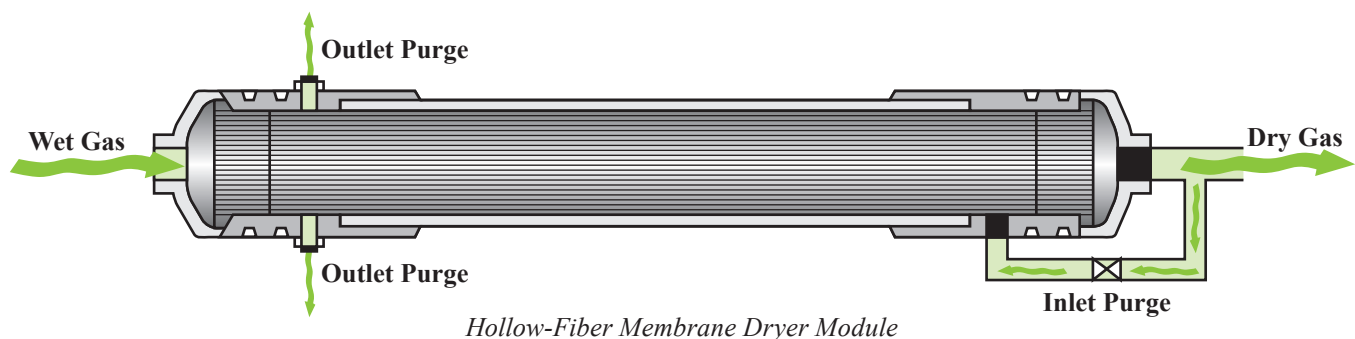
- ◆ Developed by Air Products and Chemicals, Inc.
- ◆ Commercialized in 2004
- ◆ 400 units operating in the United States in 2005

Applications

Manufacturing industries that use compressed air

Capabilities

- ◆ Is compact and lighter in weight than heatless desiccants, allowing flexibility in packaging the unit into a compressed air system.
- ◆ Rated for operation up to 150°F and 200 psig.
- ◆ Provides excellent turndown capability, all the way down to zero feed.



Low-Cost, Robust Ceramic Membranes for Gas Separation

IMPACTS

Innovative Ceramic Membrane Reduces Energy and Cost of Industrial Gas Separation

Ceramic membranes offer great potential for industrial gas separation. Without a ceramic membrane, gases must be cooled before separation. Unfortunately, even though ceramic membranes can improve the productivity for many reactions and separations in the chemicals and refining industries, they are costly.

Media and Process Technology, Inc., with ITP support and industrial partners Gas Control Engineering Corporation, Southern California Gas, and the University of Southern California, developed a new technology that has overcome the cost barrier by using a low-cost, robust ceramic membrane. This membrane separates gases and vapors at temperatures up to 600°C. Significant energy savings are possible because cooling prior to gas separation can be eliminated and valuable components removed from the gas stream can be recycled.

Applications are targeted toward hydrogen production, water and energy recovery from flue gas, and CO₂ removal in natural gas processing. In addition, this low-cost membrane is currently under consideration as substrate for a wide range of thin films capable of industrial gas separations and has been used commercially without the gas separating layer for a wide range of liquid phase separations.

Benefits

Energy Savings

Allows gas separation at higher temperatures, eliminating the need to cool gases beforehand and therefore saving cooling energy.

Profitability and Productivity

Offers a low-cost material that reduces time and money spent for gas separation and allows valuable chemicals to be recycled rather than being disposed.

Overview

- ◆ Developed in joint venture among Media and Process Technology, Inc., Gas Control Engineering Corporation (GCE), Southern California Gas, and the University of Southern California
- ◆ Commercialized in 2005
- ◆ Installed in two U.S. location for recovery of water vapor and energy, with a third installation scheduled for early 2007

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.004	0.004

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.063

Applications

Separation of CO₂ in natural gas processing, landfill gas recovery, hydrogen production, and water and energy recovery. Liquid phase separations are also possible without the gas separating layer.

Capabilities

- ◆ Separates gases and vapors at temperatures up to 600°C.
- ◆ Simplifies chemical production processes.
- ◆ Enhances conversion of chemical reactions.

New Cleaning Method Eliminates Use of Harmful Chemicals while Saving Energy

Micell Technologies developed a new dry cleaning technology using patents and know-how that is based on ITP sponsored research on CO₂ surfactant technology performed by the Pacific Northwest National Laboratories. The Micell CO₂ dry cleaning technology is called the Micare™ system. Micell Technologies is the parent company of Hangers Cleaners, who offers franchises incorporating the Micare dry cleaning technology.

The heart of the Micare system is the specially designed MICO₂ machine with a 60-pound capacity and able to hold liquid CO₂. Garments to be cleaned are placed inside a large rotating basket in the MICO₂ machine and the door is closed, sealing the system. Carbon dioxide is added from the storage tank along with the Micare detergent package. This patented detergent system enhances the cleaning ability of the liquid CO₂ allowing it to remove dirt from the garments. After the cleaning cycle, the machine pulls the solution of liquid CO₂ and cleaning detergents away from the clothes, and then cleans and recycles the CO₂. Most (98%) of the CO₂ is recycled, while a small amount of CO₂ gas is then vented to the atmosphere. The cleaned garments are then removed from the wash tank after a cycle time of 35-45 minutes.

Benefits

Energy Savings

Eliminates the energy-intensive drying cycle used by conventional dry-cleaning systems.

Productivity

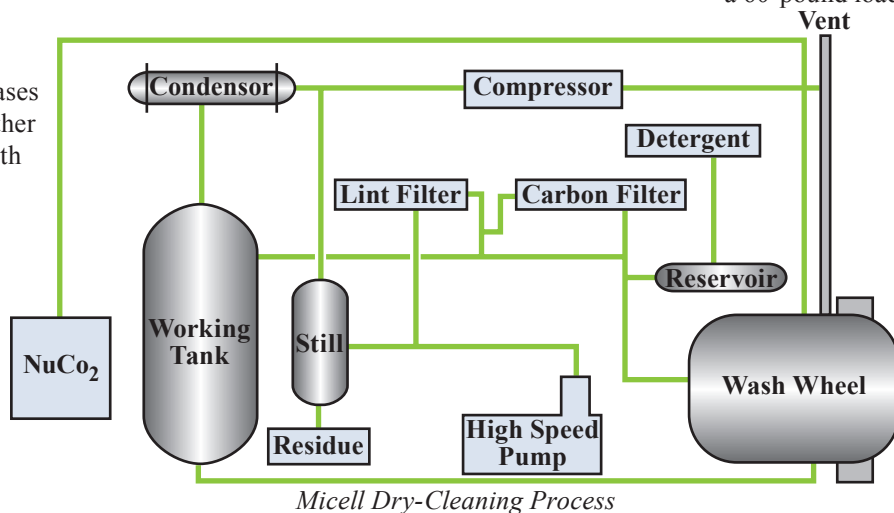
Reduces operating time and costs less to operate than the conventional perc systems.

Quality

Cleans effectively with no unpleasant odors, treats garments gently, and eliminates the chance of heat-related damage or setting of stains, as there is no drying cycle.

Waste Reduction

Eliminates harmful releases of perchlorethylene or other petroleum solvents to both the air and groundwater.



Overview

- ◆ Commercialized in 1999 by Micell Technologies
- ◆ In 2005, there were 20 Micare machines serving Hangers Cleaners stores throughout the United States.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.024	0.003

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.055

Applications

Replaces perchlorethylene or petroleum-based solvents used by conventional dry-cleaning systems

Capabilities

- ◆ Cleans equal to or better than conventional systems.
- ◆ Is similar to conventional front-load, mechanical action machines and features gentle wash and extract cycles.
- ◆ Requires only 35 to 45 minutes to clean a 60-pound load.

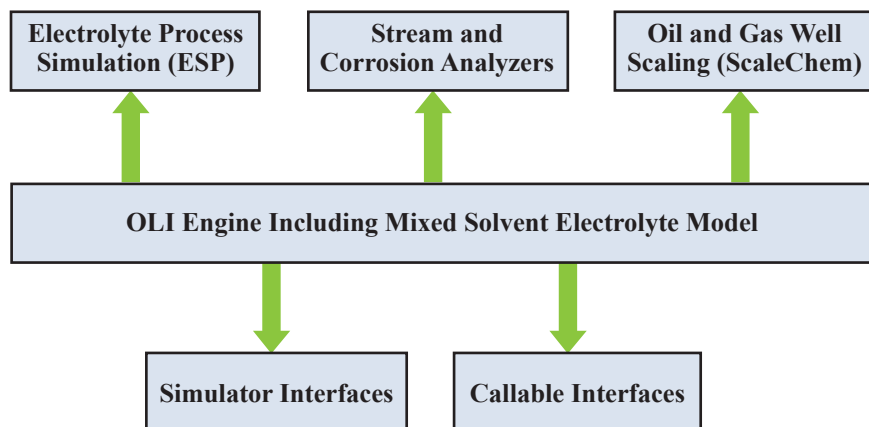
IMPACTS

Software Tool to Predict Solubility of Solids and Other Thermophysical Properties

With assistance from ITP, OLI Systems, Inc., developed the mixed-solvent electrolyte model, a comprehensive physical property package that can predict the properties of electrolyte systems ranging from dilute solutions to fused salts in water, nonaqueous, or mixed solvents. The model accurately predicts the solubility of solids in complex multicomponent systems, thus providing a tool for designing crystallization processes. In addition, the model predicts other properties such as vapor-liquid and liquid-liquid equilibria, densities, heat effects, viscosity, electrical conductivity, and diffusivity.

The model incorporates chemical equilibria to account for chemical speciation in multiphase, multicomponent systems. For this purpose, the model combines standard-state thermochemical properties of solution species with an expression for the excess Gibbs energy. The model can accurately reproduce various types of experimental data for systems of aqueous electrolyte solutions. Separate formulations have been developed for predicting transport properties in the same range of temperature and compositions.

The model has been implemented in OLI Systems' commercial software, including the Electrolyte Simulation Program (a flowsheet simulator), StreamAnalyzer (a desktop chemical laboratory), CorrosionAnalyzer (a tool for predicting the tendency of metals to corrode), and selected interfaces to third-party process simulation programs. In its various implementations, the mixed-solvent electrolyte model is already used by more than 50 chemical process companies that lease OLI's software.



Integration of the Mixed Solvent Electrolyte Model with OLI Software

Overview

- ◆ Developed and marketed by OLI Systems, Inc.
- ◆ Commercialized in 2005
- ◆ 55 U.S. licenses sold

Applications

Optimizes crystallization processes throughout the chemical and pharmaceutical industry

Capabilities

- ◆ Predicts crystallization processes.
- ◆ Predicts solubility of solids and other thermophysical properties.

Benefits

Efficiency

Improves process control, filterability, and mixing efficiency.

Energy Savings

Substitutes crystallization for more energy-intensive process units.

Product Quality

Improves process control and product quality, and minimizes lab and plant testing costs and risks (by using simulations).

New Water-Based Coating Products Reduce Drying Time and Environmental Impacts

At present, a major concern of the coatings industry is the emission of volatile organic compounds (VOCs), which react with sunlight to create photochemical ozone or smog. VOC-containing solvents used in conventional liquid coatings evaporate during application, curing, and during clean-up operations. With help from a DOE NICE³ grant, Sierra Performance Coatings has developed new waterborne coatings that reduce or eliminate VOC emissions during formulation and application. The production of these new coatings requires lower processing temperatures, which reduces their energy impact. The coatings' quick-drying characteristics save further energy by avoiding heating and ventilation in the drying process.

Waterborne non-VOC coatings substitute water for a portion of the solvent used as the resin retainer in typical organic coating formulations. These new coatings can be applied to many surfaces including metal products. The quick-drying formulation reduces energy needs for drying and eliminates installation problems associated with harmful vapors. Many of these new products dry far more quickly than other products so multiple coats can be applied in one day rather than two or three. This dramatically cuts labor costs and returns the facility to use much sooner. Similarly, the corrosion resistance of Sierra's coatings are superior to any solvent-based coatings on the market.

Benefits

Energy Savings

Reduces or eliminates the energy for drying in-line production processes.

Emissions Reductions

Reduces environmental impact and increases compliance with regulations and environmental requirements.

Productivity

Speeds drying and uses simple water clean-up, thereby reducing downtime between coats and at the end of jobs. Reduced emissions also reduce ventilation equipment and labor.

Safety

Eliminates skin irritation from solvent contact and reduces exposure to harmful vapors, the need for ventilation, and the risk of fire from organic vapors, resulting in safer installation.

Overview

- ◆ Developed by Sierra Performance Coatings and being marketed by RPM International, Inc.
- ◆ Commercialized in 1998
- ◆ 558,892 gallons produced and applied through 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.005	0.001

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.012

Applications

No-VOC solvents can be found as components of exterior opaque stains, exterior and interior semitransparent stains, waterproofing sealers, clear wood finishes, varnishes, and sanding sealers

Capabilities

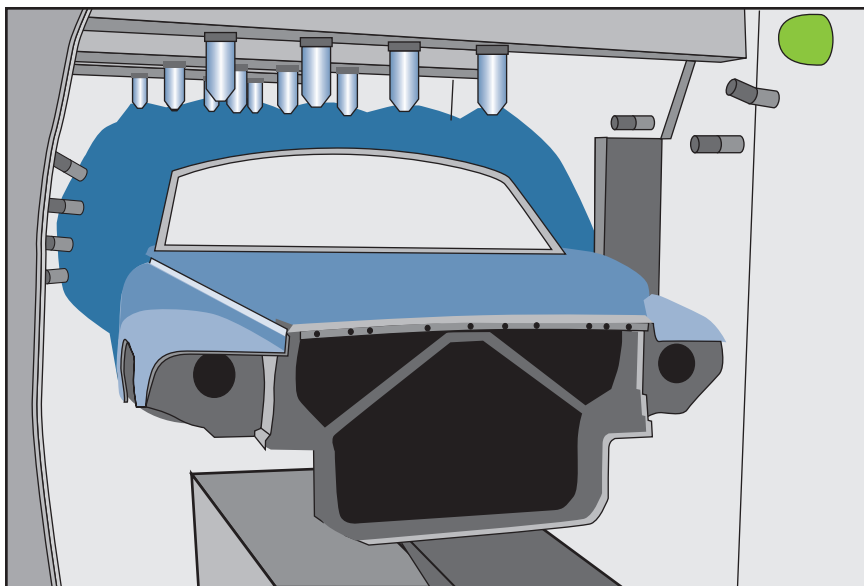
- ◆ Provides equal protection and material covering characteristics such as longevity and toughness with improved drying times and easier installation.
- ◆ Allows for quicker installation with none of the noxious fume problems associated with standard products.
- ◆ Reduces drying time and environmental impacts.

IMPACTS

Full-Body Powder Antichip Process Reduces Waste Emissions

Chipping paint is a major cause of customer dissatisfaction with United States-produced automobiles. The current standard for applying antichip primer to vehicles is a solvent-borne paint spray system that has a transfer efficiency (ratio of paint solids deposited on the vehicle to total volume used) of about 50%. In addition to generating a paint sludge by-product that must be landfilled, the process emits volatile organic compounds (VOCs). Chrysler Corporation developed and demonstrated, using a NICE³ grant, an innovative, new powder antichip process that contains no solvents and, considering recycling, has an effective transfer efficiency exceeding 99%. The new system virtually eliminates VOC emissions and paint sludge generation, eliminating the costs to transport and dispose of sludge.

Energy requirements for the powder process are much lower than for solvent-based processes. Though process air at 70°F is required for the application of either coating, in the new process a much smaller quantity of air needs to be heated, and the air from the powder booth can be recycled and reused directly because it contains no solvents. The energy that had been required to incinerate VOCs from the conventional process is conserved.



New Powder Antichip Primer Process

Overview

- ◆ Developed by the Chrysler Corporation
- ◆ Commercialized in 1996

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
5.69	0.595

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.004	0.070	9.52

Applications

Antichip primer application for automobiles

Capabilities

- ◆ Has transfer efficiency exceeding 90%.
- ◆ Has greatly reduced air-heating requirements.

Benefits

Energy Savings

Reduced air requirements and ability to recycle process air leads to greatly reduced air-heating requirements. Also eliminates energy requirements for incinerating VOCs.

Quality

Process gives better finish with reduced risk of delamination and chipping.

Use of Raw Materials

Conserves raw materials used to manufacture virgin coatings.

Waste Reduction

Contains no solvents, thereby reducing potential VOC emissions. Higher transfer efficiency reduces overspray, virtually eliminating solid waste generation.

Pressure Swing Adsorption for Product Recovery

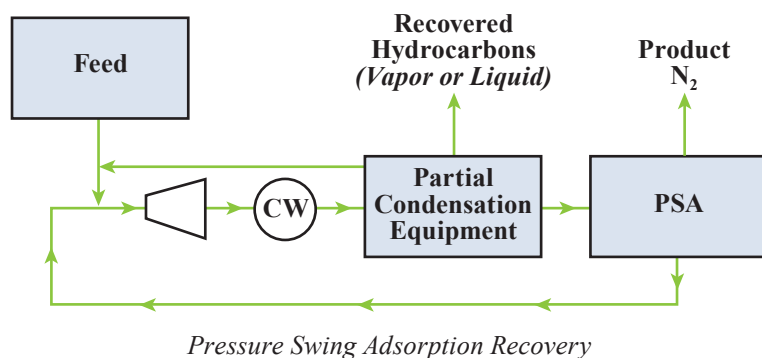
IMPACTS

Highly Selective Pressure Swing Adsorption Technology Recovers Valuable Components from Waste Streams

Many polyolefin plant designs use a polymer degassing step to remove unreacted monomer, solvents, and additives from the product polymer fluff before it is processed in downstream pelletizing operations. When nitrogen is used as the stripping gas, the operation produces a low-pressure gas stream that typically contains nitrogen and valued hydrocarbons that can be recovered and recycled to the plant. If the gas is not processed for recovery, it is typically flared. The flaring step results in volatile organic compounds, NO_x, and CO₂ emissions. Flaring can also be costly, roughly equal to the value of the purchased nitrogen.

With assistance from DOE's Industrial Technologies Program, Air Products and Chemicals has developed a single unit operation to recover these gases. Pressure swing adsorption (PSA) is combined with partial condensation to essentially recover 100% of the hydrocarbons from the vent gas. In addition, PSA produces a high purity N₂ stream, with nearly 100% recovery of nitrogen. The recovered nitrogen can be recycled to the stripping operation or used elsewhere in the facility. Air Products' high recovery system eliminates waste streams and therefore emissions.

In this new process, the vapor stream from the partial condensation section flows into a PSA unit. Within the PSA, specially selected adsorbent materials extract hydrocarbons, thereby refining the nitrogen to a high purity with minimal pressure drop. Over time the adsorbent material in the bed becomes saturated and must be regenerated. Lowering the pressure in the saturated bed desorbs the hydrocarbon components from the adsorbent material in the PSA. The hydrocarbons are released and recovered in a low-pressure tail gas, which is recycled back to the compressor suction so the hydrocarbons are not lost. This technology provides a significant opportunity for energy and cost savings and reduced waste.



Overview

- ◆ Developed by Air Products and Chemicals
- ◆ Commercialized in 2003
- ◆ Installed in two locations in Texas

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.193	0.089

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.01	1.41

Applications

Both chemical and refining industries, including polyethylene and polypropylene production processes that use N₂ for degassing the polymer fluff and for treating refinery off-gas streams. This process could be adapted to recover valuable products from other waste streams throughout the industry.

Capabilities

- ◆ Recovers hydrogen, nitrogen, and hydrocarbons for reuse.
- ◆ Is flexible enough to operate using an external refrigeration source.

Benefits

Pollution Reduction

Exit streams from certain processes can be collected and separated for reuse, eliminating the emissions and need for disposal. Disposal typically involves flaring of the waste streams; therefore, this new process can save energy and costs by eliminating flaring.

Profitability and Productivity

Operating and emission costs are reduced by eliminating flaring, and productivity is increased by reusing products in the feed streams.

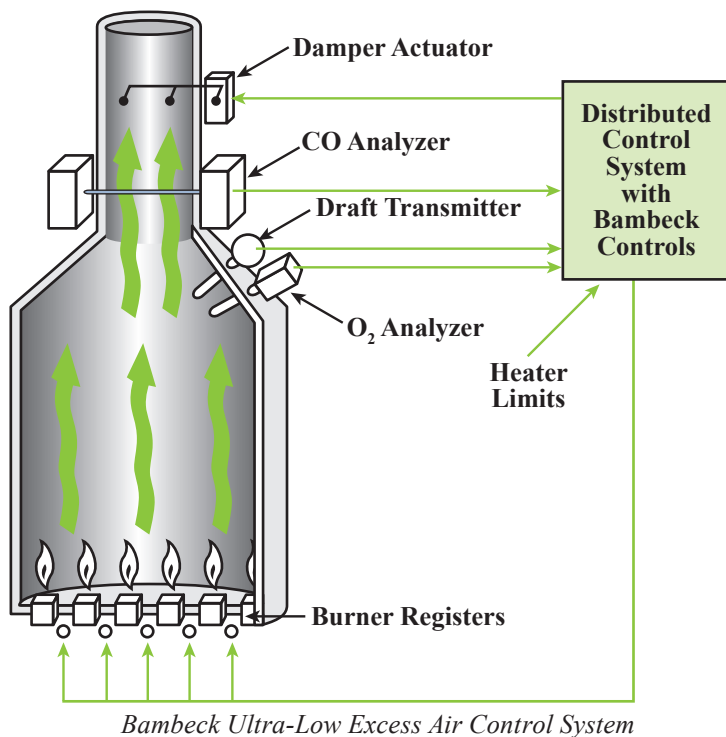
Process Heater Ultra-Low Excess Air Control

IMPACTS

An Enhanced, CO-Based, Low Excess Air Control System Saves Energy While Reducing Emissions

To heat liquids and induce chemical reactions during production processing, the refining and chemicals industries rely on process heaters and boilers that consume large amounts of fuel. Bambeck Systems and Valero Energy received a grant from ITP to demonstrate how fully automating the available air to the three types of heaters typical to a refinery will save fuel. Using a Bambeck fast CO analyzer to monitor the heater flue gas, a control scheme is installed to reduce the oxygen until a small amount of CO is produced. Using this parameter in the control scheme optimizes the air needed for combustion, thereby not wasting fuel to heat unneeded air.

The three requirements to successfully implement this technology are the fast CO analyzer, a new control strategy, and operator education. The analyzer provides CO data to the existing heater control system. The current control strategy is then modified to reduce the air to the heater via the controllable entrances, including stack dampers, fans, and burner registers. When a small amount of CO is generated, the control system automatically maintains that point changing the controllable entrances as more or less air is required as indicated by the CO analyzer. Since fuel Btu content can change rapidly, the fast CO analyzer responds to the change in demand for O₂ and, through the control system, sends commands to the dampers, fans, and registers to open or close. Because operators historically used an O₂ monitor to ensure that the combustion process has excess air, the operators need to be educated to feel comfortable seeing very low O₂ readings. The heater is safer because CO is a precursor to a combustible condition and O₂ is not. In addition, reducing the excess O₂ also reduces both NO_x and CO₂ (greenhouse gas).



Overview

- ◆ Developed and being marketed by Bambeck Systems, Inc.
- ◆ Commercialized in 2002 with over 550 of the original technology installed
- ◆ Seven enhanced ultra-low versions installed

Energy Savings (Trillion Btu)

Cumulative through 2005	2005
0.782	0.338

Emissions Reductions (Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.020	0.042	5.60

Applications

A process heater or boiler control system for the chemicals, petrochemicals, and refining industries

Capabilities

Monitors the unburned fuel gases and controls the amount of air available for the combustion process, providing the minimum amount needed.

Benefits

Reduced Emissions

Reduces NO_x emissions from 30% to 45% and CO₂ in proportion to the size of the heater.

Safety

Eliminates the possibility of any dangerous combustible conditions developing in the heater.

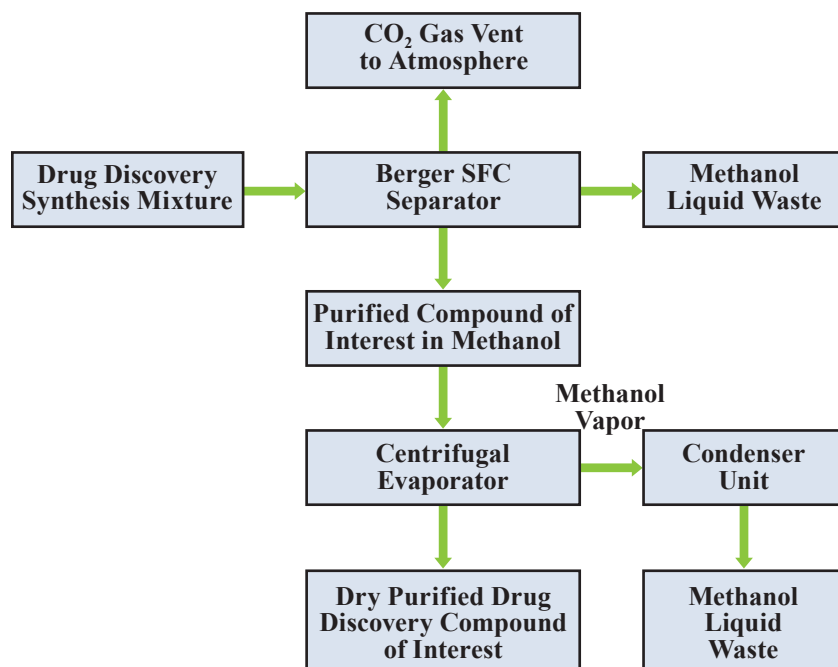
Supercritical Purification of Compounds for Combinatorial Chemical Analysis

IMPACTS

Innovative Purification Method Reduces Energy Use and Chemical Waste

With the support of a NICE³ grant, Berger Instruments, Inc., developed and demonstrated an innovative approach to combinatorial chemistry for the drug discovery industry called supercritical fluid chromatography (SFC). Conventional liquid chromatography (LC) systems are capable of purifying only 5 to 10 compounds per day. In addition, because of the wide variation in the number of complex chemical compounds that need to be tested, the LC process requires several manual operations, two to three trial runs, and up to 48 hours to remove organic/aqueous waste and water from the purified products. This time-consuming work poses a bottleneck for the pharmaceutical industry, which depends on high levels of throughput and purity.

Using the new SFC process, samples can be purified and dried 20 to 100 times faster than by conventional LC systems. SFC, a packed column analysis technique similar to LC, uses compressed gases such as CO₂ rather than liquid solvents as the primary component of the mobile phase. The high diffusivity and low viscosity of CO₂ results in greater speed and resolution than possible with LC. Additionally, the SFC technology provides a solute purity of 95% or greater, very rapid fraction collection with full automation, and no need for manual intervention. This new process also significantly reduces energy consumption and liquid-solvent waste generation.



Supercritical Fluid Purification System

Overview

- ◆ Developed by Berger Instruments, Inc.
- ◆ Commercialized in 2000
- ◆ 87 units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.79	0.578

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.003	0.125	0.093	11.4

Applications

Process science and engineering technology for the pharmaceutical, chemical, and drug discovery industries

Capabilities

- ◆ Processes samples at higher speed with high purity.
- ◆ Approaches full automation without the need for manual intervention.

Benefits

Energy Savings

Uses 2% of the energy required by conventional LC technology.

Productivity

Processes samples 20 to 100 times faster while producing a purity of 95% or greater.

Waste Reduction

Reduces liquid chemical waste by 95% for each processed compound.

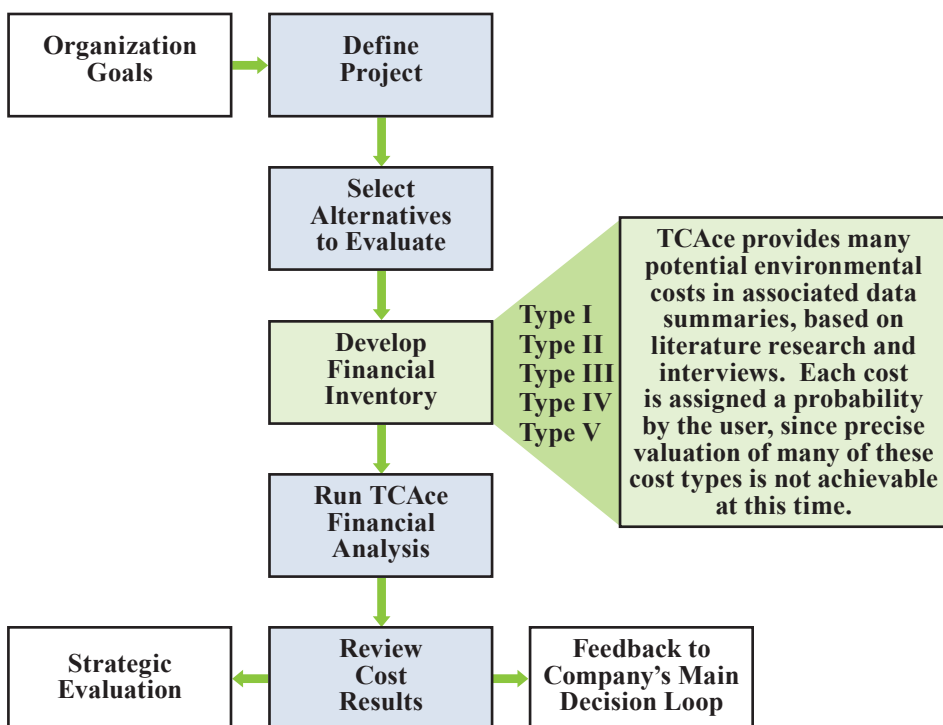
IMPACTS

New Decision-Making Software Integrates Costs into Environmental Decisions and Life Cycle Assessments

The Total Cost Assessment (TCA) methodology enables industry to include all environmental, health, and safety costs in decision-making. In particular, TCA includes contingent liabilities such as fines and cleanup costs and intangible costs such as damage to corporate or brand image and reduced employee morale. External costs, such as costs to society, can also be included in the TCA methodology. In traditional industry decision-making, environmental health and safety (EHS) assessments have been conducted separately from life cycle cost analyses. This customary separation has limited the influence and relevance of life cycle assessment for decision-making, and left uncharacterized the important relationships and tradeoffs between the economic and environmental performance of alternative decisions.

The TCA methodology was developed by an industry collaboration of ten companies led by the American Institute of Chemical Engineers (AIChE) Center for Waste Reduction Technologies (CWRT) with support from the U.S. Department of Energy Industrial Technologies Program and the National Business Roundtable Industrial Pollution Prevention Council.

The Total Cost Assessment Tool (TCAce), developed and sold by Sylvatica, manages the TCA process by enabling the company to use sliding ranges and probabilities to reflect the true nature of contingencies. TCAce integrates scenario case studies and sensitivity/uncertainty/risk analysis into a company's existing economic evaluation framework to enable sound decisions. It identifies all conventional, hidden, human health, and environmental impact costs both internal and external. TCAce requires an operating system of Windows 98 or better and recommends at least a 24MB hard drive.



The Total Cost Assessment Process

Overview

- ◆ Software developed by Sylvatica of North Berwick, Maine
- ◆ Has sold 6 units to date: 2 in the United States and 4 internationally
- ◆ Commercialized in 2005

Applications

The Total Cost Assessment Tool can be used throughout industry in considering all the environmental and health costs associated with a business decision, such as process, project, or corporate-level investment alternatives. The software performs and addresses the following activities: estimating baseline costs, benchmarking, process development, product mix, waste management decisions, pollution prevention alternatives, remediation alternatives, environmental management, research budget allocations, materials/supplier selection, facility location/layout, outbound logistics, market-based environmental options, and public relations/lobbying.

Capabilities

- ◆ Identification of best environmental and economic options in business decision-making.
- ◆ Alignment of environmental goals with good business strategies.
- ◆ Integration of internal costs and externalities into a single assessment process.

Benefits

Environmental Benefits

Selects waste management investment decisions that are environmentally sound and reduce long-term liabilities.

Profitability

Reduces manufacturing costs by integrating life cycle assessment with life cycle cost analysis and facilitating collaborative scenario planning.

In-Situ Sensors Provide Real-Time Measurements Enabling Better Control and Process Optimization

Current chemical process controls use few in-situ sensors, relying instead on analytic techniques that require sample conditioning and transport, and significant turnaround time. With few exceptions, these techniques lack speed of measurement, accuracy of measurement, sensitivity of measurement, and economical measurement. In-situ sensors can provide real-time measurements, enabling better understanding and control of the process and improving process optimization, product quality, and plant economics. Supported with a grant from ITP, Analytical Specialties, Inc., has developed a system of in-situ sensing for more efficient process operation.

The system, called TruePeak, is a tunable diode laser analyzer that directly measures the concentration of O₂, H₂O, and potentially several other gasses. TruePeak measures across an infrared absorbance region, which makes it useable in high dust and corrosive environments and provides a true interference-free analysis. The system is characterized by rapid measurement (as fast as 1 second), high process pressure capability (up to 20 bar), high temperature (up to 1500°C), and no contact with the process. The system operates at the required process conditions (pressure, temperature, etc.), provides real-time or near real-time data, and significantly reduces installation and operational costs compared with currently available products.

Appropriate applications for TruePeak include combustion oxygen analysis of process heaters, furnaces, and incineration operations. The technology is also applicable to processes where reducing errors in oxygen concentration measurements can reduce plant process shutdown. The need for this technology and its measurements are driven by advances in process control systems and the need to “close the loop” in modern control systems. This rugged unit can be used in a variety of chemical process applications and can provide real-time, accurate measurements in harsh environments, which can improve process efficiency, reliability, and productivity.

Overview

- ◆ Developed by Analytical Specialties, Inc.
- ◆ Commercialized in 2004

Applications

O₂, CO, H₂O, and other gas sensing in chemical processes

Capabilities

- ◆ Provides in-situ analysis, eliminating errors and costs associated with extractive analyzers.
- ◆ Can be used in harsh environments.

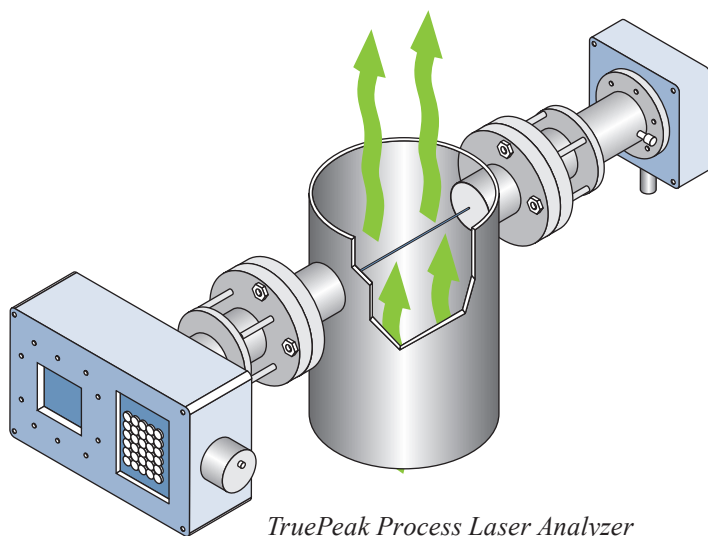
Benefits

Applicability

Operates with processes up to 1500°C and 20 bar and virtually interference-free.

Productivity

Reduces downtime for maintenance and provides near real-time measurements with improved accuracy for better control.



TruePeak Process Laser Analyzer

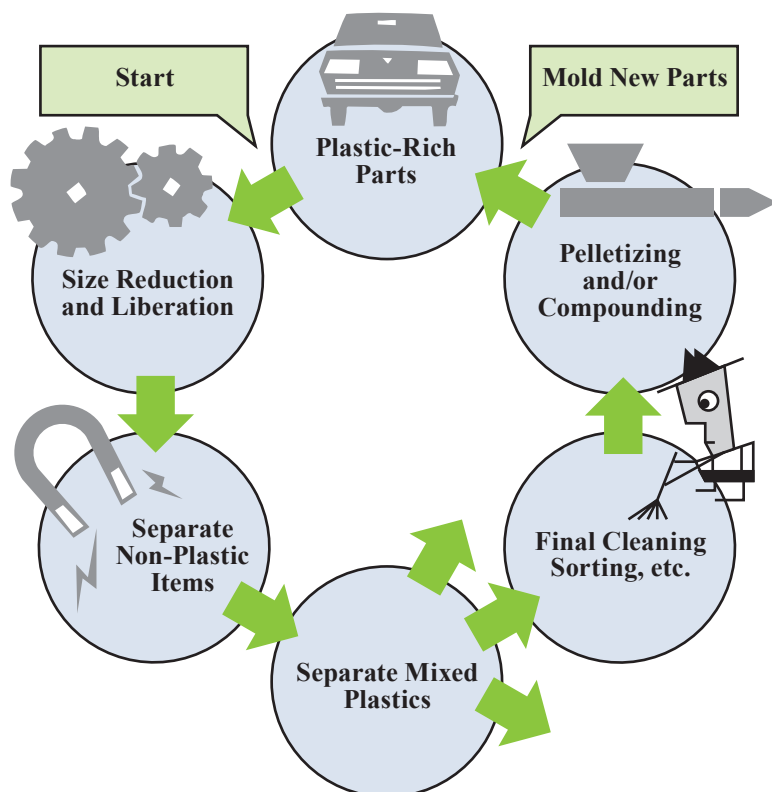
Use of Recovered Plastics in Durable Goods Manufacturing

IMPACTS

New Technology Helps Close the Recycling Loop for Plastics

An advanced mechanical recovery technology that can effectively recover plastic waste material has been developed by MBA Polymers, the American Plastics Council (APC) and plastic end-users, and demonstrated using a NICE³ grant. MBA's process is capable of running at rates over 5000 lb/hr and purifying as many as three different plastics from a single mixed stream. Conventional plastics cleaning and sorting processes (e.g., as used for bottle recycling) are inadequate to handle multi-component waste streams. The new demonstrated process incorporates several refined technologies that can separate metal and metallic coatings, rubber, glass, foam, and fabric as well as mixed plastics. These technologies include (1) enhanced size reduction throughput and particle size and shape control, (2) reduced product and side-stream contamination, (3) enhanced process control of separation systems for multi-material separations, and (4) advanced material separation capabilities.

The combination of these refined technologies produces an advanced plastic recycling system that is capable of effectively recovering previously unrecoverable streams of multi-component materials. The energy and related pollution savings from the MBA plastic recovery process come primarily from reducing the need to produce virgin plastics. Half of this energy is contained in the plastic itself as processed material and is lost if the scrap is not recovered or is incinerated. Using this recovered plastic instead of additional virgin plastic results in energy savings of 17,000 Btu per pound of raw material or more than 85% of the energy required for producing virgin plastics.



MBA Polymers' Recycle Loop

Overview

- ◆ Developed by MBA Polymers in 1995
- ◆ Commercialized in 1996
- ◆ Currently operating one plant in California
- ◆ Operating plants in Austria and China

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.402	0.022

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.004	0.003	0.386

Applications

Recovery of plastic from complex manufacturing scrap and end-of-life durable goods including automobiles, appliances, electrical, and electronic equipment

Capabilities

- ◆ Separates as many as three different plastics at one time in a mixed waste stream.
- ◆ Segregates metal, metal coatings, rubber, glass, foam, and fabric from plastic waste.
- ◆ Recovers previously unrecoverable and discarded multi-component materials.

Benefits

Waste Reduction

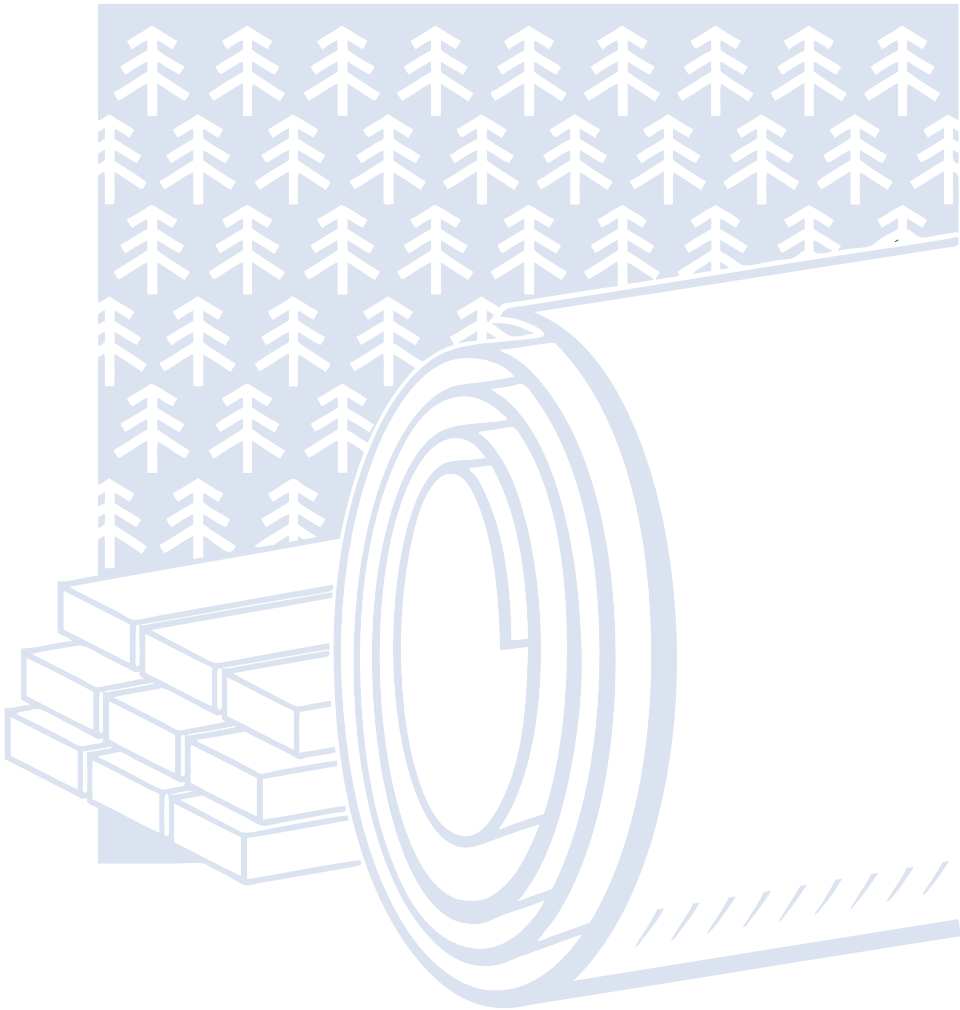
Significantly reduces landfill requirements.

Waste Utilization

Recovers previously discarded re-usable plastic materials and allows more cost-effective raw plastic materials for industry.

IMPACTS

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- ◆ Detection and Control of Deposition on Pendant Tubes in Kraft Chemical Recovery Boilers..... 39
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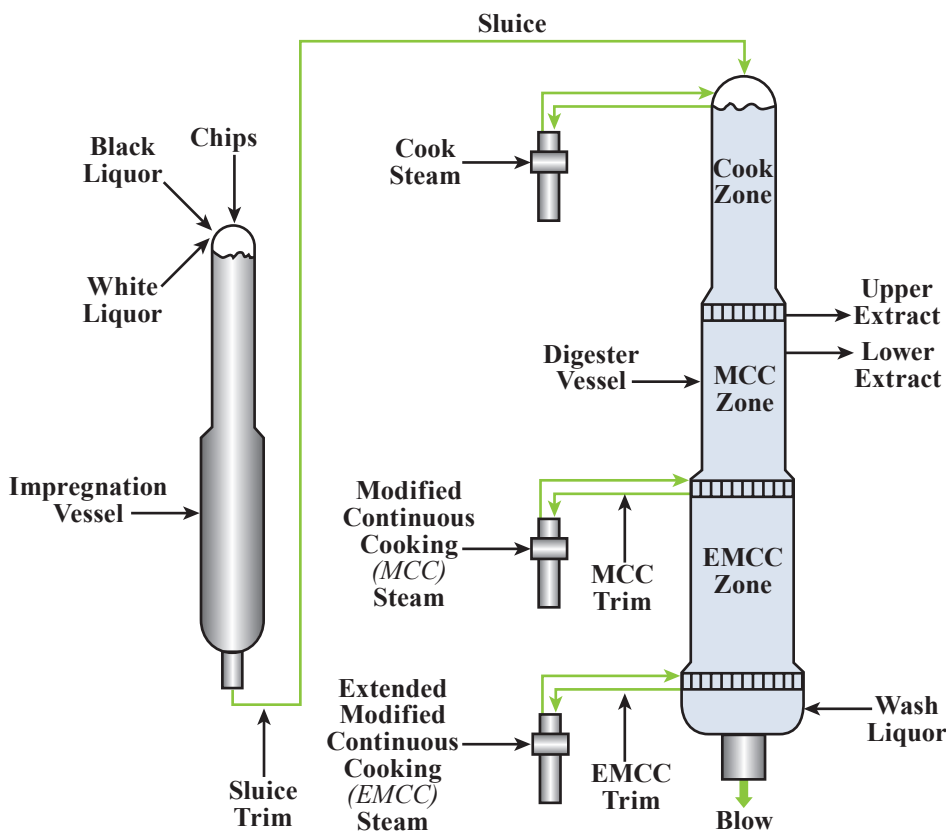


Pulp Process Model Identifies Improvements that Save Energy and Improve Productivity

The pulp digester is known as the bottleneck unit in the pulp mill flow sheet because it can require 5 to 50% of typical on-line operation time, making this component of the pulping process very capital intensive. Improving digester performance can significantly reduce production losses, operating costs, and negative environmental effects while increasing paper quantity and quality. Using a computer-based model and control system for continuous digesters could regulate the pulping process, thereby minimizing mill downtime caused by digester problems and fostering continuous operation and pulp production.

Previous work conducted at the University of Delaware (UD) indicated that fundamental computer models could manage the internal conditions within the digester. The UD resolved the major challenge to designing such a model by developing a fundamental digester model that manages production rate changes and grade swings between hardwood and softwood feedstocks.

The digester's fundamental process model integrates physical and chemical properties as system "states" (i.e., points in the digester process) to track grade transitions. This model allows appropriate material, energy balance, and diffusion simulations to be calculated as various-origin chips pass through the digester. The observation and tracking of these data help identify process improvements. The model's first commercial application in a Texas mill allowed the temperature to be reduced in part of the pulping process, thereby saving 1% of the process energy.



Dual Vessel EMCC Continuous Digester

Overview

- ◆ Developed at the University of Delaware
- ◆ Commercialized in 2003
- ◆ Being marketed by IETEK

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
9.00	1.00

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.117	15.9

Applications

All types of pulp digesters and provides the basis for developing more model-based methods of soft sensing, diagnostics, and control

Capabilities

- ◆ Uses a computer model to evaluate the pulping process.
- ◆ Provides operational data through the model to identify process improvements.

Benefits

Environmental Impact

Minimizes the amount of chemicals used.

Productivity

Improves operator control, thus raising productivity and process reliability. Also improves system operability through rate and grade transitions.

Product Quality

Reduces pulp and paper quality variations.

DOE Industrial Technologies Program

Detection and Control of Deposition on Pendant Tubes in Kraft Chemical Recovery Boilers

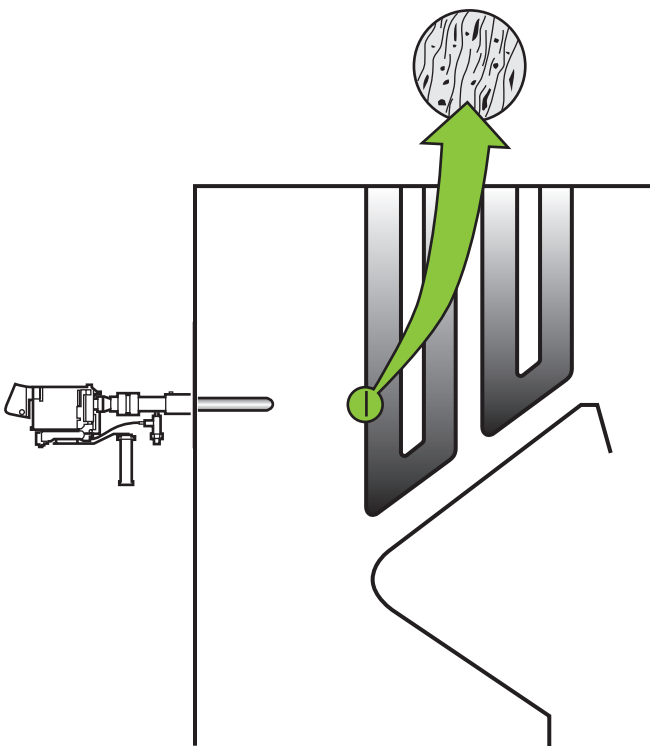
IMPACTS

Advanced Imaging System Improves Boiler Efficiency, Reduces Sootblowing Costs, and Improves Operational Safety

The kraft chemical recovery boilers used for pulp processing are large and expensive and can be the limiting factor for mill capacity. Improvements in boiler efficiency with better control of deposits on heat transfer surfaces (e.g. pendant tubes) and reductions in boiler downtime (due to pluggage or slag impact) can improve boiler capacity and reduce operating costs.

With assistance from DOE's Inventions and Innovation Program, Enertech, Inc., has developed a hand-held infrared inspection system. Using the inspection system technology, they have also established the feasibility of and are developing a continuous integrated monitoring sootblower control system to detect and control buildup of deposits. The early detection of deposits can extend the intervals between boiler shutdowns. The resulting improved boiler operation and reduced maintenance provide energy savings and productivity improvements to the pulp processing industry.

The hand-held inspection system has demonstrated reductions in sootblower steam use of up to 20%. This steam improvement is achieved because the frequency of sootblower operation is reduced, sootblowers can be repositioned based on data obtained from the inspection, and sootblower malfunction can be detected. Reduced pluggage and deposition in the boiler have also led to improved heat transfer rates. The integrated observation camera and soot-blower control system (under development) are expected to reduce soot blower steam usage by 30-35% and improve heat transfer efficiency by 20%.



Hand-held Inspection System on a Kraft Recovery Boiler

Overview

- ◆ Developed by Enertech, Inc.
- ◆ Commercialized a hand-held device in 2002
- ◆ 69 units in use in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.42	0.759

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.006	0.441	0.117	16.5

Applications

Kraft recovery boilers in the pulp and paper industry and in the coal, cement, steel, and glass manufacturing industries

Capabilities

- ◆ Produces clear images and videos of boiler interiors despite highly particle-laden environments.
- ◆ Produces images at distances up to 100 feet, enabling inspection anywhere in the combustion chamber including the convection pass and economizer.

Benefits

Productivity

The hand-held inspection system reduces boiler downtime through early detection of defective fixtures (tube leaks or damaged sootblower). Without shutting down the boiler, the system also detects slag formation at an early stage, preventing impact damage and enabling cleaning before deposits harden.

Safety

The impact of sizable slag deposits on boiler internals can lead to severe damage and potential injury. The hand-held inspection system has enabled early detection and elimination of such deposits.

Improved Composite Tubes for Kraft Recovery Boilers

IMPACTS

New Alloys Improve Performance and Safety

Black liquor recovery boilers are critical components of kraft pulp and paper mills. These boilers burn organic waste to generate steam and electric power for the mill and allow the sodium hydroxide and sodium sulfide used in the pulping process to be recovered. The boilers are constructed with floors and walls of tube panels, and these tubes circulate pressurized water to permit generation of steam. Originally, carbon steel tubes were used for these tube panels, but severe corrosion thinning and occasional tube failure led boiler manufacturers to search for materials that could better survive in the recovery boiler environment.

As a result of this search, new weld overlay and co-extruded tubing alloys were developed and are now being used in United States kraft recovery boilers and foreign installations. These materials are currently produced by Welding Services Inc., Sandvik Materials Technology, and Sumitomo Metals for application in recovery boilers. Boiler manufacturers are using the technology in designing and fabricating new and rebuilt kraft recovery boilers

A series of alloy studies, conducted by Oak Ridge National Laboratory, Pulp and Paper Research Institute of Canada, and the Institute of Pulp and Paper Science and Technology showed that Alloys 825 and 625 are more resistant than 304L stainless steel to cracking. Sandvik Materials Technology produces Sanicro 38 (modified 825) composite tubes for the world's largest manufacturers of black liquor recovery boilers. The boilers have been delivered to plants in the US, Australia, Belgium, Brazil, Canada, China, France, Finland, Sweden, Germany, Spain and Norway.

Benefits

Environmental

The change in operating conditions resulting from the improved materials will reduce gaseous emissions.

Productivity

Improved materials enable the use of black liquor with higher dry solids content, thus increasing the thermal efficiency. The improved materials decrease the number of shutdowns and improve the overall boiler efficiency and productivity.

Safety

In recovery boilers, tube leaks can result in serious explosions if the pressurized liquid contacts the molten salt on the floor and walls of the boiler. The use of improved materials significantly reduces the cracking of the floor and wall tubes, thus reducing the likelihood of a boiler tube leak.

Overview

- ◆ Currently produced by Welding Services Inc., Sandvik Materials Technology, and Sumitomo Metals for application in recovery boilers
- ◆ Commercialized in 1996 and installed in over 18 kraft recovery boilers in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
4.57	0.727

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.001	0.211	0.034	4.06

Applications

Being used in constructing new and rebuilt kraft recovery boiler floors

Capabilities

The new materials can operate in the aggressive environments that can cause stress corrosion cracking of 304L stainless steel.

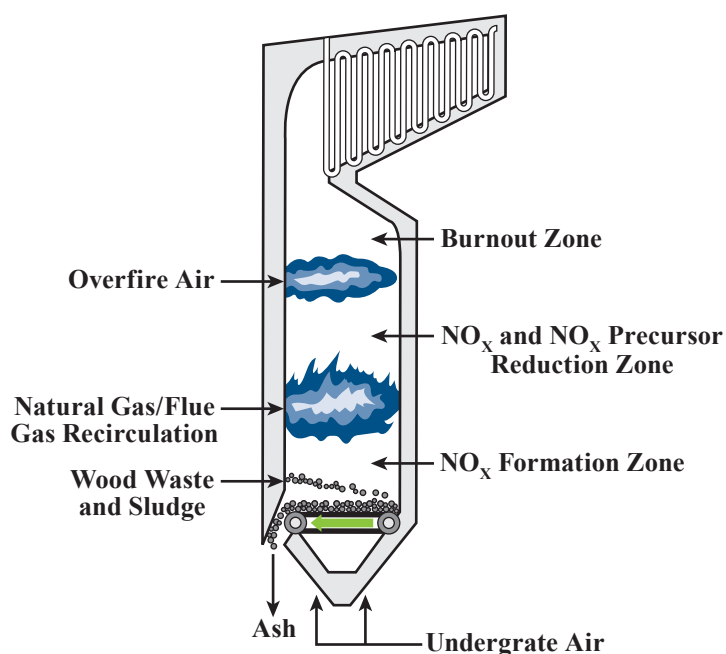
IMPACTS

METHANE de-NOX Reburn Process Uses Waste Wood for Biomass-Fired Stoker Boilers

The METHANE de-NOX process is a reburn technology using 5% to 25% natural gas heat input for improving combustion of solid waste fuels and for controlling emissions of NO_x and CO. The METHANE de-NOX process injects natural gas above the grate and uses flue gas recirculation to enhance mixing and create an oxygen-deficient atmosphere that retards NO_x formation. Overfire air is injected higher in the furnace to burn out the combustibles. The technology has been successfully demonstrated in commercial power plants using municipal solid waste and coal as fuel. In these demonstrations, the combustion systems operated more efficiently; required less maintenance; and reduced emissions of NO_x, CO, and VOCs.

With assistance from ITP, the Gas Technology Institute (formerly the Institute of Gas Technology) demonstrated the METHANE de-NOX reburn technology in the forest products industry. The project involved a field demonstration on a 300 million Btu/hr stoker-fired boiler fueled with waste wood and paper sludge at Boise Paper Solutions' paper mill in International Falls, MN. After the boiler was retrofitted, performance tests confirmed that the added heat released from natural gas combustion above the stoker grate stabilized the firing of solid fuel, permitted uniform heat release, reduced localized peak temperature, and permitted greater load flexibility including low load operation, thus improving combustion of difficult-to-burn waste fuels.

Commercial implementation of the technology provides the forest products industry with a means to use (rather than landfill) more waste wood solids and sludges, reduce natural gas consumption and NO_x emissions, and improve boiler thermal efficiency.



METHANE de-NOX Process

Overview

- ◆ Developed by the Gas Technology Institute
- ◆ Commercialized in 1998
- ◆ Two units operating at paper mills and 26 units on coal-fired cogeneration boilers

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.38	0.218

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.001	0.031	0.034	4.06

Applications

A wide range of wastewood and sludge-fired stoker boilers in the forest products industry and coal-fired boilers

Capabilities

- ◆ Improves grate combustion of difficult-to-burn fuel such as high-moisture-content waste wood.
- ◆ Substantially reduces NO_x emissions and natural gas input while increasing sludge firing rates and thermal efficiency.
- ◆ Provides a cost-effective means to use abundant waste wood solids and sludges for energy generation rather than land-filling them.

Benefits

Ease of Operation

Cleaner gas passes through the furnace with less fouling and unburned carbon and fly ash at the bottom.

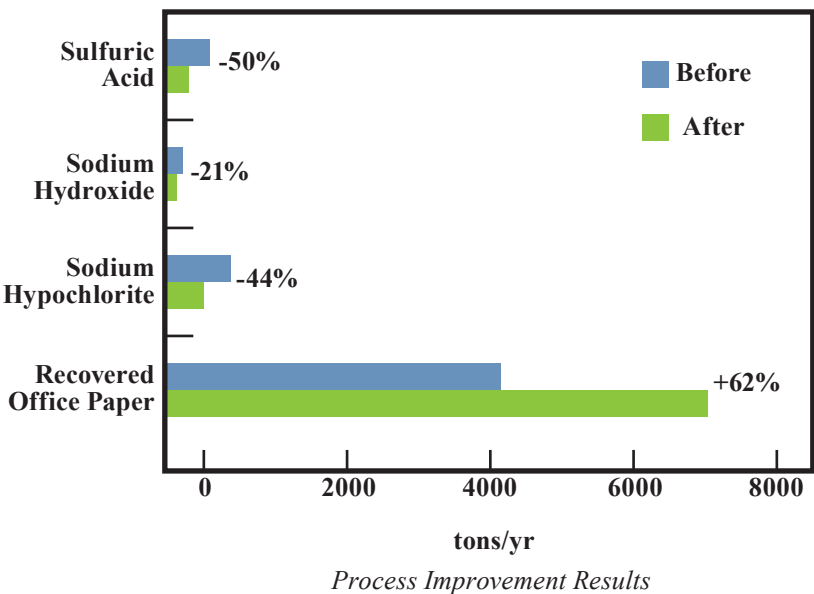
Productivity

Sludge firing increases from 1.2 up to 5 tons/hour and boiler thermal efficiency increases by 1% to 2% resulting in greater steam production capacity.

Optimizing Tissue Paper Manufacturing Increases Paper Recycling

Government standards and customer requests led Erving Paper Mills Inc. to modernize its de-inking process to increase the amount of recovered office paper used in producing paper napkins and tissue. De-inking is the process of removing inks, dirt, and other contaminants from the fibers used in making paper products. Waste paper is made into a slurry, and the contaminants are removed mechanically by size.

Using a NICE³ grant, Erving Paper Mills demonstrated changes to its process, which included de-ink equipment upgrades, on-line image analysis, alternative chemistry trials, and other energy-conservation projects. These improvements reduced energy and toxic chemical usage and increased the amount of recovered office paper in the feedstock. The improvements in de-inking equipment included system reconfiguration, new high-efficiency cleaners, a new high-efficiency flotation cell, and a new high-efficiency washer. These improvements resulted in higher efficiencies for removing dirt, better washing, improved clarification for process water, and lower bleaching requirements.



Overview

- ◆ Developed by Erving Paper Mills, Inc.
- ◆ System modifications began in late 1996

Applications

- ◆ Production of tissue and napkin products
- ◆ Pulp and paper mills

Capabilities

Increased use of recovered office papers- from 10.5% to 17% of total feedstock.

Benefits

Energy Savings

Lower pulping temperatures decrease fuel oil usage. Conservation projects resulted in reduced electrical energy.

Emissions Reductions

Lower pulping temperature and new continuous-belt washer decreases solvent usage, resulting in reduced emissions of volatile organic compounds.

Use of Raw Materials/Feedstocks

Increasing amount of recovered office paper decreases amount of direct-entry recycled fiber used. Changes to de-inking process decreases use of several controlled chemicals

Pressurized Ozone/Ultrafiltration Membrane System

IMPACTS

Novel Process Dramatically Reduces Energy Use, Improves Process Water Quality, and Reduces Effluent Discharge

With the support of a NICE³ grant, LINPAC, Inc., demonstrated a novel technology for closed-loop systems that uses pressurized ozone with dissolved air flotation and an ultrafiltration membrane in series. This system allows total dissolved solids (TDS) in process water to be readily converted to total suspended solids for efficient removal. Contaminated mill process water thereby can be continually and cost effectively cleaned to the high-quality process water standards required for reuse in the mill. After passing through the new system, process water is far cleaner and of higher quality than water from other processes and requires far less energy for reheating than fresh water. The system reduces the production problems associated with buildup of TDS in paper mill operations and provides operational benefits such as reduced energy needs and fewer chemicals and additives. The system also results in production and quality gains because of the higher-quality process water. Because the environmentally friendly system allows paper mills (and other water-intensive manufacturing mills) to operate in a closed loop, effluent discharge to rivers and waterways is eliminated or drastically reduced. This new system substantially reduces both effluent discharge and the need for fresh water.

Benefits

Environmental

Removes TDS in mill process water, thereby allowing mills to eliminate or reduce effluent discharge. Eliminates CO₂ discharges of up to 815 tons a year for a typical plant operation. Potentially reduces landfill waste by 50% and use of processing chemicals by \$5/ton of paper produced.

Productivity

Clean process water allows production gains of 5% to 15%. Saves energy costs due to heating and drying. Reduces chemical additive use. Potentially reduces downtime in mill process water treatment systems.

Overview

- ◆ Developed by LINPAC, Inc., and Cellulose Products and Services LLC
- ◆ Commercialized in 2004 and marketed by Cellulose Products and Services LLC
- ◆ Currently installed and operating in a LINPAC paper plant

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.315	0.630

Emissions Reductions

(Thousand Tons, 2005)

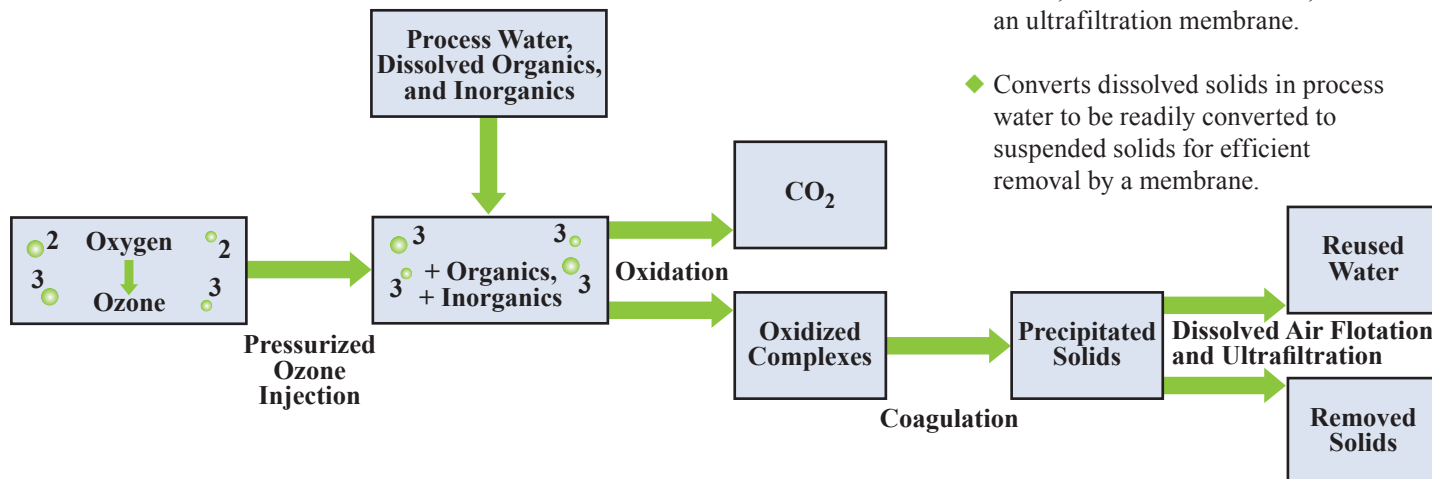
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.037	5.0

Applications

Can be used in the pulp and paper industry and in other processes such as the food industry, which require filtration technology

Capabilities

- ◆ Uses a series combination of pressurized ozone, dissolved air flotation, and an ultrafiltration membrane.
- ◆ Converts dissolved solids in process water to be readily converted to suspended solids for efficient removal by a membrane.



Pressurized Ozone/Ultrafiltration Membrane System

Thermodyne™ Evaporator – A Molded Pulp Products Dryer

IMPACTS

Thermodyne Evaporator—A Substantially Improved Molded Pulp Products Dryer

With assistance from DOE's Inventions and Innovation Program, Merrill Air Engineers demonstrated that its Thermodyne dryer outperforms conventional molded pulp dryers. Unlike other dryers, the Thermodyne dryer reheats water vapor released from the product being dried to create superheated steam that is directed onto the material being dried. Conventional paper dryers exhaust this liberated water outdoors, causing a large visible plume and dumping valuable heat. The Thermodyne dryer is sealed so internal vapor (moisture) cannot escape into the insulated dryer walls. The retained water vapor passes through indirect integral heaters to raise its temperature to a level that allows for substantially faster drying rates than if drying in relatively dry air. An absence of oxygen in the dryer also means the drying temperature can be higher and the retained water vapor can help protect and evenly dry the material. The released water vapor also helps control internal temperatures by mixing with the superheated steam, dropping its temperature to a more desirable level. Finally, the system recovers heat and harmful volatile organic compounds (VOCs) from the dryer's condensate, substantially reducing the amount released into the atmosphere.

Benefits

Productivity

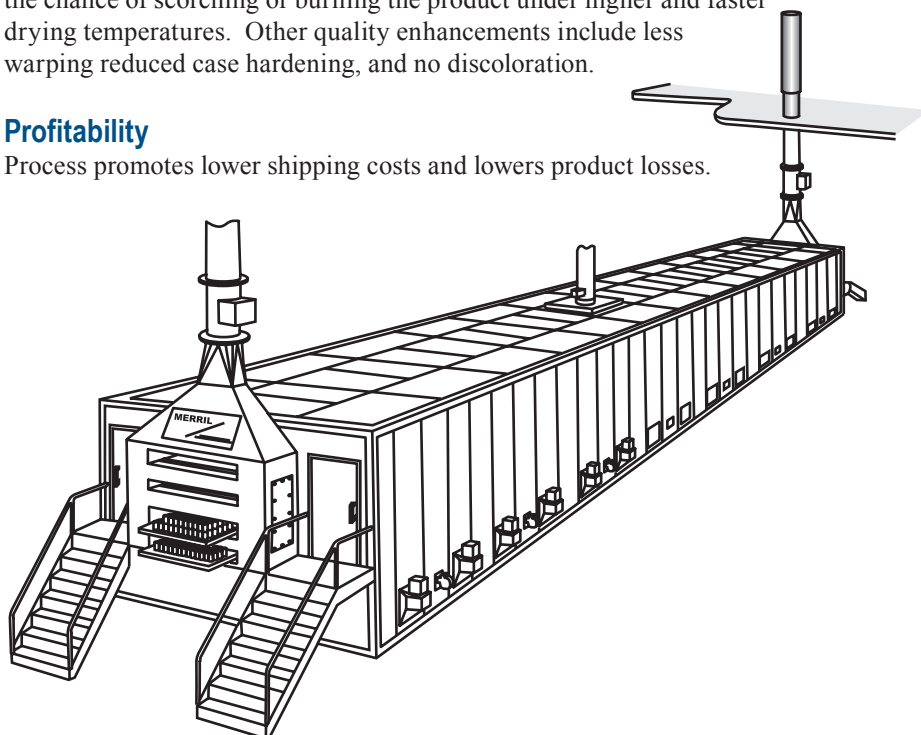
Process promotes easier stacking and wrapping.

Product Quality

The superheated steam-drying environment suppresses oxygen, reducing the chance of scorching or burning the product under higher and faster drying temperatures. Other quality enhancements include less warping reduced case hardening, and no discoloration.

Profitability

Process promotes lower shipping costs and lowers product losses.



Thermodyne Evaporator—A Molded Pulp Products Dryer

Overview

- ◆ Developed by Merrill Air Engineers
- ◆ Commercialized in 1997
- ◆ One unit operating in Yakima, WA, one in Ireland, and one in Columbia

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.228	0.046

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.005	0.724

Applications

Forest products industry for manufacturing molded fiber articles and for drying pulp, wood, cotton, cellulose, or torrefied wood and wood veneers

Capabilities

- ◆ Fully capable of replacing conventional drying systems in the forest products industry.
- ◆ Handles a wide variety of forest products and can be applied to agricultural applications.

XTREME Cleaner™ – Removal of Light and Sticky Contaminants

IMPACTS

Centrifugal Cleaner Removes Light and Sticky Contaminants from Waste Paper

Americans now recover 45% of all paper used in the United States. Some brown paper grades, wax curtain-coated board, polyethylene-laminated paper, glue-containing magazine backs, and other secondary fiber sources contain contaminants like “stickies,” wax, polyethylene, and binding glue that either make recycling impossible or cause an array of operating or product-related problems. Until recently, the technology for removing the contaminants was not completely effective. The development of the XTREME Cleaner, a centrifugal cleaner that replaces conventional dispersion systems in paper mills using waste paper, was a major breakthrough.

The XTREME Cleaner removes lightweight debris in all types of pulp slurries. It uses long residence times in a small-diameter cleaner to maximize separating very small contaminants that are close to the specific gravity of the fiber itself. Coupled with an advanced design through-flow cleaner such as the XX-Clone™, in the tailing position, only two stages are needed to minimize fiber loss and maximize contaminant removal efficiency. The XTREME Cleaner uses 50% less energy than conventional dispersion systems, resulting in significant cost savings to paper mills. The cleaner allows paper mills to use lower-grade, lower-cost furnish without compromising the quality of the final paper product. Paper mills using the cleaner system have reported savings of \$3,500 to \$11,000 per day just by using the lower-grade furnish.

Benefits

Environmental

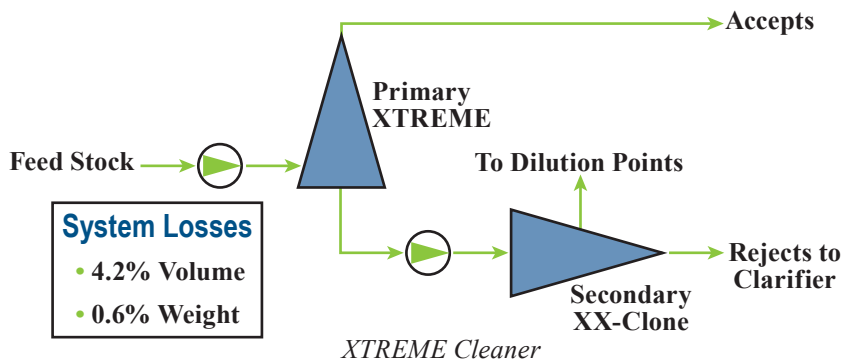
Greatly reduces the amount of waste paper being landfilled. Uses fewer chemicals and less energy to process recycled paper than does producing paper from raw wood material.

Productivity

Produces a 40% to 60% reduction in machine breaks or paper breaks, which are costly to paper mills due to downtime. Eliminates downtime to clean sticky contaminant buildup from processing machinery.

Product Quality

Allows paper mills to use a lower-grade, lower-cost furnish while still producing the same or higher-quality end product. Removes contaminants so they do not contaminate the final product and cause product rejects.



Overview

- ◆ Developed by Thermo Black Clawson
- ◆ Commercialized in 1997
- ◆ 11 systems operating in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1.38	0.183

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.001	0.039	0.029	3.59

Applications

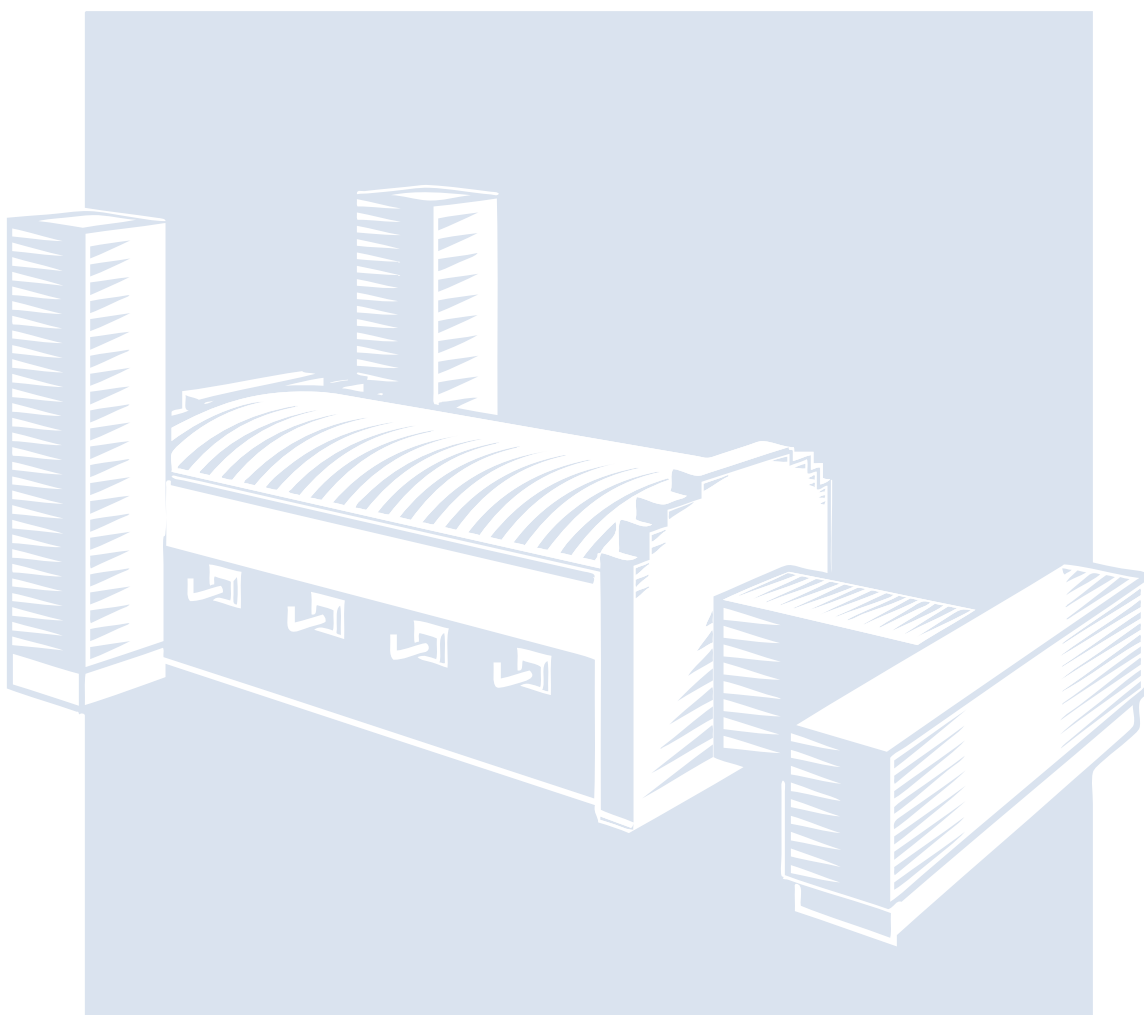
Used in paper mills to recycle waste paper containing “stickies,” wax, polyethylene, and binding glue

Capabilities

- ◆ Effectively removes lightweight sticky contaminants from all types of pulp slurries.
- ◆ Improved kneading, or “liberation”, unit better detaches and separates impurities from waste paper fibers.
- ◆ Improved vortex separation device allows greater unit capacity, longer treatment times, and more consistent operation.

IMPACTS

◆ Advanced Temperature Measurement System	48
◆ High Luminosity, Low-NO _x Burner.....	49



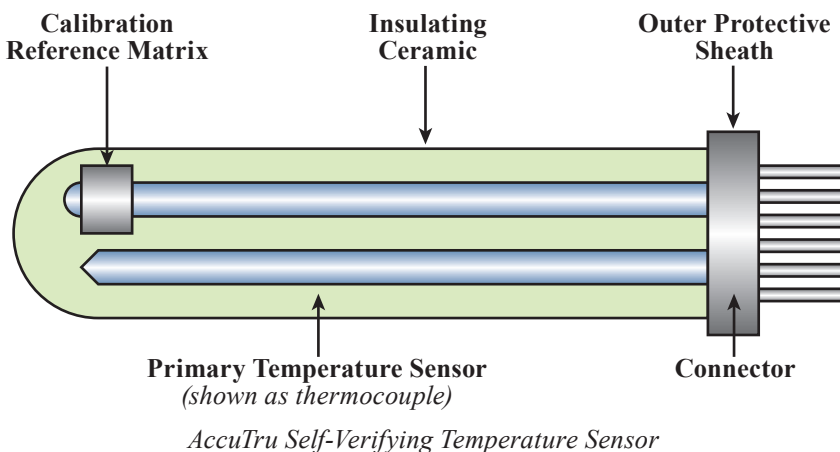
New Material Leads to Development of Improved Monitoring Equipment

Self-validating sensor technology, developed by Accutru with support from ITP, is based on the ability to measure multiple, mutually exclusive thermoelectric properties of thermally sensitive materials contained in the tip of the sensor probe. The sensor probe is constructed like a thermocouple or RTD but is specially designed so that the thermal response of each element of the sensor can be monitored using independent combinations with multiple other elements. The signal conditioner/transmitter multiplexes these measurements and monitors the health of each individual thermo-element using at least two of its electrical properties.

This concept makes it possible to continuously monitor and “validate” each of the measuring elements inside the sensor while it is in service so that no element can drift without detection. If an individual element begins to drift or de-calibrate for any reason, the system eliminates the data for that element while still providing an accurate NIST traceable temperature with the remaining “healthy” elements. Using information about the number of “healthy” elements in the sensor, the transmitter then provides the operator or control system with sensor health status and notifies of impending loss of sensor validation before it occurs. Therefore an accurate and reliable temperature is reported for the life of the sensor.

Summarizing the features of this technology:

- 1) It uses a new concept of monitoring multiple independent measurements of the system temperature and individual element health,
- 2) it continuously validates and reports the system temperature,
- 3) it reports a temperature traceable to a NIST standard for the life of the sensor,
- 4) it reports the health of the sensor, and
- 5) it warns in advance of deterioration of any of the sensor elements.



Overview

- ◆ Developed by AccuTru International, Kingwood, Texas
- ◆ Commercialized and marketed by AccuTru
- ◆ 46 units currently operating in the United States

Applications

Any thermochemical process where accurate and repeatable temperature read out is important:

- ◆ glass melters and delivery systems
- ◆ chemical reactors
- ◆ heat treating
- ◆ gas turbines

Capabilities

- ◆ Reliable temperature range: -200°C to 1750°C
- ◆ Self-validating, while in service for the life of the sensor
- ◆ Warning on the onset of decalibration, predictive maintenance.

Benefits

Optimizing Process Yield

- ◆ Improved fuel efficiency
- ◆ Enhanced safety
- ◆ Extended equipment life

Productivity

- ◆ 90% reduction in QC failures
- ◆ 10% increase in annual yields

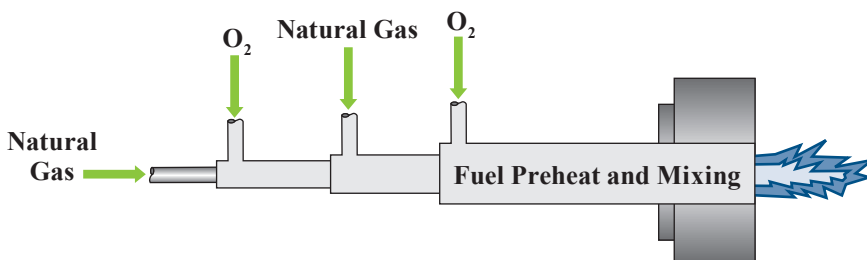
IMPACTS

High-Efficiency Burner Lowers Costs and Emissions in Oxy-Fuel Glass Melters

Glass melters use combustion systems to produce molten glass. While significant progress has been made in developing oxy-fuel combustion systems, current technologies provide low flame luminosity and generate relatively high NO_x emissions in the presence of even small mounts of nitrogen in the combustion process.

With the help of a grant from ITP, Combustion Tec Inc., now Eclipse, Inc., has developed an innovative burner that increases luminosity and radiant heat transfer in high-temperature glass furnaces. The burner improves performance by modifying the fuel prior to combustion and then forming and burning soot in the flame. The burner increases heat transfer rates while decreasing flame temperatures to improve furnace production rates and thermal efficiency.

The high-luminosity, low-NO_x burner combines a preheating zone with two combustion zones. First, a small fraction of the natural gas is burned. The products of this combustion are then mixed with the main supply of natural gas, resulting in hydrocarbon soot precursors generated in an oxygen-free heating environment. Next, the preheated natural gas enters the first, fuel-rich combustion zone in which soot forms in the flame. However most of the combustion occurs in the second, fuel-lean combustion zone. The burning soot particles create a highly luminous flame that is more thermally efficient and cooler than a typical oxy-fuel flame.



High Luminosity, Low-NO_x Burner Design

Overview

- ◆ Developed and marketed by Combustion Tec, Inc., now Eclipse, Inc.
- ◆ Commercialized in 2002
- ◆ Operating in two U.S. plant in 2005

Applications

Existing and new oxy-fuel glass melters. The largest demand currently exists in the container, fiber, and specialty glass sectors of the glass industry

Capabilities

- ◆ Can be used on new furnaces or retrofit to older ones.
- ◆ Improves furnace production rates as a result of a more than 12% increase in heat transfer rates.

Benefits

Energy Saving and Pollution Reduction

The high luminosity burner technology reduces NO_x emissions from glass melters up to 50% and improves thermal efficiency up to 20% over traditional oxygen fuel burners.

Productivity

The improved burner allows cost-effective compliance with emissions regulations. The technology also provides flexibility for compliance in existing furnaces without major modifications.

Reliability

The technology produces a lower flame temperature and lower exit temperatures, which could extend the furnace life.

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Ceramic Composite Die for Metal Casting

IMPACTS

New Ceramic Composite Materials to Produce Superior, Low Cost Dies

Metalcasting, a major U.S. industry, has long been hampered by the high cost and short life of casting dies. Steel dies often fail prematurely due to metal fatigue cracking, corrosion, erosion, oxidation, heat checking, and soldering when the dies are exposed to molten metals while operating under cyclic-mechanical and thermal loading.

For some applications, coatings are applied to protect the die from the damage inflicted by molten metals. However, these coatings can fail prematurely and tend to interfere with the welding and polishing operations needed during reworking and correcting damages in the die.

With assistance from DOE's Inventions and Innovation Program, the Materials and Electrochemical Research Corporation has developed ceramic composite materials as an alternative to conventional material used in forming casting dies. Ceramic composites can deliver proven stability to molten metals and are resistant to corrosion, erosion, oxidation, thermal fatigue, and cracking. In addition, lower-cost hybrid composites in the nitride/nitridecarbide family have the potential to last up to 10 times longer than coated steel dies with significantly lower weight. These new composites are expected to reduce the cost of many products fabricated in the United States and create stronger competitiveness in the domestic metalcasting industry.

Benefits

Productivity

The composite dies weigh approximately one-third less than traditional tool steel dies. The weight reduction saves time in production by eliminating some of the mechanical moving equipment.

Waste Reduction

The longer life of ceramic dies reduces the amount of waste produced by failed tool steel casting dies. The ceramic dies also produce fewer casting rejections, reducing the energy needed to recycle the rejected castings.

Overview

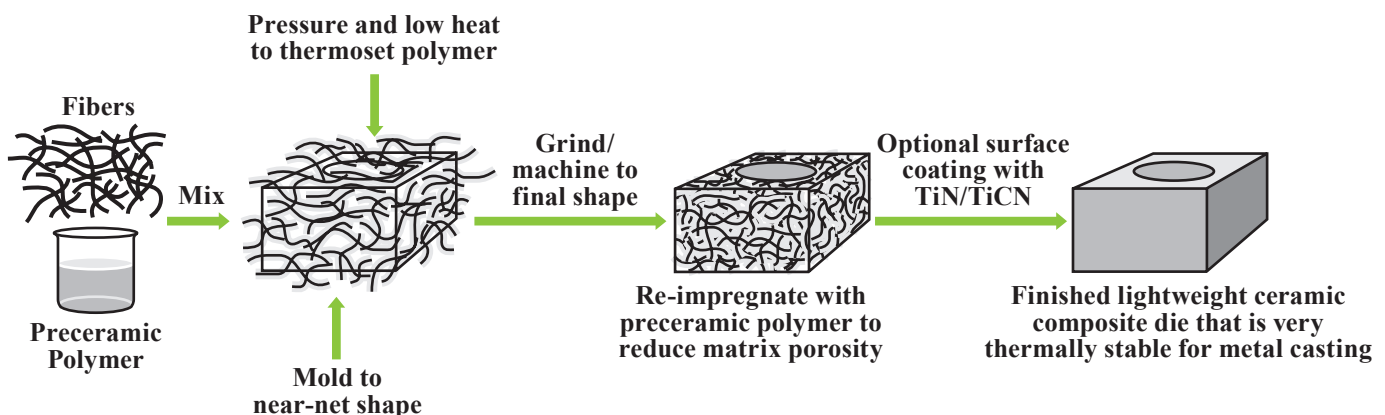
- ◆ Invented by the Materials and Electrochemical Research Corporation
- ◆ Commercialized in 2002
- ◆ Installed in several U.S. locations

Applications

Dies for metal casting, including replacement dies that are currently tool steel

Capabilities

- ◆ Offers resistance to corrosion, erosion, oxidation, thermal fatigue, and cracking.
- ◆ Provides stability when exposed to molten metals.
- ◆ 2 to 5 times harder than tool steels, resulting in 5 to 10 times longer useful die life.



Ceramic Composite Die Forming Process

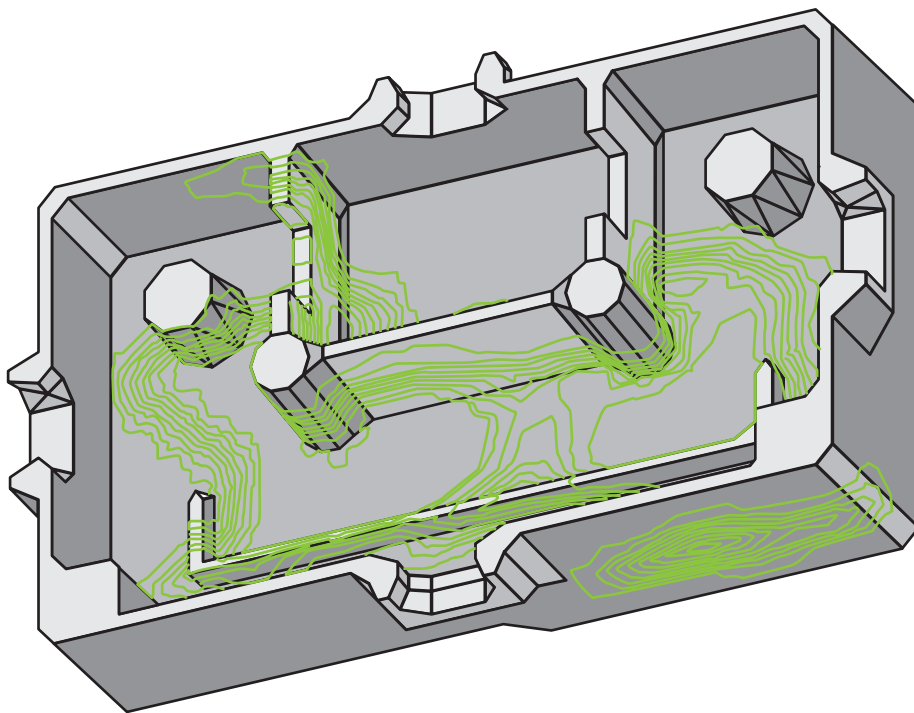
IMPACTS

New Modeling Program Provides Higher Quality Lost Foam Molds

The lost foam casting process produces clean, high-quality castings with close tolerances. The most important advantage is that no cores (with binders) are required. One challenge in lost foam casting is maintaining the uniformity and quality of the expandable polystyrene (EPS) pattern. This has often been the cause of defects in casting. An estimated 80% or more of lost foam defects can be attributed to the pattern, or the so-called white side. Foam molds are complex, and beads must flow through complex passages to completely fill the mold. The process is further complicated by the expansion of the beads.

General Motors Powertrain and others in the metal casting industry have successfully used advanced computational fluid dynamics (CFD) tools to improve foundry processes. These efforts have yielded significant cost savings and improvements in the casting processes. The industry has recognized that mathematics-based tools are needed to design and build consistent, quality EPS patterns for lost foam casting.

Arena-flow, LLC in conjunction with the American Foundry Society, ITP, and the metal casting industry have extended existing flow modeling software to simulate the air-driven blowing of pre-expanded beads into a mold, and the subsequent steaming (expansion) of beads as they form a lost foam pattern. They developed a CFD tool for improving design and development of expandable polystyrene patterns for lost foam castings.



*Expandable Polystyrene Pattern Volume Fraction
During Filling of a General Motors Test Box*

Overview

- ◆ Invented and being marketed by Arena-flow, LLC
- ◆ Being used in 2 U.S. locations

Applications

- ◆ Modeling fluid/particle applications for mold creation in the lost foam casting industry
- ◆ Analysis of other industrial fluid/particle processes, including cyclones or fluidized bed reactors

Capabilities

- ◆ Provides visualization of the mold by using CFD modeling prior to the mold creation.
- ◆ Optimizes vent and fill gun locations.

Benefits

Productivity

Reduces casting defects, requires no cores, and produces higher-quality castings.

Waste Reduction

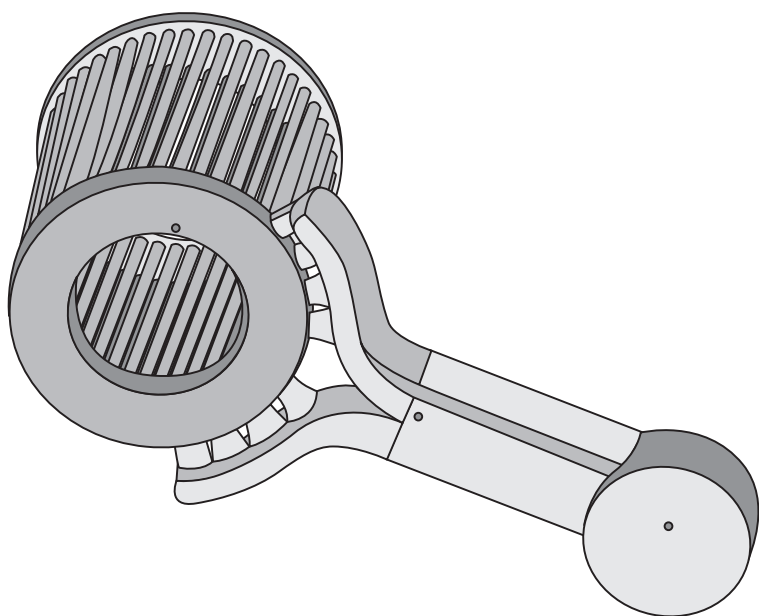
Reduces casting defects on the white side caused by pattern difficulties.

Die Casting Copper Technique Improves Energy Efficiency of Electric Motors

Though it conducts electricity less efficiently than copper, aluminum is the industry's preferred fabrication material in electric induction motor rotors. Traditional tool steel casting molds suffer thermal shock, shortening model life and increasing operating costs when used for die casting copper rotors. ThermoTrex Corporation, with the assistance of a NICE³ grant, proposed a process for copper die casting using molds from high-temperature, thermal shock-resistant materials. The copper industry successfully tested these mold materials for copper die casting at higher temperatures (copper melts at 1083°C, aluminum at 660°C).

The copper die-casting technology developed by the copper industry is now in commercial use. The process replaces the tool steel molds used for the aluminum die casting with molds made from high-temperature die materials. In addition, the new process preheats the die inserts, reduces the temperature differential between the mold surface and the cooler interior, and avoids mold failure from thermal shock and thermal fatigue.

In 2003, SEW Eurodrive of Bruchsal, Germany, was the first company, worldwide, to bring the technology to market. A line of high-efficiency gear motors (1.1-5.5 kW) use copper rotors at a competitive price. Because traditional high-efficiency motors are larger than standard motors, gear boxes using copper rotor technology provide efficiency without increasing motor size. In 2004, FAVI S.A., a major French supplier of copper and copper alloy die castings, began offering custom-designed, copper-based rotors for squirrel-cage electric motors in sizes ranging from fractional to 100 hp.



Squirrel-Cage Motor with Die Cast Copper Rotors

Overview

- ◆ Invented by the ThermoTrex Corporation and commercialized by the Copper Development Association
- ◆ Marketed by SEW Eurodrive and FAVI S.A.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.022	0.012

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.003	0.002	0.242

Applications

Electric motors are used throughout U.S. industry and account for more than 60% of all electricity use in the nation. The annual market for electric motors totals about \$35 billion internationally and about \$10 billion in the United States.

Capabilities

- ◆ Reduces electric motor total energy loss by 15% to 20%.
- ◆ Decreases operating costs compared with conventional motors.

Benefits

Productivity

The new technique reduces production time and hand labor compared with former methods of producing copper motor rotors.

Profitability

Motors using copper rotors decrease operating costs compared with conventional motors.

Improved Magnesium Molding Process (Thixomolding)

IMPACTS

Improved Die Casting Process Substantially Reduces Energy, Waste, and Operating Costs

Traditionally, die-cast molding results in product yields of 50% and creates waste – scrap, slag, and dross. The Thixomolding process, developed and demonstrated by Thixomat, Inc., with the help of a NICE³ grant, improves product yields to 90% while eliminating waste and loss of product to melting. The process is worker and environmentally friendly and can be integrated into automated manufacturing processes to produce metal and metal/plastic assemblies.

In Thixomolding, room-temperature magnesium chips are fed through a volumetric feeder into the back end of a heated barrel that contains an argon atmosphere to prevent oxidation. Within the barrel, a rotating screw propels the material forward as the screw retracts. Resistance heaters on the outside of the barrel, arranged in 10 separately controlled zones, heat the material to the semi-solid region (approximately 560°C to 630°C). Once the magnesium is heated, the screw rotation provides the necessary shearing force to divide the dendrites from the root solid particles. This action creates a thixotropic slurry consisting of spherical solid particles in a continuous liquid matrix. The slurry is forced through a non-return valve and into the accumulation zone. When the proper amount of slurry is in front of the non-return valve, the screw proceeds forward at a speed of 1 to 5 m/s, forcing the metal into a preheated metal mold to produce a net or near-net shape part requiring few, if any, secondary operations. The process offers numerous cost advantages over other production methods, including higher yield, increased die life, lower utility costs, consistency of process, tighter dimensional tolerances, and improved manufacturing agility.

Benefits

Cost Savings

Reduces operating costs by 20%.

Environmental

Significantly reduces pollutant emissions and eliminates the use of sulfur hexafluoride. Eliminates slag and dross and their disposal problems.

Waste Reduction

Reduces scrap to be recycled by 50%.

Overview

- ◆ Developed by Thixomat, Inc.
- ◆ More than 50 Thixomolding licensees in 2005.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.001	0.001

Emissions Reductions

(Thousand Tons, 2005)

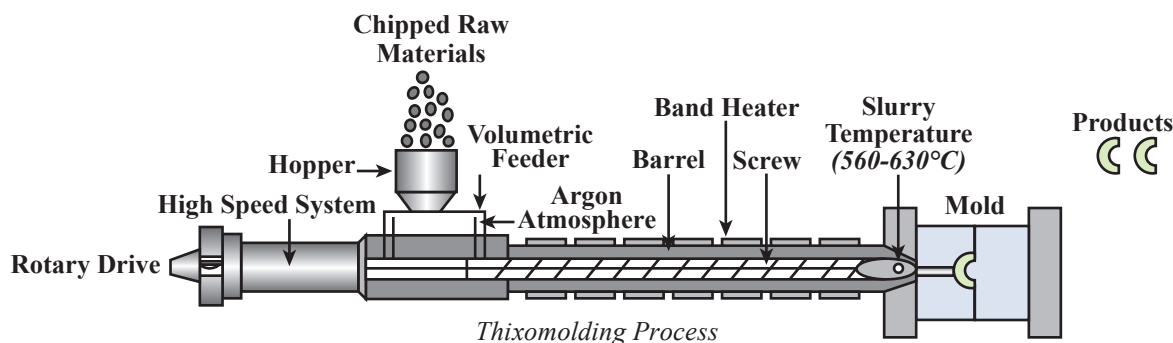
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.010

Applications

Automotive, electronics, communications, and hand tool industries

Capabilities

- ◆ Produces thinner, lighter, and stronger parts than possible with engineered plastics.
- ◆ Provides excellent dimensional stability (0.001 mm/mm), low porosity, tighter part tolerances, minimum shrinkage (0.5%), low residual stress, and virtually no component distortion.



Improvement of the Lost Foam Casting Process

IMPACTS

Improved Process Reduces Energy Use, Waste and Emissions, While Lowering Product Defects and Costs

Casting is an energy-intensive manufacturing process within the metal casting and aluminum industries, requiring natural gas to melt aluminum and electricity to run equipment. The higher-than-acceptable faults and scrap rates in the lost foam casting process for the complex L61 engine previously resulted from the inability to control and measure refractory coating thickness and to control particle size and the shape of the unbonded sand. Replacing or re-melting defective castings adds to overall energy costs, emissions, and use of resources.

The lost foam casting process starts with a foam pattern of the desired end-product made out of polystyrene beads. The foam pattern is coated with a thin refractory film and placed into dry, unbonded sand that is compacted by vibration. Molten metal, poured into the sand casting through a spure, evaporates and replaces the foam, producing a metal casting that is nearly identical to the foam pattern. The foam vapor passes through the pores in the refractory coating and the sand. This process enables the joining of several components within a single casting, thereby curtailing downstream machining and assembly.

With the assistance of a NICE³ grant and the New York State Energy Research and Development Authority, General Motors Corporation has developed tools to precisely measure dried coating thickness and pore size distribution, more accurately measure the size and shape of sand used in casting, and better understand the rheology of coatings. Rheology affects both coating thickness and uniformity on foam patterns. Coating thickness controls the permeability of gaseous expanded polystyrene by-products, which is directly related to casting defects such as porosity and folds. Therefore, measuring the rheological properties of the lost foam coating is critical to minimizing casting defects.

Benefits

Cost Savings

Reduces costs for polystyrene beads, glue, coating, sand, aluminum, cleaning media, and labor by \$900,000 to \$1.5 million annually.

Environmental

Reduces harmful incinerator emissions and sand waste by 2.2 to 3.5 tons a year.

Product Quality

Improves product quality 5% to 8% over conventional lost foam casting and significantly reduces scrap rates.

Overview

- ◆ Developed by General Motors Corporation
- ◆ Commercialized in 2004
- ◆ Employed at 3 General Motors casting facilities

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.978	0.489

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.001	0.045	0.066	8.56

Applications

Metal casting and aluminum industries

Capabilities

Significantly reduces aluminum and sand scrap rates during production of the complex General Motors L61 engine.

Low Permeability Components for Aluminum Melting and Casting

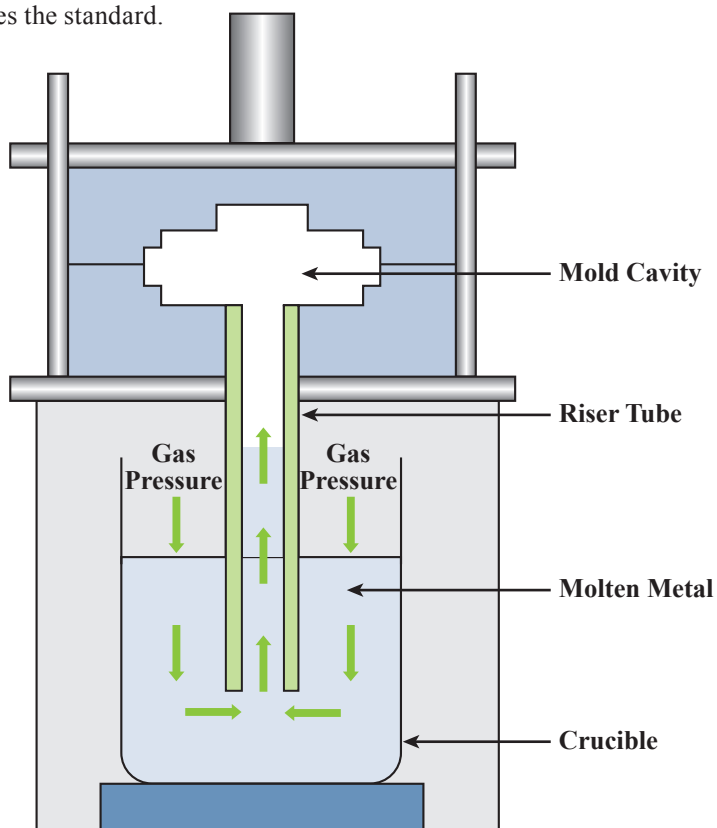
IMPACTS

New Low Permeability Coating Improves Durability and Life of Aluminum Casting Components

Materials for low-pressure casting operations typically have limited lifetimes. New, optimized coatings for ceramics and refractory components have been developed by Pyrotek, Inc., Oak Ridge National Laboratory, and the University of Missouri with support from a DOE ITP grant. The new materials exhibit low permeability to gases for applications involving low-pressure casting and contact with molten aluminum. The products treated with this new technology will have improved coatings, functionally graded materials, and monolithics that will hold gas pressure.

The new materials include enhanced combinations of properties, including resistance to thermal shock, erosion, corrosion, and wetting. When these materials are successfully deployed in aluminum smelting and casting operations, their superior performance and durability will achieve marked improvements in uptime, defect reduction, scrap/rework costs, and overall energy savings.

Initial applications of this technology, labeled “XL” glaze, include riser tubes in low-pressure die casting of aluminum products. The reduced porosity of the new ceramic coating material improves the component’s air tightness, which reduces tube failures. Testing shows that the improved tube coatings increase the life of the component 3-4 times the standard, depending on the application and coating material. Additional work is underway on a castable material system that will incorporate the benefits of the “XL” coating in the cast material itself. This product is expected to increase component life by up to 7 times the standard.



Aluminum Casting Riser Tube with Pyrotek's Low-Permeability Coating

Overview

- ◆ Developed by Pyrotek, Inc.
- ◆ Commercialized in 2005

Applications

Aluminum casting and chemical reaction processes where riser tube and other material flow components are subject to extreme temperatures or caustic chemical streams and replacement of process components is costly and time-consuming

Capabilities

- ◆ Extends tube component life 3-4 times.
- ◆ Increases component reliability.

Benefits

Energy Savings

Eliminates reheating energy by reducing waste.

Productivity

Reduces production downtime because components have longer lifetimes.

Product Quality

Increases the life of process components.

New Software Program Helps Detect Potential Design Problems in Die Casting

With funding from DOE and the North American Die Casting Association (NADCA), a software program has been developed that offers a simple qualitative method to visualize potential design problems in die casting. CastView™ is a PC-based modeling program for die casting flow simulation. It is based on a qualitative analysis of part geometry that yields extremely fast analysis times. The program uses imported STL files so a solid model does not have to be constructed. The user can select gate sizes and locations, and the program provides a visualization of how the die cavity fills. A typical analysis can be made in a matter of minutes, making multiple iterations quick and manageable. A “thickness” feature allows the user to find the thickest and thinnest sections of the casting geometry quickly and visualize the first and last area to solidify.

Using a standard computer interface and intuitive viewing controls, CastView points casting and die designers to the potential problem areas they may want to focus on using a more detailed, mathematically-based simulation program. CastView is an excellent front-end complement to the commercially available, mathematically-based computer modeling programs.

Benefits

Energy and Environmental Savings

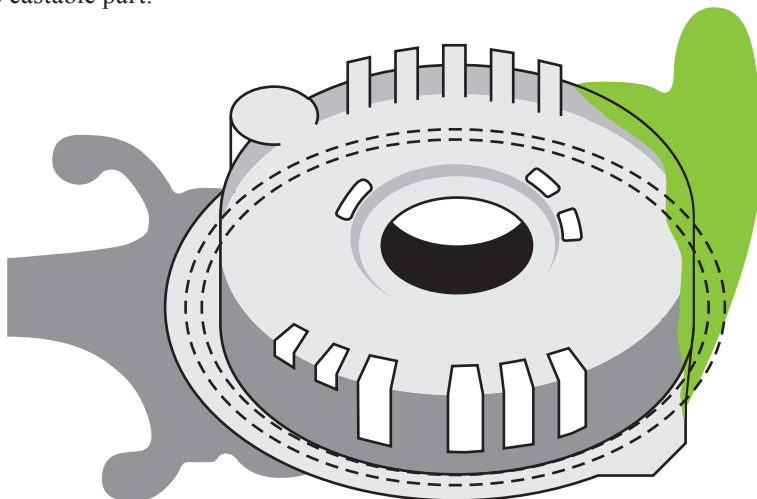
Process scrap can be reduced by 20% or more, resulting in increased yield and saving the energy formerly wasted producing defective parts.

Productivity

By promoting compatibility between die casting part and die design, part development lead-time and tryout/setup time can be reduced significantly.

Profitability

Detecting problems early in the process enables the die caster to negotiate a modification of the part geometry with the part designer to achieve a more castable part.



CastView Pattern

Overview

- ◆ Commercialized by the North American Die Casting Association
- ◆ Commercialized in 1999
- ◆ 139 units sold to date

Applications

CastView can be used in the die casting industry by both designers and die casters to visualize, identify, and resolve potential die casting design problems while still in the design stage

Capabilities

- ◆ Improves communications between die casters and designers.
- ◆ Allows quick evaluations of a large number of design alternatives.
- ◆ Locates and displays thick and thin sections in the die.
- ◆ Minimizes flow-related filling problems.
- ◆ Minimizes thermal problems in the casting die.
- ◆ Minimizes solidification-related defects in the cast part.
- ◆ Allows more and easier to use controls for the rotation of the part for all views.
- ◆ Provides functions to test for bad STL files thus eliminating many problems associated with bad data.
- ◆ Includes print and save functions so that the analysis results can be recorded as bitmaps for use in other programs and documents.
- ◆ Includes an expanded animation function that includes slice mode animation allowing operator to automatically produce a sequence of slices through the part.

Titanium Matrix Composite Tooling Material for Aluminum Die Castings

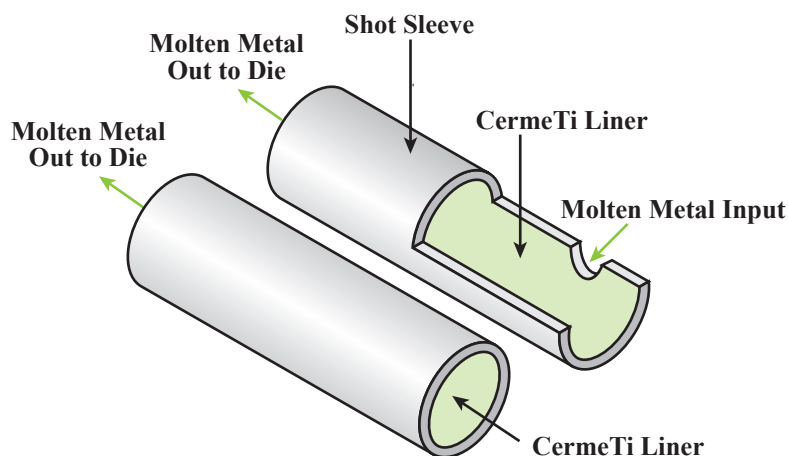
IMPACTS

Innovative Material Saves Energy and Extends Product Life In Aluminum Die-Casting Components

In aluminum die-casting, molten aluminum is forced under high pressure into a die cavity. First a “shot” of molten aluminum is ladled into a shot sleeve and the shot of molten aluminum is forced by a plunger through the shot sleeve into the die cavity. Shot sleeves are subject to severe conditions. For example, impingement of the shot can cause erosion at the surface across from the pour hole, and delivering and then expelling the shot can subject the shot sleeve to cyclical heating.

Currently, H-13 tool steel is used to fabricate shot sleeves and other aluminum die-casting components. However, the useful life of H-13 is limited because molten aluminum adheres (called “aluminum soldering”) to the surface of the steel, eventually causing the sleeve to fail. Also, H-13 has poor resistance to heat checking, thermal fatigue, erosion, and distortion. The poor performance of H-13 results in frequent shot sleeve replacements.

With the help of a NICE³ grant, Dynamet Technology, Inc., developed CermeTi®, a titanium-alloy metal matrix composite material that is used as a liner inserted into an H-13 shot sleeve. This new technology has significant advantages over the conventional technology, especially in its resistance to aluminum soldering and erosion. In addition, the reduced thermal conductivity of the CermeTi liner reduces heat loss during the injection phase of the casting process. Slower cooling permits the use of lower pouring temperatures (less preheat energy) or slower plunger-tip speeds (less turbulence or surface impingement problems within the die). As a result, the useful life of the shot sleeve is dramatically improved, reducing downtime, improving product quality, and saving energy.



Aluminum Die-Casting Shot Sleeves with CermeTi® Liners

Overview

- ◆ Developed by Dynamet Technology, Inc.
- ◆ Commercialized in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.008	0.008

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.001	0.134

Applications

Metal casting applications currently using H-13 shot sleeves, including squeeze casting, conventional die-casting, and semi-solid processing.

Capabilities

- ◆ Enhances thermal shock resistance through excellent resistance to aluminum soldering and lower thermal conductivity than H-13 steel.
- ◆ Reduces the tendency of premature metal solidification that impedes the flow of molten metal needed to feed the casting properly.

Benefits

Cost Savings

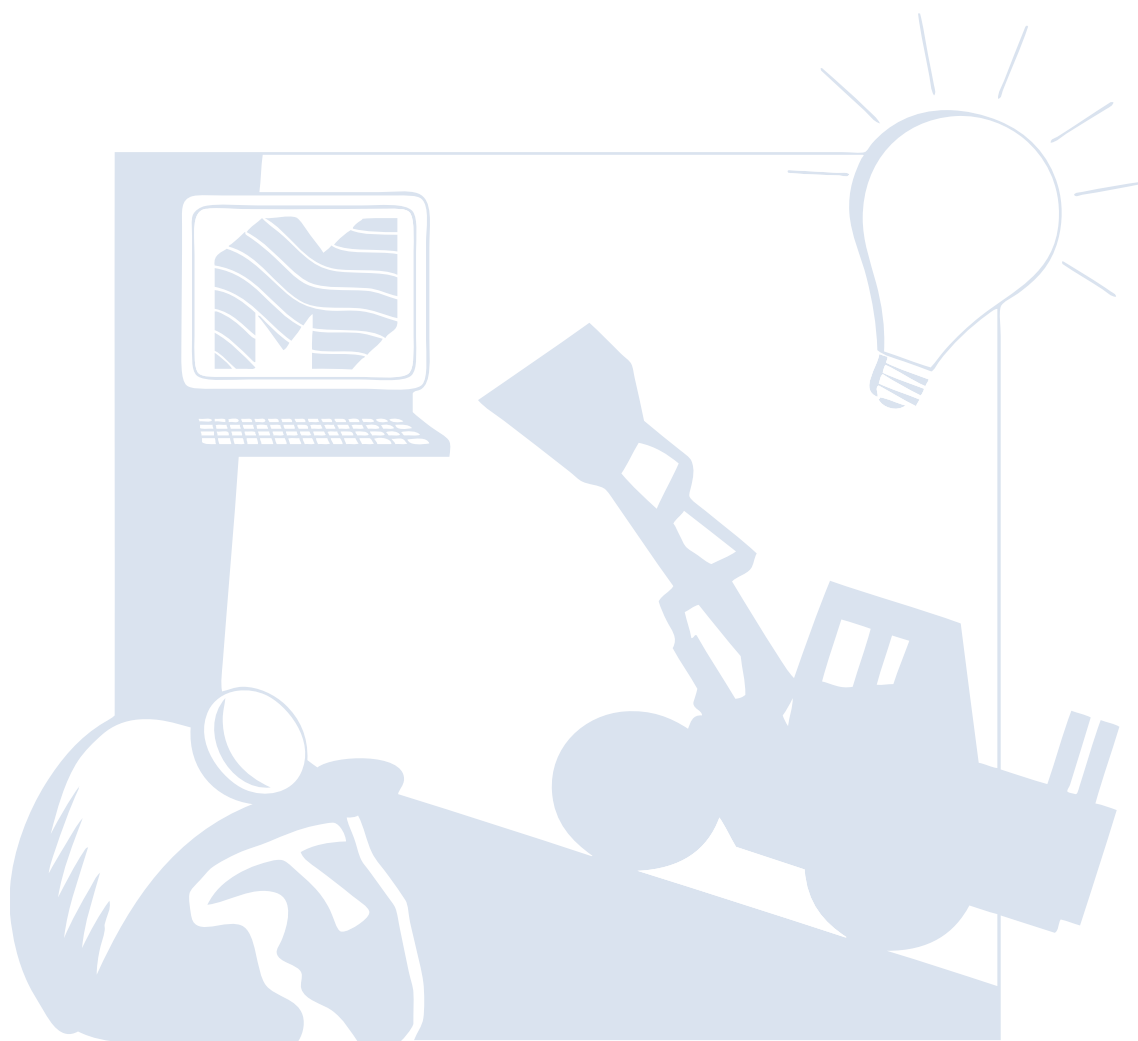
Reduces total process costs by 3%.

Productivity

Extends sleeve life by 4 to 10 times over H-13 steel, reduces downtime as a result of fewer shot sleeve changeovers, and enables longer plunger tip life.

IMPACTS

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Fibrous Monoliths as Wear-Resistant Components

IMPACTS

New Composite Material Improves the Cost/Performance Ratio of Drill Bits

Advanced Ceramics Research (ACR) led a collaborative effort of component manufacturers, end users, a national laboratory, and universities to develop fibrous monoliths (FMs) for mining applications. ACR licensed the technology to Smith Bits of Houston, Texas, one of the world's largest oil and drill bit manufacturers. Smith Bits demonstrated nearly a 3 to 1 oil drilling performance increase using FM technology compared with state-of-the-art diamond-coated drill bits. ACR also started a joint commercialization program with Kyocera Corporation to apply FM technology to industrial cutting tools.

Smith Bits uses the FM composites in Cellular Diamond™ inserts for drilling and high-impact applications. FMs are produced using a simple process in which sets of inexpensive, thermodynamically compatible ceramic and/or metal powders are blended with thermoplastic polymer binders and then co-extruded to form a green fiber. The green composite fiber is extruded and thermoformed into the shape of the desired component, pyrolyzed to remove the polymer binder, and consolidated at ultrahigh pressure and temperature to obtain the final FM product. The new FM manufacturing process produces ultra-hard inserts for roller cone bits.

Overview

- ◆ Collaboratively developed by a collaboration of a national laboratory, universities, and private companies led by Advanced Ceramics Research, Inc.
- ◆ Currently licensed to Smith Bits, a subsidiary of Smith International, Inc., for use on drill bits

Applications

Wear-resistant components for drilling

Capabilities

FM composites have very high fracture energies, damage tolerance, and graceful failure.

Benefits

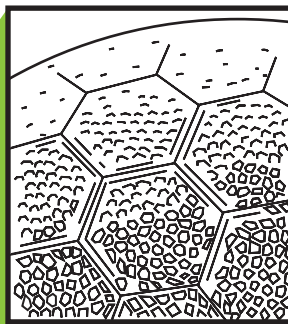
Energy Savings

Reduces energy consumption by more efficient use of the drill machinery and less downtime.

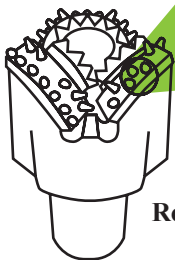
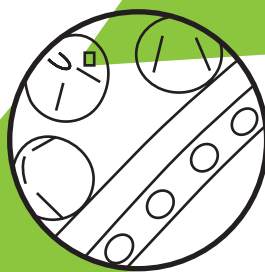
Productivity

Increases the cost/performance ratio of wear materials and components and increases employee output.

Closeup of Fibrous Monolith Microstructure



Detail of Blowup



Roller Cone Drill Bit

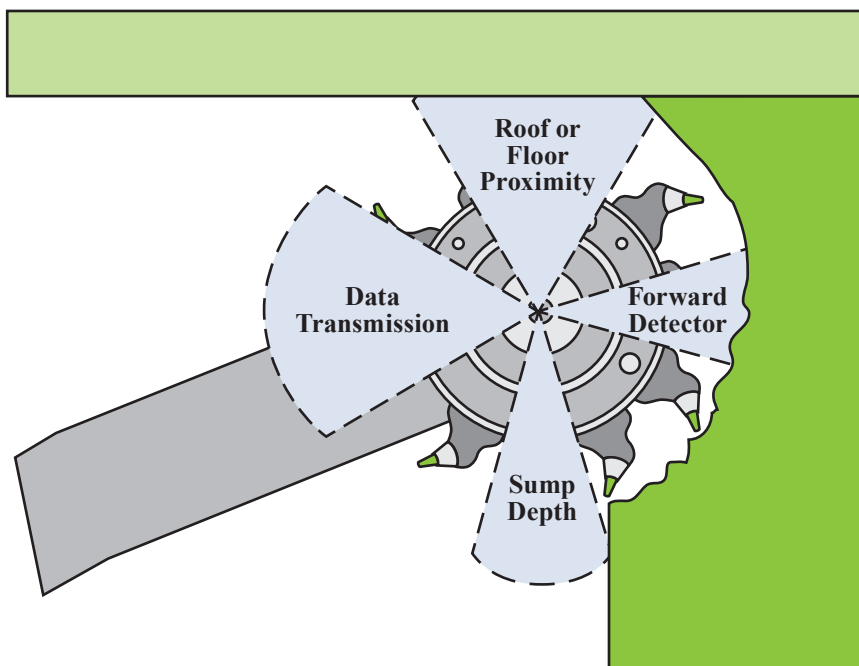
Roller Cone Drill Bit with Fibrous Monolith Inserts

IMPACTS

Remote Sensing Cuts Coal and Other Minerals More Efficiently

Future mining will be from deeper and thinner seams; profiles of deep coal seams reveal multiple levels of coal and sediment strata or layers. Some of these layers contain greater levels of pollutants than others, which results in more effort to clean the coal once it is removed from the ground and more emissions when it is burned for fuel.

With the aid of a DOE grant, Stolar Horizon, Inc., developed the Horizon Sensor to distinguish between the different layers of coal. Miners can use this technology at remote locations to cut only the clean coal, resulting in a much more efficient overall process. The sensor, located inches from the cutting bits, is based on the physics principle of resonant microstrip patch antenna (RMPA). When it is in proximity of the rock-coal interface, the RMPA impedance varies depending on the thickness of uncut coal. The impedance is measured by the computer-controlled electronics and then is sent by radiowaves to the mining machine. The worker at the machine can read the data via a graphical user interface, which displays a color-coded image of the coal being cut, and direct the machine appropriately.



Functions Performed by the Horizon Sensor Mounted on the Cutting Edge of a Continuous Mining Machine

Overview

- ◆ Developed by Stolar Horizon, Inc.
- ◆ Commercialized in 2002
- ◆ Used in 10 different mines within the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.189	0.020

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.004	0.003	0.403

Applications

Both underground and surface mining operations. This technology is primarily used in the coal industry but is also used to mine trona and potash.

Capabilities

- ◆ Improves the quality of coal extracted from mines.
- ◆ Allows for deeper mining.
- ◆ Is used remotely for miner safety.

Benefits

Productivity

Extracting only desired material increases productivity by reducing or eliminating the cleaning step after extraction. This technology also allows for deeper mining, resulting in more material obtained from one location. Also, keeping the cutting bits out of rock results in longer bit life.

Safety

The remote sensing tool allows workers to operate the machinery away from the hazards of cutting coal, including noise, dust and gases, and coal and rock splintering and outbursts.

Radio-Imaging Method (RIM™) Improves Mine Planning and Products

Coal mining is becoming more difficult as machines must extract the coal from deeper, thinner, and more geologically complex coal beds. This type of mining also includes the need to reduce risk and costs.

To address these mining issues, Stolar Horizon, with support of a DOE grant, redesigned and improved a technology developed twenty years ago. The Radio-Imaging Method (RIM) uses wireless synchronization between a transmitter and remote imaging receiver to detect geologic formations up to 1,800 feet ahead.

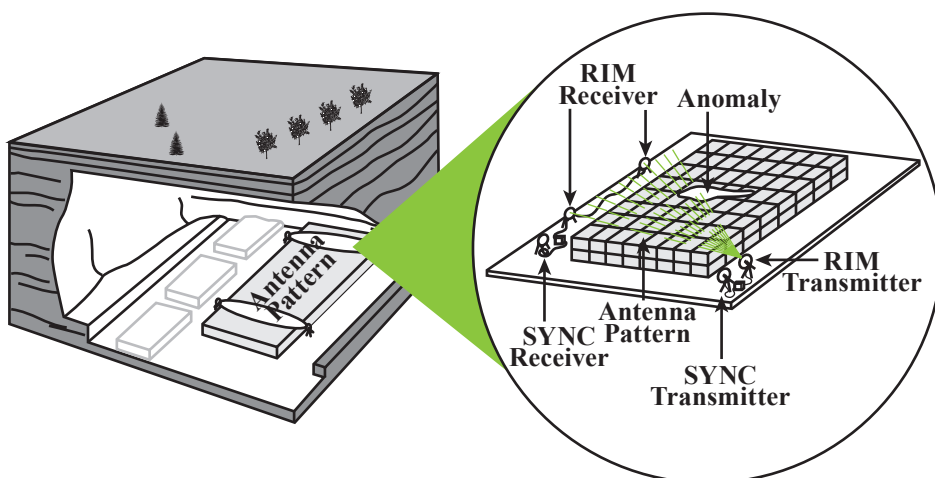
In layered sedimentary geology, a natural coal seam waveguide occurs because of the 10:1 contrast in conductivities between coal and surrounding materials. The electromagnetic wave sent by RIM through the rock reacts to these properties with a detectable change in magnitude because it is very sensitive to changes in the waveguide geology.

The information from RIM can be used to produce an image that maps out the dikes, faults, and paleochannels for more targeted mining. Areas of high signal loss represent geologic anomalies and can be imaged to high resolution using tomographic reconstructions similar to CAT scans.

Benefits

Productivity and Profitability

In mining, forward imaging with confirmation will reduce the risk of interrupting production because of adverse geologic conditions. When RIM is integrated into the planning of underground mining, forecasting production can improve 10 percent, which in turn increases profits.



In-Mine RIM Detection System

Overview

- ◆ Developed by Stolar Horizon, Inc.
- ◆ Commercialized in 2002
- ◆ Used in 17 different mines in the United States through 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
3.98	2.34

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.011	0.505	0.377	46.0

Applications

Both underground and surface mining operations. This technology is primarily used in the coal industry but has also been used for metalliferous mining, environmental research, and civil engineering applications. Additionally, it has been used to confirm the location of old and abandoned mine works and the integrity of barrier pillars.

Capabilities

- ◆ In-mine RIM detects ore seams and geologic anomalies.
- ◆ Crosswell RIM delineates ore bodies, monitors heap leaches, and detects voids in coal seams.
- ◆ Drillstring radar for navigation detects voids and confirms geologic anomalies.

Lower-pH Copper Flotation Reagent System

IMPACTS

New Reagent System Improves Recovery, Reducing Energy Use and Air Emissions in the Mining Industry

In the mining industry, flotation is a process that concentrates minerals from their ores prior to metal recovery. Current practice uses slurry pHs in excess of 10, achieved by adding burnt lime (CaO). However, lime production is an energy-intensive process that releases large quantities of carbon dioxide into the atmosphere.

Furthermore, lime has several undesirable properties once it is in the flotation circuit. Lime produces scaling in piping and equipment, requiring the use of descaling reagents. It flocculates fine material and may occlude fine copper-sulfide particles. Lime increases the viscosity of the mineral slurry and tends to hinder aeration, slowing flotation kinetics. In addition, the calcium ion also has been shown to decrease recoveries of lead and molybdenum-sulfides and to reduce the recovery of free gold.

A new reagent system, developed by Versitech Inc., with assistance from DOE's Inventions and Innovation Program, recovers copper minerals at a much lower pH than conventional reagents and avoids floating pyrite. The process reduces or even eliminates both the lime used in copper flotation and the accompanying carbon dioxide. The result is immediate cost and energy savings along with improved recovery of copper and other minerals.

Benefits

Cost Savings

Reduces annual operating costs in a 50,000 ton per day plant by \$1.3 million.

Productivity

Improves mineral recovery in the mill flotation processes and decreases the amount of waste rock.

Overview

- ◆ Developed by Versitech, Inc.
- ◆ Commercialized in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.973	0.973

Emissions Reductions

(Thousand Tons, 2005)

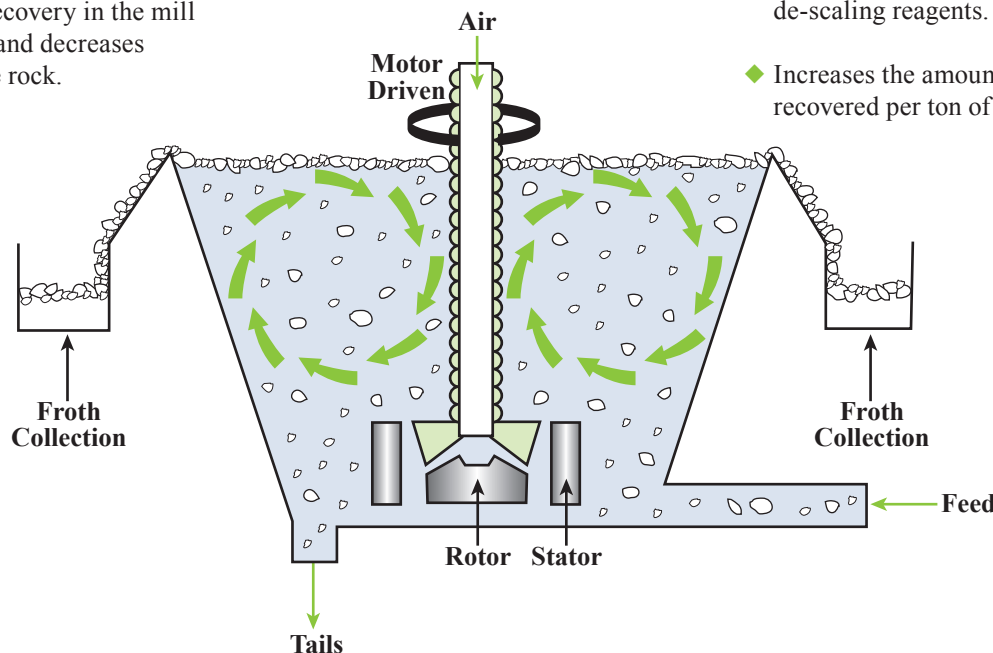
Particulates	SO _x	NO _x	Carbon
0.004	0.210	0.157	19.1

Applications

Mining processes currently using a lime additive in the separation process

Capabilities

- ◆ Reduces or eliminates lime and de-scaling reagents.
- ◆ Increases the amount of copper recovered per ton of mined ore.

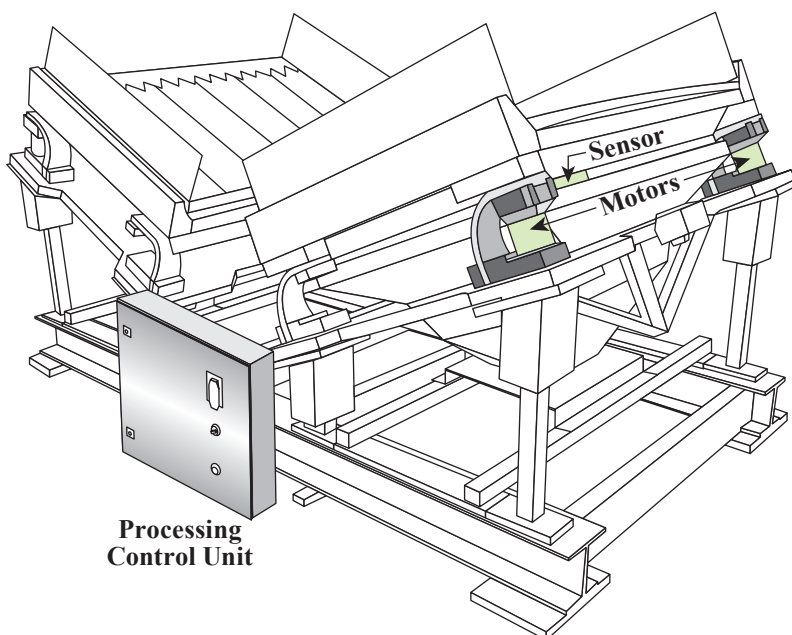


Copper Flotation Reagent System

Smart Screening Systems Will Increase Energy Efficiency And Throughput

In mining, contemporary vibrating screening machines use an electrical motor with an eccentric rotor that generates the shaking motion. These unbalanced electrical rotors are bulky and have high maintenance costs. They also waste significant energy through useless elastic deformation of heavy supporting structure and generate very loud noises and excess heat. Excess heat and mechanical vibration reduces the life of the moving components, such as bearings.

With assistance from ITP, Quality Research, Development, and Consulting (QRDC), Inc., developed a Smart Screening System that controls the flow of energy by directing and confining the energy to the screen rather than shaking the entire support structure. The system saves energy by replacing the massive electrical motor and eccentric shaft, which typically weighs around 1,100 lbs, with miniaturized “smart” motors that weigh only 5 lbs in combination with multi-staged resonators. The processing control unit continuously receives screen panel deflection data taken from the sensor to control the electromagnetic motors. The motors are programmed to vibrate the screening panel at an optimal set rate, even as the material load varies over time, thus optimizing the throughput and energy savings of the screening system. Future designs may incorporate ceramic fibers in sieves so the shaking takes place at the mesh level, further focusing energy in such a way that particles will have a greater opportunity to pass through the openings.



Smart Screening System Components

Overview

- ◆ Developed by QRDC and manufactured and sold by Smart Screening Systems, Inc.
- ◆ Commercialized in 2003
- ◆ 44 systems operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.003	0.001

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.029

Applications

All mined materials that must pass through a positive size separation process using vibrating screens

Capabilities

- ◆ Vibrates only the “live” system components rather than the entire machine and supporting structure in the material separation process.
- ◆ Allows for a smaller physical structure to achieve a given process objective.

Benefits

Operation and Maintenance

Reduces maintenance costs in screening operations and eliminates the need for lubrication.

Productivity

Improves screening efficiency and capacity as well as overall process throughput.

Safety

Reduces noise and vibration levels increasing worker safety and health.

Wireless Telemetry for Mine Monitoring and Emergency Communications

IMPACTS

Replacing Communication Cables Improves Safety, Efficiency, and Cost of Mining

The hard-wired systems currently used in mining to transmit production data, environmental monitoring data, and voice signals to the surface are not reliable in emergency situations because of shifting debris or other hazards. To solve these critical problems, a wireless, through-the-earth telemetry system, TeleMag, was developed with the assistance of DOE's Inventions and Innovation Program. The TeleMag system eliminates the need for wire connections between the surface and mining sites underground.

Additional funding was provided by a grant from ITP to develop a multiple repeater system, ComCell, which provides coverage for handheld wireless radios throughout an underground mine, tunnel, large building, parking garage or other structure where radio communication is difficult to maintain. The system is easily wired. Multi-mode interface provides optional connections to computer networks, telephones systems, the Internet, security systems, etc. The master control module provides central control and automatically directs all functions of the system. ComCell can be wired to the surface or interfaced with the TeleMag system. Feedback from installations indicates that the technology is a significant source of cost and maintenance savings.



Wireless Telemetry Communication System

Overview

- ◆ Invented by Transtek, Inc.
- ◆ Commercialized in 1998
- ◆ As of December 2005 four customers are using 30 units in non-coal U.S. mines

Applications

- ◆ Both systems are useful for all mining situations and other underground work
- ◆ ComCell is applicable to steel-reinforced buildings, tunnels and transit systems

Capabilities

- ◆ Both systems increase communications capabilities among personnel underground providing greater flexibility and mobility in communications.
- ◆ ComCell is not limited by line-of-sight transmission patterns.
- ◆ ComCell relays signals throughout the covered area, penetrating around corners and the UHF frequency band offers excellent signal strength

Benefits

Cost Savings

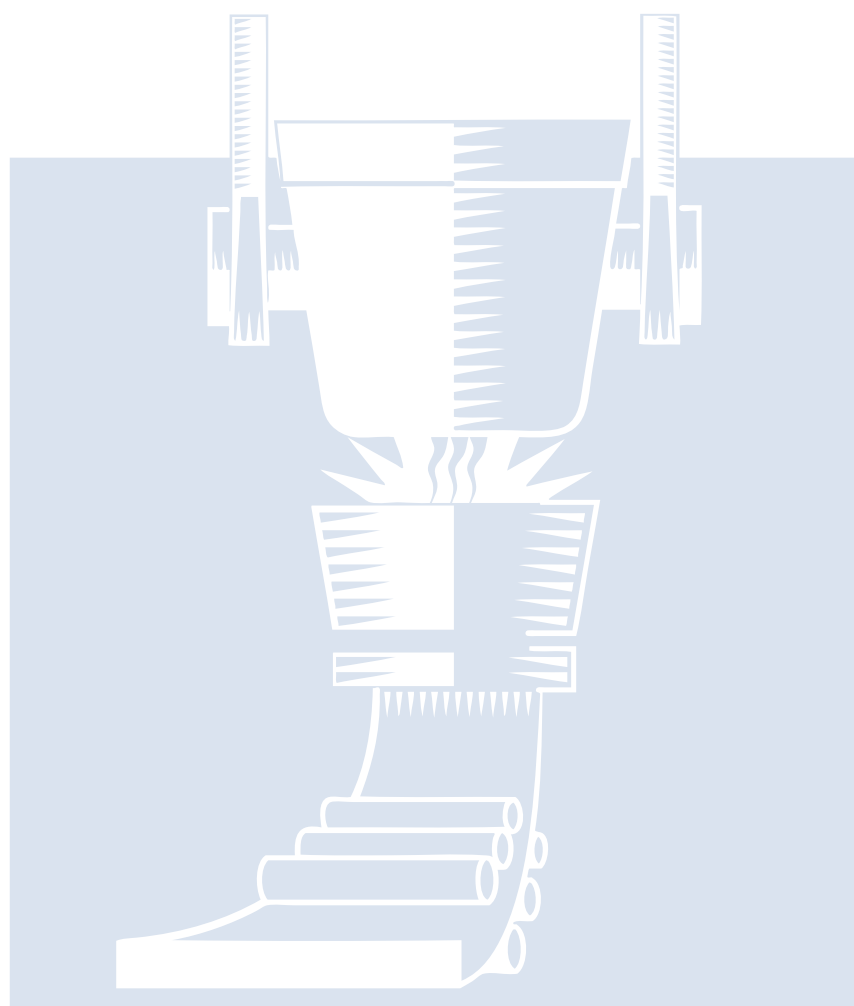
Reduces costs by up to 25% by eliminating the need to purchase, install, and maintain communication cables. Reduces unplanned downtime, thereby also saving costs.

Worker Safety and Health

Increases the safety and acceptability of coal mining as an energy source, thereby augmenting the energy supply. Improves safety by the system's ability to provide uninterrupted communications.

IMPACTS

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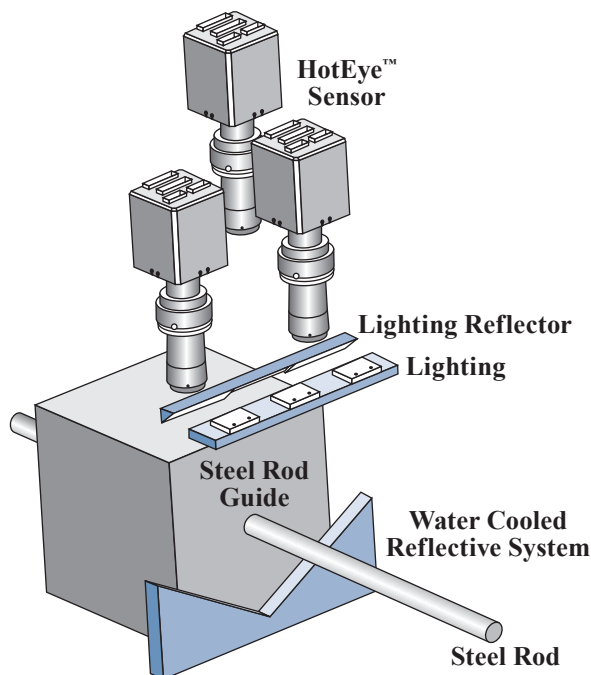
Automatic High-Temperature Steel Inspection and Advice System

IMPACTS

Unique Measurement System Enhances Process Control, Reduces Scrap, and Saves Energy

A new inspection system, the HotEye™ Rolled Steel Bar (RSB) System, has been developed and demonstrated by OG Technologies (OGT) Inc., with the help of a NICE³ grant. The HotEye RSB System is based on OGT's HotEye System and integrates it with a dynamic control plan (DCP) for hot steel processes. The HotEye System accurately and reliably measures a part's dimensions and detects its surface features, including defects, while it is still red hot, i.e. at temperatures of up to 1550°C. Current measurement systems cannot be used until the parts cool down, which results in higher scrap rates once defects are detected. The DCP classifies some defects from production and identifies their root causes and corrective actions. The DCP's effectiveness depends on instruments that can detect quantitative quality information in real-time in a hostile operating environment. The HotEye RSB System provides real-time process control to increase yields 2.5% in continuous casting and hot rolling steel mills, saving energy, improving quality, and increasing productivity.

The HotEye RSB System consists of three HotEye imaging sensors, four powerful PC's, modulating devices for the lighting system, proprietary image processing software, the software version of the steel rolling DCP, and an enclosure to protect the hardware and software from the effects of the harsh operating environment in a steel mill. The HotEye RSB System will automatically (1) inspect 100% of the surface of the product in-line; (2) identify defects as small as 0.025 mm; (3) analyze and record the size, nature, and location of the defects; (4) measure 100% of the dimensions of the product; and (5) generate process correction advice based on the DCP, while the product is at a temperature up to 1550°C and moving at a speed up to 100 m/second.



Design of the HotEye RSB Sensor System

Overview

- ◆ Developed by OG Technologies, Inc.
- ◆ Commercialized in 2004
- ◆ Operating in three U.S. and two foreign steel mills in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
2.04	1.53

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.179	24.3

Applications

The HotEye RSB System can be used in steel hot rolling mills and continued casting processes

Capabilities

- ◆ Inspects 100% of product surface on-line.
- ◆ Identifies defects as small as 0.025 mm.
- ◆ Performs inspections while the product is at temperatures of up to 1550°C and moving at 100 m/second.

Benefits

Employee Safety

Allows the inspection of parts at temperatures of up to 1550° C remotely, reducing employee burns.

Profitability and Productivity

Detects and identifies production flaws quickly and reduces the scrap rate from the process by 50%.

DOE Industrial Technologies Program

Dilute Oxygen Combustion System

IMPACTS

Dilute Oxygen Combustion Improves Reheat Furnace Performance and Provides Very Low NO_x Emissions

The Dilute Oxygen Combustion (DOC) system provides competitive rolling mill operators with higher productivity reheat furnaces without high capital and operating costs or increased NO_x emissions. By replacing combustion air with oxygen, DOC needs less fuel to heat steel and also gives lower flue gas temperatures. These features allow a reheat furnace to operate economically at higher production rates. The DOC system injects the fuel gas and oxygen into the furnace as distinct, high-velocity jets through separate lances rather than through a single burner. The jets mix with the hot furnace gases before reacting with each other. This dilution effect prevents the high peak flame temperatures that are responsible for NO_x generation, providing low NO_x levels even with high nitrogen levels for the furnace. Because the flue gas is recirculated aerodynamically within the furnace, the DOC system is simpler and less expensive to install compared with conventional flue gas recirculation systems. In addition, the wide, diffuse flame from the DOC system provides exceptionally uniform heating of the steel, leading to better rolling mill performance and lower reject rates.

Benefits

Energy Savings

Results in fuel savings of up to 50% over air-fuel combustion for reheat furnaces.

Productivity and Profitability

Increases productivity 10% to 30% over air-fuel combustion with the simple, low-maintenance combustion system. Improves heating uniformity, giving better quality and fewer rejects in rolled products.

Overview

- ◆ Commercialized by Praxair, Inc.
- ◆ 14 burners operating at two locations in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
7.22	7.17

Emissions Reductions

(Thousand Tons, 2005)

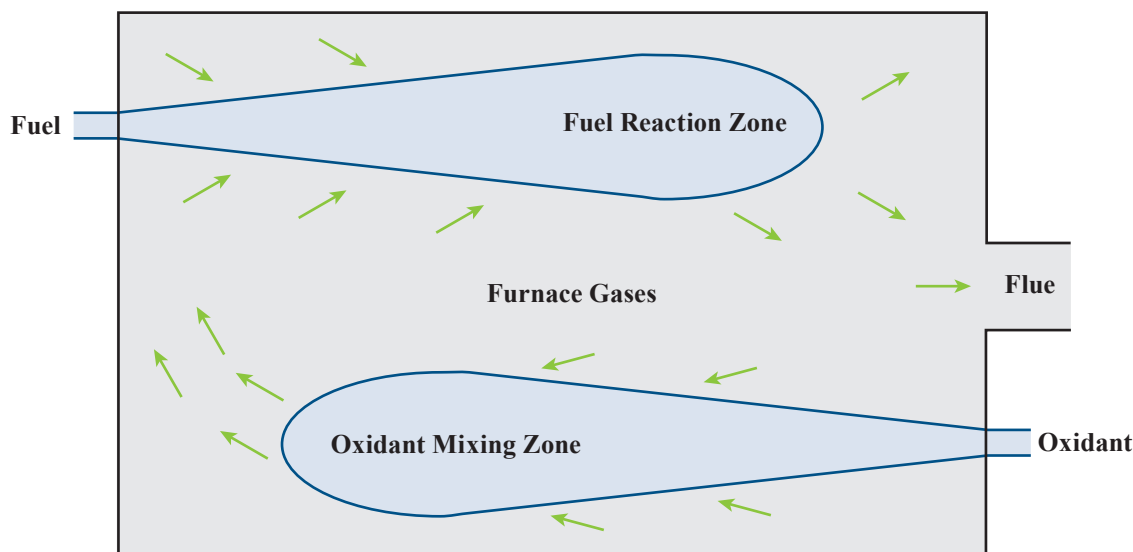
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.839	114

Applications

- ◆ Steel and glass industry
- ◆ Any combustion system

Capabilities

- ◆ Up to 30% increase in furnace capacity.
- ◆ Can be used on continuous or batch reheat furnaces.



Dilute Oxygen Combustion

Electrochemical Dezincing of Steel Scrap

Dezincing of Steel Scrap Reduces Concerns of Recyclability and Waste Streams

Half of the steel produced in the United States is derived from scrap. With zinc-coated prompt scrap increasing fivefold since 1980, steelmakers are feeling the effect of increased contaminant loads on their operations. The greatest concerns are the cost of treatment before disposal of waste dusts and the water associated with remelting zinc-coated scrap.

With financial assistance from ITP, Argonne National Laboratory with Metal Recovery Technologies, Inc., and Meretec Corporation have developed a technology that separates steel scrap into dezincing steel scrap and metallic zinc. The removal of zinc from steel scrap increases the recyclability of the underlying steel, decreases steelmaking dust, and decreases zinc in wastewater streams.

The process consists of two stages: dissolving the zinc coating from scrap in a hot, caustic solution and recovering the zinc from the solution electrolytically. Through a galvanic process, the zinc is removed from the steel and is in solution as sodium zincate ions rather than zinc dust. The steel is then rinsed with water and ready for reuse. Impurities are removed from the zinc solution, and then a voltage is applied in order to grow metallic zinc via an oxidation-reduction reaction. All waste streams in this process are reused.

Benefits

Pollution Reduction

Removal of zinc decreases steelmaking dust released to the air as well as pollutants in wastewater streams. The process itself does not consume any chemicals, other than drag-out losses, and produces only a small amount of waste.

Productivity

Removing zinc prior to processing of scrap saves time and money in disposal of waste dusts and water. Without the zinc, this high-quality scrap does not require extra handling, blending, or sorting for remelting in steelmaking furnaces.

Overview

- ◆ Developed by Argonne National Laboratory
- ◆ Commercialized in 2003
- ◆ Steel scrap sold to several dealers, steel-makers, and foundries after dezincing

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.051	0.021

Emissions Reductions

(Thousand Tons, 2005)

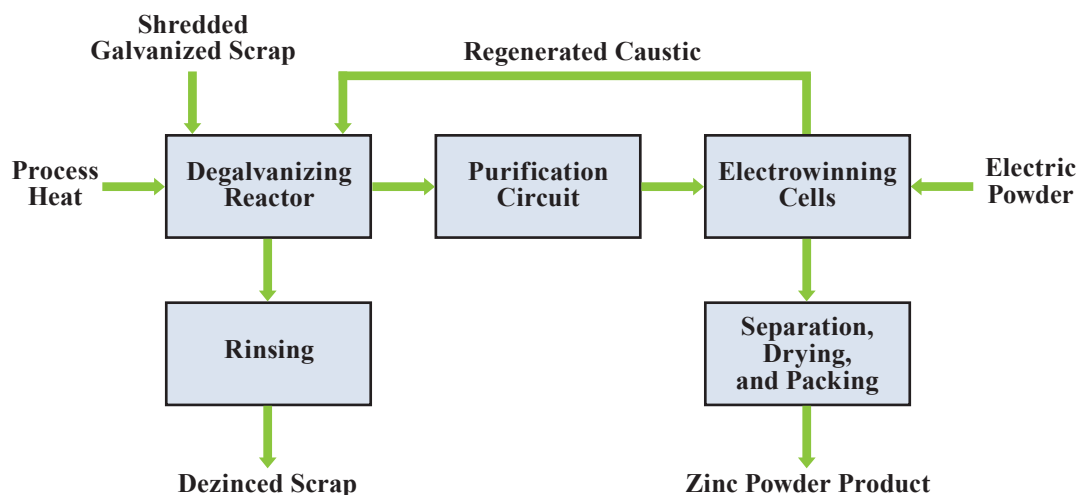
Particulates	SO _x	NO _x	Carbon
0.0	0.013	0.006	0.585

Applications

Primarily the steel and foundry industries.

Capabilities

- ◆ Improves quality of steel scrap that steelmakers can use.
- ◆ Produces 99.8% pure zinc for resale.



Electrochemical Dezincing of Galvanized Steel Scrap

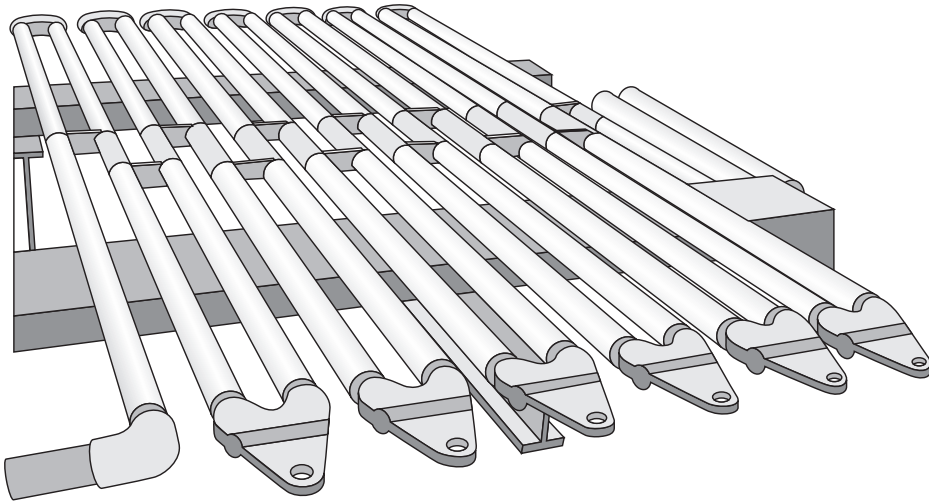
H-Series Cast Austenitic Stainless Steels

IMPACTS

Scientific Design Methodology Used to Develop Stronger Stainless Steels for High-Temperature Applications

Cast H-Series austenitic steels are used extensively in several industries for a broad range of high-temperature applications. The H-Series stainless steels have evolved over many years of complex alloy development that added various alloying elements by trial-and-error methods. The native microstructure established in these austenitic alloys consists of dendritic structures of austenite matrix with finer dispersions of carbides. With the support of a grant from the ITP, a combination of thermodynamic modeling developed at the Oak Ridge National Laboratory, micro-structural characterization, and mechanical property measurements were used to derive composition-structure-property relationships for this class of alloys. With these relationships, Duraloy Technologies, Inc., successfully developed new alloy compositions with improved properties at higher temperatures.

The combined approach of micro-characterization of phases and computational phase prediction permits rapid improvement of a current class of alloy compositions and allows alloys to be customized across steel grades for specific applications. The results of this work increased the high-temperature creep strength and the upper-use temperature range of H-Series stainless steel material including HP and HK alloys. Application of these new products is best suited to radiant burner tubes for annealing furnaces in the steel heat treating industry, tubes for the chemical industry, and transfer rolls and kilns for various high-temperature furnace operations. Other applications in other industries would apply where high temperature operations are required.



Chemical Processing Coils Composed of H-Series Stainless Steel

Overview

- ◆ Developed by Duraloy Technologies, Inc.
- ◆ Commercialized in 2003
- ◆ As of 2005 29 U.S. applications were operating in 4 processing plants

Applications

Many applications in the chemicals, forest products, heat treating, petrochemical, and steel industries including burner tubes for heat-treating furnaces, transfer rolls for heat-treating furnaces, coiler drums and rolls for Steckel mills, and tubes for ethylene cracking and other processes

Capabilities

- ◆ Offers superior toughness over standard H-series steel.
- ◆ Applies to multiple heating processes.

Benefits

Energy Savings

Could save an estimated 35 trillion Btu/year and \$185M/year by 2020.

Productivity

Improved process efficiencies from higher operating temperatures reduce downtime of the production equipment, reduce replacement of components, and increase productivity with reduced rejection.

Laser Contouring System for Refractory Lining Measurements

IMPACTS

Optical Sensor Provides Real-Time Process Control Resulting in Reduced Costs and Improved Performance

A suite of new robust sensors and control systems for base oxygen furnace (BOF) and other steelmaking operations makes possible dynamic process control and rapid assessment of the effectiveness of operations. With ITP support, Process Metrix and the American Iron and Steel Institute developed the Laser Contouring System (LCS) now being sold by Process Metrix. The LCS rapidly measures refractory lining thickness and incorporates high-speed, laser-based distance measuring equipment with a robust mechanical platform and easy-to-use software. With a laser scan rate of over 8,000 points per second, a single vessel scan can include over 500,000 individual contour measurements, providing incredibly detailed contour resolution and accurate bath height determination.

Contour maps of both vessel wall and bottom clearly illustrate lining thickness over the entire vessel interior. Thickness values are displayed both numerically and by color key, immediately revealing regions that might require attention. The report generator automatically prints all of the views and screens needed by the mill to make informed process decisions. New software releases, that include upgrades and feature requests from customers, are made twice annually.

Two principle objectives are emphasized in the mobile platform design: speed and simplicity. Fast measurement times are achieved using a laser-based navigation system. Working from three reflectors mounted on the building structure behind the cart, this system automatically measures the cart position relative to the BOF and reports position information directly to the LCS computer. The navigation system is completely automatic and updates 8 times per second. Process Metrix has also implemented a radio frequency (RF) link that continuously broadcasts the vessel tilt to a receiver located in the cart. The RF-link incorporates 2.4 GigaHertz spread-spectrum technology for interference-free transmission. During the measurement, the RF receiver automatically reports the vessel tilt to the LCS computer. Together, the laser navigation system and RF link enable fast, error-free measurement of the vessel lining thickness. Single measurements can be made in 20-30 seconds. An entire map of the vessel interior, consisting of 4-6 measurements and 500,000+ data points, can be completed in less than 6 minutes.

Fixed position installation is available for converter and ladle applications. This type of installation coupled with the high measurement speed of the LCS enables measurements after every heat with little or no loss of process time.

Overview

- ◆ Commercialized in 2001 by Process Metrix
- ◆ 5 units in operation at four U.S. installations in 2005 and additional units in use overseas

Applications

Rapid measurements of vessel wall and bottom lining thickness in the steel furnace or ladle environments

Capabilities

- ◆ Available as a mobile platform or a fixed position installation.
- ◆ Maps the entire vessel interior in less than 6 minutes.
- ◆ Provides detailed contour resolution and vessel lining thickness with over 500,000 individual contour measurements.

Benefits

Energy Savings

Reduces energy usage via rapid real-time measurements and no loss of process time.

Productivity

Reduces maintenance on BOF refractory via automated furnace inspection.

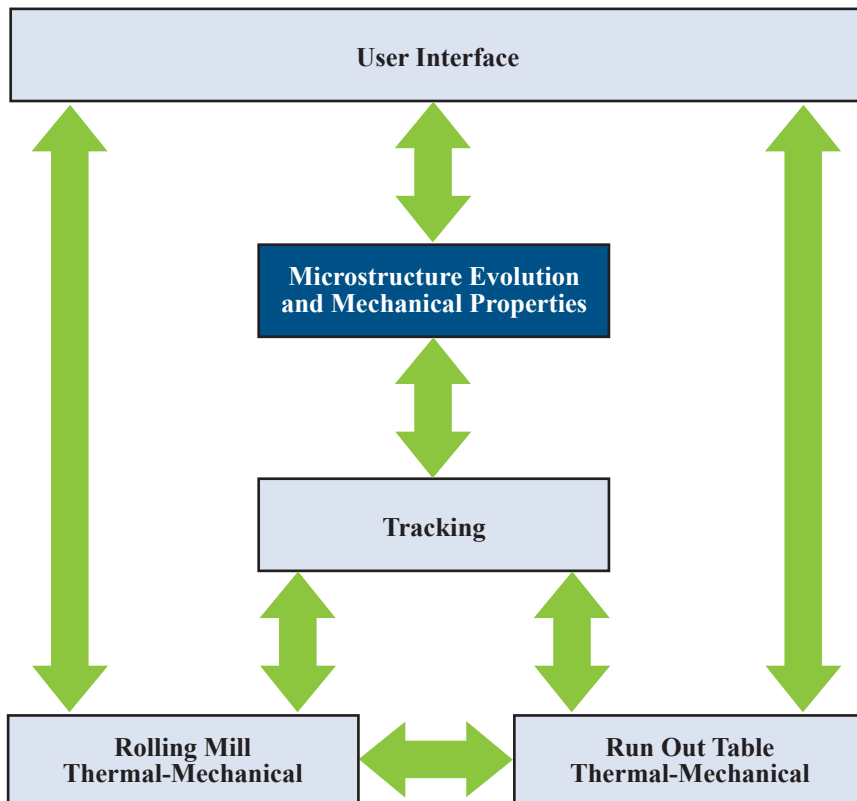
Microstructure Engineering for Hot Strip Mills

IMPACTS

Innovative Model Provides a More Detailed Insight into Mill Operations to Reduce Costs and Improve Quality

Many hot rolled products must achieve strict strength and toughness requirements making control of the microstructure critical. This causes these products to be difficult to make and requires many costly full production trials before the range of both chemical composition and hot strip mill processing parameters can be defined. The Hot Strip Mill Model (HSMM) is an invaluable tool to cost effectively assist in determining the optimum processing conditions to achieve the desired product properties. This model runs in an off-line mode, thereby saving many tons of wasted product that might be scrapped in trying to identify the proper mill set-up.

The HSMM also provides additional savings in grade consolidation, control optimization of new grades, and improvement of mechanical and microstructure properties for downstream processing. The model can consolidate grades by allowing the user to develop different processing setups for the same steel grade that will then achieve the various mechanical properties needed for the different finished products. The HSMM can improve on-line control optimization for new grades by using what is learned from the HSMM to help setup the on-line models so they learn faster how to optimize the processing of the new grade. And finally, processing the steel to achieve the optimum or specific microstructure attributes further improves processing of the product in downstream operations.



Components of the HSMM

Overview

- ◆ Developed by The American Iron and Steel Institute as part of its Advanced Process Control Program and being marketed by INTEG Process Group, Inc.
- ◆ Being used by five U.S. steel companies and nine foreign companies or universities

Applications

The HSMM is applicable to any hot rolling mill that produces sheet or plate products (flat rolled material). The model can handle a variety of rolling mill configurations, including roughing mills, coil boxes, finishing mills, run out tables, and coilers.

Capabilities

- ◆ Allows the user to easily modify the mill configuration or processing parameters to see its impact on the end results of the product being rolled (simulated).
- ◆ Can also be used as a training tool, allowing operators to see the end result for different processing conditions or grades of steel.

Benefits

Competitiveness

Improves industrial competitiveness through product optimization and cost savings.

Productivity

Decreases product variability through the development of a predictive tool, which can quantitatively link the properties of hot rolled product to the operating parameters of the hot strip mills.

Shorter Spheroidizing Annealing Time for Tube/Pipe Manufacturing

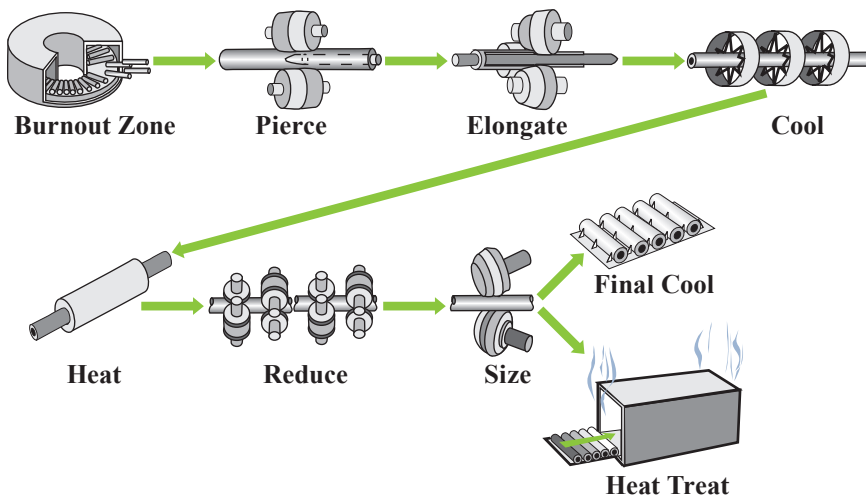
IMPACTS

New Process Results in Productivity Improvements and Energy Savings

The steel industry is working to improve the manufacturing of tubes and pipes while maintaining key steel parameters and reducing the amount of energy used in the process. The Timken Company developed an enhanced spheroidized annealing cycle for through-hardened steel. This technology is a by-product of a larger ITP sponsored project, the “Controlled Thermo-Mechanical Processing (CTMP) of Tubes and Pipes for Enhanced Manufacturing and Performance.”

The spheroidized annealing process changes the hard, elongated carbide particles in the steel to be spherical in shape with a preferred diameter. The size and shape of the original elongated carbides produced by the previous hotworking process influence the ability to spheroidize the carbides. The spheroidized annealing process consists of heating the carbide particles to temperatures at which they form spherical shapes. This entire heating and holding cycle takes 20 to 50 hours. Various combinations of temperatures and times can be used to achieve the desired shape and distribution of the carbide spheres. In this ITP sponsored project, experimentation was conducted to characterize the effect of the original elongated carbides and the annealing times and temperatures on the resulting spheroid size and distribution.

The experimental results helped The Timken Company shorten the annealing cycle time by 20% and condense the number of plant trials to achieve that. The result was an optimized cycle that reduced energy consumption and improved productivity while generating a quality product with the desirable metallurgical properties for forming and machining.



Tube Making Process

Overview

- ◆ Developed by The Timken Company
- ◆ Process being used at two facilities in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.100	0.017

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.002	0.266

Applications

- ◆ Steel tube and pipe manufacturers
- ◆ Specialty metal manufacturers

Capabilities

Shortens annealing cycles and saves energy.

Benefits

Energy Savings

Reduces fuel requirements by reducing annealing cycle time by 20%.

Productivity

Increases productivity approximately 10% due to the reduced cycle time.

Product Quality

Provides the end user with steel that is easily formed and machined with the same desirable metallurgical properties.

IMPACTS

New Nickel Aluminum Transfer Rolls for High-Temperature Applications

A nickel aluminum alloy developed by Oak Ridge National Laboratory (ORNL), in conjunction with ITP, has transformed the steel heat-treating industry. Nickel aluminide is a strong, hard, inter-metallic material that resists wear, deformation, and fatigue from repeated stress or high temperatures. Because the alloy becomes stronger and harder at high temperatures, nickel aluminide transfer rolls are well suited to replace steel transfer rolls in heat-treat roller hearth furnaces.

In the annealing furnace at Bethlehem Steel Burns Harbor Plate Division (now Mittal Burns Harbor Plate Inc.), nickel aluminide inter-metallic alloy rolls provide greater high-temperature strength and wear resistance compared with the conventional H-series cast austenitic alloys currently used in the industry. ORNL and Bethlehem (Mittal) partnered under the U.S. Department of Energy's ITP Emerging Technology Deployment Program to demonstrate and evaluate the nickel aluminide inter-metallic alloy rolls as part of an updated, energy-efficient, large, commercial annealing furnace system.

The project involved developing welding procedures for joining nickel aluminide inter-metallic alloys with H-series austenitic alloys and developing commercial cast roll manufacturing specifications. Several commercial suppliers helped produce a quantity of high quality, reproducible nickel aluminide rolls for a large steel industrial annealing furnace. The capabilities of the rolls in this furnace were then demonstrated and trials were performed to evaluate the benefits of new equipment and processes.

Straight-through plate processing is now possible because of the nickel aluminide rolls, which also improved the quality of the plate product surface to allow the additional processing of surface critical material. Benefits also include associated large reductions in maintenance, reduction in spare rolls and associated component costs, and potential for greater throughput and productivity. Estimated project fuel cost reductions alone for processing 100,000 tons/yr through this furnace are \$100,000/yr from straight-through processing assuming natural gas prices of \$6.00/MMBtu.

Benefits

Productivity

Increased roll life reduces furnace shutdowns to replace worn components, resulting in increased production. Maintenance and furnace shutdowns decreased from weekly to quarterly. Reduced damage to steel during heat-treating, resulting in less steel scrap.

Product Quality

The new rolls are two to three times stronger than conventional steel roll assemblies. The strength increases at temperatures greater than 1475°F. The high aluminum content resists oxidation and carburization at high temperatures without adhering to steel.

Profitability

Extends transfer roll life three to five times and reduces life cycle costs by 75% compared with steel rolls. Produces steel plates with greater, more consistent quality.

Overview

- ◆ Nickel aluminide developed by Oak Ridge National Laboratory
- ◆ Being marketed by Duraloy Technologies, Inc.
- ◆ Nickel aluminide transfer rolls technology commercialized in 1993

Energy Savings (Trillion Btu)

Cumulative through 2005	2005
0.068	0.035

Emissions Reductions (Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.004	0.551

Applications

Used to move steel plates through the heat treatment process in heat-treat roller hearth furnaces

Capabilities

Can operate in temperatures as high as 2100°F.

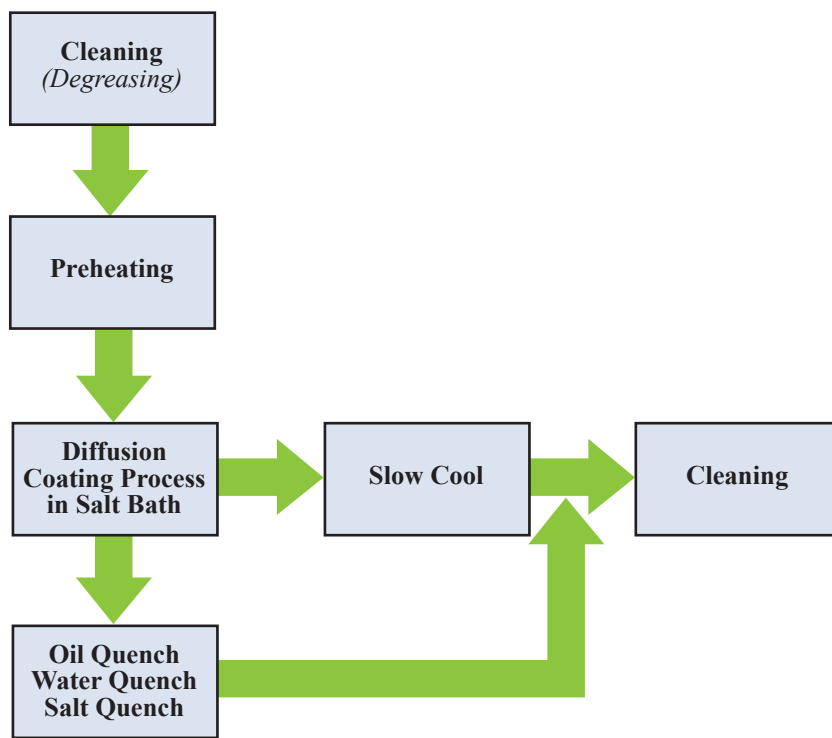
Vanadium Carbide Coating Process

IMPACTS

Innovative Process Enhances Wear Resistance of Metals, Saving Energy, Waste, and Costs

Traditional methods of coating steel surfaces with a layer of hard metal carbide require large capital investment, produce toxic and hazardous gases, are costly to operate, and require multiple heat-treatment steps during processing. Vanadium carbide (VC) coating technology provides a superior protective coating for steel surfaces and eliminates the need for multiple heat-treatment steps during processing, thereby eliminating harmful gas emissions.

The coating system, developed by Metlab-Potero with assistance from DOE's NICE³ program, is based on a thermal diffusion technology, which forms a VC surface layer that can be made up to 15 microns thick in 12 hours. Process steps include cleaning, preheating, coating, cooling, or quenching, and subsequent tempering as required. Cleaned parts are preheated and then immersed in an environmentally benign fused salt bath in an 800°C to 1200°C furnace at ambient pressure until the required coating thickness is achieved. The work piece is then removed from the furnace for quenching, slow cooling, or additional hardening and tempering. The process protects steel surfaces with a thick, well-controlled layer of VC while eliminating the need for multiple heat-treatment steps that increase energy use and the chance of production defects. Reducing the number of processing steps eliminates emissions, vacuum vessels, and the associated electrical heating system components.



Vanadium Carbide Coating Process

Overview

- ◆ Developed by Metlab-Potero
- ◆ Commercialized in 2005

Applications

Manufactured tools and dies requiring hardened, wear-resistant surfaces

Capabilities

Increases dimensional accuracy and creates wear-resistant surfaces without multiple heat-treatment steps.

Benefits

Cost Savings

Reduces process costs by 20%.

Environmental

Reduces water usage by 20% to 50% and eliminates harmful gas emissions.

Productivity/Quality

Offers productivity gains of 10% to 30% and increases tool life 5 to 30 times compared with conventional wear-resistance methods.

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Adjustable-Speed Drives for 500 to 4000 Horsepower Industrial Applications

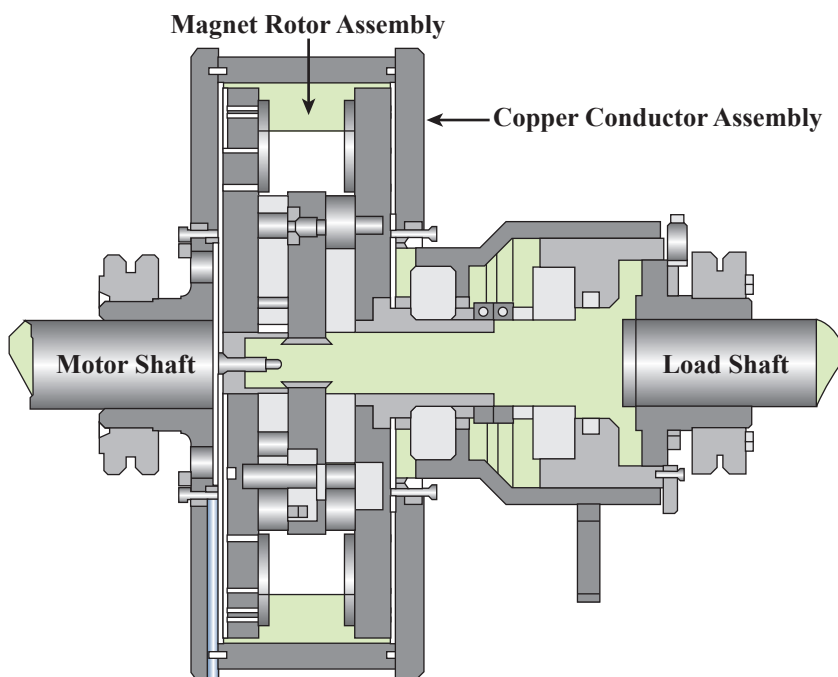
IMPACTS

New Drive System Saves Energy and Extends Variable Speed Control to Larger Motors

MagnaDrive Corporation, with assistance from DOE's NICE³ Program and Washington State University's Cooperative Extension Energy Program, has developed a highly efficient adjustable speed drive (ASD) for various industrial applications. The MagnaDrive ASD has been successfully tested and used in industrial environments with motors up to 4000 horsepower (hp). Over 5000 units are currently in use in applications up to 2500 hp, of which 11 are over 500 hp; and sales of 4000 hp units are planned in 2006.

The ASD consists of two major components that never touch: (1) the copper conductor assembly, directly connected to the motor shaft, and (2) the magnet rotor assembly, directly connected to the load shaft. The torque is transmitted across a thin air gap that can be continuously adjusted to control the speed of the load. The actuation components are attached to the magnet rotor assembly on the load side of the ASD. Rare-earth permanent magnets are the key to the system's performance. The magnets are made of neodymium, iron, and boron (NdFeB), and retain their magnetic properties for the life of the system.

The motor is started with the ASD system in a position that places the largest air gap between the magnet rotors and the copper conductors. The motor quickly comes to full speed in an unloaded condition. The magnet rotor is then actuated to adjust the rotors closer to the conductors. As the components approach each other, eddy currents are induced, allowing a smooth transfer of torque across the air gap until the distance between the magnet rotor and the copper assembly closes to about 1/8 inch. At this point the ASD reaches its maximum efficiency of up to 99% of the torque transferred between the motor and the load.



Adjustable-Speed Drive Components

Overview

- ◆ Developed by MagnaDrive Corporation
- ◆ Commercialized in 2003
- ◆ 11 large and 5000 smaller units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.113	0.065

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.014	0.010	1.28

Applications

Motor driven pumps, fans, blowers and other processing/manufacturing equipment used in industry

Capabilities

- ◆ Transfers torque from motors to driven equipment across an air gap without shaft-to-shaft physical connection.
- ◆ Permits speed control by varying the air gap spacing, thereby controlling the amount of torque transmitted.
- ◆ Eliminates the transmission of vibration across the drive due to the air gap configuration.

Benefits

Productivity

Eliminates vibration, reduces noise, tolerates misalignment, provides overload protection, extends motor and equipment life, and reduces overall maintenance and operations costs.

Product Quality

Improves product quality and optimizes process rates.

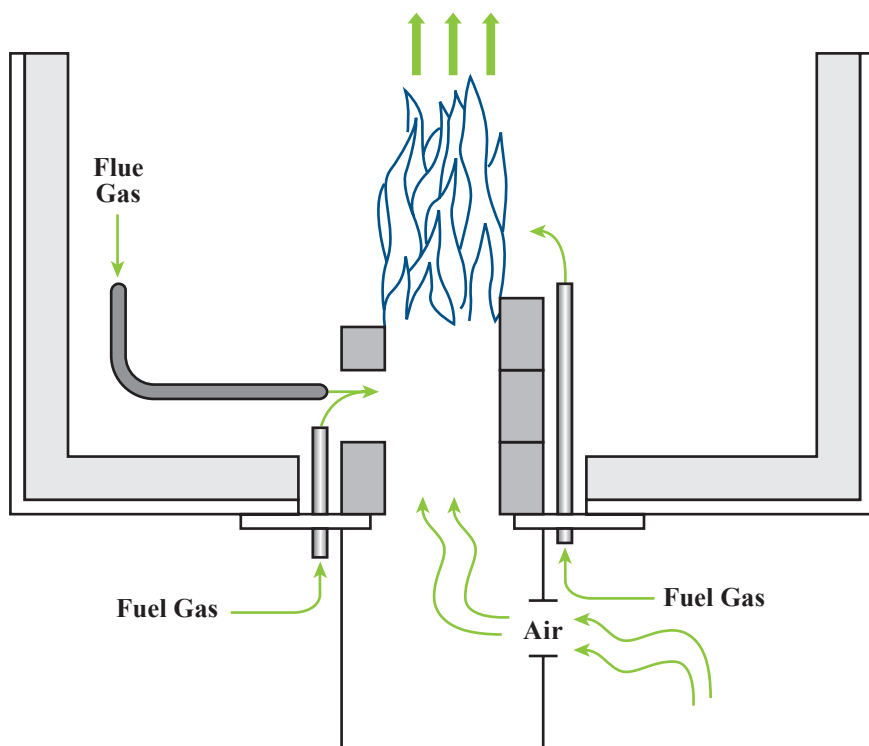
Callidus Ultra-Blue (CUB) Burner

IMPACTS

A New Generation of Smart, Integrated Burner/Fired-Heater Systems

The refining and chemicals industries rely on process heaters to heat liquids and induce chemical reactions during production processing. Process heaters in these two industries generate over 235,000 tons of NO_x emissions annually. The chemicals and refining industries are facing more stringent environmental regulations to reduce NO_x emissions; for example, the state of Texas has ordered refiners in the Houston area to reduce NO_x emissions by 80+%.

Callidus Technologies, along with funds and resources from ITP, Gas Research Institute (GRI), and Arthur D. Little Company, developed and demonstrated an ultra-low NO_x emissions burner. The burner uses internal flue gas recirculation to reduce 80% of the NO_x emissions, with many applications achieving reductions greater than 90%. Callidus Technologies, with licensing rights from GRI, is manufacturing and marketing the Callidus Ultra-Blue Burner to the chemicals and refining industries where potential NO_x reductions of 200,000 tons/year are possible.



Callidus Ultra-Blue Burner

Overview

- ◆ Developed by Callidus Technologies, Inc.
- ◆ Commercialized in 2000
- ◆ Over 3100 burner units installed by 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
18.1	6.70

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.783	106.

Applications

High-temperature ultra-low NO_x burner for the chemicals, petrochemicals, and refining industries

Capabilities

The Callidus burner works with

- ◆ Natural or forced-draft operation
- ◆ Refinery fuel gas, natural gas, and high and low hydrogen content
- ◆ Ambient and preheated air.

Benefits

Emissions Reductions

Reduces thermal NO_x in the combustion zone by 80% to 90%.

Profitability

Eliminates or reduces the need for expensive post-combustion emission-altering equipment.

Other

Is designed to be user-friendly.

Advanced Catalytic Combustion System Reduces NO_x Emissions

Natural-gas-fired turbine systems currently require complex after-treatment systems to clean the exhaust of harmful emissions. Many of these emissions could be reduced by lower operating temperatures during the combustion process.

With the support and recognition from many organizations, including DOE, the California Air Resources Board, the California Energy Commission, and the U.S. Environmental Protection Agency, Catalytica Energy Systems, Inc., has developed an innovative system to reduce turbine emissions. The Xonon Cool Combustion® System uses a catalytic process instead of a flame to combust the fuel, thereby lowering the combustion temperature and significantly reducing the formation of NO_x.

While maintaining turbine efficiency, the technology has the potential to reduce the cost associated with achieving ultra-low emissions while generating electricity with gas turbines. With the growing need for electricity generation that produces less pollution, Catalytica Energy Systems' solution provides a cost-effective method to meet air pollution control standards through pollution prevention rather than cleanup.

Benefits

Emission Reductions

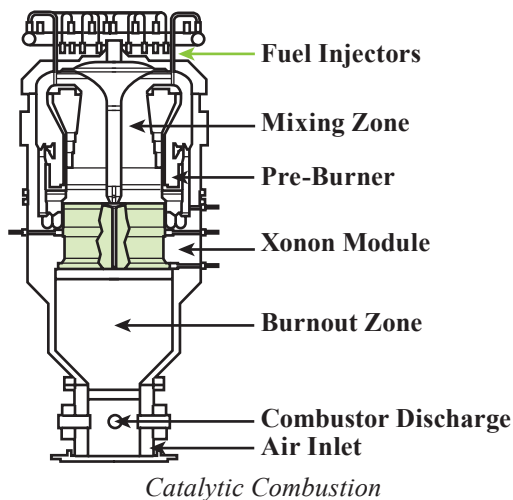
The system reduces air pollutant emissions from gas turbine energy generation systems. In its first commercial installation, the NO_x output was reduced from approximately 20 ppm to well below 3 ppm.

Pollution Reduction

The catalytic system avoids the need for costly or burdensome exhaust cleanup systems that use toxic reagents such as ammonia.

Productivity

The NO_x reduction process using catalytic combustion does not reduce the turbine efficiency. The system has demonstrated operating reliability greater than 98%.



Overview

- ◆ Developed by Catalytica Energy Systems, Inc.
- ◆ Has accumulated over 18,000 hours of operation on the grid in field demonstrations
- ◆ First commercialized in 2002

Applications

- ◆ Commercially available through Kawasaki Gas Turbines-America on its M1A-13X, a 1.4-MW gas turbine as part of the GPB 15X cogeneration system
- ◆ For power generation turbine systems with low emission requirements or preferences, such as California installations, international systems, and systems with low pollution requirements
- ◆ Could also be applied to turbine generation systems with cogeneration to improve energy efficiency
- ◆ Being actively developed in partnership with GE Power Systems for its GE10, a 10-MW gas turbine, and with Solar Turbines for its Taurus 70, a 7.5-MW gas turbine

Capabilities

- ◆ Can be used in a broad range of turbine sizes and will not reduce the turbine efficiency.
- ◆ Achieves emissions less than 3 ppm for NO_x and less than 10 ppm for CO.
- ◆ Uses a catalyst rather than a flame to combust fuel.

Chemical Vapor Deposition Optimization of Ceramic Matrix Composites

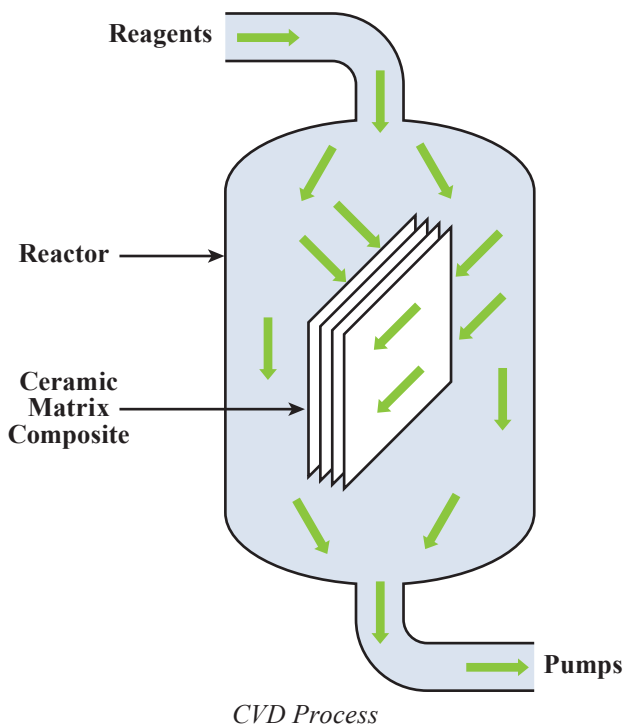
IMPACTS

Chemical Vapor Deposition Optimizes Industrial and Aerospace Ceramic Matrix Composites

Ceramic matrix composites comprise a new technology that is practical for a wide range of industrial and aerospace applications. Ceramic matrix composites are extremely heat-tolerant and corrosion-resistant, making them ideal for applications requiring lightweight materials capable of withstanding high temperatures.

Chemical vapor deposition (CVD) is used to enhance the physical characteristics of the ceramic matrix composites. Honeywell Advanced Composites, Inc. uses CVD to apply a thin, even interface coating to the surface of ceramic fibers. A coating of silicon carbide is then added to further strengthen the composite, making it stronger than conventional composites and shatterproof upon failure.

Sandia National Laboratory partnered with AlliedSignal Composites, a major producer of high-tech ceramic composites, to optimize the CVD process presently used by Honeywell Advanced Composites. Researchers used a Sandia research reactor, originally funded by ITP, to determine identities and amounts of gaseous-phase species present during CVD. Sandia researchers developed a computer model whose predictions are now being used to increase the throughput of two Honeywell coating reactors. The partnership saved Honeywell approximately \$1 million in development time and expenses.



Overview

- ◆ Developed by Sandia National Laboratory in cooperation with Honeywell Advanced Composites, Inc., formerly AlliedSignal Composites, Inc.
- ◆ Commercialized in 1997
- ◆ 2 CVD reactors presently use the optimized coating process to make ceramic matrix composites

Applications

- ◆ Liners in jet engines
- ◆ Leading edges of jet turbine engine vanes
- ◆ Liquid oxygen thrusters in rockets
- ◆ Components for the reusable launch vehicle for space shuttles

Capabilities

- ◆ CVD ceramic composites can replace superalloys in numerous aerospace and industry applications.
- ◆ Can withstand high-temperature, corrosive environments better than traditional superalloys.

Benefits

Energy Savings

In turbine engines, CVD ceramic composites allow higher operating temperatures that produce greater fuel efficiency.

Productivity

Computer software operates CVD reactors at optimal conditions and reduces the time to process CVD ceramic composites. Reduces the number of reactor operations. Increases the number of parts processed per operation, resulting in greater productivity.

Product Quality

CVD ceramic composites weigh about one-third less than superalloy counterparts, have greater strength and toughness than conventional alloys, and will not shatter when failed.

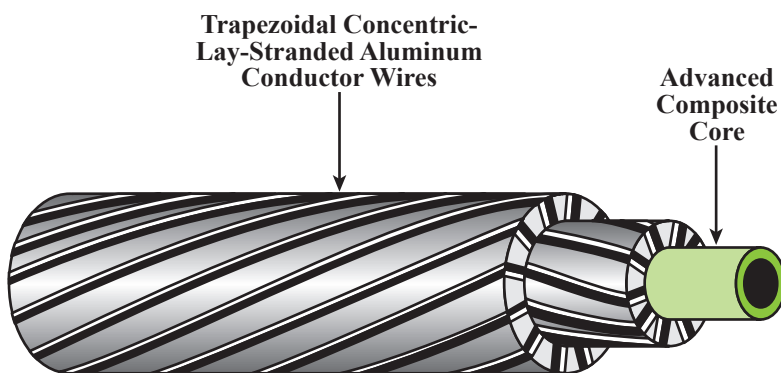
New Aluminum Conductor Composite Core Cable Increases Transmission Efficiency and Installs Easily

After nearly three years of intensive research and development, Composite Technology Corporation, in association with General Cable, introduced a new conductor type known as ACCC (Aluminum Conductor Composite Core). This new conductor uses a lighter-weight, high-strength carbon and glass fiber core embedded in a high-performance thermoset resin matrix, which is produced continuously using an advanced pultrusion process. The hybrid structural core is then helically wound with fully annealed trapezoidal-shaped conductive aluminum wires. Compared with a conventional steel core cable the new core allows for up to 28% more conductive aluminum to be wrapped within the same outside diameter. The end product is of similar weight to conventional aluminum conductor steel reinforced cable, which allows existing structures to be used without modifications.

While the conductor was designed to perform efficiently at temperatures significantly higher than conventional steel-cored conductors, ACCC actually operates much cooler and more efficiently under equal power flow. Because the power flow, or “ampacity,” is double that of a conventional conductor, the ACCC’s improved efficiency can help reduce power generation costs and greenhouse gas emissions, while mitigating grid bottlenecks and the associated high costs of grid congestion.

The ACCC conductor’s higher capacity can also improve grid reliability, if a parallel line fails it can handle the extra current flow. When operated at higher temperatures (representing higher current flow), a normal conductor would tend to thermally expand and sag beyond safe limits – potentially grounding out to adjacent lines or structures – causing catastrophic outage. The ACCC conductor’s reduced coefficient of thermal expansion prevents thermally induced line sag and would prevent that type of occurrence.

In addition to improving the weight and conductivity characteristics of utility transmission and distribution lines, the new ACCC reduces the number of structures by as much as 16% or more because of its thermal stability and 25% to 40% greater strength.



Aluminum Conductor Cable with Composite Core

Overview

- ◆ Developed by Composite Technology Corporation
- ◆ Commercialized in 2005
- ◆ Over 59,000 feet of line installed in the United States and 2,667 feet in foreign countries

Applications

Provides the power industry with increased transmission efficiency and the capacity for new and existing pathways. The conductor is available in all the industry standard sizes ranging from 431 to 2727 kcmil.

Capabilities

- ◆ Doubles the current carrying capacity of existing transmission and distribution lines.
- ◆ Decreases the cost of new installations by reducing the number of structures required.
- ◆ Resists environmental degradation and improves reliability.

Benefits

Productivity

Uses conventional installation methods and tools, allows the existing transmission and distribution structures to be used without modifications, and reduces construction costs by using fewer support structures.

Product Quality

Virtually eliminates high-temperature cable sag and will not rust or corrode or cause electrolysis with aluminum conductors or other components.

Profitability

Doubles current-carrying capacity and reduces power generation and transmission costs.

DOE Industrial Technologies Program

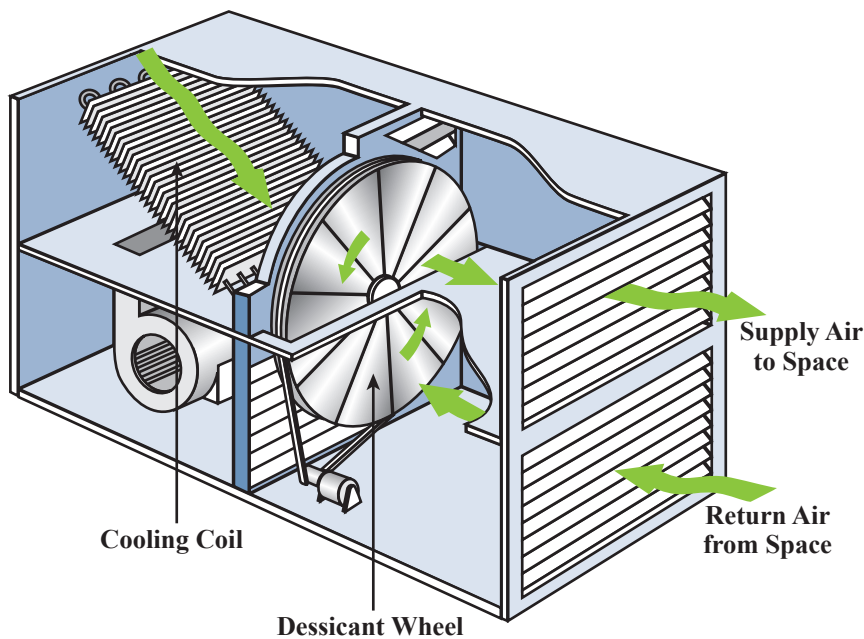
IMPACTS

New Air Conditioning System Uses Desiccant to Transfer Moisture and Increase Efficiency and Capacity

When cooling a residential space to a comfortable temperature, two types of heat energy must be removed: temperature-associated sensible heat and moisture-associated latent heat. An air-conditioner coil usually operates by performing about 25% moisture removal and 75% cooling. If the sensible-heat ratio falls below 75%, then overcooling occurs in meeting the moisture-removal demand. Adding heat to the space, which consumes even more energy, usually rectifies this unnecessary cooling. Latent-heat ratios often become higher than 25% in hot and humid climates, where introducing fresh air brings in significant levels of moisture, upsetting the temperature and moisture balance of interior spaces and reducing comfort levels. Excessive moisture in the air can also contribute to indoor air quality problems in buildings.

With assistance from DOE's Inventions and Innovation Program, the Cromer cycle air conditioner was developed to reduce energy consumption of the air conditioning while increasing the moisture-removal capacity of the air-conditioner coil. In the Cromer cycle air conditioner, desiccant is used to transfer moisture continuously from the supply air stream to the return air stream. This transfer enhances dehumidification of the coil without significantly reducing coil temperature, improving the efficiency of the refrigeration cycle. The drier air supplied to interior spaces increases comfort and indoor air quality.

Trane incorporated the Cromer cycle into a new system called the Cool Dry Quiet (CDQ™) desiccant dehumidification system. The first CDQ systems were sold in 2005 and by the end of the year 30 units had been installed, primarily in hospitals and museums. In 2006, Trane will market the CDQ in roof top units and in applications for package units.



Trane Cromer Cycle Air Conditioner

Overview

- ◆ Developed by Charles Cromer of the Solar Engineering Co.
- ◆ Commercialized in 2005
- ◆ Being produced and marketed by Trane

Applications

Residential, commercial, and industrial HVAC systems needing dehumidification down to 25°F dew points

Capabilities

- ◆ Reduces the amount of cooling and reheat needed to dehumidify and improves the efficiency of the cooling needed by maintaining higher evaporator coil temperatures than standard systems.
- ◆ Requires minimal maintenance of the desiccant wheel for the life of the air conditioning system.

Benefits

Energy Savings

Uses desiccant to improve the dehumidification performance of the cooling coil, saving 15% to 80% over traditional cooling-dehumidifying systems.

Productivity/Comfort

Improves humidity control for more comfortable living and working environments, resulting in improved productivity.

Waste Reduction

Avoids the need for stand-alone dehumidification equipment or dedicated outdoor air units; uses return air to regenerate the desiccant versus high-temperature heat of traditional desiccant systems.

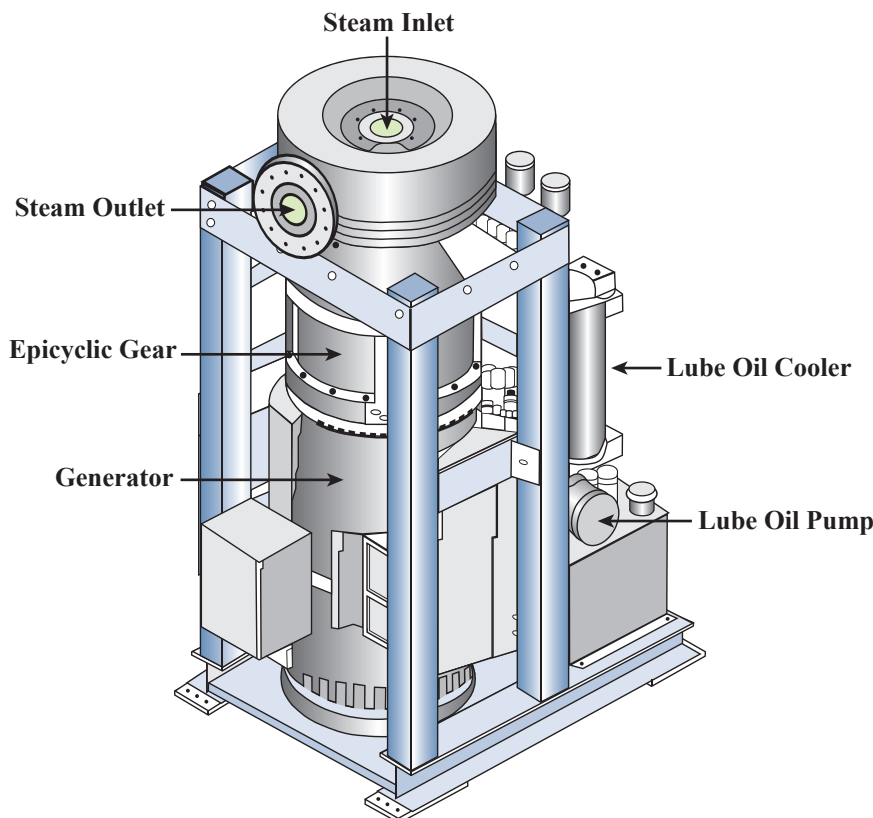
Dual-Pressure Euler Turbine for Industrial and Building Applications

IMPACTS

Innovative Dual-Pressure Euler Turbine Generates Electric Power by Harnessing Previously Wasted Energy

The single-stage steam turbine has been one of the most successful technologies applied in industry. However, because its average efficiency is only 40%, most of the potential energy generated by this “back pressure” system is wasted. Doubling the efficiency reduces by half the steam flow needed to produce the required power output. Such a dramatic change significantly reduces emissions while increasing the number of cost-effective applications for steam generation.

Douglas Energy, with assistance from the U.S Department of Energy’s NICE³ Program and a consortium of project partners, has developed a unique turbine system that dramatically improves generation efficiency. The original technology is limited by the extent of the centrifugal pressure rise in the rotor and the resulting velocity created by expansion through the rotor nozzles. The novel dual-pressure Euler turbine increases the reaction and power by lowering the rotor exit pressure. Harnessing this “reaction” energy allows the single-pressure machine to be converted into a two-stage turbine; it becomes a combined impulse and reaction turbine with internal compression. Compared with traditional technology, turbine efficiency can be increased from an average of 40% to 70% to 80%. A vertical shaft saves space in crowded equipment rooms and enables installation through a standard doorway.



Dual Pressure Euler Turbine

Overview

- ◆ Developed by Douglas Energy Company Inc. and licensed to Mafi-Trench Corporation
- ◆ Commercialized in 2004
- ◆ 2 units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.018	0.013

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.002	0.211

Applications

Steam systems using pressure reduction valves (PRV) in pressurized steam lines

Capabilities

- ◆ Uses energy that is normally dissipated by reducing steam pressure in a PRV, converting the wasted energy into electric power.
- ◆ Can achieve overall efficiencies up to 80%

Benefits

Environmental

Reduces CO₂ and NO_x emissions by 50%.

Productivity

Designed to operate with poor quality steam.

Energy-Conserving Tool for Combustion-Dependent Industries

IMPACTS

MultiGas™ Analyzer Provides On-Line Feedback Resulting in Lower Energy Use and Emissions

Using a NICE³ grant, Advanced Fuel Research (AFR), Inc., has developed and demonstrated a new system to improve continuous emissions monitoring (CEM) and on-line process tuning of combustion-dependent systems such as boilers and turbines.

Many existing combustion-monitoring techniques are unable to effectively and efficiently monitor all combustion gases, including difficult-to-separate hydrocarbons such as formaldehyde and emission control reactants such as ammonia. Typical CEM systems monitor a limited number of gases using an expensive collection of single-gas analyzers. These systems require a temperature-controlled room and a substantial ongoing investment to maintain operation and calibration of the facility.

The new multi-gas analyzer technology is portable, low-cost, and energy-efficient and combines advanced Fourier transform infrared spectroscopy with advanced electronics and software. This system provides CEM and on-line feedback for operational tuning of combustion-based industrial processes. The system allows for real-time measurement of criteria emissions and pollutants, including pollutants that are not usually monitored such as formaldehyde and ammonia. The improvements in dependability and efficiency and the lack of need for expansive temperature-controlled space result in lower operations, energy, and labor costs.

Benefits

Environmental

Measures criteria and hazardous air pollutants that are not typically monitored on-site in real-time, such as formaldehyde and ammonia.

Productivity

Reduces maintenance and performance verification time, resulting in labor savings of up to 80%.

Overview

- ◆ Developed by Advanced Fuel Research, Inc.
- ◆ Commercialized in 2001
- ◆ Manufactured and sold by MKS Instruments
- ◆ 24 units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.006	0.002

Emissions Reductions

(Thousand Tons, 2005)

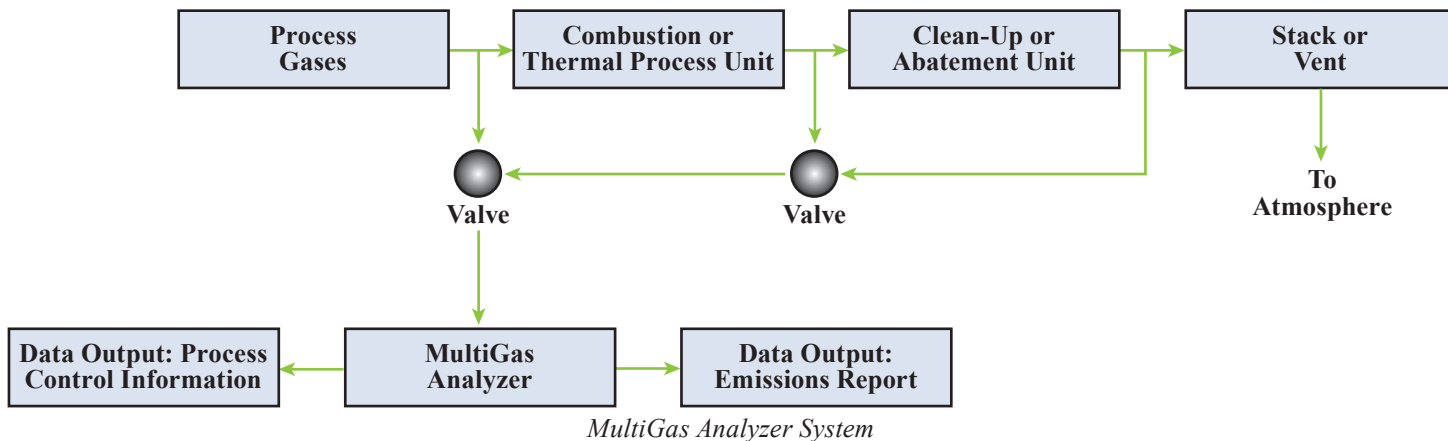
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.034

Applications

Systems and processes requiring combustion of fuels in engines, boilers, incinerators, and turbines

Capabilities

- ◆ Achieves higher combustion efficiencies through closely monitored and controlled combustion.
- ◆ Reduces emissions through verified efficient operation.



Evaporator Fan Controller for Medium-Temperature Walk-In Refrigerators

IMPACTS

Fan Controller Saves Energy in Two Ways

With assistance from DOE's Inventions and Innovation Program, Advanced Refrigeration Technologies (ART) commercialized an innovative control strategy for walk-in refrigeration systems. The ART Evaporator Fan Controller is inexpensive and easy to install.

The concept and operation of the ART controller is technically quite simple: refrigerant flow is sensed by temperature differential at the expansion valve within the evaporator. When refrigerant is not flowing through the evaporator/evaporators, voltage is dropped to the evaporator fans, saving energy in two ways. First and foremost, the evaporator fans consume less energy. Secondly, heat introduced to the refrigerated chamber from the evaporator fan motors is decreased. This decrease in heat, coupled with a decrease in thermal inversion, results in a decreased overall box load, thereby reducing the compressor/condenser on-duty cycle. The slow fan speed maintains air circulation to avoid temperature stratification. The lower air speed also maintains natural product moisture, thereby increasing shelf life.

Benefits

Energy Savings

Reduces evaporator and compressor energy consumption by 30% to 50%.

Productivity

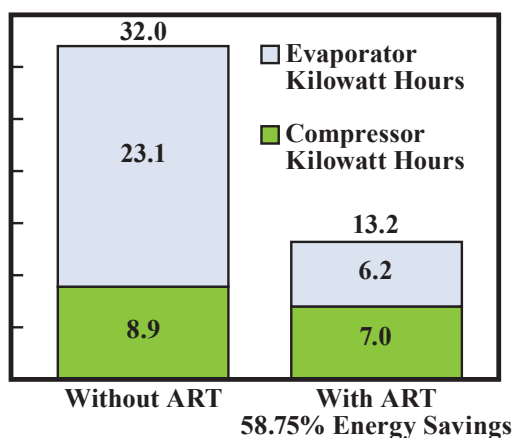
Even temperature distribution and lower air velocity improve working conditions and result in workers keeping refrigerated spaces closed.

Product Quality

Less air movement maintains the natural moisture in open product, so freshness and shelf life is increased without affecting overall relative humidity within the refrigerated chamber.

Profitability

Lower running times increase equipment life span and cut maintenance and replacement costs.



Average Daily Energy Consumption for a 29,200 Btu Evaporator

Overview

- ◆ Developed by Advanced Refrigeration Technologies, Inc.
- ◆ Commercialized in 1997
- ◆ Being sold by RS Services
- ◆ Over 1431 units operating in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.070	0.016

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.003	0.003	0.309

Applications

Decrease in energy consumption in low- and medium-temperature walk-in refrigeration and freezer systems in restaurants, cafeterias, mess halls; grocery and convenience stores; hospitals; colleges and other educational facilities; naval vessels; and custom industrial and commercial applications

Capabilities

- ◆ Control logic cuts evaporator and compressor energy consumption and lengthens component life.
- ◆ Controller can be retrofitted into existing refrigeration systems or incorporated into the design of new equipment.
- ◆ New models have the capability to monitor energy use and savings associated with the ART controller. Monitored information may be downloaded to a PC.

Fiber-Optic Sensor for Industrial Process Measurement and Control

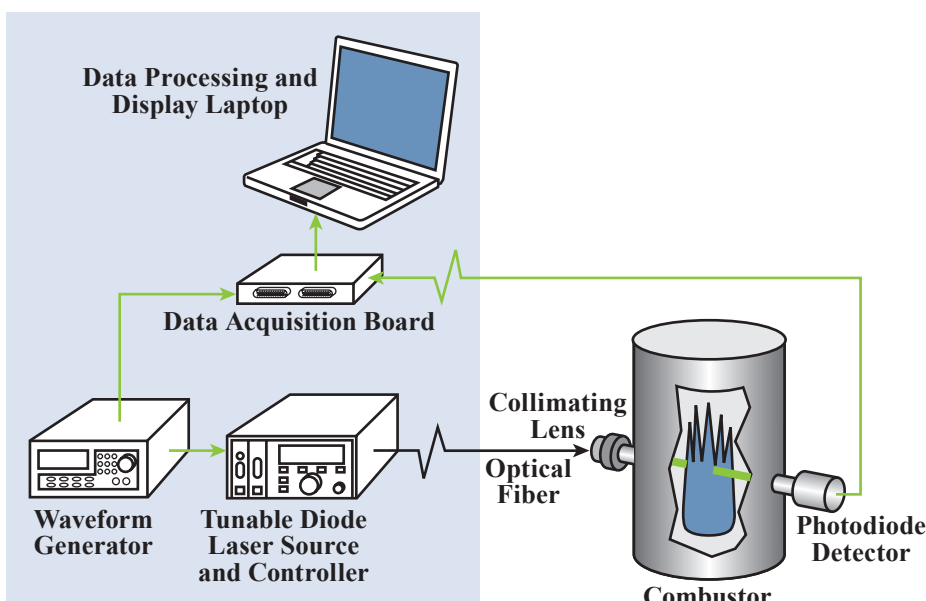
IMPACTS

Reliable Advanced Laser Sensor Helps Control High Temperature Gas Combustion

Through a marketing agreement with MetroLaser, Inc., Bergmans Mechatronics LLC is offering the LTS-100 sensor to the aerospace and industrial markets. This new sensor will help reduce the cost and improve the performance of traditionally difficult temperature measurements. A separate marketing agreement with LaVision GmbH of Germany has been entered into in which a version of this sensor is marketed to the pharmaceutical industry for leak detection.

Many existing industrial process sensors have limited accuracy in applications involving highly corrosive gases at elevated temperature and pressure because they require extractive sampling systems that introduce variations in the temperature, pressure, and composition of the probed gases. Moreover, sampling systems introduce a lag resulting in >1-10 second response times, require frequent servicing, and may be subject to unexpected failures because of their complexity. Using advanced tunable diode laser absorption spectroscopy (TDLAS) sensors for closed-loop process control affords a direct, quantitative measure of the species concentration in the probed region. In addition, by monitoring two or more transitions, the temperature along the optical path can also be determined.

Near-infrared diode lasers are attractive light sources for sensing applications because they are rapidly tunable, small and lightweight, low-cost, efficient, and robust. They operate at near-ambient temperatures and produce narrow bandwidth radiation over a broad wavelength range. These on-line sensors can be combined with process optimization control strategies to significantly improve plant throughput, increase product quality, and reduce energy consumption and waste.



LTS-100 Processing Unit

Overview

- ◆ Developed by MetroLaser Inc., Irvine, CA
- ◆ Commercialized in 2003
- ◆ Being provided as a service in the United States by MetroLaser
- ◆ A derivative of this technology is being applied as a leak detection system for pharmaceutical production lines

Applications

- ◆ Coal-fired power plants to achieve accurate real-time temperature measurements
- ◆ Solid propellant combustion to enhance the capabilities of the next generation of solid-fuel vehicles
- ◆ Leak detection for pharmaceutical production

Capabilities

- ◆ Monitors high-temperature gas combustion in process control applications.
- ◆ Monitors vacuum leaks in pharmaceutical vials using non-intrusive measurements.

Benefits

Reliability

Performs measurements regardless of vibration, flame luminosity, temperature, pressure extremes, and particle interferences.

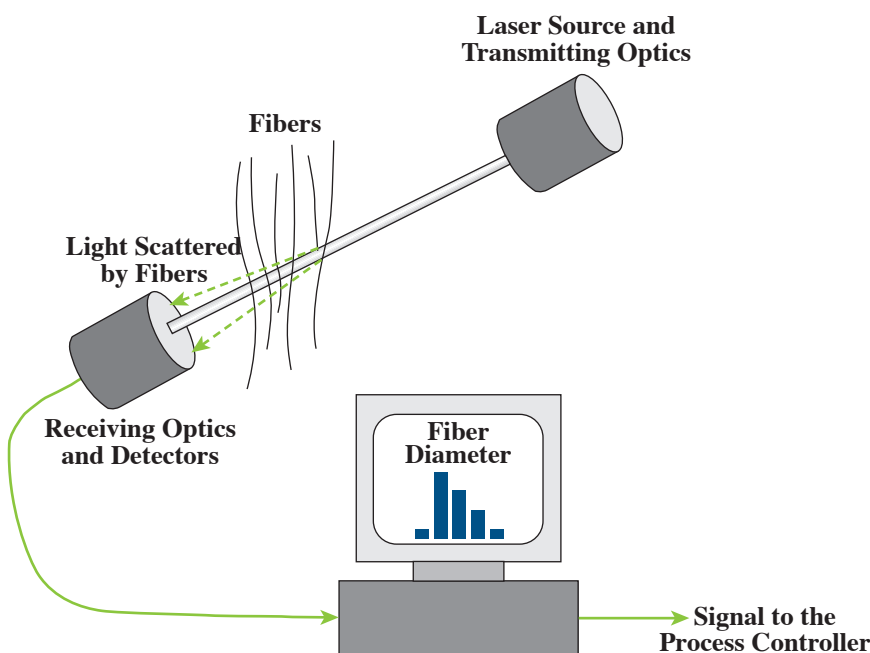
Profitability

Reduces maintenance costs and minimizes slag buildup heat-transfer losses in coal-fired power plants by precisely controlling furnace temperature and startups.

Revolutionary Optical Technology Provides On-Line and Off-Line Measurement of Fiber Sizes

Fiber size (or denier) has a significant effect on the performance of fiber-based products, such as filters, insulation, and composites. Fiber samples are generally characterized by optical or electron microscopy. Flow resistance of a sample of fibers (e.g., by the Micronaire™ technique) is also used to estimate the mean fiber size. However, these methods require sampling and are time consuming, and microscopic measurements are usually based on a small number of fibers selected from an image of a collection of fibers and may not be statistically reliable. Rapid measurement of fiber size, based on a large sample, is desirable for quality control of fiber-based products, development of new fiberizing processes, and basic research on fiber generation. With assistance from DOE's Inventions and Innovation Program, Powerscope, Inc., developed FibrSizr™ which provides rapid measurements for both on-line and off-line fiber characterization. The sample size is large and usually consists of hundreds of fibers.

FibrSizr consists of a new laser instrument developed for the accurate real-time and in-situ determination of fiber diameter distributions. This device can be used to monitor nonwovens and glass fibers during production and to rapidly measure fiber size distribution in a web sample. This technique is applicable across a wide range of polymers, production methods, and fiber sizes.



Fiber Sizing Sensor/Controller Using Ensemble Laser Diffraction

Overview

- ◆ Developed and commercialized by Powerscope, Inc., in 2004
- ◆ Sale, lease arrangements, and contract measurements completed for several major fiber manufacturers in the United States

Applications

Can be used in off-line and on-line process control of fibers on a variety of production/treatment methods such as meltblown, spunbond, meltspun, carded, chemical bonded, needlepunched, spunlaced, stitchbonded, thermal bonded, and rotary fiberizing

Capabilities

- ◆ Offers a new model that uses violet laser, instead of red laser, for better resolution of fine fibers as small as 0.7 micron in mean size.
- ◆ Provides a detachable transmitter and receiver for applications with limited physical access.
- ◆ Covers a wide range of fiber sizes (denier) and fiber densities using adjustable laser power and detector gain.

Benefits

Energy Savings

Eliminates events, such as sudden shutdowns, which result in waste of energy and material by close monitoring of the process.

Pollution Reduction

Minimizes release of pollutants such as CO₂ from the pertinent combustion processes by operating the fiberizers at near optimal conditions.

Product Quality

Measures and controls fiber size distribution, which is a critical element in producing nearly all value-added fiber products.

IMPACTS

New Process Allows Coal Ash to be Made into Building Material Products

With a grant from DOE's Inventions and Innovation Program, Century-Board USA, a licensee of Ecomat, Inc., has a fully developed process to convert solid wastes into synthetic building materials.

The process consists of mixing up to 85% solid waste into a modified polyester polyurethane resin with special additives. This polymer system is a thick liquid that is poured into discrete molds or continuously cast, as is done with the 'plastic' lumber. This thick liquid then forms and fills all the crevices of the mold and produces a lightweight, hard, and tough product. The material does not contain thermoplastics such as polyethylene or PVC, wood or sawdust unless requested by the customer.

Benefits

Productivity and Profitability

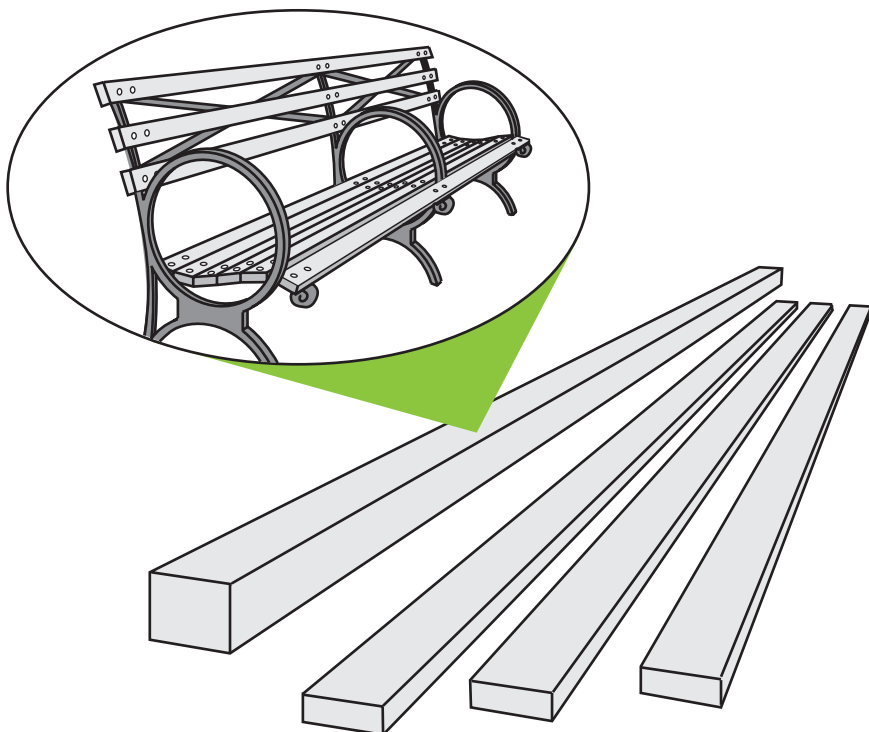
Below the cost of many competitive materials and can be reground and reused in the same process. It is lightweight and can be 1/10th the density of concrete.

Product Quality

Their synthetic building material products are maintenance-free, fire and weather resistant, lightweight and tough.

Waste Reduction

Reduces landfilling of coal ashes from utility power plants.



Foamed Recyclable Building Material

Overview

- ◆ Developed by Century-Board USA
- ◆ One plant operating in the United States with the capacity to process 1 ton/hr of coal fly ash to make plastic lumber, siding, and fencing
- ◆ 1 pilot plant is making synthetic structural lumber using coal fly ash as the main ingredient

Applications

Among the products made with the Century-Board process are roof tiles, artificial slate, siding, molding, doors, utility poles, marine and dimensional lumber, picture frames, office partitions, and wallboard

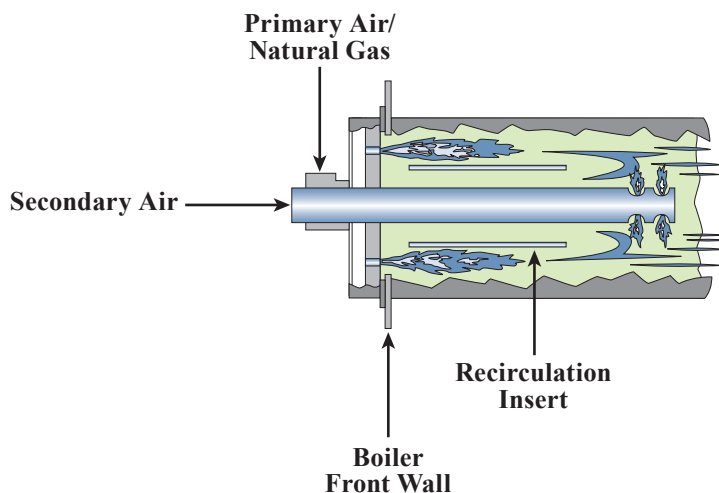
Capabilities

Even though Century-Board will focus on the fly ash-based lumber, the following have been successfully tested in their process as the major ingredients: waste glass, sand, ashes from wood and municipal waste burning, wood flour, waste from metal smelting, red mud from aluminum refining, mixed recycled plastics, coral dust, rice hulls and rice hull ash, agricultural plant ashes, waste cotton and polyester fibers, paper processing wastes, heavy metal contaminated waste, contaminated soil, foundry sand, sewage sludge, slate dust, and rubber tires.

New Burner Significantly Reduces Emissions Compared with Conventional Technology

The forced internal recirculation (FIR) burner combines several techniques to dramatically reduce NO_x and CO emissions from natural gas combustion without sacrificing boiler efficiency. One technique is premixed substoichiometric combustion and significant internal recirculation of partial combustion products in the first stage to achieve stable, uniform combustion that minimizes peak flame temperatures and high oxygen pockets. Other techniques include enhanced heat transfer from the first stage to reduce combustion temperatures in the second stage and controlled second-stage combustion to further minimize peak flame temperature. As a result, the burner minimizes overall NO_x formed in the combustor.

The FIR burner was developed by GTI and several sponsors, including DOE. The FIR burner technology is licensed to Johnston Boiler Company (firedtube boiler applications), Coen Company, Inc. (packaged watertube boiler applications), and Peabody Engineering Corporation (field-erected boilers in the steel industry). The burner is applicable to a wide range of firedtube boilers from 50 to 100 MMBtu/hr. The technology is currently being tested for applications in packaged watertube boilers and multi-fuel burners for the steel industry.



Forced Internal Recirculation Burner

Overview

- ◆ Developed by the Gas Technology Institute
- ◆ Marketed by Johnson Boiler Company for firedtube boilers
- ◆ Operating on 22 boilers in 2005

Applications

Currently used in firedtube boilers and being developed for watertube boilers and field-erected boilers for the chemicals, petroleum products, food, and steel industries

Capabilities

Minimizes thermal and prompt NO_x through staged combustion with internal recirculation of products of partial combustion. Burner design is suitable for new or retrofit applications on a wide range of combustion chamber configurations.

Benefits

Emissions Reductions

Results in very low NO_x emissions, less than 9 ppm, without using diluents such as steam, water, or external flue gas recirculation.

Productivity

Increases system efficiency, with operation at less than 15% excess air over the entire turndown range of four to one.

Profitability

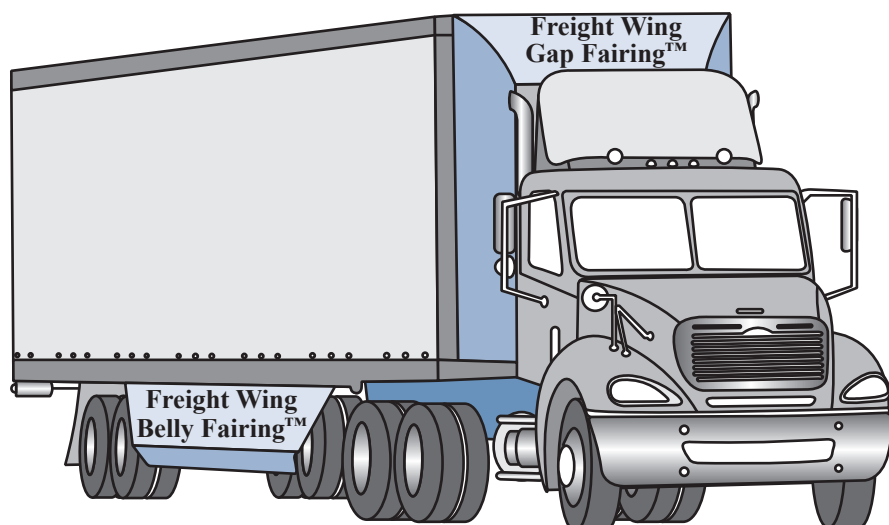
Reduces developmental, operating, maintenance, and capital costs compared with "current generation" low- NO_x burner systems.

IMPACTS

Innovative Aerodynamic Fairings Minimize Drag on Box-Shaped Semi-Trailers

A great deal of scientific research has demonstrated that streamlining box-shaped semi-trailers can significantly reduce a truck's fuel consumption. However, significant design challenges have prevented past concepts from meeting industry needs. Freight Wing, Inc., was formed to improve the fuel efficiency and profitability of trucking fleets through innovative aerodynamic devices. Freight Wing was initially funded through a grant from DOE's Inventions and Innovation Program to develop rear-fairing technology and has since expanded the company's products to a complete line of aerodynamic solutions. Their initial research focused on developing a practical rear fairing that would not interfere with the truck's operation and on investigating other means to reduce aerodynamic drag on box-shaped semi-trailers. Freight Wing market research soon revealed that the industry was not very interested in the rear fairing because that area is extremely prone to damage and durability is a primary concern. Consequently, the company has since focused on developing designs for front or gap fairings and undercarriage or belly fairings.

Freight Wing generated prototypes of all three fairing designs with their manufacturing partner, ASAP Metal Fabricators in early 2004. In May 2004, Freight Wing tested all three fairing prototypes at the independently owned Transportation Research Center (TRC) in East Liberty, Ohio. TRC tested the fairings using the industry standard Society of Automotive Engineers/Technology & Maintenance Council (SAE/TMC) J1321 fuel consumption procedure Type II test. A 7% fuel savings was demonstrated on trailers equipped with all three fairings. Freight Wing arranged a testing partnership with Transport America to retrofit five of their trailers for an operational test. These tests enabled Freight Wing to identify some problems and finalize the designs. The product was marketed starting in the fall of 2004, and soon thereafter the company made its first sale of two belly fairings and two gap fairings to a fleet called LVL, Inc., in Little Rock, Arkansas. In 2005, Freight Wing sold 48 fairings to ten major trucking fleets. Additional research is also underway to develop second-generation designs using different materials and aerodynamic concepts.



Freight Wing Fairings Installed on a Semi-Trailer

Overview

- ◆ Developed and marketed by Freight Wing, Inc.
- ◆ Commercialized in 2004.
- ◆ Freight Wing fairings currently used by 11 trucking fleets in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.002	0.002

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.001	0.0	0.038

Applications

The Freight Wing fairings are used on semi-trailers to reduce the effects of aerodynamic drag

Capabilities

- ◆ Reduces aerodynamic drag on semi-trailers.
- ◆ Retrofits on existing semi-trailers.

Benefits

Energy Savings

Reduces fuel usage by 7%.

Emission Reduction

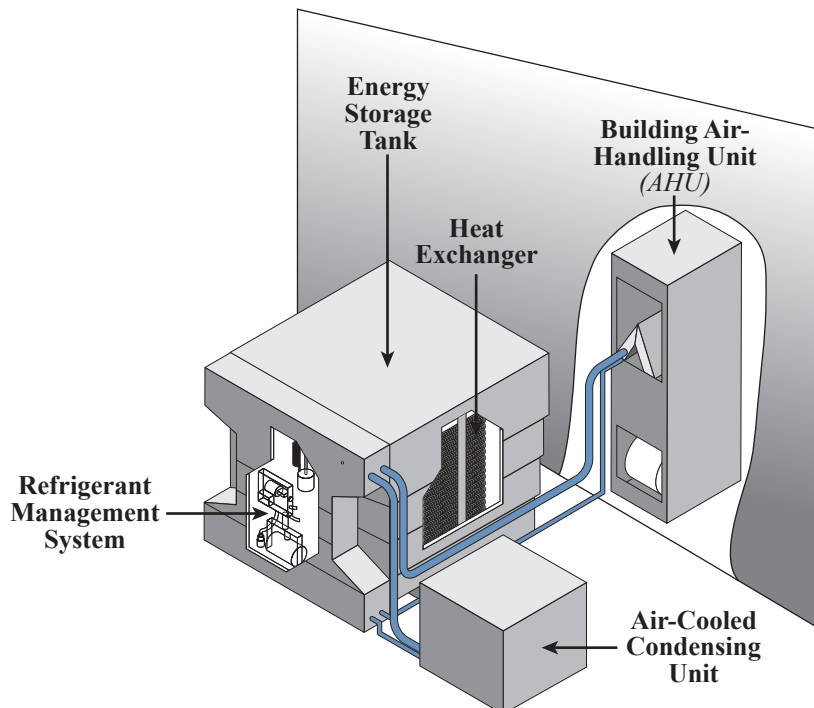
Reduces emissions of combustion products, including particulates, SO_x, NO_x, and CO₂.

Thermal Energy Storage for Light Commercial Refrigerant-Based Air Conditioning Units

The Ice Bear® storage technology was initially developed by Powell Energy Products, with assistance from DOE's Inventions and Innovation program and commercialized by Ice Energy®. The Ice Bear storage module was engineered to complement existing air conditioning (AC) equipment to shift energy use from peak to off-peak periods. The Ice Bear unit is designed for use with rooftop or split system AC equipment. The Ice Bear unit and an air-cooled condensing unit operate during off-peak hours to store energy as ice. During peak daytime cooling, the Ice Bear unit functions as the condenser, circulating ice-condensed refrigerant with a low-power refrigerant pump. Total energy use is only 300 watts to provide 7.5 tons of cooling for 6 hours.

The Ice Bear unit consists of a heat exchanger made of helical copper coils placed inside an insulated polyethylene storage tank filled with normal tap water, a patented refrigerant management system, a low-power refrigerant pump, and the CoolData® controller. To provide AC, the Ice Bear uses a low-power pump to circulate refrigerant to the evaporator coil in the air handler. By using the condensing unit to produce ice during the night and the refrigerant pump to supply condensed liquid refrigerant to the evaporator coil during the day, the Ice Bear effectively transfers the majority of load requirements to nighttime hours or levels energy loads. In both of these applications, the Ice Bear reduces humidity levels, which helps meet indoor air quality standards.

The Ice Bear unit is designed to meet retrofit, replacement, and new construction requirements in light commercial AC applications.



Ice Bear Storage Module

Overview

- ◆ Base technology developed by Powell Energy Products, Inc.
- ◆ Patents acquired by Ice Energy, Inc. in 2003
- ◆ Commercialized by Ice Energy, Inc. in 2005

Applications

Used in conjunction with 3.5-to-20 ton AC units in markets such as

- ◆ Small to big-box retail
- ◆ Small businesses and office buildings
- ◆ Restaurants
- ◆ Fire stations, libraries, and community centers
- ◆ Branch banks and schools

Capabilities

- ◆ Shifts 95 % of AC load from peak to off-peak periods.
- ◆ Offers energy storage capacity of 45-ton/hr, up to 7.5 tons of cooling for 6 hours.

Benefits

Cost Savings

Can substantially reduce electrical bills in load-shifting applications where peak and off-peak price differentials exist by reducing demand by 95% .

Emissions Reductions

From studies sponsored by the California Energy Commission and the Sacramento Air Quality Management District, reduces emissions from 23% to 40%. Reduces NO_x emissions equivalent to taking a car off the road for each unit.

Energy Savings

Depending on climate zone and application, reduces energy requirements by 5% to 25%.

IMPACTS

Redesigned Diesel Engines Improve Heavy Truck Fuel Economy

The KIVA computer model resulted from the efforts of a diesel engine working group formed in 1979 as part of DOE's Energy Conservation and Utilization Technologies (ECUT) Division's Combustion Technology Program. The goal of this activity was to guide the development and application of diagnostic tools and computer models. Under the guidance of DOE and the Cummins Engine Company the multidimensional KIVA model was developed to help engine designers overcome some of the technical barriers to advanced, more fuel-efficient engines.

KIVA allows designers to see the effects of alterations to engine geometry without actually building the engine. Cummins Engine Company has used KIVA to make piston design modifications and other modifications to diesel engines for heavy trucks. In a cooperative effort with DOE, Cummins has also improved engine breathing, pulse-preserving manifolds, and turbocharger design. Cummins has improved the diesel engine sufficiently to increase the mileage by nearly one-half mile/gallon. With millions of trucks and buses currently on the road, this improvement in engine efficiency yields a significant savings in fuel.

Energy savings from this development are based on the number of trucks (class 7 and 8) powered by Cummins engines. This value, multiplied by the savings per mile and the number of miles driven per year, results in the estimated annual energy savings.

Benefits

Competitiveness

Helps the United States automotive industry strengthen its competitive position relative to Europe and Japan.

Productivity

Reduces time required from engine design to production.

Waste Reduction

Optimization in engine performance considerably reduces emissions, including unburned hydrocarbons.

Overview

- ◆ KIVA computer model developed by Los Alamos National Laboratory, Sandia National Laboratories, Southwest Research Institute, and others
- ◆ Commercialized in 1991
- ◆ Cummins Engine Company is the first to use KIVA to redesign diesel engines for improved energy efficiency

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
1002	69.4

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.521	40.3	10.7	1510

Applications

- ◆ Visualizing effect of design changes on engine performance
- ◆ Assessing engine ability to use alternative fuels or reduce emissions
- ◆ Optimizing engine operation to reduce emissions

Capabilities

- ◆ Simulates precombustion fluid motion, chemical kinetics, flame propagation, and combustion dynamics in engines.
- ◆ Investigates airflow and diesel spray characteristics nonintrusively.

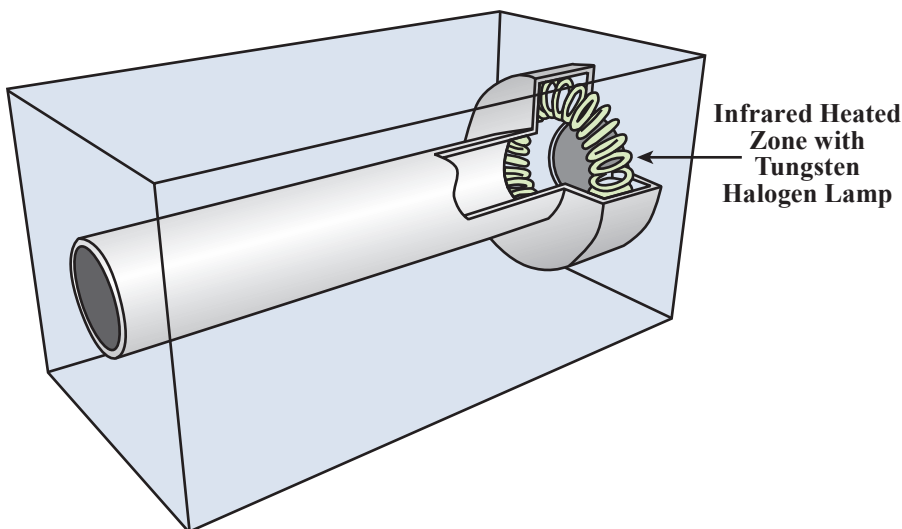
Infrared Polymer Boot Heater

IMPACTS

New Heating System Results in Fewer Repetitive Stress Injuries While Saving Energy

Employees of General Motors, Delphi Automotive Steering Systems in Athens, Alabama, suffered repetitive stress injuries from placing protective polymer boots over car steering wheel assemblies. Delphi came to Oak Ridge National Laboratory (ORNL) requesting the development of a heating technology to heat and expand the lower 2 inches of a polymer boot without using hot fluids or heating the worker or surroundings. The infrared boot heater was developed from these requirements. A tungsten halogen lamp based infrared heater goes from cold to full power in 0.2 second and shuts down in less than a second.

The technology converts electrical energy to radiant energy at 90% efficiency. The heat can be delivered to only the areas needing to be heated, and the design can be cold walled. Because the polymer expands, the force required for installation is virtually eliminated, thus reducing repetitive stress injuries. The subsequent cooling also results in an improved seal. A single infrared boot heater saves 6.25 million Btu over conventional electrical rod type heating in one year.



Infrared Polymer Boot Heater

Overview

- ◆ Developed by Oak Ridge National Laboratory
- ◆ Commercialized in 2000
- ◆ 5 units installed in the United States

Applications

Designed to heat thermoplastic and polymer boots for applications that require placing boots on steel parts (steering assemblies, CV joints, etc.)

Capabilities

- ◆ Capable of rapid heating (at 50-400°C/second) and cooling.
- ◆ Does not require any medium such as gas for transmission and is noncontact.
- ◆ The radiant energy couples only to the part of the polymer that requires it.

Benefits

Increased Productivity/Safety and Improved Product

The expansion of the polymer resulting from heating virtually eliminates the force required for installation. The subsequent cooling also results in an improved seal.

Reduced Waste and Materials

Grease formerly used for installing polymer boots is eliminated.

In-Situ, Real Time Measurement of Melt Constituents

IMPACTS

New Laser System Provides Real-Time Measurements for Improved Product Quality Control

A new probe uses laser-induced breakdown spectroscopy (LIBS) to determine the elemental constituents in an aluminum, glass, and steel melt. This probe measures continuously and in-situ at any point in the melt, thus providing spatial and temporal real-time data. The probe uses a pulsed (5-10 ns duration) Nd:YAG laser at 1064 nm that is focused, through a fiber-optic cable, into a molten aluminum sample, generating high-temperature plasma consisting of excited neutral atoms, ions, and electrons. Any chemical compounds present in the sample are rapidly separated into their constituent elements. The laser-generated plasma is allowed to cool several microseconds after the laser pulse, and then a spectrometer collects and disperses optical emissions from neutral and ionized atoms. The line radiation signal provides the concentration of each element present.

In the glass industry, both the melt and raw ingredients can be monitored. The probe has several applications in the aluminum and steel industries. For example, the probe can be used for in-line alloying to measure chemical content during a pour and for continuous and semi-continuous furnace operations to minimize the current practice of off-line sampling and measurement. In other applications, the probe can perform in-line monitoring of impurity removal from the melt, such as removing magnesium from molten aluminum, and can provide real-time data to validate computer simulations and model furnaces.

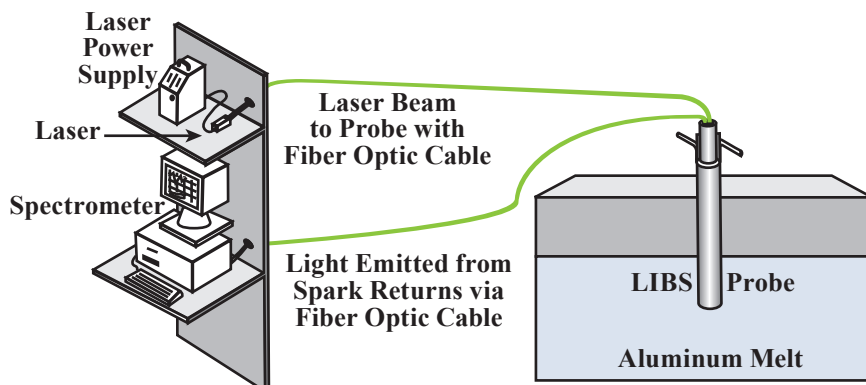
Benefits

Productivity and Profitability

Determining melt constituents and temperature in-situ, real-time, and simultaneously eliminates the aluminum and steel furnace idle time now required for off-line measurement of melt constituents. The payback has been shown to be less than one year.

Product Quality

Providing data for use in a feedback control loop to control the furnace operation in real time increases product quality.



Laser-Induced Breakdown Spectroscopy System

Overview

- ◆ Developed and marketed by Energy Research Company
- ◆ Installed on an aluminum melt furnace in 2003
- ◆ Installed in a glass plant in 2004

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.481	0.222

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.026	3.53

Applications

Identifies elemental constituents in metal and glass melts during the alloying and fabrication process

Capabilities

- ◆ Measures aluminum melt constituents with 5% accuracy and a 0.002% minimum detection limit.
- ◆ Monitors trace alkali metal content in electronic glass compositions.

New Class of High-Performance Carburized Steels Saves Energy and Increases Productivity

Various project partners have integrated an optimization of process and materials that will enable a broad usage of high-temperature carburizing. The unique capabilities of high-temperature carburizing were exploited to access new levels of steel performance, including the distortion-free, high-performance gear and bearing materials for the transportation sector. Emphasis was placed on creating a new class of thermally stable, ultra-durable, deep case-hardened steels that could ultimately extend case hardening to tool and die steels. Case hardening would enable major productivity gains in the forging, forming, and die casting of aluminum and steel.

With assistance from ITP, a consortium of project partners used their carburization simulation tools and fundamental calibration data to gain reliable control of high-temperature carburizing of their new class of high-performance gear steels. One of the partners, QuesTek, used the technology to successfully commercialize the new gear steels by demonstrating both higher gear performance and acceptably reduced manufacturing variation.

Benefits

Energy Savings

Reduces the U.S. annual energy consumption for carburizing.

Environmental

Reduces greenhouse gases compared with conventional gas carburizing technology.

Productivity

Reduces scrap and eliminates the need for hard chromium plating in many applications; offers increased durability and higher performance when it replaces conventional steel.

Overview

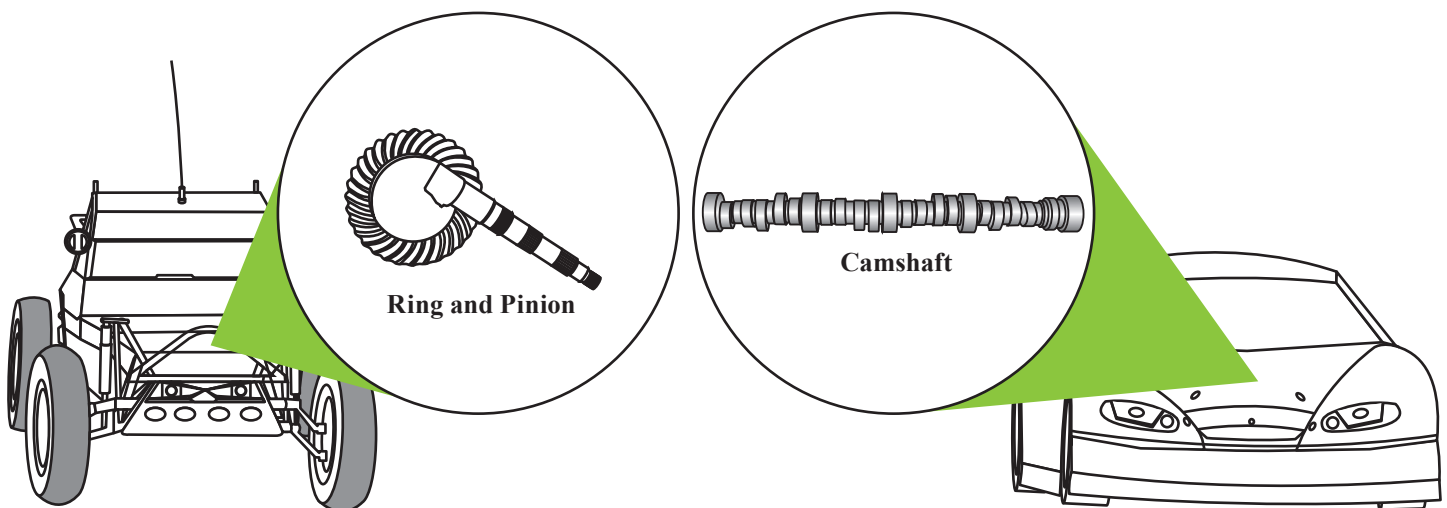
- ◆ Developed by a consortium of project partners including the Center for Heat Treating Excellence, Metal Processing Institute – Worcester Polytechnic Institute, Northwestern University, and QuesTek
- ◆ Commercialized by QuesTek in 2003

Applications

High-performance gear and bearing applications for the transportation sector. New deep-case applications include ultra-durable die materials for forging and forming of steel and aluminum and for die casting of aluminum

Capabilities

- ◆ Establishes sufficient control of high-temperature carburizing to greatly expand applications.
- ◆ Creates a new class of steels with particular emphasis on novel deep-case applications.
- ◆ Demonstrates accelerated materials and process development through the emerging technology of computational materials design.



New Gear Steel Products Created Using High-Temperature Carburizing

Method of Constructing Insulated Foam Homes

IMPACTS

An Innovative Building System That Is Energy Efficient, Structurally Sound, and Easily Constructed

The concerns of the home building industry center around increasing productivity in the construction process, improving the quality of American homes, expanding opportunities for affordable home ownership, enhancing the U.S.'s competitive position relative to global markets, and ensuring the cost-effective and energy-efficient operation and maintenance of homes.

With the help of a grant from DOE's Inventions & Innovation Program, Amhome USA, Inc., developed a method of constructing buildings that are both energy efficient and structurally sound. The new home consists of an exterior patented wall system made of expanded polystyrene (EPS) foam insulation panels with an internal steel-reinforced concrete post and beam design. This wall has an R-40 insulation panel with an internal steel-reinforced concrete post and beam design. The roof is insulated by EPS slabs sandwiched between the rafters and has an R-50 insulation value. The primary innovation of this system is the way the walls are constructed, which requires less labor compared with traditional wood-frame houses.

Benefits

Environmental

The Amhome method saves timber by using 35% less wood than frame homes and saves insulation by using recycled insulation in the roof.

Productivity/Quality

Homes using the innovative EPS foam can be built faster than traditional wood-frame homes. The homes' superstructure is reinforced with concrete and steel for more stability, and the entire house is united into one solid piece.



Concrete Being Pumped into the Wall Cavity of an Insulated Foam Home

Overview

- ◆ Commercialized by Amhome USA, Inc., in 1996
- ◆ 326 homes constructed through 2005
- ◆ Marketed by Home Corporation International, Inc.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.038	0.006

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.001	0.095

Applications

- ◆ New single-family residences
- ◆ New multifamily dwellings
- ◆ Small commercial buildings

Capabilities

- ◆ Provides an R-40 wall using EPS foam insulation panels to form the exterior walls.
- ◆ Provides an R-50 roof/ceiling using EPS foam between the rafters.

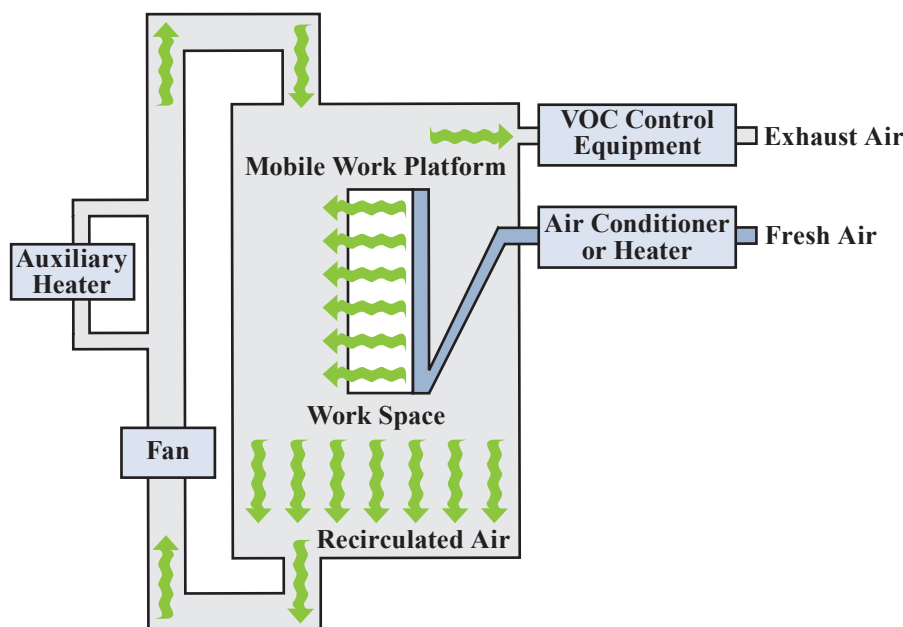
Mobile Zone Optimized Control System for Ultra-Efficient Surface-Coating Operations

IMPACTS

New Surface-Coating Technique Reduces Air Pollution and Energy Use

Volatile organic compounds (VOCs) are released during the application of spray coatings in paint enclosures, which expose workers to toxins, create air pollution emissions, and create fire or explosion hazards. To meet safety and environmental regulations, paint booths are usually ventilated with 100% outside air, which is then heated or cooled to maintain comfortable temperatures and control pollution emissions.

A new spray booth technology developed by Mobile Zone Associates with the help of a grant from the Inventions and Innovation Program greatly reduces the amount of energy needed to heat and cool ventilation air during surface coating operations. The Mobile Zone system separates the human painter from the contaminated air of the spray booth by providing the painter with a separate, mobile work platform or cab during spray coating operations. The cab is flushed with fresh air, while the rest of the spray booth uses recirculated air. The design meets OSHA regulations and National Fire Protection Association guidelines. The technology is currently being used by the US Army at Fort Hood, Texas for consideration of system wide use.



Air Flow in Paint Spray Booth with Mobile Zone System

Overview

- ◆ Developed by Mr. Clyde Smith and Mr. William Brown of Mobile Zone Associates
- ◆ 1 installation operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.031	0.007

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.001	0.001	0.120

Applications

Applying sprayed surface coatings to chairs, tables, motorcycles, tractors, railroad cars, aircraft, and other painted products in either side-draft or down-draft booths

Capabilities

Reduces the ventilation, heating and cooling requirements by directing a sufficient, but small, amount of fresh air to the painter and recirculated air to the remaining unoccupied space within the spray booth. Meets existing OSHA, EPA and NFPA standards for worker conditions.

Benefits

Profitability

The technology reduces the size of heating, cooling, and pollution control equipment between 60% and 98%, which offers significant savings in associated capital and energy costs.

Productivity/Product Quality

Testing has shown the technology is able to maintain or improve production speed and quality.

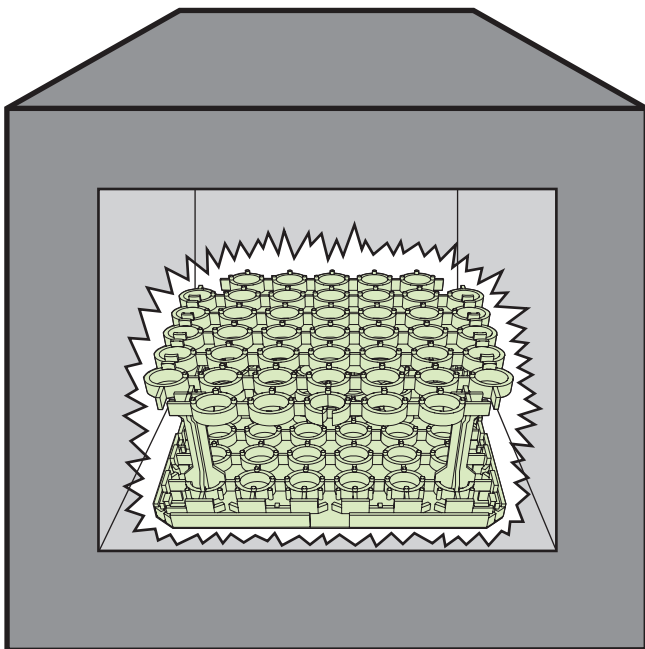
Nickel Aluminide Trays and Fixtures Used in Carburizing Heat Treating Furnaces

IMPACTS

Advanced Material Use Results in Decreases in Energy and Operating Costs

Typically, 90% of all heat treating furnace problems are caused by alloy issues such as failure of assemblies at high heat and short life of the assembly racks. Since 1992 Delphi Corporation, Oak Ridge National Laboratory, and DOE have been working together on nickel aluminide (Ni_3Al) fixtures for furnaces. The research and development has focused on nickel aluminide alloys (including alloy development) and the welding, melting and casting technologies associated with Ni_3Al .

Delphi installed 500 Ni_3Al base trays as part of their carburizing furnaces, which are very large gas-fired systems (up to 150 ft long) and heat treat hundreds of tons of steel per day. The Ni_3Al fixtures last 3 to 5 times longer than current high-performance steel alloys and are at least 3 times stronger at operating temperature than conventional alloys. These properties result in improved energy and production efficiencies. Using the stronger Ni_3Al fixtures enabled Delphi to meet production goals with only two new furnaces instead of the three that would have been required with the current technology fixtures.



Heat Treating Furnace Containing Nickel Aluminide Trays

Overview

- ◆ Developed by Delphi Corporation and Oak Ridge National Laboratory
- ◆ Commercialized in 2001 by Alcon Industries, Inc.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.034	0.0

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.0

Applications

Nickel aluminide can be used in the heat treat industry for trays, fixtures, radiant tubes, cast link belts, rollers, fans, and miscellaneous furnace parts.

Capabilities

Nickel aluminide alloy is a high-strength heat-resistant alloy that is very resistant to carburization. The Ni_3Al fixtures last 3 to 5 times longer than current high-performance steel alloys and are at least 3 times stronger at operating temperature than conventional alloys.

Benefits

Profitability

The ability to meet production requirements in two furnaces instead of three has increased profitability by avoiding capital expenditure and reducing maintenance, energy, and alloy costs.

Reliability

The high strength and lower carburization of the trays and fixtures increase the life of the trays and has significantly decreased furnace problems.

Photovoltaic (PV) Roof Tile Assembly Delivers Clean Solar Electricity to Buildings

With the help of a grant from the Inventions and Innovation Program, PowerLight Corporation has developed the PowerGuard roofing system that offers building insulation, shading, roof protection, and solar power generation encompassed in a single roofing panel. The roofing panel includes a photovoltaic module mounted on a 3-inch-thick styrofoam board coated with a proprietary, cementitious coating. Designed specifically for flat or slightly sloped commercial and industrial building roofs, the panel works as a retrofit over existing roofs, as a new roof with new construction, and for re-roofing. The system can be tailored to capacities of 1 kW or greater and allows easy expansion.

PowerGuard installations are saving energy and money from New York to Hawaii as well as overseas. A 540-kW system installed at the Santa Rita Jail in Dublin, California reduces the jail's annual energy load by over 800,000 kWh. On the opposite coast, a 186-kW system installed atop Tompkins County Public Library in Ithaca, New York generates 200,000 kWh per year despite the fact that Ithaca receives only 60% of the solar radiation compared with Southern California. Electricity demand is reduced when it is most expensive, such as during peak demand periods on hot summer days. Reducing the load during peak demand periods also decreases the threat of blackouts and other problems associated with overloading the utility grid.

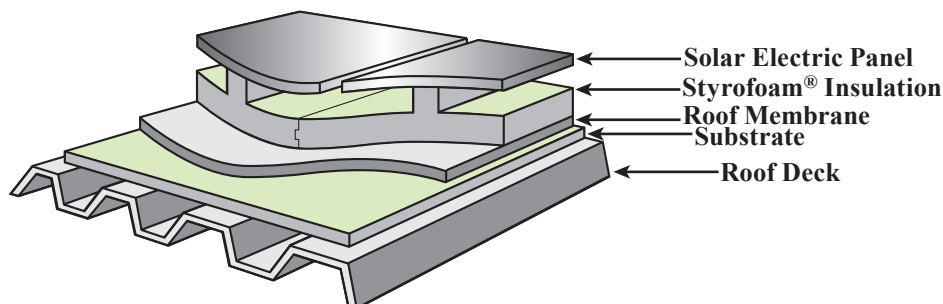
Benefits

Ease of Installation

PowerGuard can tailor systems from 1 kW up to the building's peak load and offers easy expansion. The panels use a tongue-and-groove design to interlock adjacent panels for fast installation without penetrating existing roofing material.

Product Life

The lightweight PowerGuard system is designed to survive severe weather conditions and protects the roof membrane from harsh UV rays and thermal degradation for up to 30 years, approximately doubling the life of the roof.



PowerGuard System Cutaway View

Overview

- ◆ Developed by PowerLight Corporation
- ◆ Commercialized in 1994
- ◆ Installations from New York to Hawaii and overseas.

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.385	0.141

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.001	0.030	0.023	2.77

Applications

- ◆ Installed on commercial or residential buildings that have flat or low-slope roofs
- ◆ Economical for building owners and utilities located in summer-peaking service areas where utilities offer time-of-use rates

Capabilities

- ◆ PowerGuard is a photovoltaic power system in which the photovoltaic modules are integrated with the materials used for a building's roof.
- ◆ Feeds clean AC power into the building, displacing high daytime utility rates.

Predicting Corrosion of Advanced Materials and Fabricated Components

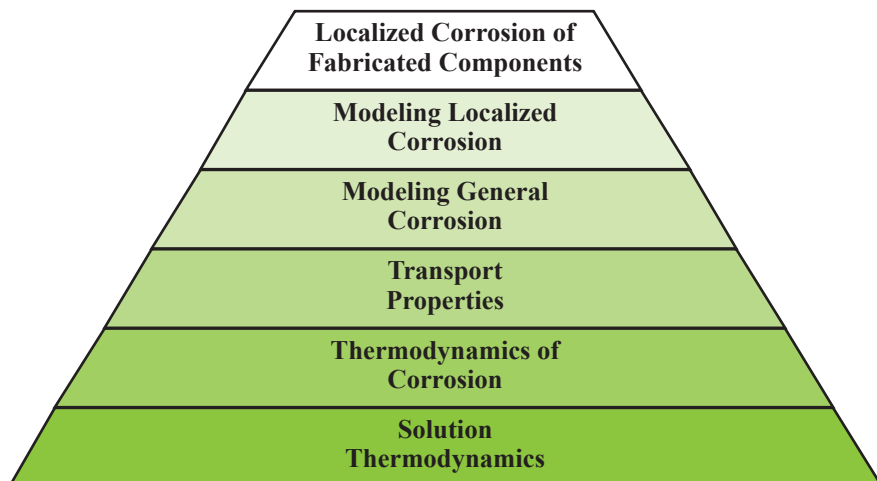
IMPACTS

Corrosion Prediction Software Tool Facilitates Selection and Development of Corrosion-Resistant Alloys

Based on the fundamental understanding of corrosion phenomena, OLI Systems, Inc., with assistance from ITP, developed the CorrosionAnalyzer, a methodology that simulates the electrochemical reactions and associated physical processes responsible for corrosion at the metal/aqueous solution interface. The simulation methodology predicts the susceptibility of fabricated components to localized corrosion as a function of alloy composition, fabrication procedures, and external environmental conditions.

To predict the occurrence of localized corrosion, the system relies on the computation of the corrosion and repassivation potentials as functions of solution chemistry and temperature. The corrosion potential is calculated from a mixed-potential model that has been verified by calculating corrosion rates in mixed acids and corrosion potential as a function of pH and concentration of oxidizing species. The repassivation potential is calculated from a separate model that quantitatively considers competitive processes at metal/salt film/solution interfaces in the limit of repassivation. This model has been shown to be accurate for reproducing the repassivation potential for mixtures containing both aggressive and inhibitive ions. The combined predictive methodology has been extensively validated for engineering alloys using both laboratory and plant data.

This project combines fundamental understanding of mechanisms of corrosion with focused experimental results to predict the corrosion of advanced, base, or fabricated alloys in “real-world” environments encountered in the chemical industry. Users are able to identify process changes, corrosion inhibition strategies, and other control options before costly shutdowns, energy waste, and environmental releases occur. These innovative corrosion mitigation measures can be tested in a virtual laboratory without risking the plant. The “useful remaining life” can be predicted based on operating experience and projected operating conditions so that catastrophic failures can be avoided and well-planned corrosion control and maintenance actions can be proactively scheduled.



Structure of Corrosion Prediction Model

Overview

- ◆ Developed and marketed by OLI Systems, Inc.
- ◆ Commercialized in 2005
- ◆ 33 customers leasing the CorrosionAnalyzer in the United States

Applications

Industries where fabricated components are exposed to corrosive environments, including chemicals, forest products, and petroleum industries

Capabilities

- ◆ Predicts the tendency of alloys to corrode as a function of environmental conditions.
- ◆ Predicts the tendency for localized corrosion and corrosion damage as a function of time.

Benefits

Efficiency

Reduces waste and environmental damage, and improves risk management.

Energy Savings

Reduces process losses, improves thermal efficiencies due to more optimum design of components and reduces heat transfer losses attributable to corrosion and corrosion by-products.

Productivity

Improves component life and reduces unscheduled downtimes.

New Particle-Size and Concentration Monitor Leads to Efficient Use of Lower-Quality Fuels

While both gas turbines and power-recovery expanders used in petroleum power generation are efficient energy-conversion devices, fuel quality limits the application of these technologies. Widely available low-cost fuels generally contain more contaminants, which can lead to system fouling and wear as well as downtime for repair and cleaning. Without continuous monitoring for particulate contamination and feedback control, systems must be set for unknown conditions, so the more-efficient gas turbines and power-recovery expanders are not installed or, if installed, operate at lower efficiency.

With assistance from ITP and a grant from DOE's Inventions and Innovation program, Process Metrix LLC developed a real-time laser-optical process particle counter/sizer (PPC). The PPC can be used as a short-term or automated long-term sensor and control system for dust monitoring of expanders/gas turbines and process stacks. The PPC uses optical technology with fixed alignment to provide a continuous, real-time, robust, standalone particulate monitor that allows expanders and gas turbines to operate closer to optimum conditions. Such conditions improve efficiency while protecting turbines, allowing use of lower-quality fuels.

Benefits

Durability

Protects turbines from high particulate concentrations that lead to blade wear.

Emissions Reductions

Decreases emissions by improving power-generation efficiency.

Energy Savings

Could save 20 billion Btu of natural gas per installation annually.

Productivity

Allows high-efficiency turbines to be installed in more applications and reduces production downtime from failures caused by particulate contamination.

Overview

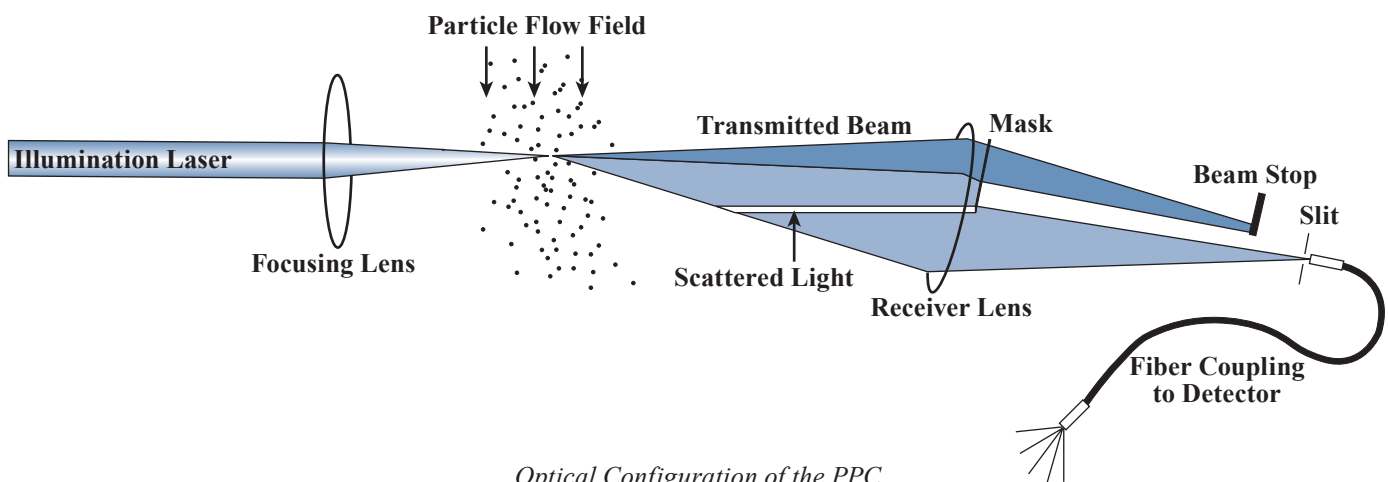
- ◆ Developed and being marketed by Process Metrix, LLC (formerly Insittec)
- ◆ Commercialized in 2004
- ◆ Six units being used in the United States in 2005

Applications

Process particle counters are applicable in petroleum power generation both for existing power-recovery expanders and in situations where power-recovery expanders have not been used because of unreliable fuel quality and return on investment concerns

Capabilities

- ◆ Monitors gas-phase particle contamination at low concentration using single particle counting.
- ◆ Measures size, concentration, and velocity of gas particles in real-time.
- ◆ Operates in-situ at industrial high temperatures/pressures.
- ◆ Uses diffraction light scattering with minimum shape and refractive index sensitivity.



Optical Configuration of the PPC

IMPACTS

New Burner will Deliver High Efficiency and Low Emissions in Industrial Boilers and Process Heaters

ITP and Alzeta Corporation have developed the Radiation-Stabilized Burner (RSB), an ultra-low NO_x and CO burner for applications in industrial boilers and process heaters. Characteristics of the RSB that improve performance relative to conventional burners include (1) full premixing of fuel and air to the greatest extent possible prior to combustion, (2) surface stabilization through the use of radiant zones and high flux zones on the burner surface, and (3) controlled flame shape above the burner surface. This results in low NO_x and CO emissions without sacrificing thermal efficiency or boiler reliability.

Premixing of the fuel and air before combustion provides a simple method of combusting all fuel at the desired fuel-air ratio and has been demonstrated to be an effective method of providing simultaneous low NO_x and low CO emissions. Excellent flame stability is needed to achieve low emission levels over the broad range in which industrial boilers operate. High-surface heat flux and controlled-flame shape above the burner surface allow for more compact boiler designs and for more rapid cooling of the flame to further reduce NO_x emissions.

Benefits

Emissions Reductions

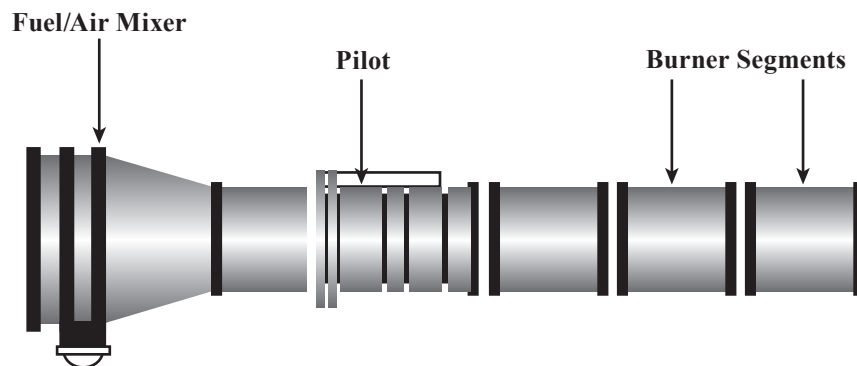
Simultaneously achieves low NO_x, CO, and unburned hydrocarbon emissions due to the fully premixed burner design.

Productivity

This simple technology approach to low NO_x emissions results in little downtime; any problems are easily repaired.

Profitability

Eliminates the need for “post-combustion” pollution-control devices to reduce the cost of NO_x compliance. Allows for more compact boiler designs due to the uniformly distributed heat flux from the RSB surface.



Radiation-Stabilized Burner

Overview

- ◆ Developed by Alzeta Corporation
- ◆ Commercialized in 1999
- ◆ Since 1999, over 200 burners have been installed in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.135	0.042

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.005	0.660

Applications

Industrial boilers and process heaters with capacity ranging from 2 MMBtu/hr to 150 MMBtu/hr, which are used in refineries, pulp and paper plants, and chemical manufacturing facilities

Capabilities

- ◆ Ultra-low NO_x and CO industrial burner capable of achieving sub-9 ppm NO_x and sub-50 ppm CO emissions.
- ◆ No loss in thermal efficiency relative to current 30 ppm burner designs with high efficiency controls option.
- ◆ Stable operation over a broad range of emission levels, from sub-7.5 ppm NO_x to sub-30 ppm NO_x, with one burner design.

New Fastening System Reduces Energy Use of Buildings

Roofing systems for industrial and commercial buildings continue to make significant strides in their performance and durability. Fasteners are essential to keeping many of these roofs intact by joining of pieces or multiple layers. However, the combination of newer roofing materials, known as singly-ply membranes, with conventional metal fasteners leads to increased heat loss. This loss occurs because the metal screw and plate of the fastener are only minimally insulated from the surroundings and conductive heat flow occurs through the thermal bridge created by the metal fastener.

The RR-1 Insulated Screw Cap Assembly, developed by The Romine Company of Newark, Ohio, with the aid of a grant from the DOE's Inventions and Innovation Program, is a simple but effective solution to heat loss and back-out problems found with many conventional fasteners. This improved fastener consists of an injection-molded fiberglass-reinforced nylon anchor, soft insulating plug, and optional grapple washer. The system is simple to install and extremely strong.

The energy advantage of the RR-1 results from the fastener depth and insulation value. The metal screw portion of the fastener is embedded at least one inch into the insulation board, reducing the heat transfer through the fastener. A foam plug is inserted in the cavity created and acts as an insulator. The new fastener design is more resistant to condensation and corrosion, which makes the fastener less likely to corrode and lose holding strength over time.

Benefits

Productivity

The simple flush mount requires less torque and time to screw in (no predrilling required) and provides a smoother finish than conventional fasteners. The RR-1 is also produced from less costly materials, so it is a more economical choice than other all-plastic fasteners.

Durability

In tests conducted on wind uplift, the strength of the RR-1 insulating fastener proved to be greater than the holding power of the metal decking. The RR-1 fastener also resists back-out. These features, and fastener tear-out, are particularly critical with the newer flexible membrane roofing materials.

Overview

- ◆ Developed and marketed by The Romine Company
- ◆ Commercialized in 1997
- ◆ 315,200 units sold through 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.011	0.002

Emissions Reductions

(Thousand Tons, 2005)

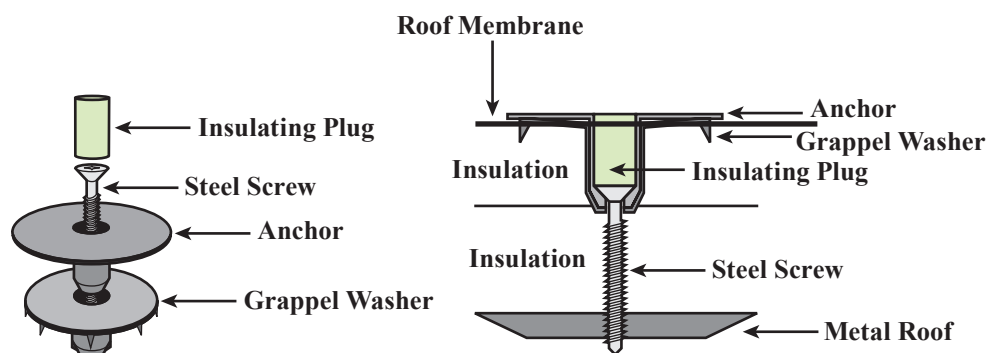
Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.039

Applications

The technology may be used on commercial and industrial buildings with membrane roofs and metal roofs. The screw caps may also be applied as a retrofit to older roofs.

Capabilities

- ◆ Replaces conventional metal or plastic fasteners to improve the energy performance in building roofs.
- ◆ Optimized for fastening single-ply roofing or rigid insulation to metal decking.
- ◆ Resists typical problems for fasteners including back-out and corrosion.



The RR-1 Insulated Screw Cap Assembly

Simple Control for Single-Phase AC Induction Motors in HVAC Systems

IMPACTS

New Motor Controller Reduces Noise and Increases Efficiency

A new approach to electric motor control removes the need for complex, high-frequency, high-voltage digital controllers that are motor and application specific. With the help of a grant from the Inventions and Innovation Program, Opto Generic Devices, Inc., (OGD) developed an optical programmable encoder and controller combination that offers continually adaptive/variable-speed, optimized commutation, dynamic vector control, real-time feedback, application tuning, and signal enhancement for operating AC motors. Based on this technology, OGD's subsidiary, OGD V-HVAC, Inc., developed a new technology, with the Adaptive Climate Controller (ACC), using optical programming that controls single-phase motors. While this controller has many uses with small motors, its most common applications provide climate control and healthy indoor air quality with energy efficiency, noise reduction, relative humidity control, and moisture control for mold abatement. Air filtration systems function more effectively with gradually changing airflow than with abrupt off-on fan cycling that accelerates harmful particles and organisms through mechanical and electronic filters.

In addition to providing a second, analog input for low DC voltage, the factory-supplied temperature sensor provides feedback for the controller to maintain temperature in the human comfort zone by gently mixing room air to avoid the extremes of cold air near the floor and warm air near the ceiling. If comfort demands suddenly change, such as when additional people enter a classroom or conference room, the ACC ramps up airflow as the mechanical system supplies heated or chilled air at temperatures above or below the human comfort zone, responding quickly to the changing room needs. Gradually ramping up fan speeds, instead of turning fans on fully whenever the thermostat calls for heated or chilled air, conserves energy by using only the electrical and thermal energy necessary to satisfy the demand. In systems such as fan coils, where thermal energy is transferred from heated or chilled coils into the air, the ACC enhances thermal energy exchange from the coils as it gradually ramps down fan speed in response to the actual supply air temperature as it settles into the setpoint temperature even after the thermostat has closed the valve that brings in heated or chilled water. Thus, the coil thermal energy transfer with the room continues even after the water valve has closed, allowing for additional electrical savings in chillers and fuel savings in boilers.

Benefits

Ease of Installation

Allows control upgrades to be easily installed on existing systems within minutes.

Energy Savings

Adaptively varies airflow to only what is needed.

Product Quality

Reduces noise for sleeping hospital patients and hotel guests and provides quieter conditions for classrooms and conference rooms.

Overview

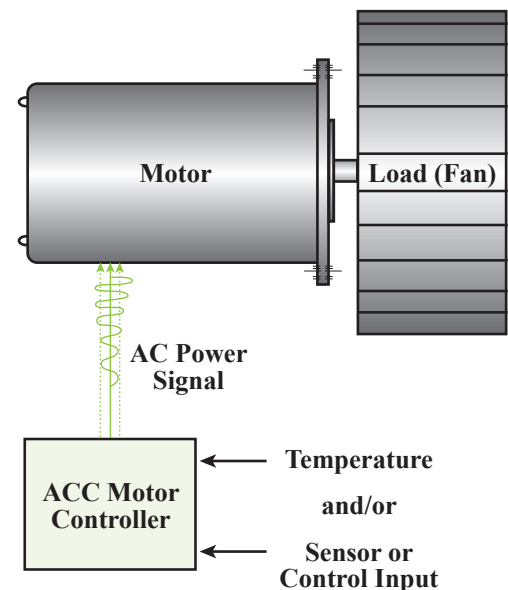
- ◆ Developed by Opto Generic Devices, Inc.
- ◆ Commercialized in 2005

Applications

Controls small single-phase motors up to 240 V_{AC} and 12 amps full load, including HVAC system fans found in hospitals, residences, hotels, nursing homes, schools, and other institutions. Also controls fan coils, unit ventilators, and exhaust fans.

Capabilities

- ◆ Accepts one or two analog inputs, including temperature and low DC voltage from a sensor or building management system.
- ◆ Adaptively varies the airflow across fan coils to control indoor climate.
- ◆ Reduces system noise.



OGD Electric Motor Control

New Sensors Rapidly and Accurately Detect Hydrogen, Improving Industrial Safety and Efficiency

Molecular hydrogen, H_2 , is a combustible gas that is produced in large quantities by many industries and has a broad range of applications. When H_2 is an undesirable contaminant, a monitor must be able to detect concentrations on the order of parts per million (ppm). In other cases a monitor must be usable in nearly pure hydrogen. Although gas chromatography and mass spectrometry are widely used for detecting H_2 , these methods require bulky, expensive equipment.

Using solid-state technology developed at the U.S. Department of Energy's Sandia National Laboratory, H2scan LLC is now commercializing hydrogen-specific sensing systems that can detect hydrogen against virtually any background gases. These hydrogen-sensing devices can detect hydrogen in 1 to 10 seconds, thus allowing the devices to be used in control systems. Currently, H2scan offers three hydrogen-sensing system configurations: a hand-held portable leak detector, a fixed-area monitoring system, and an in-line real-time concentration analyzer.

The advantages of the H2scan hydrogen sensors are in their operating parameters. The sensors have a low hydrogen sensitivity of about 5 ppm in air and less than 1 ppm in nitrogen. They are hydrogen specific with no cross-sensitivity to other gases. The upper range of the sensor is 100% with an extremely fast speed of response. They operate between -40°C to 150°C , making them attractive for virtually all sensor applications.

Benefits

Energy Savings

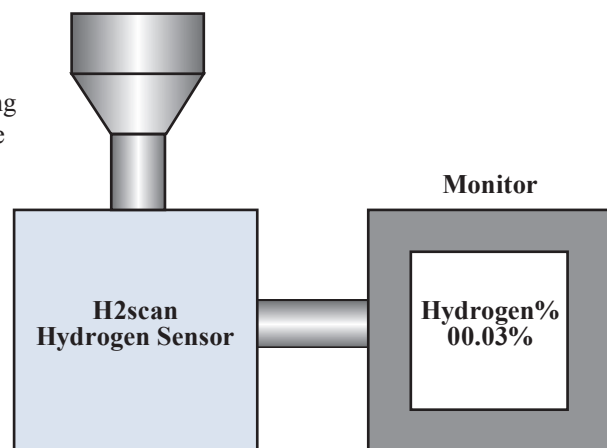
Hydrogen plays a critical role in float-glass manufacturing, an energy-intensive industry that produces 2.6 million tons of glass per year. Improper monitoring can substantially increase defects and waste energy.

Productivity

The solid-state devices can detect hydrogen in 1 to 10 seconds, which is suitable for interfacing to control systems. Using the device to monitor hydrogen in feedstock of a refinery feed hydrogen/carbon monoxide facility could improve overall performance by up to \$250,000 per year per plant.

Profitability

Solid-state sensors can be mass-produced, making them much less expensive than competing sensors. Small sensor dye produces a system that is much smaller than traditional sensors.



H2scan Hydrogen Monitoring System

Overview

- ◆ Developed by Sandia National Laboratory and H2scan LLC
- ◆ Commercialized in 2003
- ◆ Over 850 units sold through 2005

Applications

- ◆ Monitoring trace levels of H_2 in high-purity feed gases for chemical processes
- ◆ Monitoring hydrogen production from methane and refinery offgases, where hydrogen is often mixed with carbon monoxide
- ◆ Monitoring hydrogen levels in transformer oil to detect when the oil starts breaking down
- ◆ Measuring the hydrogen given off from lead acid batteries due to overcharging to stop a buildup of hydrogen and reduce the threat of either a fire or explosion
- ◆ Monitoring and control of hydrogen, which are crucial to obtain the correct molecular-weight distributions in the gas-phase polymerization of polyethylene and polypropylene
- ◆ Analyzing fugitive hydrogen emissions in ambient plant environments or in materials subjected to high-energy radiolysis, which is crucial for safety in those environments
- ◆ Measuring hydrogen levels to control the efficiency of fuel cell reformers

Capabilities

- ◆ Can be used over a wide range of hydrogen concentrations with minimal interference from other gases.
- ◆ Provides rapid response time of 1 to 10 seconds, allowing the sensors to be used for process control.

IMPACTS

Unique Twisted Design of Ceramic Insert Saves Energy for Metal Heat-Treating Furnaces

Radiant tube heaters are typically used in metal heat-treating furnaces. The heaters are long tubes, often in a U shape, which have natural-gas fired burners at one end of the tube (the burner leg) to produce a flame and heated gas that flows through the tube to produce heat for conditioning metals (e.g., strengthening them or otherwise changing some of their properties). In a traditional radiant tube, the burner leg releases 30% more energy than the exhaust leg because of convection and radiation heat transfer in the burner leg.

With the help of a grant from DOE's Inventions and Innovation Program, STORM Development LLC and Sycore, Inc., optimized the SpyroCor, a ceramic (silicon-carbide) insert for the exhaust leg of the tube heater. The patented twisted design of the SpyroCor produces nonturbulent, high convection flow that produces the highest possible rate of uniform heat transfer. As a result, the SpyroCor reduces heat loss and the energy demands of the process by 15% to 20%. A typical furnace contains 10 radiant tubes, which use an average of 3 SpyroCors per tube. Through 2005, 137 furnaces have been equipped with SpyroCors for a savings of 534 billion Btu.

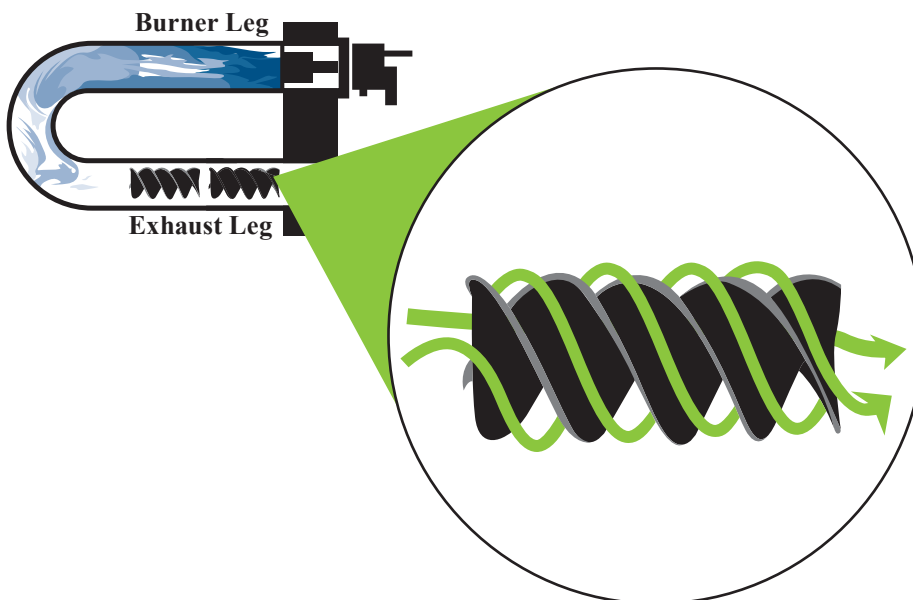
Benefits

Ease of Installation

Can be quickly and easily inserted into existing heater tubes without overhauling the entire furnace.

Productivity

Allows the furnace user to increase the amount of metal treated for the same amount of energy used or to reduce the amount of energy used for the same output.



SpyroCor Installed in a Radiant U-Tube Heater

Overview

- ◆ Developed by STORM Development LLC and SyCore, Inc.
- ◆ Commercialized and being marketed by Spinworks LLC
- ◆ More than 4100 units sold through 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.834	0.534

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.062	8.48

Applications

To be inserted into radiant tube heaters typically used in metal heat-treating furnaces that use natural gas burners

Capabilities

- ◆ Produces nonturbulent, high convection flow in the radiant tube.
- ◆ Doubles the amount of surface area available for heat transfer.
- ◆ Balances the heat transfer throughout the radiant tube, allowing more energy to be available to the load.

SuperDrive – A Hydrostatic Continuously Variable Transmission (CVT)

IMPACTS

Innovative Approach to Fuel Economy in Heavy-Duty Vehicles

The heavy-duty truck (class 7 and 8) market is dominated by standard-geared transmissions. Standard transmissions are so efficient that little interest has been shown in exploring even greater efficiencies using other types of transmissions. With assistance from the DOE's Inventions and Innovation Program, SuperDrive, Inc., addressed increased efficiency by developing a hydraulic transmission system to uncouple engine rpm from wheel speed. This design allows the electronic control module to seek the lowest rpm at which sufficient torque is available to maintain the desired speed.

The patented SuperDrive system uses an axial piston, variable hydraulic pump that is coupled to the crankshaft at the rear of the engine. The pump drives axial-piston variable motors connected to the drive shaft. With an electronic control module, SuperDrive maintains the lowest rpm possible to produce sufficient torque to maintain required pump output. If demand increases, the fuel flow to the engine increases to meet demand, but engine speed is increased only as a last resort. This method allows the vehicle to maintain a constant speed over varying terrain with little or no increase in engine rpm. Because this is a closed-loop hydraulic system incorporating variable pumps and motors, it has the capacity for hydraulic braking by activating a flow control valve. The improved fuel efficiency, an average of 25% to 40%, more than offsets the reduction in transmission efficiency for heavy-duty trucks.

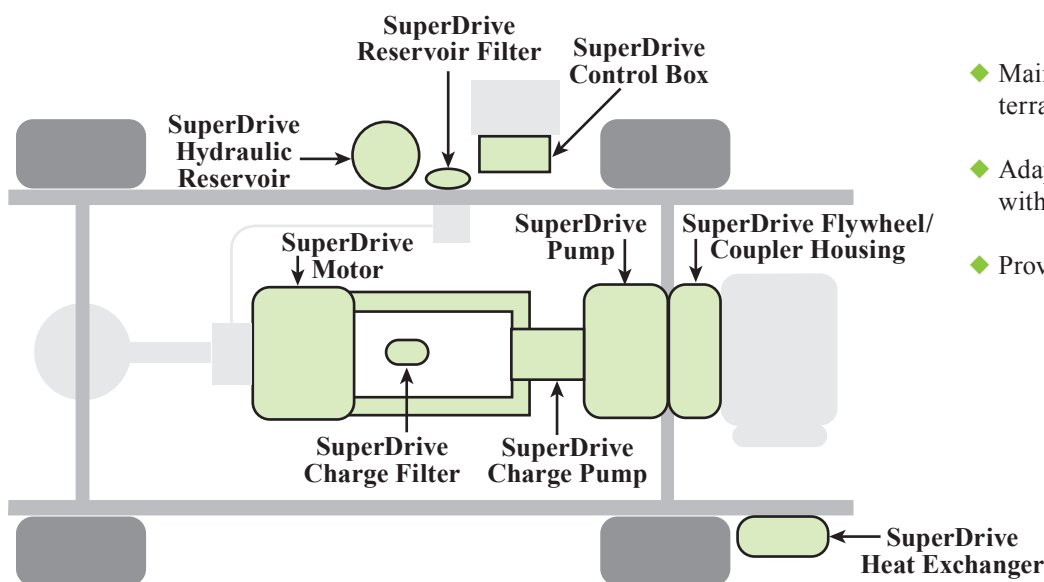
Benefits

Environmental

Reduces emissions by up to 35% over conventional long haul operations.

Productivity

Reduces driver fatigue and the need for drivers skilled in using multi-gear standard transmissions.



SuperDrive Components

Overview

- ◆ Developed and marketed by SuperDrive, Inc.
- ◆ Commercialized in 2004
- ◆ Currently installed on three transit buses in Piqua, OH

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.003	0.002

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.001	0.0	0.037

Applications

The SuperDrive system can be used in heavy-duty truck and bus engines in long-haul and fleet applications.

Capabilities

- ◆ Maintains constant speed over varying terrain with minimal increase in rpm.
- ◆ Adapts to unique characteristics or trucks with different engines and transmissions.
- ◆ Provides hydraulic braking.

Three-Phase Rotary Separator Turbine

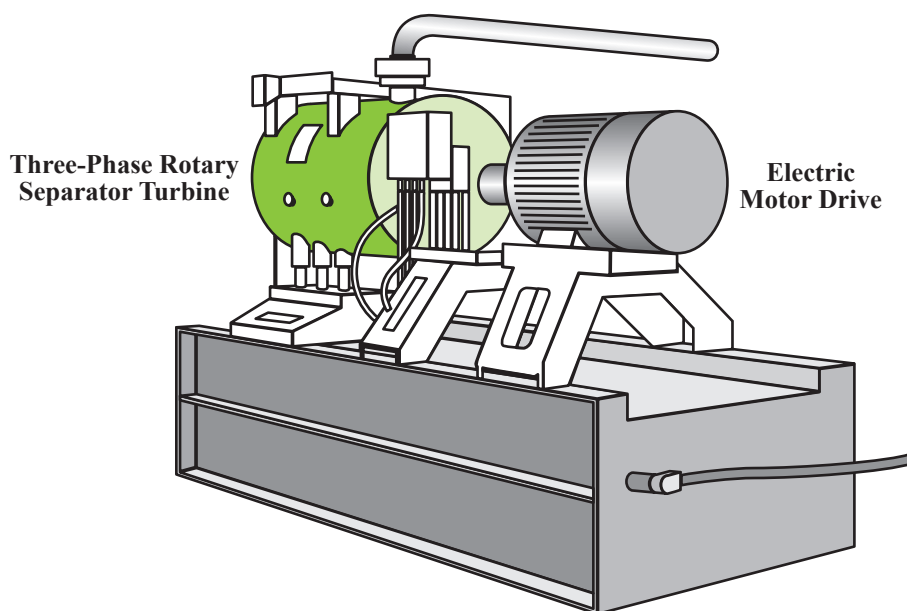
IMPACTS

New Turbine Efficiently Separates Gas, Oil, and Water While Generating Electricity from Waste Energy

Using a NICE³ grant, Douglas Energy Company and Multiphase Power and Processing Technologies (MPPT) demonstrated a three-phase rotary separator turbine (RST3) at a land-based production field and on an offshore production platform. The device introduces a highly efficient and compact method for separating gas, oil, and water during production operations, while generating substantial power from previously wasted process energy.

Traditional oil and petroleum separator systems use a centrifuge or gravity separator. The centrifuge system requires outside energy to power the motors that propel a centrifugal drum, where oil and water are separated. After separation occurs, solids remain inside the drums and require costly periodic cleaning. The gravity separators use huge vessels that rely on gravity to perform the separations. However, the separations are often incomplete and require secondary energy-consuming systems.

The RST3 effectively separates solid waste, oil, gas and water, while harnessing expansion energy from the pressure reduction that occurs after the oil, gas, and water mixture is brought to the surface from offshore wells. This creates a clean power source that accelerates the rotating portion of the RST3 unit, where the mixture is separated more efficiently than by traditional methods. The new process often creates net energy for other offshore oil platform operations, reducing the need for electricity produced from natural gas turbine generators.



Three-Phase Rotary Separator Turbine

Overview

- ◆ Developed by Douglas Energy Company Inc.
- ◆ Commercialized in 2005 by Multiphase Power and Processing Technologies
- ◆ 2 units operating in the United States in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.024	0.009

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.002	0.001	0.176

Applications

Replaces traditional separation technologies used in petroleum and chemical industries

Capabilities

- ◆ Creates its own source of clean shaft power.
- ◆ Weights 10 times less than a typical gravity three-phase separator and has a much smaller footprint.

Benefits

Cost Savings

Substantially reduces the size and cost required for offshore platforms, enabling a low-cost production system for marginal oil and gas fields and increasing supply.

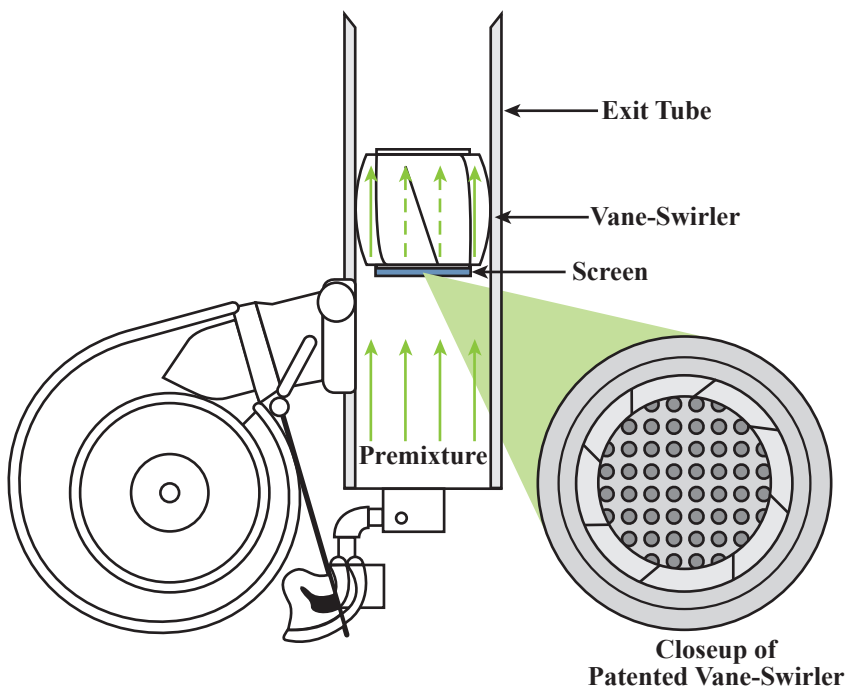
Environmental

Purifies the process water without adding harmful chemicals commonly used in traditional separators.

Reduction of Burner NO_x Production with Premixed Combustion

Industries that are dependant on combustion processes are faced with more stringent environmental regulations to reduce NO_x emissions. Some states require NO_x emissions reductions as great as 90% for chemical and refining industries. The recently developed M-PAKT™ Ultra-Low NO_x Burner uses lean premixed combustion gases and low swirl flow of combustion gases to achieve NO_x emissions levels <10 ppm (an NO_x reduction of 80% to 90%).

The research for this technology originated at Lawrence Berkeley National Laboratory with funding from the DOE Office of Science Experimental Program and Industrial Technologies Program. This new burner's distinct characteristic is a detached flame that is lifted above the burner, providing the capability for more complete combustion with less emissions. This burner concept can be applied to a wide range of combustion systems including furnace and boiler applications, gas turbines, and liquid process heaters for the chemical and refining industries. The burner can be operated with natural gas, biomass gas, and pre-vaporized liquid fuels. The burner is scalable and simple in design with no need for costly materials for manufacturing and installation. Maxon Corporation has licensed the technology for industrial process heaters used in many industrial baking and drying ovens. Applications have also been successfully tested in smaller-diameter domestic heater units.



M-PAKT Ultra-Low NO_x Burner Installation

Overview

- ◆ Developed by LBNL with two patents issued
- ◆ Installed in the U.S. and overseas
- ◆ Technology licensed to Maxon Corporation and sold as the M-PAKT burner
- ◆ Estimated to reduce NO_x by over 220,000 pounds in 2005

Applications

The novel ultra-low NO_x burner concept can be used on a wide range of combustion systems:

- ◆ Furnaces and boilers
- ◆ Chemical and refining industry process heaters
- ◆ Gas turbines

Capabilities

Reduces thermal NO_x in the combustion zone.

Benefits

Adaptability

Burns different gaseous fuel types and blends. Can be scaled to different sizes of units and adapted to different orientations and sizes of various flue configurations.

Low Cost

Offers low cost for manufacturing compared with traditional low NO_x solutions because the components are simple and are made from conventional materials.

Pollution Reduction and Energy Efficiency

Typically reduces NO_x to less than 10 ppm without compromising energy efficiency.

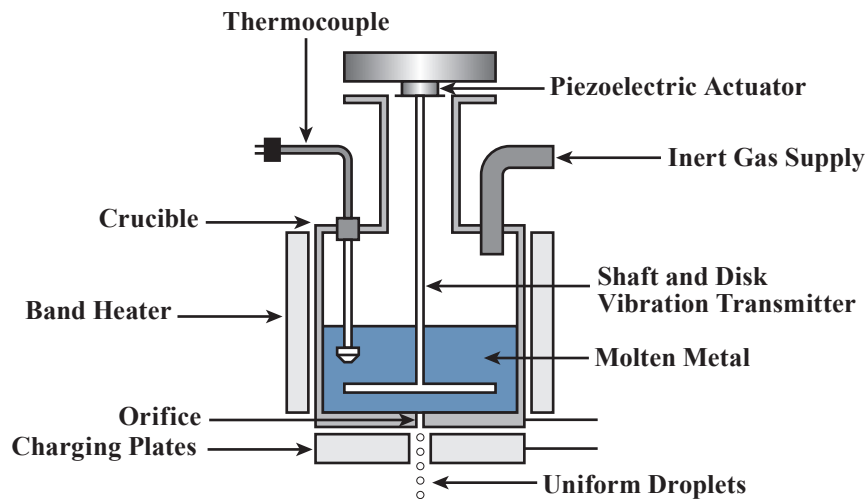
Uniform Droplet Process for Production of Alloy Spheres

IMPACTS

New Process Allows High-Quality Production of Uniform Alloy Droplets

The Uniform Droplet Spray (UDS) process is a nongas atomization process that uses the concept of controlled breakup of a laminar jet to produce uniform alloy droplets with identical thermal histories. This controlled breakup is similar to that used in ink-jet printing technology and produces monosized droplets. The droplets are solidified along a path that produces a desired microstructure. Unlike other methods for producing thermal sprays, the spray parameters in this process are fully decoupled and, therefore, permit materials processing under conditions inaccessible by conventional thermal spray processes.

With support from ITP, Oak Ridge National Laboratory, the Massachusetts Institute of Technology, and Northeastern University have developed this process that is now being commercialized for various applications. With appropriate engineering, novel particulate materials can be produced at reasonably high production rates and low capital and operating costs. Currently, the major commercial use is to produce micro-solder balls for Ball-Grid Array electronics packaging, which are used for manufacturing and assembling electronic products.



Uniform Droplet Spray Process

Overview

- ◆ Developed by Oak Ridge National Laboratory, the Massachusetts Institute of Technology, and Northeastern University
- ◆ Currently licensed to two United States and four Japanese firms who are exploring the Ball Grid Array application

Applications

Directly benefits the integrated circuit packaging industry with potential applications for use as a filtering media in the chemicals and petroleum industries

Capabilities

- ◆ Offers high quality production of uniform alloy droplets.
- ◆ Saves significant time and energy over traditional methods relying on cutting and milling operations.

Benefits

Product Quality

Produces uniform alloy droplets.

Profitability

Reduces labor costs compared with traditional cutting and milling operations.

Quality Control

Increases quality control because of the consistency of solder ball production.

Uniformly Drying Materials Using Microwave Energy

IMPACTS

New System Uses Microwave Energy to Dry Materials Uniformly at Half the Cost

Industrial Microwave Systems LLC with assistance from a Department of Energy NICE³ grant, successfully demonstrated and is commercializing an innovative system that uses microwave energy to dry materials. Traditionally, microwave-drying systems have scorched the portions of materials that were close to the radiation source while materials further from the source remained moist. This result is due to a primary characteristic of microwave energy—it attenuates as it leaves its point of origin, creating hot spots across the materials being dried. This characteristic has kept microwave drying from becoming the drying technology of choice.

This new technology addresses these traditional problems by using a rectangular wave-guide. This guide is slotted and serpentine to maximize the exposure area of materials as they pass through the system. A number of wave-guides can be cascaded to form a system that dries an entire piece of fabric or other material. Leakage of microwave energy is greatly reduced by using choke flanges to limit the amount of radiation reaching outside openings.

Benefits

Energy Savings

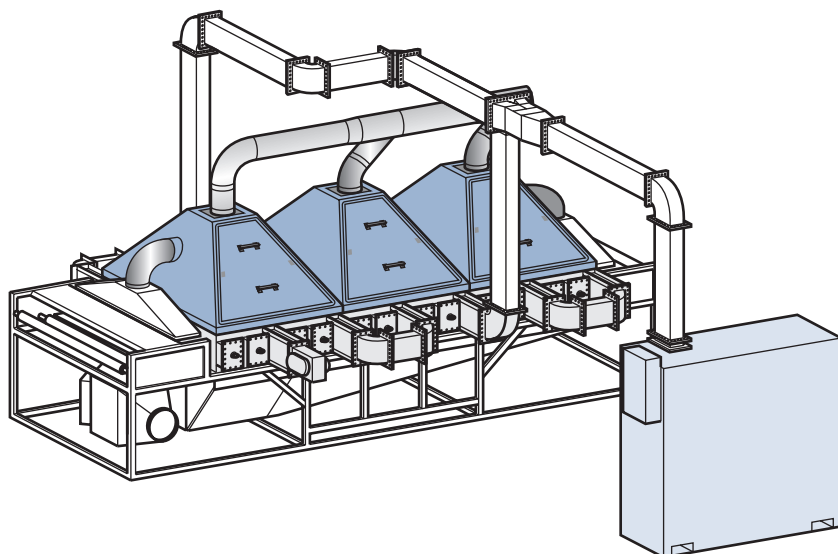
Reduces natural gas heating requirements by 20% to 50% saving up to 12 billion Btu/year for a typical plant.

Pollution Control

Reduces greenhouse gas emissions by approximately 50% with 68% of particulates eliminated.

Productivity and Profitability

Reduces drying stress because of no contact drying, lower maintenance costs because of fewer movable parts.



Microwave-Drying System

Overview

- ◆ Developed by Industrial Microwave Systems LLC
- ◆ Commercialized in 2000
- ◆ Currently operating at 6 facilities in the United States and 3 in foreign countries
- ◆ Five demonstration units being tested in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.114	0.024

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.001	0.003	0.400

Applications

- ◆ Fabrics
- ◆ Agricultural and pumpable food products
- ◆ Industrial filters and insulation
- ◆ Medical dressings
- ◆ Paper products
- ◆ Geotextiles, carpeting, and roofing materials
- ◆ Personal hygiene products such as diapers

Capabilities

- ◆ Provides efficient and uniform drying of materials continuously fed through the drying system.
- ◆ Works with existing systems to reduce conventional natural gas or electric drying needs.
- ◆ Reduces microwave leakage with the use of choke flanges.

Waste Fluid Heat Recovery System

IMPACTS

Heat Recovery System Extracts Energy From Waste Fluids

With assistance from DOE's Inventions and Innovation Program, WaterFilm Energy, Inc. developed a new coil and tube design for heat exchangers that increases heat transfer coefficients two to four times higher than conventional designs. Named the GFX system, the unit is a double-walled, self-vented, copper heat exchanger that forces fluid to flow as a film. Gray water or waste streams flow through the inner drain section, while makeup or incoming water supply flows through the outer coiled jacket. The design, IAMPO- and UL-approved, incorporates equal flow rates on both sides of the heat exchanger for optimum efficiency. GFX's lack of internal welds eliminates cross-contamination problems caused by weld failures and tube leaks common to shell and tube heat exchangers. A common industrial application is to cool effluent to meet environmental or waste treatment regulations. Eliminating the potential for cross-contamination, ensures low maintenance costs and guarantees consistent energy savings.

Benefits

Energy Savings

Reduces energy consumption by recovering heat usually lost through disposal of waste. Can recover up to 70% of the heat carried to settling ponds or sewers. Hospitality industry installations have demonstrated a simple payback of 1.7 years.

Other

Preheating potable water for dairy cattle increases fluid intake and boosts milk production. Cooling wastewater sent to settling or holding ponds reduces the evaporation rate, cutting down the release of foul aromatics.

Productivity

Reduces scale formation and maintenance required to maintain boiler peak efficiency.

Profitability

Has lower first costs and operating costs than buying and maintaining larger or multiple-process heating units or systems.

Overview

- ◆ Invented and developed by WaterFilm Energy, Inc.
- ◆ Commercialized in 1997
- ◆ Over 2460 units installed in the United States

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.115	0.027

Emissions Reductions

(Thousand Tons, 2005)

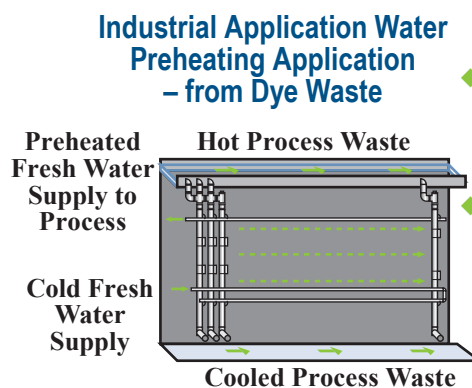
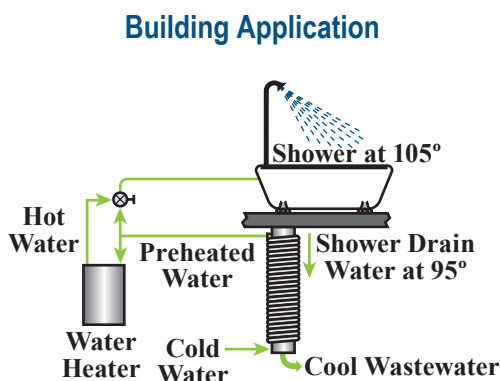
Particulates	SO _x	NO _x	Carbon
0.0	0.003	0.004	0.481

Applications

- ◆ Agricultural, chemical, refining, textile, food preparation, and other processing industries requiring heated supply water for processing
- ◆ Commercial buildings, heat recovery to complement electric and boiler water-heating systems
- ◆ Single and multifamily residential building water-heating systems

Capabilities

- ◆ Can be installed on nearly any system between the drain and sewer or holding pond.
- ◆ Units come in several sizes and can be clustered to create an "energy recovery wall" for larger facilities.
- ◆ Design promotes self-cleaning, and low residence time prevents unwanted biological growth or fouling.



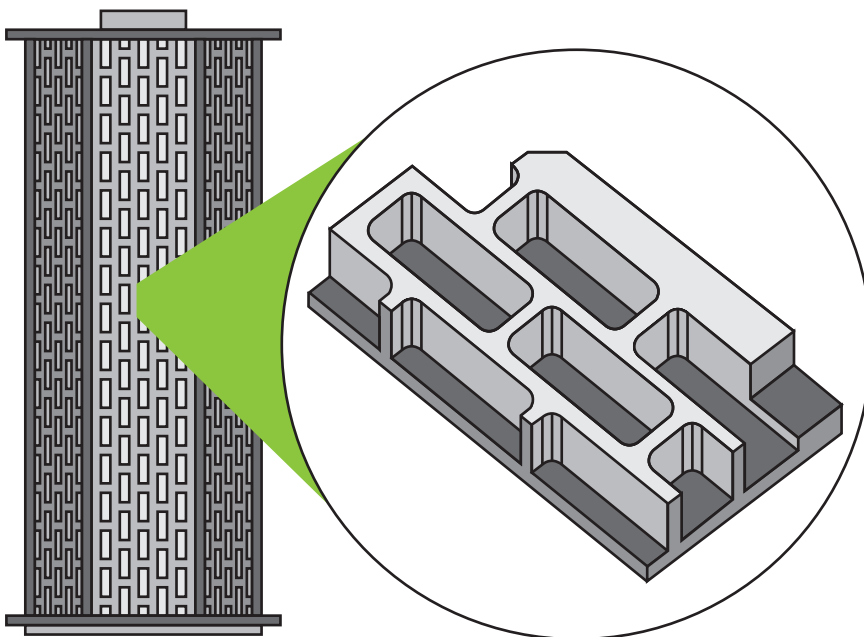
Waste Fluid Heat Recovery System

Waste-Minimizing Plating Barrel Increases Productivity

Plating barrels are used in metal plating operations to hold the parts to be plated. Traditional barrel designs have a wall thickness ranging from 1/2 to 1 inch, with thousands of holes drilled into the walls to allow electrical current and plating solution into the vessel. The wall thickness is required to provide adequate structural integrity. However, it lowers the efficiency of transferring plating solution into and out of the barrel and diminishes the ability to push electrical current through the holes and onto the parts being plated.

The Whyco barrel, developed by Whyco Technologies, Inc. and demonstrated using a NICE³ grant, is constructed by machining a staggered pattern of rectangular-shaped pockets into the traditional thick-walled polypropylene barrel. After machining, the barrel's structure resembles a honeycomb formation into which thousands of small, now shorter, holes are drilled. This patented staggered-cell design allows for the greatest number of holes per open area while maintaining structural integrity. This thin-walled honeycomb structure increases the hydrodynamic pumping action during barrel rotation, creating greater solution transfer than the traditional barrel design. The Whyco barrel also has higher current density plating leading to faster plating cycles, reduced bath concentration due to higher mass transfer rates, and better plating of difficult chemistries such as alloy plating.

To date, more than 1100 of these innovative barrels are in use at Whyco and other plating companies.



Whyco's Staggered-Cell Design

Overview

- ◆ Developed by Whyco Technologies, Inc. and marketed by Selectives
- ◆ Commercialized in 1997
- ◆ Currently 1100 plating barrels in use

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
3.54	0.526

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.002	0.078	0.077	9.72

Applications

Metal-plating operations; metal finishing and electroplating

Capabilities

Increases process efficiency of metal plating operations.

Benefits

Energy Savings

Energy savings from reduced process time and better plating efficiency.

Productivity

Reduces process time and increases productivity by more than 22%.

Use of Raw Materials

Due to better plating efficiency, product yields have improved by up to 40% while cycle times have decreased by up to 25%.

Waste Reduction

Because this process reduces drag-out (drag-out refers to the chemical solution held in barrel holes by capillary action) barrel users have reported up to a 60% decrease in plating solution loss.

IMPACTS

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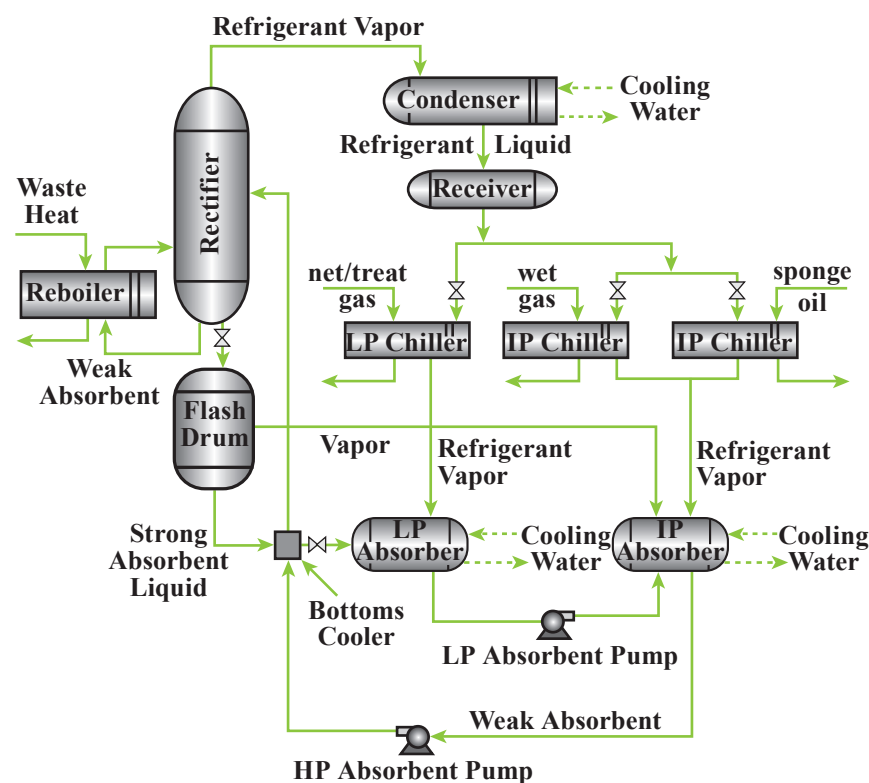
Absorption Heat Pump/Refrigeration Unit

IMPACTS

Advanced Water Ammonia Absorption Cooling Finds Profitable Application in Refinery Operations

Refineries usually prefer ambient cooling with cooling towers because refrigeration systems cost more initially, create headaches in operating and maintaining compressors, and significantly increase the demand for electricity. With assistance from ITP and a grant from the Inventions and Innovation Program, Energy Concepts Company developed an advanced ammonia refrigeration unit powered by waste heat. It overcomes the disadvantages of a refrigeration system. It recovers fuel from reformer waste gas and raises the capacity of a catalytic cracker. The unit debottlenecks the net gas compressors in a cracker. The inlet vapors are cooled, which increases the compressor capacity.

A commercial unit operating in Commerce City, Colorado, is providing up to 265 tons of refrigeration capacity to refrigerate the reformer plant net gas/treat gas stream and is recovering a net 45,000 barrels/year of gasoline and LPG. The 290°F waste heat content of the reformer reactor effluent powers the unit. The absorption cooling system is directly integrated into the refinery processes and uses enhanced, highly compact heat and mass transfer components. The refinery's investment was paid back in less than 2 years as a result of increased recovery of salable product, which was formerly flared. It is important to note that the recent increase in fuel prices has lowered this system's payback considerably.



Absorption Heat Pump/Refrigeration Unit

Overview

- ◆ Developed by Energy Concepts Company
- ◆ One commercial unit installed at a refinery in 1997

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
2.54	0.306

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.002	0.178	0.047	6.66

Applications

- ◆ Resource recovery in the petroleum refining and chemical industries
- ◆ Refrigeration and space conditioning for commercial and industrial facilities

Capabilities

- ◆ Water/ammonia absorption cycle can be powered from any heat source.
- ◆ Can deliver temperatures as low as -50°F.

Benefits

Profitability

Reduces energy intensity for a refinery and increases throughput for fluid catalytic crackers that have a bottleneck due to an overloaded wet-gas compressor. Applying refrigeration to refinery fuel gas header streams can recover millions of dollars worth of gasoline and liquefied petroleum gas (LPG) annually.

Advanced Membrane Devices for Natural Gas Cleaning

IMPACTS

New Membrane Cost Effectively Upgrades Sub-Quality Natural Gas

Carbon dioxide (CO₂) is a common impurity that must be removed in natural gas to improve the gas's heating value or to meet pipeline specifications. Hydrogen sulfide (H₂S) often prohibits natural gas from being used to generate power and drive compressors at remote locations such as oil and gas production sites. Production companies are faced with choosing among shutting in a well, overhauling engines frequently, or dealing with logistical challenges associated with routing other fuels to the site.

With DOE support, Air Products & Chemicals, Inc., through its Advanced Membrane Devices project, developed and successfully commercialized PRISM® membranes for upgrading sub-quality natural gas. These semi-permeable polymeric membranes can be used as gas scrubbers for natural gas, removing CO₂ and H₂S from natural gas.

PRISM membranes, based on simple process designs, provide a low-cost alternative to traditional amine systems that are used to upgrade natural gas. The membranes can also be used as a bulk-removal device to minimize the size of an amine system. The benefits become even more pronounced as the industry produces natural gas from very remote locations. Fuel-gas conditioning systems that incorporate PRISM membranes provide oil and gas production companies with an economical solution to an otherwise often enormous problem. The membrane device can be used to make low-grade natural gas with high CO₂ and H₂S content into a pipeline-grade gas for domestic and industrial consumption.

Overview

- ◆ Developed by Air Products & Chemicals
- ◆ Commercialized in 2001
- ◆ 110 CO₂-removal units operating in the United States in 2005

Applications

- ◆ Recovers CO₂ from associated gas in enhanced oil recovery programs
- ◆ Removes acid gas from natural gas

Capabilities

Can reduce impurities to allow natural gas to meet pipeline specifications.

Benefits

Environmental Quality

The PRISM membranes do not use any hazardous chemicals such as amines, which can cause environmental complications.

Ease of Installation

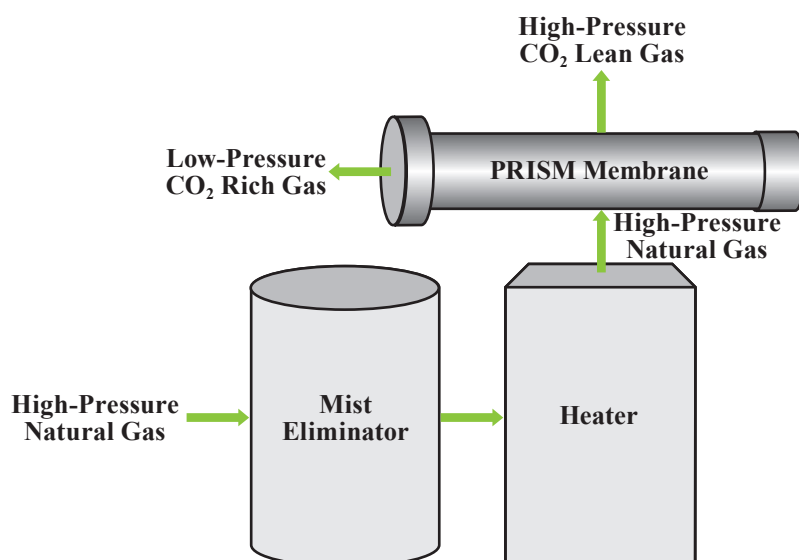
Units are lightweight and compact, thus facilitating their transportation and installation.

Profitability

The membranes are ideal for remote locations with limited utilities and sour natural gas.

Reliability

No moving parts mean minimal maintenance costs.



CO₂ Removal Process Using the PRISM Membrane System

Brick Kiln Design Using Low Thermal Mass Technology

IMPACTS

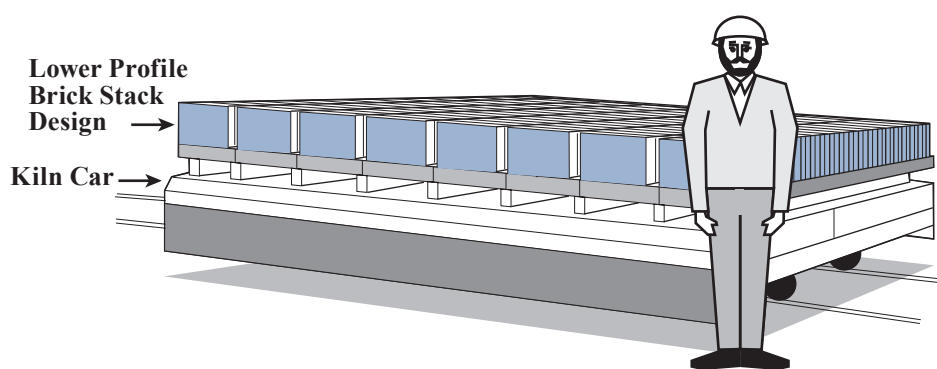
Innovative Brick Kiln Using Low Thermal Mass and Low-NO_x Technologies

Swindell Dressler and Pacific Clay Brick have successfully developed and demonstrated, using a NICE³ grant, a tunnel-kiln design with a low thermal mass. This new brick kiln uses three technical innovations: ceramic-fiber insulation in lieu of traditional refractory brick, a lower profile stack design for brick kiln cars, and more but smaller low-NO_x gas burners. These innovations result in a reduction in natural gas usage of 35% compared to a conventional kiln.

Replacing traditional refractory brick with ceramic fiber insulation allows the new design to reach operating temperature in about 1 hour compared to 24 hours for traditional designs. Additionally, the ceramic-fiber bricks with a low thermal mass absorb less heat, so more heat is available to fire the bricks.

A lower profile stack design for the bricks on the kiln cars means that bricks are placed 4 to 5 layers high instead of 15 layers high with traditional kilns. This lower profile stack design allows for better heat penetration into the bricks and better process control.

Several process changes reduce NO_x emissions: lower kiln firing temperatures (2100°F versus 2250°F), newer high-velocity burners, and a fully automated Process Management System that will maintain set points, including furnace-zone and rapid-cool zone temperatures.



New Low Profile Brick Kiln Car

Overview

- ◆ Developed by Swindell Dressler
- ◆ Commercialized in 1996
- ◆ 2 units operating in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.280	0.032

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.004	0.514

Applications

Brick and ceramic material kilns

Capabilities

- ◆ Uses low thermal mass kiln design to reduce energy consumption and increase throughput.
- ◆ Has better process control with better placement of more but smaller burners.
- ◆ Employs low-NO_x burners.

Benefits

Productivity

Reduces time to preheat kiln to operating temperature from 24 hours to 1 hour.

Waste Reduction

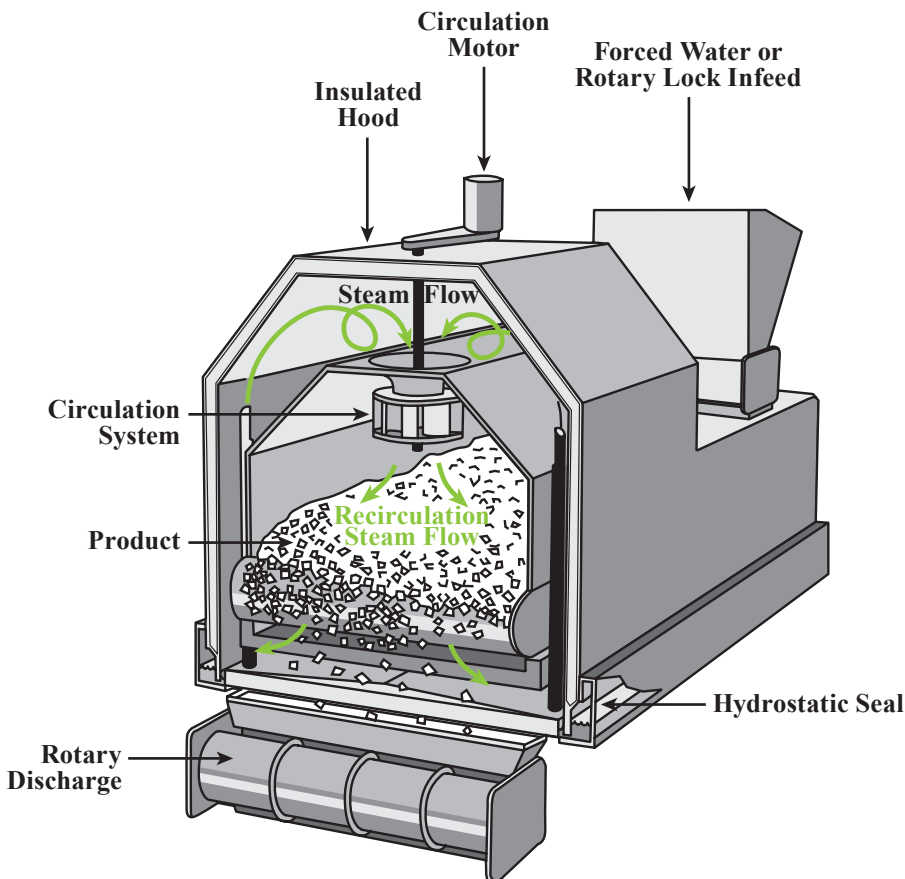
Reduces rejection rate due to better process control and even heat distribution.

IMPACTS

New Blanching System Increases Productivity While Saving Energy

This innovative blanching technology recirculates and reuses steam, dramatically reducing water and energy use, and wastewater production. Key Technology, Inc., using a NICE³ grant, developed and demonstrated the energy-saving and waste-reducing Turbo-Flo® Blancher/Cooker System. The Turbo-Flo system is a revolutionary advance in blanching and cooking technology. Traditional blanchers use a tremendous amount of steam or hot water (200-212°F) that is energy intensive, often overcooking the product being blanched. There are currently 60 Turbo-Flo units operating with energy savings of more than 70% and improved product quality.

In addition to the blancher innovations, Key Technology also collaborated with Washington State University to develop a lipoxygenase enzyme sensor that is capable of reducing blanch times in several types of vegetables. While the sensor was demonstrated in bench-scale tests, it is still in a developmental stage and not yet available commercially. When the development is complete, the new sensor will provide even more energy savings by further optimizing the blanching process.



Cut-Away of the Turbo-Flo Blancher

Overview

- ◆ Developed by Key Technology, Inc.
- ◆ 60 units operating in the U.S. food processing industry

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.008	0.001

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.026

Applications

Processing of fruits, vegetables, and potatoes for shelf-life protection

Capabilities

- ◆ Reduces product-to-steam ratio.
- ◆ Saves approximately 70% of energy use.
- ◆ Eliminates process wastewater.

Benefits

Environmental

Wastewater is virtually eliminated with the Turbo-Flo. Estimated water savings from the use of this system are over 3.8 million gallons of water per year per unit.

Productivity

With efficiency gains, shorter cook and blanch times increase yields by 2% to 5% over conventional water blanchers.

Quality/Process Improvement

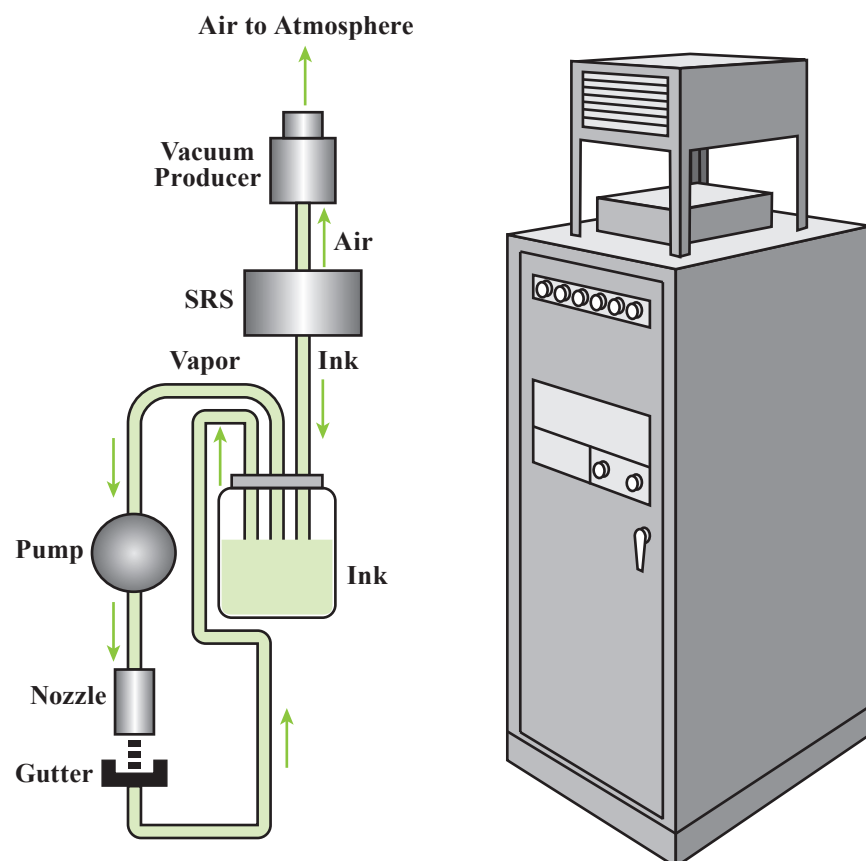
The Turbo-Flo system improves nutrient retention, taste, and appearance through shorter cook cycles and takes up only about 60% as much floor space as conventional blanching/cooking equipment. The Turbo-Flo system ensures more even cooking temperatures, and provides consistent product definition and quality.

Ink Jet Printer Solvent Recovery System for Commercial Printing Applications Reduces Emissions

Quad/Tech International (QTI) developed a new solvent recovery system (SRS) for commercial printers. This system was demonstrated using a NICE³ grant. The SRS captures and reuses 60% to 70% of the volatile organic compounds (VOCs) associated with the printing process. The SRS can also reduce the amount of ink and solvent that would be lost as vapor by up to 50% on average, resulting in a significant reduction in emissions. Additionally, because less fluid is used, the fluid containers do not have to be changed as often, resulting in labor savings and less downtime on the production line. Lastly, reduced VOC and acetone emissions make the work environment healthier for employees.

The SRS consists of a closed-loop ink supply tank that directs solvent vapors discharged from the tank through a vent tube. The vent tube is connected to a condenser that cools the vapors, condensing nearly all the solvent. The vapors are then returned via the vent to the ink supply tank.

QTI has over 640 of these units currently in operation. Energy savings result from the reduced need to manufacture the solvent, manufacture the plastic containers that the solvent is shipped in, and transport the solvent.



The Quad/Tech Solvent Recovery System (SRS)

Overview

- ◆ Developed by Quad/Tech International (QTI)
- ◆ Commercialized in 1997
- ◆ 647 units operating

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.397	0.052

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.022	1.05	0.780	95.2

Applications

Capturing and reusing VOCs in commercial printing processes

Capabilities

- ◆ Recovers 60% to 70% of VOCs.
- ◆ Reduces ink and solvent loss by vapor capture.
- ◆ Increases compliance capability with environmental regulations governing VOC release.

Benefits

Productivity

Recovers ink and solvent lost as vapor, resulting in less downtime to replace depleted fluid reservoirs.

Use of Raw Materials/Feedstocks

Recovery of ink and solvent reduces make-up streams, saving ink and solvent. DOE Industrial Technologies Program

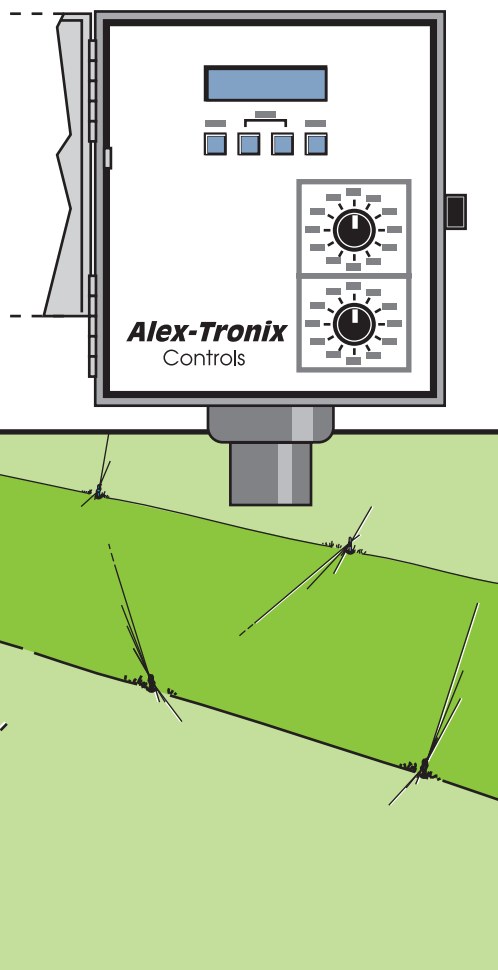
Irrigation Valve Solenoid Energy Saver

IMPACTS

New Solenoid Controller for Irrigation Valves Saves Energy

A battery operated, multi-station, irrigation valve control unit was developed with funding from DOE's Inventions and Innovation Program. The Battery Control System (BCS) uses low-powered, latching solenoid controllers with internal batteries that last for a minimum of 5 years.

Automated irrigation systems with latching solenoid controllers require a constant flow of electricity to keep the valves operating. A battery sends power surges to the solenoid as needed to open and close the valves. The BCS available from Alex-Tronix Controls uses the SWELL solenoid power saver. With the SWELL unit, the inrush and holding current requirements are only about 10% that of most other solenoids. The SWELL's greatly reduced inrush and holding current requirements allows valves to be operated at much longer distances. The BCS can operate valves reliably out to a distance of almost 20 miles. Other battery-powered controllers are limited in distance to about 1000 feet. Up to five valves can be operated simultaneously with a single irrigation controller. The solenoid coil never burns out because there is no power in the coil.



Battery Control System for Irrigation Valves

Overview

- ◆ Developed and being marketed by Alex-Tronix Controls
- ◆ Commercialized in 1999 with over 3000 units in the field
- ◆ Proven operation in laboratory and field tests

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.015	0.001

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.023

Applications

For sprinkler systems in medians, schools, shopping malls, golf courses, parks, agricultural and industrial applications

Capabilities

- ◆ Operates valves out to about 20 miles.
- ◆ Eliminates the energy and primary wiring needed to operate an irrigation system.
- ◆ Technology has 10 times the battery life and 100 times the operating distance of any other controller.

Benefits

Ease of Installation

Controllers can be installed anywhere. There is no need to install electrical meters or to use licensed electricians for installation.

Safety

There are no electrical safety concerns. Power surge and lightning-related problems associated with primary power leads are eliminated because there is no need for primary wiring.

Long Wavelength Catalytic Infrared Drying System

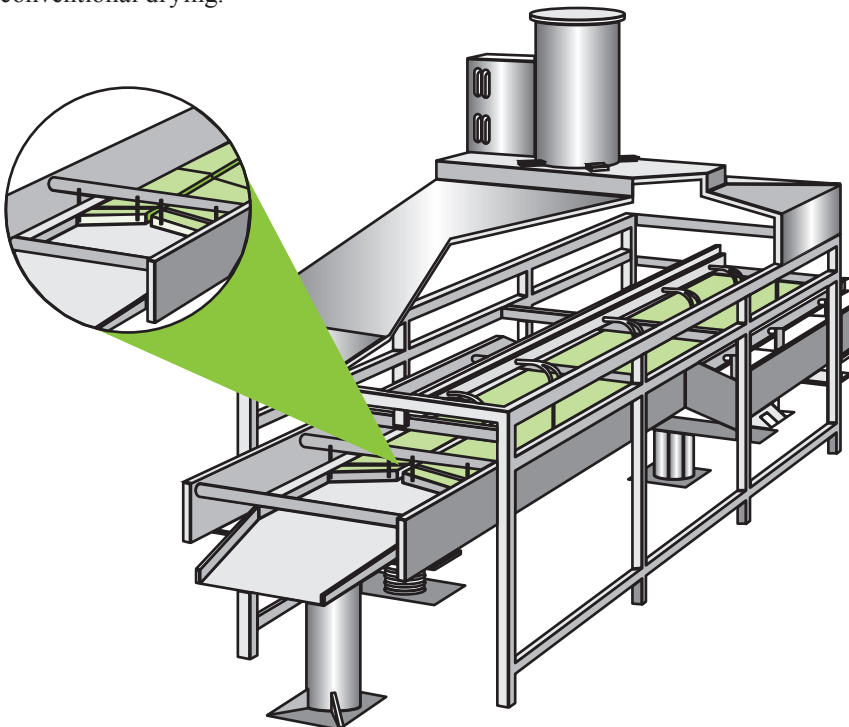
IMPACTS

New Infrared Drying System Removes Moisture More Efficiently Without Heating Surrounding Air

Conventional drying systems for wood particulates, typically in the form of sawdust or chips, currently employ a rotary drum dryer that shoots a raw flame through a 20' to 30' rotating drum while tumbling the wood product. Product scorching and air emission problems, particularly with NO_x and volatile organic compounds (VOCs), are prevalent because the rotary drum operates at up to 1,000° F.

An infrared drying system was developed by Catalytic Drying Technologies, Inc. (CDT), with the support of a DOE NICE³ grant. The long wavelength catalytic infrared drying system uses infrared energy from 3 to 7 microns to transfer energy directly to the water, activating it to a gaseous form at temperatures from 135°F to 220°F. Highly efficient and tightly controlled infrared radiant energy is delivered to the product as it travels along a conveyor engineered to uniformly expose the product to the radiant energy.

A large prototype unit was constructed and tested with sawdust, wood chips, and a variety of agricultural products. The CDT system was proven to dehydrate forest and agriculture products efficiently, so the current focus has been on the conveyance system for distributing the product evenly throughout the dryer to achieve consistent drying. Equipment costs are comparable to conventional heating systems. However, the CDT system can greatly reduce drying/heating times using flameless catalytic infrared energy, resulting in smaller equipment or more throughput (or both). Reducing the moisture content with infrared drying by transferring energy directly to the moisture instead of heating the air and surrounding metal structure requires less energy, reduces air emissions, and dries the product more thoroughly than conventional drying.



Catalytic Infrared Drying System

Overview

- ◆ Developed and marketed by Catalytic Drying Technologies, Inc.
- ◆ Commercialized in 2005
- ◆ One unit operating in a rice drying facility in 2005

Applications

Various industries such as forest products, agriculture, chemical processing, brewing and distilling, animal products, and horticulture

Capabilities

- ◆ Uses infrared energy from 4 to 7 microns to transfer energy directly to water.
- ◆ Drives off water at temperatures from 135°F to 220°F.
- ◆ Avoids the need for direct flame, which could damage the product.

Benefits

Cost Savings

Reduces operating and capital costs compared with conventional dryers.

Emission Reductions

Reduces NO_x and VOC emissions by operating at higher temperatures.

Energy Savings

Saves up to 80% of the energy used in conventional drying systems.

Productivity

Decreases residence time in the dryer and reduces the amount of scorched (wasted) product.

Stalk and Root Embedding Plow

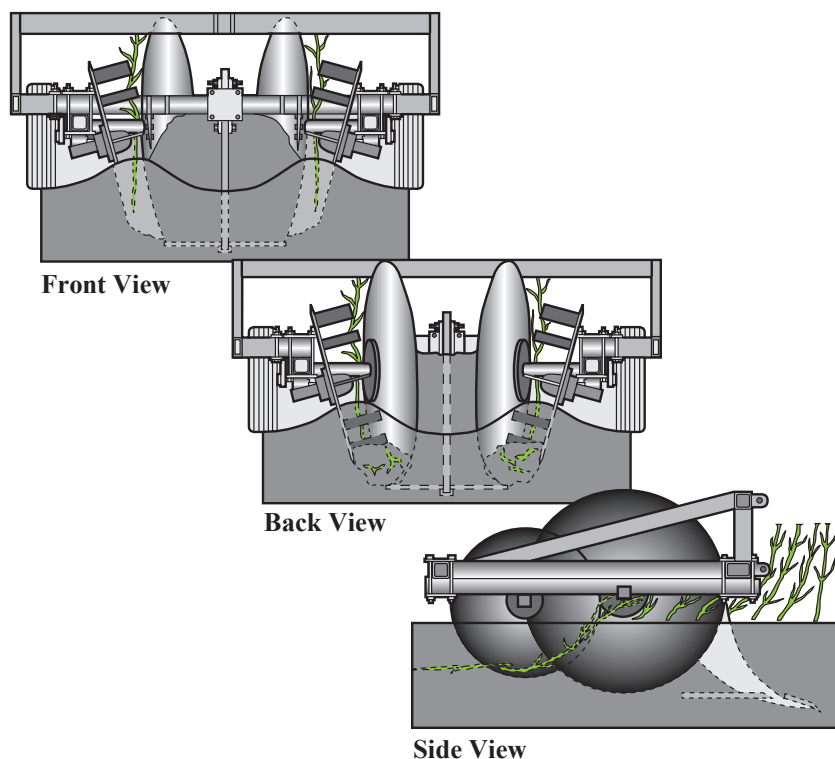
IMPACTS

New Stalk and Root Embedding Plow Reduces Costs and Saves Time in Preparing Fields

Disposing of cotton stalks and roots in the field after harvest is an energy-intensive operation. Nationwide, many cotton farmers use conventional tillage practices that involve shredding the stalks and making several tillage passes over the field to prepare a new seedbed. These tillage operations consume over one-half of farmers' annual fuel budget, and most farmers are frustrated with the high costs and time requirements. Over the last 50 years, farmers have tried several alternative tillage systems, all of which involve uprooting the cotton plants and mixing the crop residue into the soil. All uprooters have shortcomings, and none have gained wide acceptance across the Cotton Belt.

With assistance from DOE's Inventions and Innovation Program, the University of Arizona invented the Pegasus system—a stalk, root, and agricultural debris-burying tillage machine suited for burying row crops, especially cotton, to prevent pest damage and prepare fields for crops. The rapid plow-down design is a breakthrough in cotton tillage. A narrow moldboard plow opens a deep trench in the soil next to the crop row. Then a "stuffer disk" inserts the roots and stalks into the deep trench. The whole stalks are buried in a "rope" bundle under the bed where they decompose. The machine also forms new beds, leaving the field ready for the next crop.

Rigorous research by the United States Department of Agriculture indicates dramatic savings in cost, time, and energy. There are no adverse effects. Yields with the Pegasus have ranged from the same as conventional methods to 12% greater than conventional methods.



Stalk and Root Embedding Plow

Overview

- ◆ Invented by the University of Arizona and being sold by the Rome Plow Company
- ◆ Commercialized in 1996
- ◆ 79 units operating in 2005

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.123	0.021

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.0	0.0	0.438

Applications

- ◆ Breakthrough tillage technology for agriculture
- ◆ Cotton and other row-crop tillage

Capabilities

- ◆ Deeply entrenches whole stalks and roots into soil in one pass, eliminating need to shred stalks.
- ◆ Plows 7 acres/hour at 4.0 to 4.5 mph.

Benefits

Environmental

Eliminates stalk shredding, a large contributor to dust emissions, and cuts engine air emissions by 70% compared with conventional tillage practices.

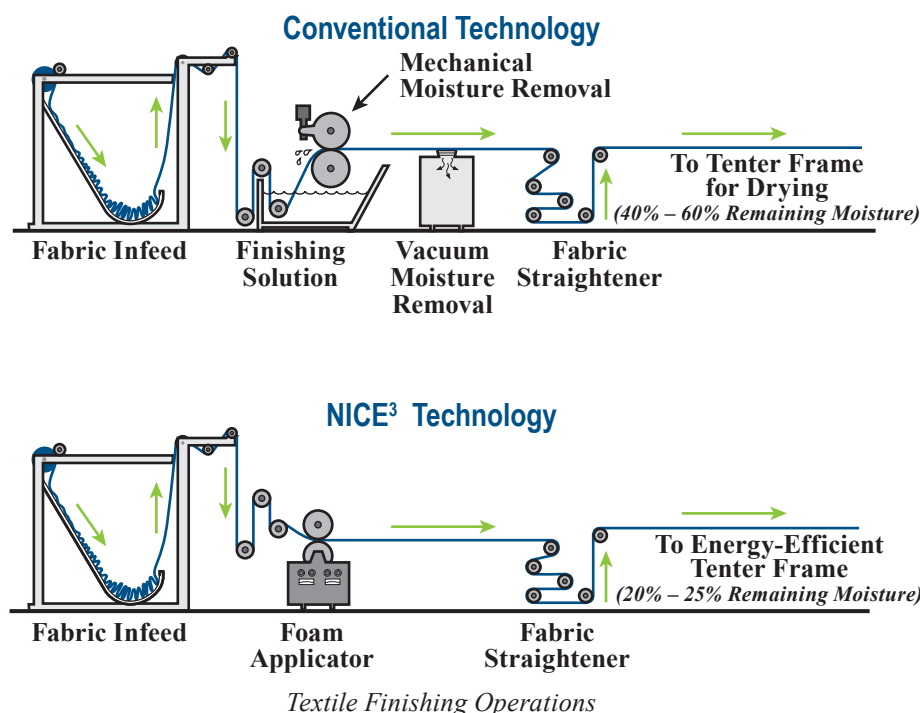
Productivity

Requires 75% to 80% less time to dispose of crop residue and prepare a new seedbed compared with conventional tillage practices. Saves 4 to 7 repeat passes of tillage machinery to work and prepare fields. Results in cost savings of \$50/acre compared with conventional tillage practices.

New Process Increases Productivity and Energy Efficiency in Fabric Finishing

The United States textile industry consumes large amounts of energy and water in finishing fabrics. The finishing operation is the final step in producing fabrics and typically imparts the aesthetic and physical properties required for various fabric uses. Using conventional technology, fabric finishers immerse fabric in a solution of finishing chemicals diluted in water. Once saturated, the fabric is removed, and excess moisture is squeezed out mechanically. The moisture is further reduced by a vacuum system before the fabric is directed to fabric drying equipment called the “tenter frame.” The tenter frame removes the remaining moisture by processing the fabric through a series of nozzles that expose it to hot air. Because of the relatively high moisture content, the fabric finishing process has been very energy intensive.

With assistance from a NICE³ grant, Brittany Dyeing and Printing demonstrated a new process for finishing textiles. In the new process the finishing chemicals are diluted with air instead of water and applied to the fabric as foam. No additional mechanical or vacuum moisture removal is necessary; thus, saving energy and water. The moisture content of the fabric is cut in half, allowing a new energy-efficient, high-speed tenter frame to be used. This new process increases the productivity of the finishing line by more than 100%.



Overview

- ◆ Commercialized in 1999
- ◆ Demonstrated savings continue at Brittany Dyeing and Printing Corporation

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.159	0.023

Emissions Reductions

(Thousand Tons, 2005)

Particulates	SO _x	NO _x	Carbon
0.0	0.002	0.003	0.397

Applications

Process applies to the textile finishing industry

Capabilities

- ◆ Replaces traditional water-based textile finishing applications.
- ◆ Reduces the moisture content of fabric from finishing by more than 50%.
- ◆ Increases production capability by over 100% through higher production speeds.

Benefits

Energy Savings

Energy savings result from application of chemicals in a foam media rather than liquid – this reduces the moisture content; thus, less energy is needed to dry fabric.

Environmental

In the new system, finishing chemicals are diluted with air instead of water; thus, less water is used and less wastewater discharged.

Productivity

Reduced moisture content allows for higher production rates (over 100% increase in production capability).

IMPACTS

Plastics from Renewable Resources Offer Significant Commercial and Environmental Benefits

Each year, 60 billion pounds of thermoplastics are produced from imported and domestic oil to make industrial and consumer products. Because oil is an increasingly limited resource with negative impacts on the environment, reducing dependence on oil in all areas is important, including product manufacturing.

Poly lactide (PLA), derived from annually renewable corn, can be used in place of petroleum-based thermoplastics in many applications such as compostable packaging, film, and fibers for apparel, carpeting, and other fabrics. With financial assistance from DOE, the National Renewable Energy Laboratory along with Cargill Dow LLC and the Colorado School of Mines developed and refined a process to use PLA in manufacturing. Substituting PLA for petroleum-derived polymers reduces fossil energy use by 20% to 50%. The PLA plastics also result in reduced emissions of CO₂ compared with the petroleum-based thermoplastics. Projections are that 10% of the U.S. nonrenewable plastics packaging can be replaced with polylactide polymer.

This project assisted in expanding the PLA market by developing two new processing technologies. Both technologies yield semi-crystalline PLA articles that have improved physical properties. Other project tasks helped to better understand the relationship between polymer molecular structure and physical properties, which is useful information for improving process control.

Benefits

Energy Savings and Pollution Reduction

Compared with producing products from petroleum, corn-based PLA consumes 20% to 50% less energy in the form of fossil resources. Additionally, the carbon comes from plants that extracted CO₂ from the atmosphere, thereby emitting less CO₂ than petroleum-based products.

National Security

Using U.S.-grown corn instead of oil reduces the nation's dependence on foreign resources and oil to produce necessary products such as clothing and food packaging.

Overview

- ◆ Research being led by NREL with Cargill Dow LLC and Colorado School of Mines
- ◆ Commercialized in 2003
- ◆ Produced at Nature Works LLC's Blair, NE facility with a capacity of 300 million pounds per year

Energy Savings

(Trillion Btu)

Cumulative through 2005	2005
0.051	0.018

Emissions Reductions

(Thousand Tons, 2005)

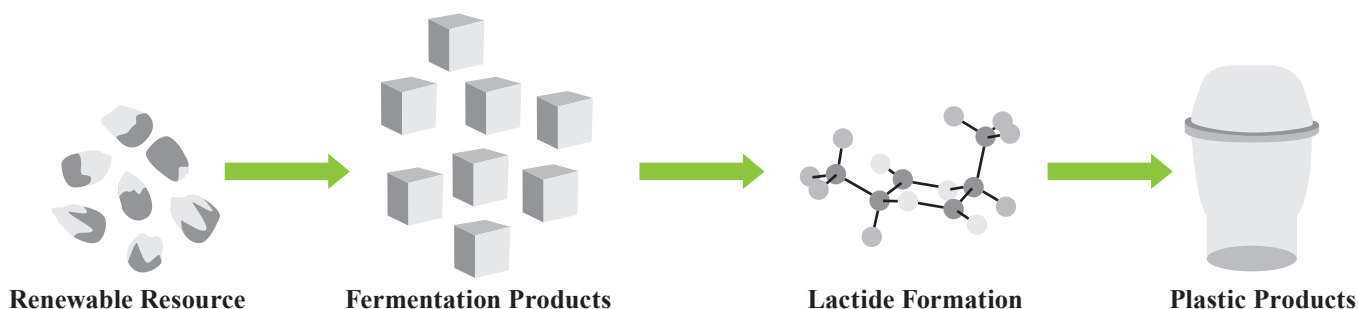
Particulates	SO _x	NO _x	Carbon
0.0	0.011	0.003	0.394

Applications

Plastics and textile industries, replacing certain packaging, films, and fibers used for apparel, carpeting, and other fabrics

Capabilities

- ◆ Competes in a market based on price and performance, with a better environmental profile than today's plastics.
- ◆ Currently can replace 10% of packaging with PLA, with more research being conducted to infiltrate the market further.



Process for Producing Plastic Using Renewable Resources

Appendix 2:

ITP Emerging Technologies

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Aluminum

◆ Aluminum Salt Cake: Electrodialysis Processing of Brine

The project goal was to eliminate landfilling of aluminum salt cake by developing technologies that would separate salt cake into constituents (aluminum, salt, and nonmetallic products). Salt recovery consumes more energy and incurs more costs than any other unit operation in the recovery of salt cake constituents. A salt-recovery process based on electrodialysis is more cost-effective than currently proposed technology (evaporation with vapor recompression) for recovering salt.

◆ Converting Spent Potliner to Products

A new technology, the cyclone melting system, is being developed that will convert spent potliner from aluminum smelting plants into commercial-quality glass fiber and aluminum fluoride products. Spent potliner contains many of the chemical oxides typically used to manufacture glass products. The benefits of this new technology are the ability to produce a value-added product from the waste, to recover fluoride from the waste in a form that can be recycled back into the aluminum production process, and to reduce waste disposal costs.

◆ Direct Chill Casting Model

The direct chill (DC) casting process is used for 68% of the aluminum ingots produced in the United States. Ingot scraps from stress cracks and butt deformation account for a 5% loss in production. The interaction of the DC process is too complex to analyze by intuition or practical experience. A new DC casting model is being developed to increase the general knowledge of the interaction effects and should lower production losses to 2%. The model will provide insights into the mechanisms of crack formation and butt deformation, and will help optimize DC process parameters and ingot geometry.

Aluminum

(continued)

◆ Semi-Solid Rheocasting (SSR) of Aluminum Alloys

SSR is a simple and efficient technique for converting molten aluminum into semi-solid aluminum; it is less expensive than conventional techniques and can work with existing manufacturing equipment. With this technology, die-casting machines will produce large volumes of aluminum castings with high mechanical performance. Rheocasting will save energy by reducing furnace holding temperatures, reducing die casting energy usage, increasing tool life, and providing wider aluminum usage, primarily in the transportation industry.

◆ Vertical Flotation Melter

The Vertical Flotation Melter (VFM) is an advanced remelting process that is energy efficient and environmentally friendly. It will help the aluminum industry meet energy and environmental performance targets. The technology also applies to other industries, such as the glass container, fiberglass and steel industries.

IMPACTS

Chemicals

◆ Affinity Ceramic Membranes with CO₂ Transport Channels

Compared with more conventional separation processes, membrane separation processes offer several advantages, including increased energy efficiency, compact design, and operational flexibility. Numerous unexploited applications exist for advanced separations in aggressive environments that rely on a membrane's affinity to a specific chemical as opposed to traditional molecular sieving. Highly selective thermally/hydrothermally stable inorganic membranes offer a solution to these difficult industrial separation applications.

◆ Alloys for Ethylene Production

New intermetallic or metallic alloys are being developed for manufacturing ethylene production tubes that are resistant to coking and carburization. Traditionally, ethylene furnace tubes have been fabricated from cast or wrought high stainless steel alloys. Coke and metal carbide layers form on the inside surfaces of the tubes, reducing the mass flow and heat transfer of the tubes and resulting in significant downtimes. The new material will reduce these problems as well as increase the structural life of the tubes.

◆ Catalytic Hydrogenation Retrofit Reactor

The Monolith Loop Reactor (MLR) is a novel, integrated monolith catalyst reactor system that can be retrofitted onto existing commercial slurry-catalyst stirred tank reactors. A reusable high-activity monolithic catalyst replaces the slurry catalyst, and a two-phase gas and liquid feed mixture is fed to the reactor using a specialized gas-liquid ejector. The monolith catalyst effectively concentrates or intensifies the catalytic reaction in the small parallel channels of the monolith, while the ejector correspondingly increases the gas-liquid mass transfer to match these high reaction rates. Target markets include the commodity chemical, specialty chemical, fine chemical and pharmaceutical intermediates. This new technology offers reduced energy consumption because of higher productivity, improved yields, reduced waste, and elimination of the catalyst slurry filtration step and its associated operational costs.

Chemicals

(continued)

◆ Cavity-Enhanced Gas Analyzer for Process Control

A new industrial process control analyzer, which was successfully field-tested, measures trace acetylene concentrations in ethylene gas flows. Acetylene contamination can lead to costly upsets in producing ethylene, the world's largest volume and revenue-generating organic chemical. The new analyzer uses patented technology that is fifty times faster and one-third less expensive than conventional gas chromatography.

◆ Concurrent Distillation

The Trutna Tray (Co-Flo Tray) improves the performance of distillation and absorption trays by using a co-current flow design. Compared with the conventional sieve tray, the co-current tray increased production capacity by more than 100% without sacrificing separation efficiency. Three tray variations have been pilot-tested using an industrial-scale distillation column. The de-entraining section of the Co-Flo Tray is routinely used by the UT Austin's Separation Research Program in all of its air/water and caustic scrubbing studies. The special collector design and the enhanced liquid/vapor separation capability offer great potential for future de-entraining applications.

◆ Dimpled-Tube Heat Exchangers

A project to improve the thermal efficiency of convective sections of industrial fired-process heaters demonstrates that a dimpled-tube technology will significantly improve the energy efficiency of fired-process heaters and will reduce fouling rates. The heat-transfer enhancement approach uses a tube surface with a system of three-dimensional cavities (dimples). Cost-effective enhancement occurs because intensive vortex flow patterns are generated by cavities and provide intensive heat and mass transfer between the surface and the flowing media. A pilot-scale dimpled-tube test unit at a participating refinery increased heat flow by 50% to 60% compared with traditional tubes and reduced pressure drop by 30% to 40%.

Chemicals (continued)

◆ Distillation Column Modeling Tools

A computational model is being developed to optimize distillation column operation and design of column internals. A commercial-scale model will be validated to facilitate industry-wide acceptance and use. The commercialized software containing the model will calculate column design and operating parameters based on inputs of column size, packing configuration, feed conditions, and system physical properties. The model has the potential to optimize distillation column operations to save an estimated 53 trillion Btu per year by 2020.

◆ Electrodeionization for Product Purification

This technology combines the advantages of ion exchange (an adsorption technology) and electrodialysis (a membrane separation) for a wide range of potential applications in the chemical industry, including direct production and separation of products, product purification and desalination, salt waste recovery, and water recycling. Targeted applications include organic acid production, dextrose desalination, ultrapure water production, product polishing, and waste salt recovery.

◆ High Octane Fuel-Stocks via Reactive Distillation

High octane alkylate, an ideal clean fuel component for reformulated gasoline, is currently made using toxic liquid acid catalysts such as hydrofluoric or sulfuric acid. A commercially viable and environmentally superior alternative to conventional liquid-acid alkylation processes is being developed called the ExSact process. This pilot-tested process uses benign, engineered, solid-acid catalysts coupled with an innovative but practical, fixed-bed reactor to produce high-octane alkylate. The new process lowers utility consumption and produces fewer by-products compared to existing technologies, which result in significant savings in operating expenses.

Chemicals (continued)

◆ Improved Methods for Producing Polyurethane Foam

This project seeks to commercialize new silicone surfactant products that will enable flexible foam manufacturers to use environmentally benign liquid CO₂ as a blowing agent. Using CO₂ to manufacture polyurethane foams would replace methylene chloride, a toxic chemical that contributes to air pollution; would provide cleaner production that uses less energy; and would reduce the net release of CO₂, which is implicated in global warming. To validate the technology's performance, several companies will conduct full-scale production runs in their facilities.

◆ Low Emission Diesel Engines

Diesel engine exhaust is a major source of NO_x pollution. The formation of NO_x in diesel engines is dependent on the combustion temperature, which can be affected by the engine cylinder charge. An innovative membrane is being developed to adjust the cylinder charge and reduce the NO_x emissions by delivering nitrogen-enriched air to the system. The system may reduce NO_x formation in diesel engines by 50%.

◆ Low-Frequency Sonic Mixing Technology

This technology is an energy-efficient, electromechanical system that effectively substitutes low-frequency sonic energy for chemical and mechanical mixing, significantly improving the manufacture of a broad range of industrial products. This simple yet effective technology transfers acoustic energy into liquid, liquid-gas, and liquid-solid systems, inducing acoustic streaming. The result is improved mass transport and micromixing.



◆ Membrane for Olefin Recovery

Selective polymer membranes are being developed to allow recovery of olefins (compounds with carbon-carbon double bonds such as ethylene and propylene) from petrochemical by-product and vent streams. These streams are often flared or used as a fuel even though the olefin is more valuable as a chemical feedstock. This new separation technology will allow olefin separation and recycling within the process.

IMPACTS

Chemicals (continued)

◆ Membranes for Reverse-Organic Air Separations

Underground storage tanks for gasoline traditionally vent vapors that contribute to ground-level ozone and smog. An innovative membrane system is being developed to discharge air from tanks while retaining VOCs. The membrane system has the potential to dramatically reduce gasoline loss and VOC emissions from underground storage tanks.

◆ Nylon Carpet Recycling

This new chemical process provides recycled materials for manufacturing carpet products. The process can be used to recycle the used nylon carpet currently sent to landfills each year. The technology allows nylon manufacturers to recover and reuse caprolactam, the raw material used to make nylon 6 for carpets. A fully operating recycling plant is expected to keep more than 200 million pounds of post-consumer carpet waste out of U.S. landfills and produce approximately 100 million pounds of new caprolactam each year.

◆ Recovery of Thermoplastics via Froth Flotation

A process for the economical separation of high-value plastics from plastics waste streams derived from home appliances and electronics scrap has been developed and is ready for licensing. Current methods for separating plastics cannot economically separate plastics of similar density from each other. The process was demonstrated at a private company site involved in the recycling business. The design capacity of the demonstration plant was 1000 pounds per hour. About 20,000 pounds of ABS and HIPS plastics were recovered with a purity of more than 98% and a yield of higher than 80%. Recovered plastics via this process were successfully used by car-part manufacturers in making automotive parts. There are significant benefits due to lower energy use and resource conservation in the reuse of plastics for industrial manufacturing.

Chemicals (continued)

◆ Solution Crystallization Modeling Tools

Reliable simulation of crystallization requires accurate modeling of many factors. A new modeling tool synthesizes several essential elements, at least one of which has been only crudely approximated in previously available tools. This new modeling tool helps chemical engineers to better predict and control the crystal size distribution. It also improves the understanding of the effects of mixing and spatial variation of temperature and composition on the product quality, and ultimately will optimize crystallization efficiency. The resulting enhanced computational fluid dynamics capabilities are also applicable to a range of industrial applications beyond crystallization.

◆ Sonic Assisted Membrane

Membrane filtration systems are used to separate and recover products in a wide variety of applications. One of the main impediments to the broader use of micro and ultrafiltration membrane filters in biological applications is the occurrence of a layer of gel on the membrane surface, resulting in significant reduction in flux. A sonic device produces low frequency, high intensity, acoustic vibrations, which induce micro turbulence in the fluid near the membrane surface minimizing gel layer formation. This technology reduces maintenance costs and increases the number of biological applications for membranes.

◆ Sorbents for Gas Separation

A new technology based on oxygen-selective sorbent materials and pressure swing adsorption (PSA) could cost-effectively produce industrial gases, such as nitrogen. Purification applications where oxygen is removed from argon, helium, and nitrogen streams offer early potential commercial opportunities. This technology potentially requires less energy for gas separation compared to conventional techniques and can provide high-purity gases at lower cost.

Forest Products

◆ Biological Air Emissions Control

An innovative biological sequential treatment system that integrates two types of biological oxidation offers an attractive alternative to conventional, thermal oxidizer emissions control techniques. The two-stage system uses microorganisms to degrade (bio-oxidize) air toxins and other VOCs without using natural gas as fuel or creating secondary pollutants. The system combines a biofilter for removing low concentrations of pollutants and polishes the air stream with a biotrickling filter system for removing high concentrations of hydrophilic compounds, and will conserve water through in-vessel treatment and recycling of the scrubbing liquid.

◆ Black Liquor Steam Reforming/Pulsed Combustion

Black liquor is a liquid containing both pulping chemicals and tree organics. Historically, it was combusted to recover chemicals but this combustion is thermally inefficient and supplies about 50% of the energy needed in an integrated pulp and paper mill. A new process that gasifies the black liquor to recover chemicals and significantly more of the energy is being commercialized in two U.S. plants and a third plant in Canada. This gasification process could be further developed to produce power or transportation fuel and high performance chemicals. It also operates at significantly lower emission levels and eliminates the possibility of explosions.

◆ Borate Autocausticizing

Boron-based autocausticizing is a new, cost-effective technology to recover Kraft pulping chemicals. This technology can be used to recover either part or all of the sodium hydroxide requirements of the Kraft process through de-carbonation of sodium carbonate, supplementing or replacing the lime cycle. Because the de-carbonation reactions take place directly in the recovery boiler, instead of the lime kiln, this process reduces energy consumption and provides either increased causticizing capacity or reduced calcining requirement.

Forest Products

(continued)

◆ Decontamination of Process Streams through Electrohydraulic Discharge

In recycling paper, “stickies” cause considerable downtime and require costly minerals and polymers to be added for handling and detackifying them during the recycling process. A new mechanical method - pulsed power technology - is being demonstrated at several recycling mills to replace these costly chemicals. This technology uses a shock wave, developed from a spark discharging under water, to diffuse the stickies and create hydroxyl radicals from water, which oxidizes the stickies. This oxidation causes the stickies to lose their tack and become benign, thus allowing recycling to continue unimpeded.

◆ Directed Green Liquor Utilization (D-Glu) Pulping

Advances in the rate and selectivity of Kraft pulping without incurring major capital costs will increase the economic return of the pulp and paper industry. A high sulfidity pretreatment of wood chips is one of the most promising ways to achieve these advances. Green liquor is easily accessible in a mill and naturally rich in hydrosulfide ions, which are critical for accelerating pulping and providing a high value product. Researchers have discovered ways to reduce pulping time and energy requirements through the intelligent application of green liquor in the digester.

◆ Fibrous Fillers to Manufacture Ultra-High Ash/Performance Paper

Mineral fillers that increase paper brightness and opacity and improve paper print quality have reduced costs by replacing wood fiber. However, filler loading has been limited to 15% to 20% because higher loading levels cause a loss of sheet strength and bulk as well as “dusting” during printing. A new fibrous filler technology has been developed that may overcome these problems and replace high-cost wood fiber. The new fillers will ultimately produce a composite paper containing up to 50% ash, with equal or better performance characteristics than conventionally attainable paper. The new technology will also lead to better retention of fillers, additives, and pulp fines, significantly reducing biological and chemical oxygen demands in the mill process water.

IMPACTS

Forest Products

(continued)

◆ Gas-Fired Paper Dryer

A new paper dryer is being developed and pilot-scale tested to significantly increase the efficiency of papermaking. The Gas-Fired Paper Dryer (GFPD) is a natural-gas-fired system that uses a combination of a flame sheet and dimpled pattern on the drum's inner surface to improve combustion stability, reduce pollutant emissions, and cost-effectively enhance heat transfer from combustion products to the paper web. This patented approach could be implemented into new or existing equipment. The GFPD will ultimately help the paper industry (especially drying limited mills) reduce energy use and increase the production rate of paper machines by 10% to 20%.

◆ Laser-Ultrasonic Web Stiffness Sensor

This technology uses noncontact laser ultrasonics to monitor paper mechanical properties (e.g., bending, stiffness, and rigidity) in real-time during the papermaking process. In the past, paper mechanical properties were probed with transducers in direct contact with the web. This approach is no longer used because contact transducers can damage the web, leading to costly production losses. Noncontact monitoring of paper stiffness during manufacture will reduce waste and energy use by using less refining and remanufacturing, make optimal use of pulp feedstock, and reduce production of offgrade paper.

◆ Lateral Corrugator

A new corrugator method increases box strength and reduces drying costs by aligning corrugations with the direction of the paper machine, rather than perpendicularly. With this technology, manufacturers can use thinner paper to produce boxes of equal strength and can reduce drying energy requirements. This technology will also significantly reduce cost and energy use by reducing waste and box plant inventory and by optimizing trim and transportation.

Forest Products

(continued)

◆ Low Temperature Plasma Technology for Treating VOC Emissions

Pulp mills and wood product plants are under increasing pressure to control the emissions of volatile organic compounds (VOCs) generated during their operations. The present-day control technology – regenerative thermal oxidizers – is energy-intensive and depends on combustion technologies that heat the entire waste stream. An emerging technology using nonthermal plasmas can selectively and cost effectively destroy VOCs by producing excited species (free radicals and ions) that oxidize, reduce, or decompose pollutant molecules.

◆ Materials for High-Temperature Black Liquor Gasification

New black liquor gasification technology with combined-cycle cogeneration of steam and electricity can increase energy output for the forest products industry. However, high inorganic salt concentrations and high temperatures significantly degrade refractory materials and metallic components. Improved refractories and wear-resistant nozzle materials are being developed to enable high-temperature black liquor gasification units to attain a longer service life. These improvements will reduce operating downtime and increase energy production capability.

◆ Multiport Dryer

A limited pilot-scale testing of a multiport dryer is being conducted to increase paper drying rates in steam-heated cylinder dryers. Experimental data show that multiport dryers can increase paper production rates by 20% compared with spoiler-bar technology and by as much as 50% compared with conventional technology. The concept involves the steam flowing through multiport passages in proximity to the dryer surface. The multiport design minimizes condensate formation, which reduces heat flow, and maximizes the heat transfer surface area. Commercial benefits include reduced energy consumption, improved productivity, and downsized dryer section.

Forest Products

(continued)

◆ MultiWave™ Automated Sorting System for Efficient Recycling

Clean recycling material streams are critical to efficient and cost-effective recycling efforts and the slow speed of many sorting systems inhibits effective processing. The new MultiWave sensor system incorporates an innovative lignin sensor in addition to color detection and near-infrared detection to effectively detect the presence of paper in plastic recycling streams. The sensor is capable of detecting the paper's unique spectral signature at high speed and can control compressed air jets that then eliminate these materials from the stream. The sensor enables scanning and rejection at speeds of 1200 feet per minute in machine widths up to 96 inches.

◆ Novel Isocyanate-Reactive Adhesives for Structural Wood-Based Composites

Laminated veneer lumber (LVL) is a wood composite that is produced by bonding thin wood veneers together and is used for various wood construction applications. The current LVL manufacturing process is energy intensive, using adhesives that require extensive wood drying (to moisture contents of 6% to 8%) and high-temperature hot-pressing (~200°C). An alternative isocyanate-reactive that cures at room temperature (cold-setting) and is optimized for higher veneer moisture content promises significant energy savings. This new technology will also sharply reduce volatile organic compound emissions and improve product appearance and durability.

◆ Online Fluidics Controlled Headbox

This technology allows for more complete control of fiber alignment on the paper machine, which allows a machine making high performance products (e.g. containerboard, shipping sacks, etc.) to optimize sheet directional properties related to fiber orientation. In many cases, the optimization results in up to 10% reduction in fiber usage for the same product. Also, jet turbulence can be adjusted to optimize formation, thereby affecting not only strength but also properties such as smoothness, appearance, printability and coatability.

Forest Products

(continued)

◆ Oxalic Acid Technology

A short pretreatment of oxalic acid on wood chips saves electrical energy, improves paper strength, and removes hemicellulose along with other wood constituents during mechanical refining. Prior to pulping, the products extracted can be converted into various value-added compounds that can be used for a wide range of industrial applications. Oxalic acid technology provides an effective means of enhancing the physical properties of paper, while reducing the energy requirement in pulp production by at least 25%. The technology also reduces the resin and triglyceride components in the pulp. This technology has been proven in pilot-scale tests.

◆ Residual Solids From Pulp and Paper Mills for Ready-Mixed Concrete

The fibrous residuals from mill processing are typically sent to landfills. These residuals can be incorporated into ready-mixed concrete to improve the strength, durability, and lifespan of concrete structures, especially those exposed to weather. Adding residuals to concrete could increase the lifespan of high-performance concrete from the normal 30 years to up to 100 years. The new technology offers the pulp and paper industry a practical and economical solution for residuals solids disposal and provides the concrete industry with a low-cost source of fibers to produce a better product for its customers.

◆ Screenable Pressure-Sensitive Adhesives

The presence of pressure-sensitive adhesives (PSAs) in recycled paper creates a number of problems for the recycling process, including lost production and diminished product quality. New adhesive materials are being developed that are more effectively removed from the papermaking process during furnish screening. These new adhesives should possess properties that enhance their removal without impacting their performance in PSA products.

Forest Products

(continued)

◆ Steam Cycle Washer for Unbleached Pulp

A new commercial-scale Steam Cycle Washer is being developed to increase profitability by substantially reducing energy consumption, improving fiber and product quality, and ensuring that environmental compliance exceeds current regulations. This steam-pressurized, high-consistency pulp washer will enhance pulp industry profitability by allowing most pulp mills to reduce electrical power consumption for unbleached pulp production by up to 21%, evaporator load by 50%, and plant effluent and fresh-water usage by 45%.

◆ Surfactant Spray To Improve Flotation Deinking Performance

This new technology uses an atomizer to spray frother at the top of the flotation column in the wastepaper flotation deinking process to significantly reduce the loss of fiber and water and the use of chemicals in the process. Frother spray technology will provide on-line control for the frother agent distribution in the flotation slurry. This technology will be easily retrofitted to industrial flotation equipment without significant modifications to existing systems.

Glass

◆ Advanced Combustion Space Model for Glass Melting

Improved understanding and modeling of the combustion process in glass melting will result in innovative furnace designs that will have higher combustion and furnace efficiencies, minimized pollutant formation (primarily NO_x reduction), and improved glass quality.

◆ Advanced Oxy-Fuel-Fired Front-End System

A consortium of companies involved in the glass industry has developed the Advanced Oxy-Fuel-Fired Front-End System. A combination of burner modeling and bench trials was used to develop a burner and block that generate the appropriate size and shape of flame for optimal heat transfer distribution. This will result in reduced energy use and decreased CO₂ emissions. The new burner system can be integrated into a front-end system with capital costs that are competitive with a conventional air/gas system. Full-scale installation and testing are under way in a Tennessee glass plant.

◆ Electrostatic Batch Preheater System

The electrostatic batch preheater system is a single-box solution that directs glass furnace exhaust gases through open-bottomed tubes running through a batch/cullet hopper. Direct contact with the hot exhaust gases preheats the batch and cullet before they enter the furnace and cleans SO_x from the exhaust gas stream. A proprietary electrostatic mechanism captures entrained dust and returns it to the batch. The technology reduces furnace fuel requirements by 10% to 15% and cleans the exhaust gas stream of SO_x and dust in accordance with the most stringent regulatory standards.

◆ Enabling Tool for Innovative Glass Applications

Flat architectural and automotive glasses have traditionally been fabricated using technologies that have inherent cutting limitations because they are generally incapable of fabricating glass products with small radii, concave edges, or pierced holes. A new technology uses waste glass as a low-cost media for abrasive water-jet cutting of glass and other materials. This technology can refine and automate the glass manufacturing process while reducing the number of stages and equipment required to produce intricate glass products.



Glass (continued)

◆ High-Intensity Plasma Glass Melter

A high-intensity plasma glass melter was developed with a square-foot-per-ton-per-day throughput index that is significantly smaller than commercial glass melters. This plasma technology package increases the systems' energy efficiency and reduces emissions. To achieve this high throughput and high quality, the system uses a dual-torch transferred arc-plasma technology, a rotating melt chamber to increase melt rate, skull melting to eliminate the need for a refractory lining and to reduce contamination of the glass from refractory and electrode components, and state-of-the-art control technology to provide stable conditions.

◆ High Throughput Vacuum Processing for Innovative Uses of Glass

A manufacturing process and hardware were demonstrated for cadmium telluride photovoltaic solar cells fabricated on glass substrates. This process has extremely low direct manufacturing costs, low equipment costs, the ability for rapid capacity expansion, and the ability to improve occupational safety. The innovative process uses a proprietary air-to-vacuum-to-air system that allows continuous production of cadmium telluride cells rather than the use of the slower batch process.



◆ Improving Yield in Glass Fiber Drawing

Modeling and improved process control techniques have led to the design of a glass fiber drawing process with reduced break frequency. A pilot-scale drawing tower using a glass marble melter and 200-tip bushing has demonstrated a process with only one break in a six-hour period. The technology is being tested in production.

◆ Manufacturing Ceramic Products from Waste Glass

Ceramic products have traditionally been processed from raw materials that require high firing temperatures and energy-intensive processing steps. A new technology lowers energy costs by substituting raw materials with recycled waste glass. Products manufactured by this new method are less sensitive to contaminants in the glass and can be made from difficult-to-recycle green or mixed-color container glass waste. High-quality ceramic tile has been processed from 92% to 100% recycled glass with a wide range of colors and surface textures. The technology has been applied to several types of glass, including post-consumer container, flat and lamp glass, and industrial fiber-glass waste streams.



Glass (continued)

◆ Model of On-Line Coating of Float Glass

Strategies, models, and chemical databases are being developed to optimize on-line coating of float glass. Computational models that can predict defects in the coatings are being developed to increase efficiency. Preventing coating defects can reduce the amount of glass to be re-melted and consequently save energy. Model development and trials at manufacturing facilities are ongoing.

◆ On-Line Molecular Analysis for Improved Industrial Efficiency

Research is ongoing to develop an on-line, real-time process analyzer that can monitor or control production on a wide variety of materials. The purpose of the analyzer is to improve product quality, increase manufacturing efficiency, and reduce waste. This analyzer uses transient infrared spectroscopy (TIRS) to determine chemical and physical properties of the material being produced as it moves past the TIRS sensor.

◆ Oxy-Fuel Protocol

By better monitoring and characterizing oxy-fuel furnace operations through advanced measurement techniques and mass and energy balances, glass producers can identify operational inefficiencies and recommend energy-saving changes. An oxy-fuel protocol has been developed to assess the energy performance of an operating furnace. The protocol is intended to identify potential opportunities for energy savings.

◆ Submerged Combustion Melting

A consortium of companies developed a high-intensity glass melter based on the submerged combustion melting technology. This melter serves as the melting and homogenization section of a segmented, lower-capital-cost, energy-efficient Next Generation Glass Melting System. This technology will potentially increase efficiency, lower capital costs, provide more flexible operation, and lower emissions.

Metal Casting

◆ Cupola Furnace Process Model

A comprehensive mathematical model of the cupola furnace, a type of furnace used to melt iron that is subsequently cast into a variety of products, is being enhanced and updated. The model was incorporated into a user-friendly artificial-intelligence program that can help optimize the temperature, processing time, and other key variables of furnace operation. This improved operation results in energy savings, product quality enhancement, and waste reduction.

◆ Integrating Rapid Solidification Process Tooling and Rapid Prototyping in Die Casting

In this project, a new and unique Rapid Solidification Process (RSP) technology will be introduced to the die casting industry to reduce lead time for prototyping and producing die casting tooling. In addition to increased productivity, the RSP tooling technology also substantially reduces energy use and scrap compared with conventional machining practices.

◆ Lost Foam Casting Technology

Lost foam casting is a highly flexible process suitable for casting metal components with complex geometries. Research supported by ITP has led to a greater understanding of the process and to new control measures. These will increase foundry energy efficiency and reduce scrap. Emerging technologies from the ITP-supported research include: in-plant quality assurance procedures to measure casting parameters; real-time x-ray apparatus which allows visualization of the metal/pattern replacement process; and an apparatus for measuring pattern permeability (fusion) which is a major factor in the replacement process.

◆ New Treatment for Improved Aluminum High-Pressure Die Casting

Traditional components for stamping and cutting functions in the aluminum and other metal industries have limited lifetimes and require periodic replacement to maintain product quality. A new zirconium-based treatment can increase component life by up to 50 times, which reduces process downtime and production costs and increases product quality. Potential applications include metal working, forging, internal combustion and turbine engines, and other high-wear industry processes.

Metal Casting

(continued)

◆ Process to Recover and Reuse Sulfur Dioxide in Metal Casting Operations

Sulfur dioxide (SO₂) is used as a catalyst in forming cold-box molds and cores in the metalcasting industry. The SO₂ is typically used once, scrubbed with a caustic solution, and then discarded (flushed to sewer or sent to a waste treatment facility). This new process recovers the SO₂ for reuse by processing it through a pressure-swing adsorption system that is expected to recover at least 95% of the SO₂. Using this process will reduce energy consumption, eliminate the need for caustic effluent, and pay back costs in less than 1 year.



◆ Rapid Heat Treatment of Cast Aluminum Parts

A system that reduces 80% of the time and energy required to heat-treat cast aluminum components is now being demonstrated. Unlike existing technologies where components are stacked in baskets and placed in a convection or vacuum furnace, this new process uses a fluidized bed in a continuous process mode. The fluidized bed is coupled to an automated production line that moves the components through the process. Pulse-fired microprocessor-controlled burners inject heat directly into submerged radiant burner tubes, ensuring precise, even, and rapid heat transfer.



Mining

◆ Belt Vision Inspection System

The Belt Vision system, currently being field tested in underground and surface mines, uses high-speed line scanning cameras and a computer system to monitor mechanical splice deterioration in moving conveyor belts. The computer system, located on the belt or on a remote desktop, digitizes and records continuous imaging of the belt and splices. Mine personnel can review live or historical images several times a day with minimal effort and take action before belt splices fail. The Belt Vision system will help eliminate costly repairs to conveyor belts, keep production running, and help reduce costs.

◆ Dense-Medium Cyclone Optimization

Dense-medium cyclones are used to separate coal or other minerals from waste rock in most modern coal plants and in a variety of mineral plants, including iron ore, diamonds, and potash. A set of engineering tools to improve the efficiency of dense-medium cyclones is being developed and demonstrated. These tools include low-cost density tracers to rapidly assess cyclone performance, mathematical process models to predict the effects of operating and design variables, and a model-based expert system for trouble-shooting cyclone circuits. These tools will successfully improve plant productivity, reduce energy costs, and minimize waste rock generation.

◆ Drill-String Radar Navigation for Horizontal Directional Drilling

Horizontal drilling in a coal seam can relieve methane gas trapped in a coal bed, increasing the safety of coal miners and supplying methane, a desirable resource. Gamma sensors, currently used for horizontal drilling, cannot withstand the vibration of the drill and require additional costly drilling steps. Instead of gamma sensors, drill-string radar transmits radio waves and measures their reflection to identify boundary rocks, reducing vibration sensitivity and allowing real-time measurement while drilling. This technology will reduce the risk, cost, and time required for extraction.

Mining (continued)

◆ GranuFlow™ Process in Coal Preparation Plants

The GranuFlow technology involves adding a binding agent such as an asphalt emulsion to a slurry of coal and water prior to mechanical dewatering. The binding agent agglomerates the fine-sized coal, increasing its capture during mechanical dewatering, thereby reducing coal loss to impoundments. The GranuFlow treatment also reduces moisture content, alleviating downstream handling, dusting, and freezing problems.

◆ Grinding-Mill Optimization Software

Millsoft 3D is simulation software for visualizing the charge motion in semi-autogenous mills and ball mills used in the mining industry. The software also provides various quantitative information, such as power, forces on the mill lifters, and wear. The three-dimensional code uses the discrete element method to model the individual collisions of ball and rock particles. The software handles mills of all sizes and can be used for shell lifter design and energy optimization of SAG mills.

◆ High-Temperature Superconductors in Underground Communications

Underground communications are important for the mining industry, urban first-responders, and others who frequently work underground. The through-the-earth radio system can increase underground mining production by improving communication and eventually allowing orientation and position information, which can benefit both an individual miner and a mining machine. Most importantly, fast wireless communication improves underground mining safety through early response to problems. A new system has been built using conventional copper and semiconductor designs and higher-performance superconducting designs. Using superconducting materials in underground communications equipment increases the range and clarity of through-the-earth wireless networks.

◆ Magnetic Elutriation Technology for Processing Iron Ore

Magnetic elutriation improves the quality of low-grade domestic iron ore by using an alternating-current pulsed-magnetic field to clean iron ore into a highly refined product. This new continuous countercurrent system is being demonstrated in the field. The technology efficiently separates the tailings and middling particles out of the iron ore without using harmful chemicals.



Mining (continued)

◆ Mapping with Natural Induced Polarization

The mining industry uses induced polarization (IP) surveys to locate and characterize mineral resources. Conventional surveys use high-power motor-generator sets to transmit electrical current in the earth through grounded electrodes that are slow and laborious to install. This new natural field polarization survey eliminates the need for these cumbersome transmitters by using the natural electromagnetic fields as the source to collect induced polarization data. The natural fields also provide the benefit of greater depth of exploration than conventional IP surveys. Other benefits of using the natural fields survey induced polarization technique include reduced environmental impact, energy and drilling requirements.

◆ Novel Dry Coal Deshaling Mobile Unit

A new dry deshaling technology removes materials with high-ash content prior to loading and further coal cleaning. The new coal-cleaning unit provides high-density separation near the extraction point or working face of a mining operation. The system requires no water, facilitating easier product transportation and waste material hauling. These features enable mine personnel to remove waste rock and minimize coal losses to the rejection stream. This new method reduces land impacts and waste emissions while lowering capital and operating costs.

◆ Real-Time Coal/Ore-Grade Sensor

Various project partners helped develop a real-time coal content/ore-grade sensor that can be used during exploration, mining, and processing operations. The project used the unique spectral characteristics of coal and ore to quantify coal content and ore grade in real time. The sensor will be suitable for both surface and underground mining operations either at the working face or where mined material is being processed. This feature will allow for greater selectivity and will decrease environmental impacts and energy requirements in exploration, mining and processing activities.

Mining (continued)

◆ Soft (Unfired) Ceramic Particles via Dynamic Cyclone Classification



Many industrial processes involve the separation of particles from an airstream. The mining industry, in particular, has indicated a need for improved separation methods and reduced waste. In this technology, the particles are separated and transported by boundary layers and induced airflow vorticity near a stack of rotating (slightly separated) disks, which minimizes particle impact and attrition, as well as component wear. The dynamic cyclone classifier offers substantial potential for indirect energy savings by reducing the amount of off-spec product processed to achieve the same amount of product output. Smaller scale devices, operating under the same separation principles, can generate sharp particle classification cuts below 10 microns and are targeted for the pharmaceutical/neutraceutical, food/additives, cosmetic and specialty chemical markets.

Steel

◆ Automated Steel Cleanliness Analysis Tool (ASCAT)

The ASCAT provides steel producers with a rapid, near-real time analysis of inclusions in steel in order to correlate these inclusion measurements at various points in the process with the measured properties of the finished product. This will facilitate the determination of critical process parameters and will permit production of higher quality steel in a more cost effective manner. It has been estimated that the ASCAT has the potential to save the U.S. steel industry more than 2 trillion Btu of energy per year. In addition to energy savings, this technology has the potential to save the US steel industry about \$100 million per year.

◆ Cost-Effective, Energy-Efficient Steel Framing

The construction industry has used steel framing in residential construction for several years. However, designs for minimal energy code compliance have not always been cost-effective or practical. This project focuses on overcoming the major performance and cost barriers that prevent many builders from using steel framing. The project considers thermal performance and installed cost to determine designs for steel-framed residential and light commercial construction that are energy-efficient and meet applicable building codes.

◆ High Quality Iron Nuggets Using a Rotary Hearth Furnace

A new process, that was demonstrated in a pilot plant, is an iron making technology that uses a rotary hearth furnace to turn iron ore fines and pulverized coal into iron nuggets of similar quality as blast furnace pig iron. The new technology will be able to effect reduction, melting, and slag removal in only about 10 minutes. The process is a simple one-step furnace operation that requires less energy, capital, and operating costs than existing pig iron technology. Consequently, high-quality iron product can be produced at a substantially lower cost.

Steel

(continued)

◆ Hot Oxygen Injection into the Blast Furnace

A new injection system has been developed to directly inject hot oxygen in blast furnace tuyeres. Material and energy balances on the blowpipe/raceway zone of the blast furnace have shown that injecting ambient temperature oxygen offers little overall benefit, whereas injecting hot oxygen offers several mechanisms for improving burnout. This process increases coal injection rates and reduces coke consumption. Consequently, direct injection of hot oxygen into blast furnace tuyeres improves operating cost, energy consumption, and emissions.

◆ Laser-Assisted Arc Welding

Applying this new process to steel welding will meet the needs for a new joining technology. The benefits of combining laser- and arc-welding processes will ease the current requirement for precise fit when laser welding alone. Using filler metals in the arc-welding component of the process will result in greater flexibility in the choice of materials that are joined. The process could easily be applied to nonlinear joint geometries. This process will increase the welding throughput and productivity over either laser or arc welding alone.

◆ Life Improvement of Pot Hardware in Continuous Hot Dipping Processes

Coating steel sheets by continuous hot dipping in a molten metal bath of a Zn/Al melt is an efficient and economical method of protecting most steel sheet compositions from corrosion. Dynamic corrosion, wear, and dross buildup of galvanizing bath hardware lead to frequent downtime of production lines and consequent severe reduction of energy efficiency. A new generation of bath hardware materials provides ten times the corrosion and wear resistance in the Zn/Al bath compared with baseline materials. This new generation of bath hardware includes several entirely new materials, such as a cobalt-based super alloy (T400C) and an iron-based super alloy (MSA 2012). These materials were demonstrated at two steel company facilities with galvanizing lines where extended production was achieved.

Steel (continued)

◆ Magnetic Gate System for Molten Metal Flow Control

This project is developing an electromagnetic flow control unit that improves the quality and productivity of the continuous casting process. The dc axisymmetric flow control device has the potential to overcome the disadvantages of high-frequency, high-power electric currents that have been tried previously. The device's configuration allows it to be used around conventional ceramic pouring tubes.

◆ Method of Making Steel Strapping and Strip

A new continuous process has been developed that produces high quality steel strapping and strip from rod stock produced from scrap steel. The process yields a higher quality, less expensive, product while increasing the amount of recycled steel in the finished product. The continuous process has lower processing and capital costs than the conventional production method while increasing the strength of the final product.



◆ Modeling of Post Combustion in Steelmaking

Currently, many furnaces used for molten steel production employ post-combustion technology to transfer heat to the molten steel bath. For typical electric arc furnaces and basic oxygen steelmaking furnaces, a significant amount of CO is available during the steelmaking process. Combustion of a portion of the available CO to CO₂ (post-combustion) can release heat energy above the molten steel bath. Efficient transfer of the heat energy from the post combustion gases to the molten steel bath can reduce steel production costs, save energy, and improve productivity. To optimally design the injection parameters for post combustion, modeling the injector location, geometry, and oxygen flow rates before plant trials is more cost effective, thereby minimizing operational problems associated with high temperatures (e.g., failed lances and burned hoods). The technology developed from this project enables a modeling program to be conducted in a fraction of the time it would take to start the program from scratch.

Steel (continued)

◆ Non-Chromium Passivation

Techniques for Electrolytic Tin Plate

Two previously identified nonchromium passivation treatments for electrolytic tin plate are being compared in a plant trial to determine their commercial viability. These new techniques will replace the existing cathodic dichromate treatment method that is facing environmental use restrictions. In addition, continued use of chromate treating solutions will result in ever-increasing operating costs.

◆ Optical Sensor for Post-Combustion Control in Electric Arc Furnace Steelmaking

This project is developing an optical sensor for electric arc furnace steelmaking based on measuring off-gas temperature and carbon monoxide, carbon dioxide, and water vapor concentrations. The remote-sensing optical instrument is based on tunable infrared-laser technology and will provide input signals for control and optimization of oxygen use and post-combustion emissions. This new technology will also address needs for improving energy use and developing automated process controls.

◆ Oscillating Combustion

Oscillating combustion creates successive fuel-rich and fuel-lean zones within the flame. This technology reduces the formation of NO_x and increases the heat transfer from the flame to the load. Oscillating combustion is easily retrofitted to existing burners since no modifications to the burner or the furnace are necessary. Only the addition of oscillating valves, a valve controller, and associated piping changes are required.

◆ Processing Electric Arc Furnace (EAF) Dust into Saleable Chemical Products

This unique technology will hydro-metallurgically process EAF dust into saleable products. EAF dust is oxidized and digested in acid and then treated by a series of individual steps to isolate and retrieve individual components of the dust.



Steel*(continued)***◆ Regeneration of Hydrochloric Acid Pickling Liquors**

The PHAR[®] hydrochloric acid regeneration system is an innovative method of regenerating spent hydrochloric acid from steel pickling. Conventional pickling technology generates 1.5 billion gallons of spent pickle liquor nationwide each year, resulting in costly and energy-intensive handling, treatment, and disposal. This new technology eliminates the disposal problem, significantly reducing operating, environmental, and capital costs. The process uses sulfuric acid to restore hydrochloric acid for reuse. Soluble ferrous sulfate heptahydrate is a by-product.

◆ Single-Ended Infrared Emission Sensor

Newly developed laser-based sensors measure infrared emissions from the particles in the basic oxygen furnace offgas. These sensors will provide an early and direct indicator of when the steelmaking process is complete. The process uses an infrared laser beam fired across the mouth of the vessel to a spectrometer that detects molecular interference with the beam. The instantaneous analysis of CO, CO₂, and water in the gases indicates the carbon level of the bath with a high degree of accuracy, while reducing oxygen and improving furnace yield.

◆ SQA[™]: Surface Quality Assured Steel Bar Program

The Surface Quality Assured (SQA) system is intended to alleviate surface quality problems faced by the special quality steel bar and rod industry and their customers, the forging industry. Surface defects in hot rolled bars is one of the most common quality issues faced by the American steel industry, accounting for roughly 50% of all steel bar rejects. The SQA system will minimize surface defects in hot rolled steel products by using process sensors to identify online automatic root causes to detect surface defects and by applying advanced diagnostic methodologies to analyze the data. The SQA system will detect these defects in real-time to mark them for downstream removal.

Steel*(continued)***◆ Steel Foam Materials and Structures**

Metal foams with high levels of controlled porosity are an emerging class of ultra-lightweight materials receiving increased attention for a broad range of applications. Steel foams produced via a powder metallurgy process are about 50% lighter than conventional steel materials and can be produced as monolithic foams, as foam-filled tubular structures, and in sandwich panel geometries. The efficient energy-absorption characteristics of steel foams can increase safety in commercial and military vehicles. The light weight can improve operational efficiency and competitiveness in shipbuilding and rail systems. These foams can also be recycled and reproduced, as well as produced from recycled metal scrap. Additional process scale-up development is required to position steel foams for production readiness and commercialization.

◆ Submerged Entry Nozzles That Resist Clogging

Clogged nozzles in the steelmaking industry slow production and must be frequently replaced to enable a consistent flow of molten metal. A comprehensive refractory research program is providing the data necessary to define the mechanisms controlling nozzle accretion, which will form the basis for developing new technologies for reducing or eliminating nozzle clogging.

Crosscutting Technologies

◆ A Hybrid Integrated Model for Gas Metal Arc Welding

This project is attempting to completely optimize the welding process, the process parameters, and the welding consumable selections. A hybrid integrated model for Gas Metal Arc Welding (GMAW) is being developed to combine both the fundamental approaches based on physical science, where feasible, and the artificial neural networks based on industrial experimental data. The model will have direct immediate benefit in optimizing the welding processes using both solid- and cored-wire Fe-C-Mn-Si electrodes. The technology will minimize the extent of expensive trial-and-error experimentation typical of weld processes and consumables development for new steels and advanced materials.

◆ Advanced Weld Overlay Alloys

A new advanced weld overlay alloy uses pure aluminum wire to make welds on carbon steel or nickel-based alloy substrates. Welding with pure aluminum wire results in a weld overlay deposit with typical aluminum content from 8% to 10%. Such a weld overlay offers a unique combination of oxidation, carburization, and corrosion resistance. This technology can be used in weld overlays for corrosion resistance in basic oxygen furnace hoods used in steelmaking. Various types of alloys are also being considered for that application.

◆ Carbon Films for Next Generation Rotating Equipment Applications

A super-low-friction carbon film, Near Frictionless Carbon (NFC), and a carbon conversion film, Carbide Derived Carbon (CDC), have been combined to achieve extended wear life and higher energy savings in rotating-equipment applications, including mechanical seals, sliding bearings, and shafts. Adherent, low-friction, wear-resistant coatings for silicon carbide and other metal carbide ceramics for rotating seal applications have been developed.

Crosscutting Technologies

(continued)

◆ Chromium Tungsten Alloys for Use as Reaction Vessels

Chromium-tungsten alloys are a new class of steels having the unique properties of strength, toughness, and stability when subjected to thermal cycling. These properties are a function of the alloy's microstructure, which results in highly favorable material properties. Chromium-tungsten applications include reaction vessels where significant reductions in plate thickness (by up to one-half) are expected and heat-transfer tubing applications where thinner-walled tubes will significantly improve heat transfer.

◆ Continuous Fiber Ceramic Composite (CFCC): Combustion Liner

Two classes of continuous fiber ceramic composite (CFCC) materials were developed for gas turbine combustors and other stationary hot section components (e.g., transition pieces, shrouds, and nozzles). One class of CFCCs consists of continuous silicon carbide fibers in a matrix of silicon carbide, and a second class consists of oxide fibers in an oxide-based matrix. The CFCCs provide oxidation resistance and thermal and mechanical properties in air. However, silicon carbide-based CFCCs suffer degradation from water vapor attack in the hot section of gas turbines operating at high firing temperatures and pressure ratios. To improve their environmental resistance, Environmental Barrier Coatings (EBCs) were applied to the silicon carbide-based CFCCs. While the oxide-based CFCCs do not require EBCs, their mechanical properties are improved by applying thermal protection coatings to the surface. Field testing of CFCC liners in gas turbines has been ongoing in California and Massachusetts since 1997.

◆ Diagnostics and Control of Natural Gas Fired Furnaces via Flame Image Analysis

A real-time multi-sensor expert system using vision technology and artificial intelligence techniques is being developed. This new system uses furnace video images to provide input to three independently operating sensors: 1) a flame sensor, which includes a flame detector and a flame analyzer; 2) a temperature profiler; and 3) a feed batch-line detector for glass melting furnaces. The expert system output can be integrated with a furnace control system in real time or used as a diagnostic tool for manual control adjustment by an operator. This technology can improve furnace thermal efficiency and product quality and lower NO_x and CO emissions.

Crosscutting Technologies (continued)

◆ Diode Laser Sensor for Combustion Control

A sensor system based on using tunable diode lasers will allow in-situ determination of the concentrations of CO, oxygen, and water vapor as well as gas temperature in harsh industrial furnaces. The chemical species targeted are key to controlling combustion for improved energy efficiency, reduced pollutants, and improved process quality.

◆ Distributed Wireless Multisensors for Reducing Motor Energy Use

Motors consume an estimated 63% of all electricity used in industry. To reduce plant power consumption, sensors are often used to monitor the efficiency of motors used in industrial applications but deploying sensors for continuous monitoring of noncritical motors is costly. Distributed wireless technology offers continuous monitoring to both smaller and less critical motors through low-cost, distributed, multi-measure, wireless sensors. Reducing the cost and complexity of sensor deployment is anticipated to allow continuous monitoring to become pervasive, which will allow industries to better maintain and improve the efficiency of their electric motor assets.

◆ Energy-Savings' Model for the Heat Treatment of Aluminum Castings

A research program is extending the understanding of the evolution of microstructures during the heat treatment of complex, multi-component alloys and will develop quantitative relations among process, microstructure, and properties applied to aluminum castings. The methodology developed, Integrated Heat Treatment Software (IHTS), will serve as a framework to develop quantitative process models for other alloy systems, including ferrous alloys. Compared with the current technology that specifies heat treatment cycle and furnace loadings based on prior specifications and historical "rules of thumb," IHTS is expected to reduce solutionizing heat treatment times by 50% to 80%, leading to 25% to 50% reductions in cycle time and energy consumption and 50% indirect reduction in non-energy environmental impacts and variable costs.

Crosscutting Technologies (continued)

◆ Enhancement of Aluminum Alloy Forgings

The forging process creates parts that are stronger than those manufactured by any other metalworking process. Unfortunately, the grain growth in the material prior to forging can be significant, which subsequently affects the fatigue properties of the final part. The infrared technology being developed uses tungsten-halogen lamps as the heating source for the heat flux used to preheat aluminum billets prior to forging into various shapes. The technology will result in higher-quality forgings, longer fatigue life, finer grain size, and less energy consumption.

◆ High-Density Infrared Transient Liquid Coatings

The high-density infrared (HDI) process provides a rapid, localized heating method that will allow the use of advanced cermet-fused coatings on many industrial products. This technology is currently being used to produce wear- and corrosive-resistant coatings on a variety of surfaces including current research into coatings for aluminum dies used in the automotive industry.

◆ High-Temperature Coating for Gas Turbine Components

A new high-temperature coating material for gas turbines has been developed as a replacement for existing coating materials. Coatings made from this new material provide superior cracking resistance and enhanced oxidation protection to the hot-section components of gas turbines and better adhesion for thermal barrier coatings, while reducing manufacturing cycle time and cost. In addition, the process for applying the new coating material is more environmentally friendly than some of the current techniques.



◆ High Temperature Refractory Ceramic

A new castable refractory liner material to be used in high temperatures has been developed. The capabilities of this new ceramic liner will be a 200°C improvement in maximum allowable operating temperatures, an operating life extension of five times, and additional cost savings in installation.



Crosscutting Technologies

(continued)

◆ Insert Drill Having Three or More Flutes

A newly developed, patented drill concept uses a three-fluted design to lower horsepower requirements by allowing smaller inserts and producing smaller metal chips. For through-hole drilling, a metal slug is not ejected as the drill exits the drilled hole. This design results in a smooth finished hole eliminating the need for two or more machining operations.



◆ Intensive Quenching Technology for Heat Treating and Forging Industries

Intensive quenching technology (IQT) for steel products was developed as an alternative way of quenching steel parts. While conventional quenching is usually performed in environmentally unfriendly oil, the IQT process uses environmentally friendly water or low-concentration water/mineral salt solutions. Complete development and commercialization of IQT in heat-treating, powder metal, and forging industries will significantly reduce energy consumption and environmental impacts, thus enhancing the economic competitiveness of the domestic steel, metal casting, and mining industries.

◆ Iron Chromium Alloys for Use in Corrosive Environments

A new alloy (Fe-35Cr-2.5%Si) has significant potential for applications in the glass and chemical industries. The alloy is based on a sufficient level of chromium to resist aqueous corrosion and the required silicon content for the formation of SiO_2 on the surface for high-temperature oxidation resistance. This alloy is castable by conventional commercially available processes; it can be hot-formed (forged, rolled, or extruded); has limited cold formability and can be welded in thin sections without pre- and post-weld heat treatments. The alloy has been recently formed into a prototype for testing as a water cooler for refractories used in a glass-melting furnace.

Crosscutting Technologies

(continued)

◆ Miniature, Inexpensive, Amperometric Oxygen Sensor

A new sensor to measure oxygen partial pressure from parts-per-million levels to 100% oxygen has been developed. It has particularly good sensitivity in the combustion range of 0.1% to 5% oxygen partial pressure. The new amperometric sensor, which is a multi-layer ceramic capacitor, is ideal for inexpensive mass production. The large reduction in cost of the sensor will economically allow any combustion process, including industrial, commercial, and residential furnaces and boilers, to be more closely monitored and controlled, thus saving energy.



◆ On-Line Laser-Ultrasonic Measurement System

An on-line laser-based ultrasonic measurement of thickness and eccentricity was purported to improve the productivity of seamless mechanic steel tube making by 30% to 50% through reduced setup time, reduced out-of-specification products, and improved material use. The gauge used in the measurement also would help reduce energy consumption and pollutant emissions. The gauge has been in service since March 2002; succeeding models have added features including adjustment for variation in tube position and extension of the inspection range to smaller diameters and wall thicknesses. The original installation had an estimated annual energy savings of about 5%, or 23 billion Btu, primarily from increases in efficiency (target size is achieved faster) and quality (record low tube wall scrap rates were reached).

◆ Particulate Ejection Coal Fired Turbine

A sub-scale prototype of a medialess inertial rotary disk filter was successfully evaluated to operate at the high temperatures/pressures typically found in coal-fired gas turbine generators. This technology demonstrates 98% to 99% coal ash removal efficiency without fouling, thus reducing the need for conventional disposable porous ceramic candle filters for hot gas filtration. Constant filtration efficiency and non-varying pressure drop across the all-metal filter eliminates brittle ceramic failures and allows operation at higher gas temperatures, which eliminates gas reheating and improves energy efficiency. The continuously self-cleaning technology may also eliminate landfilling of spent/replaced ceramic candles.



Crosscutting Technologies (continued)

◆ Portable Parallel Beam X-Ray Diffraction Systems

Real-time, nondestructive in-line measurements of material properties are needed for process control in metallurgical, thin film materials, and pharmaceutical manufacturing. By incorporating newly developed X-Beam[®], x-ray diffraction systems can be used to identify structural phases, determine grain size, and measure stress and texture of materials in line. This parallel beam x-ray diffraction technology uses a polycapillary collimating optic to collect x-rays over a large solid angle from a low-power x-ray source to form an intense quasi-parallel beam. This technology reduces or eliminates errors from sample misalignment and surface roughness, reduces power consumption, and improves measurement efficiency.

◆ Process Heater System

A new generation of process heaters has been developed and demonstrated that is extremely low in emissions. This innovative system incorporates several advanced technologies: 1) ultra-low-emission (ULE) burners; 2) a specially designed fired heater with enhanced heat recovery, optimized for use with the ULE burner systems; and 3) on-line tube metal temperature sensors and burner control system to optimize heater operation, reduce maintenance costs, and increase run lengths. The technology will have applications for a broad range of refining and chemical processes. The advanced heater components will be developed for new design and retrofit applications.

◆ Radiation Barrier Heating Mantle for High-Temperature Furnaces

Retort furnaces, which consist of a heating-mantle jacket surrounding a retort vessel, are widely used to generate high temperatures for the metal-processing, chemical-processing, and heat-treating industries. A new porous wall radiation barrier (PWRB) heating mantle represents a breakthrough in heating mantles that significantly increases heat-transfer rates over both the existing gas-fired heating mantle and the electrically heated mantle. This unique development results in a heat-transfer rate in the 1,800°F to 2,400°F range that is 2 to 4 times greater than electric and conventional gas-fired mantles.



Crosscutting Technologies (continued)

◆ Rotary Burner

A new rotary burner that provides ultra-low combustion emissions along with significant fuel and electricity savings has been developed and field-tested. The novel technology uses a process that allows for expansion of pressure energy in a rotary burner, meaning that combustion air needs can be satisfied and inherently coupled to match the fuel demand to ensure the desired air-to-fuel ratio. Its compact size ensures ease of retrofit to existing installations.



◆ Self-Dressing Resistance Welding Electrode

The project is designed to produce an electrode from a unique metal-matrix composite material that employs a ceramic substrate, which enhances the thermal resistance properties of the composite material, as the load-bearing element. The composite material also uses a metal matrix as the conduit for the electric current flow. The project will be carried out in four separate tasks, consisting of material selection, design development and optimization, fabrication and model verification, and performance test and evaluation.



◆ Sensing and Control of Cupola Furnaces

This project is developing an intelligent, integrated industrial process sensing and control system to optimize the performance of cupola furnaces. This system regulates the melt rate, temperature, and iron composition of the furnace. Successful control of furnace variables will increase energy efficiency, furnace yield, and productivity and will reduce environmental emissions.

◆ Super Boiler

The Super Boiler concept using ultra-high-efficiency, ultra-low-emission steam generation technologies is targeted for broad industrial applications over the next 15 to 25 years. The concept combines a suite of enabling technologies such as a staged intercooled combustion system with forced internal recirculation, high-intensity heat transfer surfaces, an advanced transport membrane condenser, and a smart control system in an integrated package. The performance goals include 94% fuel efficiency, 5 vppm NO_x and CO, and 50% size and weight reduction compared with a conventional firetube boiler.

Crosscutting Technologies

(continued)

◆ Thermal Imaging Control of High Temperature Furnaces

The near-infrared thermal imaging system fine-tunes the main furnace controller for improved combustion performance. The system uses multiple infrared wavelengths combined with a periscope probe to map the full field of combustion space during furnace operation. Control algorithms minimize differences between measured field temperatures and temperature set points and send output signals to the main furnace combustion control. Optimizing the combustion process has been shown to decrease the total fuel use by at least 5%, with a corresponding decrease in airborne emissions.

◆ Thermoelectric Generator for Diesel Engines

This new technology generates electric energy from waste heat and has many applications in the power industry, as well as in the chemical and petroleum industries. One possible application is as an array on the exhaust of the gas turbine to increase efficiency. Heavy earth moving equipment for mining presents another potential application. A prototype generator is being tested by a truck manufacturer and has been driven on their test track for 500,000 miles to demonstrate the ability to endure shock and vibration.



◆ Tough-Coated Hard Powders

Revolutionary tough-coated hard powder (TCHP) pseudoalloys combine the highest extremes of fracture toughness, hardness, wear resistance, light weight, low coefficient of friction, and thermal properties ever known. Designed nanostructures are created by nano-encapsulating extremely hard micrometer-scale core particles (e.g., diamond) with very tough materials (e.g., tungsten carbide and cobalt), which in the consolidation process become the contiguous matrix. As many unique properties can coexist in a TCHP variety as there are different core particle materials present in the uniform tough substrate. Extreme strength, double-digit component and tool life multiples, and reduced friction and thermal losses combine to enable tens of billions of dollars in annual cost, energy, and environmental impact improvements.



Crosscutting Technologies

(continued)

◆ Ultrananocrystalline Diamond Coatings

Ultrananocrystalline diamond (UNCD) coatings can be grown on various substrates by using emerging microwave plasma chemical vapor deposition technology. The coatings exhibit a unique microstructure that provides superior mechanical (high hardness), tribological (low coefficient of friction), chemical (inertness to chemical attack), and electronic (wide range of conductivity via doping) properties. Multipurpose mechanical pump seals will be the first to benefit from these coatings.

◆ Variable Speed, Low Cost Motor for Residential HVAC Systems

Existing variable-speed motors cost at least four times as much as single-speed motors and thus are currently used in only 5% of residential HVAC systems. A revolutionary low-cost, brushless, variable-speed motor technology uses solid-state switches on the rotating armature to control motor torque and speed. It will shortly be tested by a dozen major HVAC suppliers. A variable-speed motor running continuously at half speed compared with a single-speed motor running at full speed but half the time uses 25% of the power to move the same amount of air in an HVAC blower, thus saving energy.



◆ Wear Resistant Composite Structure of Vitreous Carbon Containing Convulated Fibers

A novel method makes a composite material consisting of a vitreous silicon/carbide matrix containing carbon fibers. The resulting product has better wear resistance, lower fade, and higher electrical conductivity than competing materials. The material is being developed for use in cable and third rail electric transportation systems, such as light rail.



Crosscutting Technologies (continued)

◆ Wireless Sensor Network for Motor Energy Management

Energy use of large motors (over 200 hp) has already been reduced with advanced monitoring and diagnostic systems served by conventional field wiring. Deploying monitoring systems on smaller motors could further reduce motor energy use by 18% but is not cost-effective with conventional wiring and thereby does not promote the identification of energy savings and opportunities to improve uptime. Wireless sensors that monitor voltage and current and integrate with advanced energy and inferential condition management software are being developed to serve this need. The research effort will focus on developing smart sensors with embedded intelligence as well as network system robustness to ensure system security, self-configuration capability, cost effectiveness, and the ability to accommodate plant complexity.

◆ Wireless Sensors for Process Stream Sampling and Analysis

Sensing and control of manufacturing present unique problems associated with effective sampling in harsh environments and real-time control. Several promising wireless technologies are being explored as systems most likely to meet the demanding requirements of industrial control of manufacturing processes. Wireless sensors for sampling and analyzing process streams will be tested at multiple sites to see how well they satisfy the key considerations of operational reliability, sustained performance in harsh environments, invulnerability to interference, security and bandwidth efficiency, and other factors that are critical for the ultimate wide-spread deployment of robust wireless sensor networks in manufacturing. In addition to production line measurement and control, the anticipated low cost of this technology will enable wireless sensors to be used to determine energy- and environmental-related process parameters that are not traditionally monitored.

Other Industries

◆ BEI Cellulose Hydrolysis Process

The BEI Dilute-Acid Cellulose Hydrolysis (DACH) Process and Reactor System uses a double tubular reactor system in two stages, which is automatically controlled to continuously convert cellulose feedstock into fermentable sugars solution products. The second stage of the BEI-DACH process reactor system recovers excess and surplus process heat and acid-chemicals for reuse in the first stage, providing exceptional energy and acid efficiencies and related economic savings. The BEI-DACH reactor system process hydrolyzes cellulose into a pentose, hexose, and glucose sugars solution at the point of use. These DACH sugars may then be yeast-fermented into ethanol and/or single-cell-protein and into other organic chemicals as commercial products.



◆ Biofine Technology

The Biofine technology can convert low-grade cellulose-containing wastes from paper mills, municipal solid waste plants, logging and agricultural operations, and other sources into levulinic acid, a versatile platform chemical that is an intermediate to several high-value chemical and oxygenated fuel products. Cellulose is converted to levulinic acid using a novel, high-temperature, dilute acid hydrolysis reaction system.

◆ Clean Energy from Biosolids

The innovative and unique SlurryCarb™ process receives waste as a slurry and subjects it to heat and pressure in a reactor unit to rearrange the slurry molecularly. This step produces a homogeneous, clean fuel with an energy density significantly greater than untreated material. The high-energy renewable “E-Fuel” can be used efficiently in conventional combustion equipment as a substitute for fossil fuel.



◆ Deep-Discharge Zinc-Bromine Battery Module

A new zinc-bromine battery is being demonstrated that increases load-leveling efficiency and offers longer cycle life with less weight than conventional lead-acid batteries. This new battery is applicable to electric utilities and industrial companies. The modular construction allows for sizing and portability of the system to suit multiple applications and needs. This technology allows customers to purchase lower-cost power and then use it for reducing peak-power purchases.



IMPACTS

Other Industries

(continued)

◆ Distillation Column Flooding Predictor

A new control technology more accurately identifies incipient floods in petrochemical distillation and separation columns. The Flooding Predictor, a patented pattern recognition technology, allows a column to be operated at or near the incipient flood point. The technology identifies patterns of transient instabilities that occur just before flooding events. Identifying the incipient flood point allows the control objective to be shifted from delta-pressure to the actual flood point. Shifting the control objective virtually eliminates column flooding events, while increasing throughput.



◆ Distributed Optical Fiber Sensors for Continuous Liquid Level Tank Gauging

The Noverflo Multipoint Tank Gauging (NMTG) system is a family of fiber optic sensor arrays designed for the oil and gas, transportation, and food/beverage processing industries. Compared with similar products, the NMTG offers a simple design that allows both low and high accuracy measurements to be made at a very low cost. The system can make accurate measurements in liquids of shifting densities and performs continuous density measurements at any tank level. A new data acquisitions system allows the NMTG to monitor hundreds of sensors and numerous external-switching devices without any upgrades to existing systems.



◆ Float Zone Silicon Sheet Growth

This innovative technology consists of a process to develop crystalline silicon sheet from a polycrystalline silicon source. Its primary goal is the efficient, low-cost production of high-quality crystal silicon sheet for the solar and electronics industry. Development of this process will provide several important benefits, such as high production rates, low cost in terms of material and energy input, good dimensional control, improved crystal quality, and remarkable purity the same as the source material.



Other Industries

(continued)

◆ Forging Advisor

The forging advisor (also called the near net shape process selection advisor) is a manufacturing process selection system that allows engineers to rapidly analyze trade-offs with respect to geometry, performance, and cost among a series of manufacturing processes. The processes chosen for implementation in the advisor include three types of investment casting, rough machining, forging, and laser enabled net shaping. The system also provides input on best practices for the design of forgeable parts.

◆ High-Intensity Silicon Vertical Multi-Junction Solar Cells

A new solar cell combines high voltage with low series resistance operation to create efficient concentrated solar power conversion at low cost. Output power densities exceeding 1000 times that of conventional solar cells have been demonstrated. The simple design of the new cell results in lower manufacturing costs and robust reliability compared with existing concentrator cells. Basically, the new solar cell technology enables high intensity photovoltaic concentrator systems that provide considerably lower \$/watt cost than conventional photovoltaic modules. Immediate applications include large-scale electric power generation (>100 kW) in sunny regions of the world.



◆ Hydrodyne Process for Tenderizing Meat

The hydrodyne process offers a unique way of tenderizing meat, particularly tougher meat with less fat. The innovative new technology reduces beef tenderization time from weeks to a fraction of a second by using hydrodynamic shock waves. The process can increase beef tenderness in tougher meat cuts by as much as 72% without changing natural appearance, texture, or flavor.



◆ Novel Membrane-Based Process for Producing Lactate Esters

This research aims to develop nontoxic replacements for halogenated and toxic solvents. The new method, called "Direct Process", uses proprietary advanced fermentation, membrane separation, and chemical conversion technologies to convert renewable carbohydrate feedstocks into lactate esters in an energy-efficient, waste-reducing, and cost-effective way.

Other Industries

(continued)

◆ Petroleum Fouling Mitigation

In refinery process units, fouling is a deposit buildup that impedes heat transfer, increases pumping power, decreases equipment reliability, and results in a leading cause of diminished efficiency and productivity in refineries. The increasing use of crude oils with high concentration of naphthenic acid and sulfur content is causing refinery equipment to corrode. Furthermore, the corrosion product (iron naphthenate) is causing high iron-sulfide induced fouling/coking. Research and development is urgently needed for on-line monitoring and effective mitigation techniques to reduce fouling problems. A threshold-fouling model and fouling test units were developed for establishing operating procedures to allow refineries to operate heat-exchange equipment (heat-exchangers and fired heaters) below threshold fouling conditions. The refinery industry will use these tools to determine the root cause of fouling and to evaluate cost-effective mitigation techniques. Real-time fouling monitoring and root-cause analysis provide the basis for the condition-based maintenance of heat-exchange equipment.

◆ Plastics, Fibers, and Solvents from Biosynthetically Derived Organic Acids

Biologically-derived succinic acid is produced by fermenting sugar derived from grains and other biomass. After separation and purification, the succinic acid is used as a chemical intermediate that is converted into a wide assortment of products such as plastics for automobiles and household items, fibers for clothing, food additives, solvents, deicers, agricultural products, ink, and water treatment chemicals.

◆ Pulsed Laser Imager for Detecting Hydrocarbon and VOC Emissions

A new hydrocarbon detection device, the pulsed laser imager, uses the principles of infrared spectroscopy to locate and measure the extent of hydrocarbon leaks and emissions of volatile organic compounds (VOCs). The imager's main advantage over its competitors is its remote-sensing feature that does not require an air sample. The imager detects hydrocarbon leaks from a safe distance by analyzing the electromagnetic spectra of the compounds. Both the short- and long-range versions of the pulsed laser imager are flexible, sensitive, accurate, and intrinsically safe and provide a cost-effective solution to hydrocarbon detection.



Other Industries

(continued)

◆ Soy-Based 2-Cycle Engine Oils

A new soy-based biodegradable lubricant called AquaLogic 460 has been developed to replace petroleum oils used in 2-cycle marine engines for outboard and personal watercraft. The new product is greater than 80% biodegradable, produces lower emissions, and extends engine life.

◆ SO₃ Cleaning Process in Semiconductor Manufacturing

A new process is being demonstrated that removes photoresist from semiconductor wafers by exposing the wafers to SO₃ gas followed by a deionized water rinse. Hardened photoresist must be thoroughly cleaned from very small crevices on the wafer at various stages in the manufacturing process. This process is anticipated to substantially replace damaging dry stripping and wet stripping that produces hazardous waste in the semiconductor manufacturing industry.



◆ Thermophotovoltaic Electric Power Generation Using Exhaust Heat

This new technology produces electricity directly from furnace exhaust waste heat by using infrared-sensitive photovoltaic cells. The cells are mounted inside ceramic tubes that are heated in the high-temperature exhaust stream from furnaces. This technology allows on-site generation of electricity from waste heat in industrial or residential applications.



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IMPACTS

◆ Advanced Turbine System

As part of the Industrial Power Generation Program, a new advanced metallic material, first-stage turbine vane was developed. This new vane allows turbines to operate at higher compression ratios and/or temperatures than conventional gas turbines resulting in an efficiency improvement of 15%, less down-time, and less maintenance. The use of these new vanes has resulted in an energy savings of 245 billion Btu.

◆ Aerocylinder Replacement for Single-Action Cylinders

The aerocylinder, a new machinery shock absorber, replaces conventional, single-action compressed-air cylinders in industrial forging, stamping, and welding applications. The aerocylinder has been installed on over 400 stamping and welding presses, primarily in the automotive industry. Using this new system reduces downtime, prolongs equipment life, improves final product quality, and has resulted in an energy savings of more than 340 billion Btu since 1988.

◆ Aluminum Roofing System

This new technology uses aluminum chips to reflect about 70% of the solar radiation received on asphalt roofs, which reduces building cooling needs. This invention has saved over 635 billion Btu since its introduction in 1984 and is now used on more than 35 million square feet of roofing.

◆ Arc Furnace Post-Combustion Lance

A new technology was developed that was applied in electric arc furnaces to increase productivity, reduce energy requirements, and improve control. The system consists of a water-cooled lance and controls to inject oxygen to combust the carbon monoxide in and above the furnace's foamy slag. The six installed systems have saved a total of 2.46 trillion Btu of energy.

◆ Auxiliary Air-Conditioning, Heating and Engine Warming System for Trucks

An auxiliary power unit was developed to maintain cab power in heavy-duty, long-haul trucks when the main engine is not operating. This unit takes fuel from the truck's fuel tanks to heat and air-condition the cab and sleeper, to generate electricity to keep the battery charged, and to furnish hot water to keep the truck's engine warm. Since 1988, more than 3000 units have been installed on trucks and have saved an estimated 19.9 trillion Btu in the form of diesel fuel.

◆ Biomass Grain Dryer

Originally developed for grain-drying processes, this heat exchanger system later expanded into the furniture industry. By burning husklage, wood waste, or other biomass fuels, the process quickly disposed of combustible waste, provided an alternative energy source, and saved landfilling fees. Used within both the corn and furniture manufacturing industries, this system resulted in a cumulative 1.35 trillion Btu in energy savings and reduced landfill scrap by thousands of tons since being commercialized.

◆ Biphase Rotary Separator Turbine

A new biphase turbine recovers waste energy from pressurized process streams that separate into liquid and gas when the streams are depressurized. Conventional turbines cannot be used efficiently with two-phase flows because they cannot withstand the forces released during the liquid's rapid evaporation to a vapor. This new turbine is being used by 125 large (500-ton) chillers and is saving 15 kW per chiller, for a cumulative savings of 107 billion Btu.

◆ Catalytic Distillation

Distillation is one of the most energy-intensive industrial processes, accounting for over 40% of the energy consumed by the chemicals industry each year. This single-stage catalytic reaction/distillation process has become a major commercial success and has improved the energy efficiency and productivity of certain chemical processes, including the production of methyltertiary- butyl-ether (MTBE) and tertiary-amyl-methyl-ether (TAME). Since its introduction in 1982, the 36 units installed in the United States have saved 43 trillion Btu.

◆ Cement Particle-Size Classifier

A system was developed to control the size distribution of cement particles and to help reduce the current energy-intensive regrinding process. Cement products produced from the improved particle distribution consumed less energy and were of better quality. This system yielded a total of approximately 9.5 trillion Btu in energy savings since its commercialization in 1984.

◆ Chemical for Increasing Wood Pulping Yield

Unevenly processed wood chips in the pulp industry result in poor-quality pulp, often requiring reprocessing. A cooking aid was developed that reduced the amount of virgin wood feedstock needed to process wood chips and increased pulp yield and quality. The cooking aid helps pulp-cooking liquors penetrate the chips, resulting in more uniform cooking, and enabling the production of more uniform fibers in less time and with less energy. Since 1995, 23 mills in the United States have used this chemical system to save over 8 trillion Btu.

◆ Chemical Separation by Fluid Extraction

This technology removes hazardous organic compounds from contaminated solid or liquid waste streams. The technology is more energy efficient than conventional technical hazardous waste treatment methods. The use of this technology has resulted in energy savings of 440 trillion Btu since 1990.

◆ Cogeneration – Coal-Fired Steam Turbine

Using a coal-fired boiler and turbine exhaust steam system, a cogeneration process was developed for use primarily within the textile industry. The 16 systems installed saved more than 31 trillion Btu of energy/year and significantly reduced emissions due to lower demand for utility-generated electricity.

◆ Cogeneration – Slow-Speed Diesel Engine

This stationary internal combustion, slow-speed, two-stroke diesel engine was developed to accommodate limited space and/or varying load demands. The compact, slow-speed diesel engine has excellent efficiency, greater load flexibility, and lower fuel and maintenance costs than conventional cogeneration options. The three installed units have saved a total of approximately 17.7 trillion Btu of energy.

◆ Coil Coating Ovens

This system was developed to recover thermal energy previously lost in the solvent-based paint curing/incineration process. Heat, recovered from solvent vapor combustion in zone incinerators, was routed back into the curing oven to vaporize more solvent. The thermal incinerators normally used were replaced by afterburners and a waste heat boiler to produce process steam. A three-fourths reduction in natural gas requirements and a reduction in pollution control energy resulted in over 35 trillion Btu of cumulative energy savings since the system was commercialized. The savings were increased even further as a result of a technology upgrade that eliminated the zone-burning portion of the process.

◆ Combination Grain Drying

Designed to prevent spoilage during storage and reduce energy consumption, this system used a high-speed dryer and storage bin equipped with a drying fan. The grain was first dried by a high-speed, hot-air dryer, then transferred to a drying/storage bin that delivered ambient air to cool and further dry the grain to a moisture content of around 14%. This combination drying method improved grain quality, increased drying capacity, and reduced propane and natural gas consumption.

◆ Component Cleaning

A new chemical product for industrial cleaning was developed based on supercritical fluid technology. New equipment was developed that converted carbon dioxide (CO₂) into a fluid that was used to clean metal, plastics, printed wire boards, etc. This new technology takes the place of chlorofluorocarbon (CFC) solvents in the cleaning process and has reduced the energy needed to evaporate the solvents during the drying process.

◆ Computer-Controlled Oven

To lower volatile organic compound (VOC) emissions, the computer-controlled oven technology was developed that permits operation at a higher percentage of lower explosive limits, reducing in dilution air requirements and the energy required to heat the high-temperature ovens. Optimizing airflows reduces VOC emissions that, in turn, reduces VOC incineration requirements. Fifteen installations saved a cumulative total of 27.75 trillion Btu of energy since being commercialized in 1982.

IMPACTS

◆ Continuous Cascade Fermentation System for Chemical Precursors

A cascade reactor for ethanol production from carbohydrate feedstocks was developed that eliminated the need to fill, empty, and wash a fermenter as part of a batch operation. Feed is introduced continuously into the first of three to five stirred reactors placed in series, with the outflow of one reactor flowing into the next reactor. This continuous operation allows quick and complete saccharification and fermentation of feedstocks and removal of ethanol into a gas phase as it is produced. Since its introduction in 1996, this reactor has saved over 800 billion Btu.

◆ Cupola Stack Air Injection

This process reduced the carbon monoxide (CO) content of the effluents from a cupola furnace and improved the efficiency of combustion in the furnace during production of gray iron. This process eliminated the need for afterburners and the large amounts of energy they used to reduce the CO content in the emissions. By injecting air into the exhaust gases below the furnace charging door, the CO was ignited at temperatures already existing in the stack, with the resulting final exhaust gas having a CO concentration of less than 1%. Cupola stack air injection saved a total of 80 billion Btu of energy before being superseded by more advanced technology.

◆ Delta T Dryer Control System

This dryer control system significantly improves control capability because it measures moisture content continuously in the dryer rather than only at the exit from the dryer. This more precise temperature control saves 10% to 20% more energy than conventional dryer control systems. Over 300 Delta T control systems have been installed and have saved more than 17 trillion Btu since 1985.

◆ Direct Source-to-Object Radiant Heating Panels

Radiant heating systems transfer heat directly to a person or object in a manner similar to sunlight, eliminating mechanical heat-delivery requirements. These systems can save up to 50% in heating costs compared with baseboard electric-resistance heating and up to 30% compared with heat pumps. Since 1981, more than 375,000 radiant heating panels have been sold, saving more than 1.45 trillion Btu.

◆ D'MAND® Hot Water Recirculating and Waste Prevention System

A new system was developed for water heaters to conserve water and energy while providing hot water on demand. The system recycles the hot water that would have remained in the pipes. The primary energy savings are from the reduced amount of energy needed to heat the water returned to the water heater tank. More than 33,000 units have been installed, primarily in residential applications, and have cumulatively saved 604 billion Btu.

◆ Dual-Cure Photocatalyst

Traditional volatile organic compound (VOC)-based coatings release undesirable organic chemical vapors into the atmosphere during the drying or curing phase of the coating application. A novel photocatalyst system was developed as part of a dual-cure process that allows light-activated, simultaneous polymerization of two monomers to produce a material consisting of two independent but interpenetrating polymer networks. The VOC emission levels from this process are substantially below those obtained using conventional coating technologies, and cure times are shorter. Since its introduction in 1995, this new system has saved over 3.7 trillion Btu.

◆ Dye Bath Reuse

To reduce the use of chemicals, water, and energy, two process modifications were developed for batch-dyeing textiles. These modified processes involved reconstituting and recycling the spent dye bath, eliminating the final rinse-water step. These modifications resulted in a cumulative energy savings of 2 trillion Btu prior to being replaced with advanced technologies.

◆ Electric Tundish

An enclosed and more efficient holding furnace or tundish was developed and demonstrated for the continuous casting of copper alloys. Switching to electricity to heat the tundish rather than gas or oil results in an energy efficiency increase from 20% to 98%. Four tundishes were installed in 1994 and operated until the manufacturing facility closed in 1996.

◆ **Electronic Starter Device for Fluorescent Lamps**

A quick and reliable electronic lamp starter was developed for small fluorescent applications. This technology was an important improvement for lower wattage fluorescent lamps which still use older preheat circuit designs. Use of the inexpensive and easily installed starter can double the life of a fluorescent lamp. More than 1.6 million units have cumulatively saved 3.1 trillion Btu.

◆ **Energy-Efficient Canning**

A thermal syphon recycle system using a recycling steam jet vacuum compressor and a recirculation pump and heat exchanger outside of the cooker were two methods developed to improve energy efficiency in the canning industry. From the installation of 100 new or retrofitted units, a cumulative energy savings of nearly 3 trillion Btu were realized.

◆ **Energy-Efficient Fertilizer Production (*Pipe Cross Reactor*)**

An ammonia granulation technology was developed to reduce moisture content and energy consumption in the production of pellet fertilizers. The process employed a pipe-cross configured reactor, mounted within a granulator, where liquid raw materials were mixed and then dried via heat from the chemical reaction. Seven reactors were constructed that produced a superior product with a 1% moisture content, reduced pollution, and contributed a cumulative energy savings of 2.6 trillion Btu.

◆ **Energy-Efficient Process for Hot-Dip Batch Galvanizing**

This new process combines a thermally stable flux solution and a preheat furnace to reduce energy use and increase batch galvanizing productivity while reducing waste generation. Hot-dip galvanizing is widely used to protect steel from corrosion. The new process was used at a Pennsylvania steel company and saved 4 billion Btu of energy.

◆ **Fluidized-Bed Waste Heat Recovery System**

A self-cleaning waste heat recovery system was developed to replace industrial furnace conventional recuperators. The new system employed finned heat exchange tubes submerged in a bed of spherical alumina particles that absorbed heat from the hot gas and transferred it to the finned tubes. The water flowing through the tubes was converted to steam for use elsewhere in the plants while the alumina particle agitation kept the tubing clean and distributed the heat evenly.

◆ **Foam Processing**

To replace the very energy-intensive wet processing of textiles, a process was developed to substitute medium-density foam for some of the water processing. A 50% to 70% moisture retention reduction was realized along with a significant decrease in energy previously required for drying, water usage, and pollution control. This technology, and several similar techniques, achieved a cumulative energy savings of more than 11 trillion Btu.

◆ **Glass Feedstock Purification**

A new optical sortation technology, which removes ceramic and other contaminants from glass cullet using optical sensors and computer-controlled jets of compressed air, was developed. This technology was used to recycle 50 tons/day of glass at one plant for two years thus resulting in a cumulative energy savings of 48 billion Btu.

◆ **Guide for Window Routing Device**

A tool guide to control the operation of a router was developed for converting single-glazed wooden-framed windows into double-glazed windows. Single-pane glass can thus be replaced with panes that are more energy-efficient without replacing the sash members or the entire window. This technology was used by licensees in the United States and England and has saved more than 520 billion Btu of energy.

◆ **Heat Exchanger Dryer**

This modified multideck dryer that incorporated a heat recovery system, was developed for the wood board products industry. Air-to-air, air-to-water, and air-to-liquid heat exchangers enabled the previously lost heat from exhaust gases to be reused throughout the plant. Three installations yielded nearly 800 billion Btu in cumulative energy savings.

◆ **High-Effectiveness Plate-Fin Recuperator**

New materials and fabrication techniques made the previously cost prohibitive plate-fin recuperators more economically feasible for a larger number of industrial applications. The recuperators can recover 90% of the energy from exhaust as hot as 1550°F, are more compact than conventional techniques, and use a flexible flow pattern. Further, the new technology provides more heat transfer surface per cubic foot of volume and is often used in nonfouling heat recovery applications. More than 100 units were installed with a cumulative energy savings of around 5 trillion Btu.

Historical ITP Technology Successes

IMPACTS

◆ High-Efficiency Dehumidifier

A new system was developed to recover reheat energy and to control the humidity in all types of buildings. This system uses heat pipe technology to increase the humidifying capacity of air-conditioning equipment and operates without any mechanical or electrical inputs. More than 12,000 units have been sold and have cumulatively saved 1.38 trillion Btu.

◆ High-Efficiency Direct-Contact Water Heater

This industrial/commercial water heating system uses a water-cooled burner sleeve and combustion zone to extract all possible energy from natural gas combustion by bringing water into direct contact with a submerged-flame jet-type burner. More than 3,000 units are in use throughout the United States, and have saved a cumulative total of more than 300 trillion Btu in natural gas.

◆ High-Efficiency Weld Unit

An inverter welding power source that included a multiprocess capability was developed for arc welding processes. Up to 75% smaller in size and weight than conventional units, this system's portability and improved weld quality also provided energy savings of up to 45% over conventional power sources. More than 75,000 units were sold, resulting in a cumulative energy savings of 21 trillion Btu before they were replaced by more advanced welding technology.

◆ High-Temperature Burner Duct Recuperators

Two ceramic tube recuperators, able to withstand 2000°F+ temperatures, were designed to recover heat from high-temperature industrial furnace exhausts. Used in iron forging and steel production, fuel consumption was reduced by approximately 50%.

◆ High-Temperature Radiant Burner

The high-temperature radiant burner forms the core of a thermal processing unit that destroys up to 99.9% of one of the most potent classes of global warming gases known – the perfluorocarbons (PFCs) that are generated during semiconductor manufacturing. The burner operates reliably at high process temperatures and provides uniform, well controlled heat while increasing the efficiency of traditional burner systems. Since its introduction in 1995, over 5000 burners have saved more than 9.4 trillion Btu in the United States.

◆ Hot Blast Stove Process Model and Model-Based Controller

A central control system was developed and installed on a blast furnace to optimize the thermal efficiency of the hot-blast stove system. The controller is linked to process optimization algorithms that determine heating fuel rates, thus minimizing fuel requirements and reducing the number of disruptions in iron production. This invention has saved more than 220 billion Btu since its installation in 1998.

◆ Humidity Sensor (Optical)

An optical humidity sensor (hygrometer) that determines humidity by measuring the absorption of ultraviolet light was developed for the pulp and paper industry. Replacing less reliable humidity sensors, the hygrometer maximizes drying efficiency by optimizing the balance of exhausted and makeup air. Multiple installations realized a cumulative energy savings of 20 billion Btu.

◆ Hydrochloric Acid Recovery System

An on-site, closed-loop HCl recovery system was developed for galvanizers and small- and medium-size steel manufacturers. Benefits of the new recovery system included reduced demand for virgin HCl, the elimination of the use of chemicals for neutralizing waste acid, and energy and cost savings associated with processing, transporting and disposing of the waste acid. The use of this new system resulted in cumulative energy savings of 410 billion Btu.

◆ Hyperfiltration – Textiles

Hyperfiltration, a membrane-based separation technique, was adapted to treat textile industry wastewater. This process also found widespread use in the food-processing, biotechnology, pharmaceutical, pulp/paper, chemical, electronic, and nuclear industries. Allowing recovery of raw materials and minimizing waste, this process achieved a cumulative energy savings of nearly 1 trillion Btu.

◆ Hyperfiltration Process for Food

A membrane hyperfiltration process is being used to separate juice into pulp and liquid fractions. This process replaces the energy-intensive thermal evaporation step in the concentration process. This process has been installed in 17 locations and has saved more than 13 trillion Btu since 1989.

◆ Improved Poured Concrete Wall Forming System

A method for pouring concrete walls for building basements and crawlspaces was developed that uses lightweight, highly insulative extruded polystyrene forms. If left in place, these forms create walls that are both load-bearing and thermally insulating, up to R-22. Over 47 million square feet of walls have been installed that have cumulatively saved 978 billion Btu.

◆ Irrigation Systems

The design of efficient low-pressure impact sprinklers, low-pressure spray heads, and improved drop tubes upgraded center-pivot irrigation systems dramatically. Operating at lower pressures, these systems required 10% less water intake, reduced runoff, and yielded a cumulative energy savings of approximately 49 trillion Btu due to reduced pumping requirements.

◆ Lightweight Steel Containers

A new process for manufacturing lightweight steel containers uses the container's internal pressure for rigidity rather than a thick wall. The resulting container wall is substantially thinner, which reduces the container's metal content by 40% but provides equivalent or better strength. The process saves energy by using less material in the container, less material processing, and less transportation weight. Two container production lines have cumulatively saved 3 billion Btu.

◆ Membrane Filtration Technology to Process Black Olives

A zero discharge wastewater purification and reclamation system was installed at an olive production plant. This new system used a cyclone separation system followed by ultrafiltration and reverse osmosis to recycle wastewater back into the plant. Since its installation in 1997, it has saved 100 billion Btu.

◆ Membrane Separation of Sweeteners

A system to preconcentrate corn steep water was accomplished via a hollow-fiber membrane process. Resistant to fouling, this system extracted more than 50% of the water from the corn steep stream prior to evaporation, thus significantly reducing energy requirements. Additionally, a spin-off technology was commercialized for wastewater treatment.

◆ Meta-Lax Stress Relief Process

A new process applies subresonant vibrational energy to relieve stress in metal objects. The process replaces heat treating applications and reduces the energy and time needed to heat treat metal. The equipment is portable and treats a wide variety of work pieces in a pollution-free operation. More than 990 units have cumulatively saved 136 trillion Btu.

◆ Methanol Recovery from Hydrogen Peroxide Production

A new process was developed to recover and clean contaminated methanol for reuse in producing hydrogen peroxide. This process recovers more than 90% of the methanol needed to produce hydrogen peroxide, thereby saving the energy needed to produce virgin methanol. The process also saves energy by reducing the transportation of virgin methanol. The two units using this process have cumulatively saved 244 billion Btu.

◆ Night Sky – A New Roofing Technology

A natural evaporating roofing/cooling system was developed for flat or slope-roofed commercial buildings to increase the roof's life expectancy and reduce building cooling loads by 50%. This system spray-cools water on the roof at night and then applies the cooled water to reduce subsequent cooling loads. Systems involving more than 95,000 square feet have been installed and have cumulatively saved 2 billion Btu.

◆ Nitrogen-Methanol Carburization

A system was developed for steel manufacturers that replaced the conventional endothermic atmosphere process with a nitrogen-methanol carburization process. In addition to improving the strength, hardness, and wear resistance of the steel parts, the system proved more reliable and easier to operate. Significant reductions in carbon dioxide and other pollutants were noted along with a cumulative energy savings of 12 trillion Btu.

◆ No-Clean Soldering Process

After soldering, electronic equipment used to be cleaned using CFC solvents. Changing the soldering technique eliminated the need to use CFC solvents for cleaning, resulting in energy savings and reduced CFC waste. This process has cumulatively saved 3.9 trillion Btu.

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◆ Onsite Process for Recovering Waste Aluminum

In the production of aluminum automobile wheels approximately 30% of the aluminum content is machined away as chips during the cutting and grinding steps. A new process for recycling the chips onsite rather than offsite improves the energy efficiency and productivity of chip recycling while simultaneously reducing airborne pollutants and other manufacturing wastes. This new process has resulted in cumulative energy savings of 139 billion Btu.

◆ Organic Rankine-Cycle Bottoming Unit

This organic Rankine-cycle system was developed to replace less-efficient, conventional steam Rankine-cycle systems in generating electricity from lower temperature waste-heat sources. It was found to be adaptable to a variety of solar and geo-thermal energy applications as well as suitable for many types of industrial waste-heat streams. The system consists of a standard Rankine-cycle engine, toluene as the working fluid, a waste-heat boiler, a waste-gas flow-control valve, system controls, and an electric generator. The installation of several units cumulatively saved 500 billion Btu of energy.

◆ Oxy-Fuel Firing

This oxygen-enriched combustion system for glass-melting furnaces significantly reduces energy requirements. About one-fourth of all glass-melting capacity in the United States has been converted to oxy-fuel firing. In addition to energy savings, this technology reduces NO_x emissions by up to 90% and particulates by up to 30%. Since its commercialization in 1990, oxy-fuel firing technology has saved more than 25 trillion Btu.

◆ Paint Wastewater Recovery

A new system was developed to reclaim and reuse wastewater generated during equipment cleaning used in water-based paint-production operations. The system vastly reduces the volume of wastewater contaminated with metals and solvents that must be disposed of as hazardous waste. Energy savings resulted from the reduced fuel use for transporting and incinerating the waste. The process has cumulatively saved over 30 billion Btu of energy.

◆ Pallet Production Using Postconsumer Wastepaper

A new process produces paper pallets made of 40% postconsumer waste paper. Substituting virgin wood with this recycled product reduces by 60% the energy required to produce pallets, saves landfill space, and decreases air and water pollution. The process has cumulatively saved over 2 billion Btu.

◆ Pervaporation to Recover and Reuse Organic Compounds

A new membrane technology was developed which treats small-volume, less than 20 gallons per minute, waste streams contaminated with organic compounds. Small-volume wastewater streams are difficult and expensive to treat with most conventional organic-compounds control technologies. The three installed units cumulatively saved 57 billion Btu.

◆ PET Bottle Separator

Recycling certain plastics for conversion into fuel oil necessitated the development of a separation process that could sort containers of PET (polyethylene terephthalate), high-density polyethylene, and aluminum. One bottling plant using this process recycled 18 million pounds of PET and saved a total of 1.2 trillion Btu of energy.

◆ Pinch Analysis and Industrial Heat Pumps

Pinch analysis was used to locate the most productive process modifications and heat pump opportunities within a complex process to improve overall process efficiency. A pinch analysis of a wet-corn-milling plant showed that adding two new thermal vapor recompression heat pumps to existing evaporators could reduce overall process fuel use by 33%. These two heat pumps have cumulatively saved 917 billion Btu.

◆ Plating Waste Concentrator

A low-cost, vapor-recompression evaporation system was developed for the plating and surface-finishing industry to reduce water pollution and recover costly plating chemicals. The waste concentrator was designed with two evaporators, one to concentrate the wastewater and the other to use waste heat as an energy source. Recovery of plating metals, reduced hazardous material treatment costs, and energy recycling all contributed to improved operating costs and energy efficiencies. This technology was used in 62 applications and resulted in a cumulative energy savings of 3 trillion Btu.

◆ **Real-Time Neural Networks for Utility Boilers**

A computer model was developed that uses an advanced form of artificial intelligence known as neural networks to optimize combustion in coal-fired boilers. This new system improved boiler efficiency by as much as 5% and reduced NO_x, CO₂ and SO_x emissions. The cost of reducing NO_x emissions using the model is much lower than the cost of installing low-NO_x burners or catalytic converters. The system has been installed on 64 boilers and has saved more than 57 trillion Btu since 1995.

◆ **Recovery of Acids and Metal Salts from Pickling Liquors**

Steel fabrication processes often use pickling (immersing steel in acid) to remove oxide layers from recently heated steel. The Pickliq® process was developed to make sulfuric acid recovery cost-effective for smaller installations. The process combines diffusion dialysis, energy transfer, and low-temperature crystallization technologies to efficiently recover acids and metal salts. It has demonstrated significant gains in production capacity, quality control, and productivity. Since its introduction in 1995, the process has saved more than 11 billion Btu in the United States.

◆ **Recuperators**

A cross-flow ceramic recuperator made of cordierite (a magnesium-aluminum silicate) was developed to recover heat from exhaust gases in high-temperature (up to 2600°F) furnaces. Corrosion and oxidation resistant, the compactly sized recuperator eliminated the need for a flue gas dilution system. These units cumulatively saved over 24 trillion Btu in energy and reduced both thermal and emissions pollution.

◆ **Removal of Bark from Whole Logs**

A machine, the Cradle Debarker™, was developed that removes bark from delimbed tree stems in a process that strips off less wood, allows for greater operator control, and improves the productivity of the debarking process. Unlike drum debarkers, which use a covered cylinder, the open top of this debarker lets the operator remove stems that have completed the debarking process and recycle others that require further processing. The four debarker units have cumulatively saved 132 billion Btu.

◆ **Restaurant Exhaust Ventilation Monitor/Controller**

Typical exhaust hoods in restaurants operate at full speed all day long and sometimes all night long even when cooking is not taking place. A microprocessor-based controller for commercial kitchen ventilation systems was developed that optimizes system performance for four key parameters: kitchen comfort, fire safety, occupant health, and energy efficiency. It monitors and reduces the fan speed during idle periods of kitchen activity to save energy and employs sensors that monitor heat and smoke levels for safety. More than 2,700 units have been sold and have saved more than 600 billion Btu since 1994.

◆ **Retractable® Labyrinth Packing Seals for Turbine Shafts**

This invention is a redesigned shaft-sealing ring for utility and industrial steam turbines that self-adjusts from the gap required for start-up to that required for normal operation. The result is less wear damage and improved turbine efficiency. More than 500 of these new seals have been installed and have saved more than 74 trillion Btu.

◆ **Reverse Brayton Cycle Solvent-Recovery Heat Pump**

A reverse Brayton cycle heat pump was developed to economically and efficiently recover solvents from numerous industries. This heat pump reduces the demand for new solvents, saving petroleum feedstock and the energy used to produce virgin solvents, and captures for reuse solvents that would have been released to the atmosphere. Ten heat pumps have been installed and have cumulatively saved 4.98 trillion Btu.

◆ **Robotic Inspection System for Storage Tanks**

This technology consists of a remotely operated robotic inspection vehicle that is submerged in bulk liquid storage tanks to gather input on structural and corrosion problems. This system replaces the time-consuming conventional inspection process of draining the tank, washing it out, inspecting it, and then refilling it. This technology has cumulatively saved 280 billion Btu.

◆ **Scrap Tire Recycling**

This new process converts scrap tires into high-value products, conserving energy and new materials while reducing the amount of scrap tires sent to landfills. This treatment process combines surface-treated rubber particles with other polymers such as polyurethane, epoxy, and polysulfide to form unique composites with improved strength, tear resistance, and resilience. This process has saved a cumulative 0.16 trillion Btu in natural gas.

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◆ Selective Zone Isolation for HVAC Systems

A new method for selectively controlling air flow from a central HVAC system can now fit into ducts that cannot accept conventional dampers because of poor access. The flexible dampers can save 20% to 30% of a typical heating and cooling bill in a large house or commercial building by sealing off unoccupied rooms. More than 4000 systems have been sold and have cumulatively saved 305 billion Btu.

◆ SIDTEC™ Condenser Maintenance Program

A new on-line condenser tube cleaning system uses ultra-high molecular weight polyethylene tube cleaners to remove both soft and hard deposits. The system maintains system efficiency and keeps the thermal power plant operating. Twelve power plants have used the new system and have cumulatively saved 136 trillion Btu.

◆ Slot Forge Furnace/Recuperator

A high-performance slot forge furnace design that incorporated a ceramic shell-and-tube recuperator was developed to recover approximately half of the heat energy previously lost in the furnace exhaust gases. Additionally, modified recirculation burners, improved temperature and air/fuel ratio controls, and lightweight furnace wall insulation reduced energy requirements per pound of steel by approximately 4100 Btu. The use of this technology resulted in a cumulative energy savings of 13 trillion Btu.

◆ Solar Process Heat

This project was developed to expand the use of solar process heating systems primarily within the government and institutional sectors. Reducing the need for fossil fuels, solar heat supplies water preheating, process hot water, and steam as well as process hot air, cooling, and refrigeration.

◆ SolaRoll® Solar Collector System

A flexible rubber tubing solar collector system was developed to be used to heat hot water, swimming pools, and building heating systems. The collectors are an extrusion of ethylene-propylene-diamine rubber and are primarily used for heating swimming pools. The new systems replace conventional natural gas or electric heat pump systems. More than 35 million square feet of SolaRoll® have been sold and have saved more than a cumulative 25 trillion Btu of energy.

◆ SOLARWALL® Air Preheating System

A newly developed solar air heating system heats incoming ventilation and makeup air using a metal cladding system installed on the south-facing wall of a building. This system also reduces a building's heat loss in the winter and lowers the cooling loads in the summer by preventing solar radiation from striking the south wall of the building. More than 40 systems with over 200,000 square feet of wall are operating in the United States and have cumulatively saved 76 billion Btu.

◆ Solvent Recovery from Effluent Streams

A membrane system was developed for recovering volatile organic compounds and chlorofluorocarbons from petrochemical waste streams. This new system allows solvents to be recovered from waste streams that are too diluted or too concentrated with solvents to use other methods. In addition to eliminating the environmental release of these solvents, the 27 units in operation in the United States have saved more than 15 trillion Btu since 1990.

◆ Steel Reheating for Further Processing

A low NO_x oxygen-burner retrofit using 100% oxygen was developed for steel reheating that requires less fuel to heat steel. These new burners result in energy savings of 60% per ton of steel while increasing the quality of the metal. Emissions are reduced enough to eliminate the need for NO_x removal equipment and the steel is more uniformly heated resulting in better mill performance and an increase in productivity. Since its introduction in 1998, this system has saved 1 trillion Btu.

◆ System 100® Compressor Controls

A compressor control system was developed that allows the operation of both pipeline and process compressors to operate efficiently without surge or recycle. The compressors are usually powered indirectly by natural gas (steam for process compressors and gas-powered turbines for pipeline compressors). Energy savings are typically in the 5% to 10% range. Total sales of the control systems were more than 3600 units and they have cumulatively saved more than 400 trillion Btu.

◆ The Solar SKYLITE Water Heater

A solar skylight water heater system was developed that uses lightweight, low-cost polymeric materials. A typical installation uses two solar collectors and the entire system can be installed in a few hours. The new system serves as a skylight and provides energy to the home's water heater. More than 1400 systems have been installed and these have cumulatively saved more than 75 billion Btu of energy.

◆ Thin Wall Casting of Stainless Steel

A new alloy of cast stainless steel composition was developed that allows the use of the Hitchiner counter-gravity casting process for stainless steel parts rather than conventional sand casting. Using the Hitchiner process allows components to be cast with wall thickness of less than 3mm - nearly two to three times less than conventional casting. This process increases automation, increases throughput by a factor of two to three compared with the conventional process, and produces a significantly higher yield with very low defect rates. The use of this alloy has saved over 460 billion Btu since 2000.

◆ Ultrasonic Tank Cleaning

Chemical and pharmaceutical companies typically use volatile organic compound (VOC)-emitting solvents to clean their storage tanks in a process that is both labor and energy-intensive. A new ultrasonic tubular resonator was developed that eliminates the use of VOC-emitting cleaning solvents and reduces cleaning time from about 1 day to 1 hour. The unit is small and can be placed into the tank through an opening in the top, eliminating the need for maintenance workers to enter the tank as required with conventional cleaning. Energy savings from the use of this technology are based on decreased cleaning energy use as well as the reduced use of solvents. Since 1995, this technology has saved more than 40 billion Btu.

◆ Variable-Frequency Microwave Furnace

Microwave heating can speed the curing of thermo-setting resins and polymer-matrix composites. Conventional microwave furnaces use standing waves that create a non-uniform energy distribution in the working cavity. A variable-frequency microwave furnace was developed that eliminates non-uniform energy distribution and provides reproducible heating with every batch. Various types of polymer products can be uniformly cured, often in 5% of the time of conventional processing. The 48 units in the United States have saved 47 billion Btu since 1995.

◆ V-PLUS™ Refrigerant Oil Cooling System

The V-Plus system injects refrigerant liquid into the outlet stream of a screw-compressor for industrial refrigeration and cooling systems. The result is increased system capacity, extended system lifetime, and energy savings. Over 250 units have been installed and have saved more than 1 trillion Btu since 1982.

◆ Wallace Energy Systems Solar Assisted Heat Pump Water Heater

A new system was developed for extracting heat from a source (air or water) and applying this heat to water. The heat pump water heater provides both water heating and space cooling. The new systems can be used in applications that need large amounts of hot water and cooling, such as laundries and schools. More than 103 units are in use and have cumulatively saved 118 billion Btu.

◆ Waste Atactic Polypropylene to Fuel

This pyrolysis process converted a polypropylene plastic by-product, called atactic polypropylene, to fuel oil and gas. A total of 17 million pounds/year of atactic polypropylene was pyrolyzed into 2 million gallons/year of commercial-grade fuel oil that yielded a cumulative energy savings of 500 billion Btu.

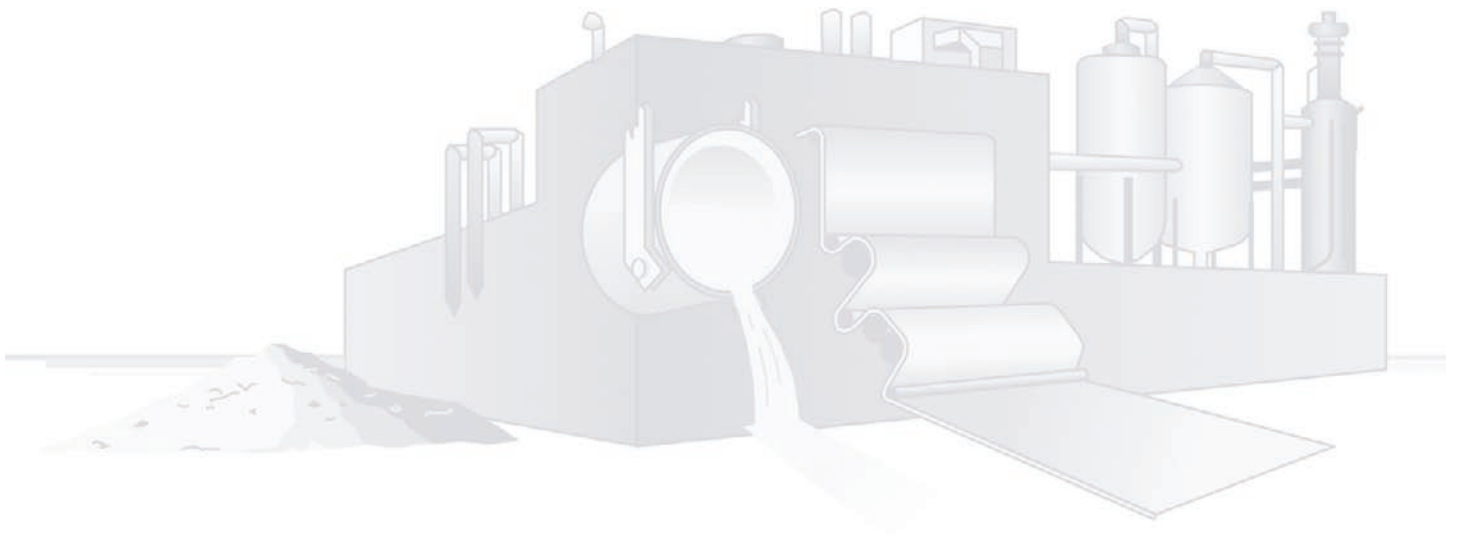
◆ Waste Energy Recovery

Two waste-to-energy plants were constructed, one in Honolulu, Hawaii and one in Tacoma, Washington, that burn the combustible portion of municipal solid waste (MSW). The combustible MSW materials are burned to produce steam, which in turn, is used to power a conventional steam turbine/generator to produce electricity. These plants reduce the amount of electricity that must be produced by fossil fuels, as well as the amount of MSW that must be disposed of in landfills. These two installations have yielded more than 35 trillion Btu of energy since being commercialized.

Appendix 4:

Method of Calculating Results for the IAC Program

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Method of Calculating Results for the IAC Program

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The Industrial Assessment Centers (IAC) within the Industrial Technologies Program (ITP) have been successfully generating energy savings for nearly 30 years. Twenty-six IACs located within engineering departments at top universities across the U.S. conduct comprehensive energy assessments for small- and medium-sized manufacturers and train the future workforce of energy engineers.

The following table presents energy savings calculated and summed from four sources associated with the IAC program: 1) IAC energy assessments, 2) assessments performed by IAC student alumni, 3) replication assessments within firms served by the IAC, and 4) IAC website-related energy savings. Output and savings estimates rely on information from the IAC assessment database (administered by Rutgers University), the IAC student registry, and evaluations conducted by Oak Ridge National Laboratory (ORNL). The IAC database documents savings recommendations and implementation history for plant assessments conducted over a 25-year period, covering more than 13,300 assessments and nearly 100,000 savings recommendations. The IAC student registry, established in FY 2001, tracks the progress of students from their starting date until their departure from the IAC. Finally, ORNL evaluations have studied the longer-term effects of plant assessments, career paths of IAC alumni, and the savings potential of web-based materials offered by the IAC.

Tabulations shown in the table are based on data collected by the IACs and studies done to estimate the nonassessment benefits. The first two lines of the table show the number of assessments conducted each year and the savings associated with each new assessment. The savings from each assessment are assumed to persist for seven years. Therefore, the energy saved in each year (shown in the third row) is the sum of energy savings from new assessment savings for that year plus the savings from measures implemented in the previous six years that continue to persist.

The contribution of assessments (or other, equivalent professional services) performed by IAC student alumni is estimated based on averaged student registry data and feedback from IAC alumni who are practicing energy engineers. In 2005, 145 fully trained students graduated from the IAC, and cumulatively over 2,450 IAC students graduated. According to ORNL research and alumni feedback, about 50% of the alumni have remained in the energy-efficiency business and each alumnus performs the equivalent of 4 assessments per year for 11 years after leaving the IAC program. The benefits of each energy assessment (or equivalent intervention) were assumed to persist for seven years, after which the aged energy assessment was “retired” for the purposes of this estimation. The annual energy savings from alumni assessments are shown in row four in the table.

The savings from replications from assessment activities are calculated as 25% of the energy saved in the prior year from all assessment activities. This calculation accounts for the ancillary effect of additional implementations that are initiated later but are the result of the IAC’s influence. These implementations may be accomplished at the same plant as the original implementations, or at other plants within the same company, or within other plants at other companies as plant managers/engineers/workers change jobs but take the energy efficiency know-how with them. The annual energy savings from replication activities are shown in row five in the table.

The IAC website maintained at Rutgers University was estimated to begin having an impact on energy savings in 1998. The methodology for determining the savings from web users relies on server data, IAC assessment savings, and data from the literature to approximate energy savings associated with the on-line, user-friendly version of the IAC database. While many centers host IAC-related websites, several of which contain useful software tools and publications developed by students and faculty, IAC savings estimates focus solely on the on-line version of the IAC database. The output estimate for the IAC website is based on the number of unique plants that used the on-line database. Server reports from Rutgers have identified about 56,400 annual visitors to the website, 8,645 of which were likely to represent unique U.S. plants. According to software use experience for similar programs, only 11% of those accessing the IAC database likely use it and only 20% of this number implement energy saving projects with the information provided. The estimates of energy savings are based on the savings generated by the unique plants that use the on-line database each year to implement energy-saving projects. Each unique plant that implements a project is assumed to save the equivalent of a single IAC assessment, or 5,489 MMBtu in FY2005. As with the other assessments, energy savings are assumed to persist for seven years.

The annual and cumulative energy savings from all IAC activities are shown in the table for each year. In 2005, the annual energy savings are 152 TBtu and the cumulative energy savings through 2005 are 1280 TBtu. Energy cost savings, carbon reduction, and other benefits are related to energy savings by projected fuel prices and emission coefficients. The cumulative energy cost savings and the cumulative carbon reduction are shown for the IAC program through 2005 in the last two rows of the table.

Method of Calculating Results for the IAC Program

IMPACTS

Item	1977	1978	1979	1980	1981	1982	1983	1984
Number of Assessments	82	70	636	224	359	253	211	248
Annual Energy Saved Per New Assessment (MBtu/Assessment-Year)	3,212	3,212	3,212	3,212	3,212	1,782	2,047	3,504
Energy Saved From Assessments (TBtu)	0.263	0.488	2.53	3.39	5.01	5.65	6.41	7.11
Energy Saved From Alumni Assessments (TBtu)	—	—	0.09	0.27	0.57	0.84	1.26	2.27
Replication Energy Savings (TBtu)	0.0	0.065	0.125	0.52	0.24	0.37	0.16	0.19
Annual Energy Savings (TBtu)	0.263	0.553	2.74	4.18	5.82	6.86	7.82	9.57
Cumulative Energy Savings (TBtu)	0.263	0.816	3.56	7.74	13.6	20.4	28.2	37.8
Energy Cost Savings (B\$)	0.001	0.004	0.018	0.039	0.074	0.119	0.169	0.228
Carbon Reduction (MMTCE)	0.005	0.015	0.066	0.143	0.250	0.376	0.519	0.695

Item	1985	1986	1987	1988	1989	1990	1991	1992
Number of Assessments	368	298	324	388	340	360	455	531
Annual Energy Saved Per New Assessment (MBtu/Assessment-Year)	4,208	4,520	3,898	3,842	4,724	3,821	3,207	3,942
Energy Saved From Assessments (TBtu)	8.49	7.92	8.40	8.87	10.0	11.2	12.2	12.8
Energy Saved From Alumni Assessments (TBtu)	3.96	6.27	8.79	11.8	16.0	19.9	23.3	27.1
Replication Energy Savings (TBtu)	0.44	0.80	0.84	0.88	1.09	1.39	1.19	1.26
Annual Energy Savings (TBtu)	12.9	15.0	18.0	21.5	27.1	32.5	36.7	41.2
Cumulative Energy Savings (TBtu)	50.7	65.7	83.7	105	132	165	202	243
Energy Cost Savings (B\$)	0.306	0.380	0.468	0.570	0.705	0.879	1.07	1.28
Carbon Reduction (MMTCE)	0.932	1.21	1.54	1.93	2.43	3.02	3.68	4.42

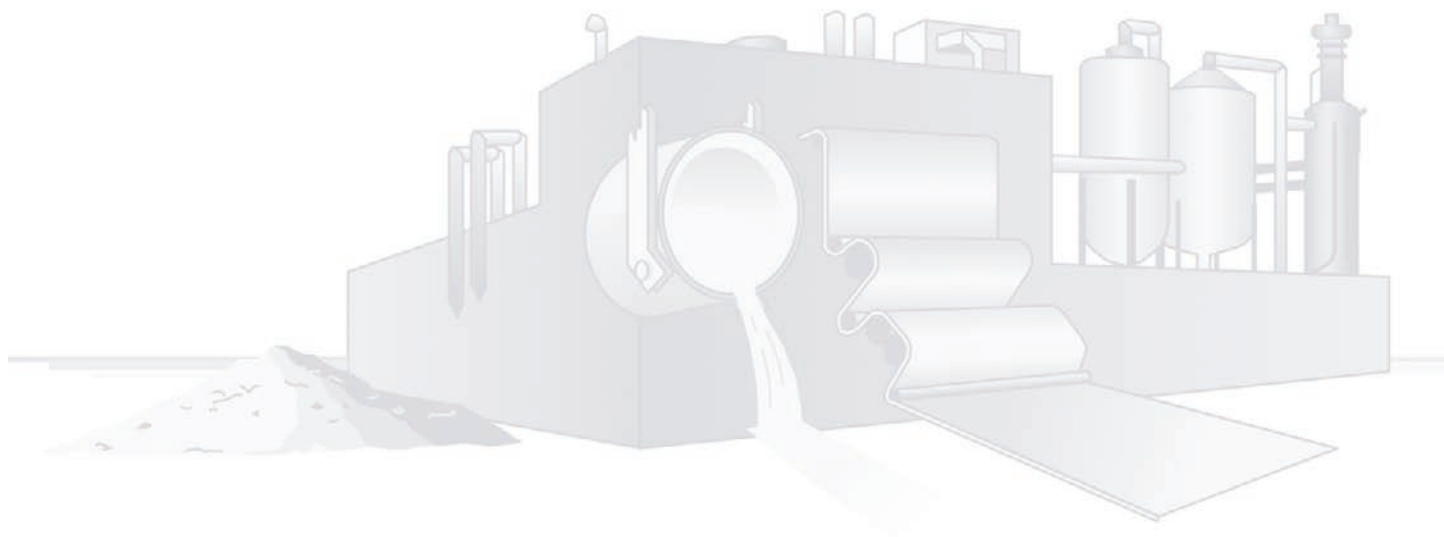
Item	1993	1994	1995	1996	1997	1998	1999	2000
Number of Assessments	585	776	879	867	720	723	755	705
Annual Energy Saved Per New Assessment (MBtu/Assessment-Year)	3,314	3,074	2,978	3,002	2,500	2,185	2,856	2,408
Energy Saved From Assessments (TBtu)	13.4	14.6	16.0	17.1	17.8	18.2	18.4	18.1
Energy Saved From Alumni Assessments (TBtu)	30.0	33.3	36.4	38.9	41.0	43.2	45.9	47.7
Replication Energy Savings (TBtu)	1.70	1.64	1.84	2.04	2.17	1.84	1.66	2.25
Web Users Energy Savings (TBtu)	—	—	—	—	—	0.06	0.17	0.29
Annual Energy Savings (TBtu)	45.1	49.6	54.2	58.1	61.0	63.3	66.1	68.3
Cumulative Energy Savings (TBtu)	288	337	392	450	511	574	640	709
Energy Cost Savings (B\$)	1.51	1.76	2.02	2.33	2.65	2.95	3.28	3.73
Carbon Reduction (MMTCE)	5.24	6.13	7.10	8.14	9.24	10.4	11.6	12.8

Item	2001	2002	2003	2004	2005	2006	2007	2008
Number of Assessments	639	649	696	724	603	—	—	—
Annual Energy Saved Per New Assessment (MBtu/Assessment-Year)	3,935	6,800	6,833	5,053	5,489	—	—	—
Energy Saved From Assessments (TBtu)	18.3	19.9	22.0	24.3	26.8	—	—	—
Energy Saved From Alumni Assessments (TBtu)	54.3	69.4	85.8	100	116	—	—	—
Replication Energy Savings (TBtu)	1.97	3.33	6.14	6.42	4.92	—	—	—
Web Users Energy Savings (TBtu)	0.55	1.15	1.89	2.51	3.45	—	—	—
Annual Energy Savings (TBtu)	75.1	93.8	116	133	152	—	—	—
Cumulative Energy Savings (TBtu)	784	878	993	1130	1280	—	—	—
Energy Cost Savings (B\$)	4.24	4.80	5.66	6.79	8.45	—	—	—
Carbon Reduction (MMTCE)	14.1	15.8	17.9	20.3	23.1	—	—	—

Appendix 5:

Method of Calculating Results for the BestPractices Program

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Method of Calculating Results for the BestPractices Program

IMPACTS

In support of the Industrial Technologies Program's (ITP's) mission to improve the energy intensity of the U.S. industrial sector, BestPractices is designed to provide industrial plant managers with information to evaluate opportunities and implement projects that improve the efficiency of energy systems within their production facilities. These process-supporting energy systems include those with motors and drives, pumps, air compressors, steam, and process heat. BestPractices relies on four main activities to deliver technical information to a target audience of medium- and large-size manufacturing establishments: 1) plant-wide assessments (PWA), 2) training, 3) software tool development, and 4) qualification of specialists by BestPractices to address industrial applications of energy-intensive pumping, compressed air, steam, and process heating systems. To a lesser extent, BestPractices also uses publications, direct technical assistance, and public-private partnerships to deliver information to targeted manufacturers.

Estimates of energy savings presented in this report are based on a methodology originally developed by Oak Ridge National Laboratory in 2002 and refined as the result of a peer review conducted in 2004. The impacts presented for FY 2005 BestPractices activities reflect the on-going efforts to implement recommendations from the peer review and improve the accuracy of savings estimates. Improvements include: 1) integration of results from a participant survey, 2) better understanding of energy characteristics of participating plants, 3) consistent registration information for software users, and 4) follow-up implementation information from plant-wide assessments. Savings estimates for years prior to FY 2004 have not been adjusted to reflect these most recent improvements.

The ITP Tracking Database provides data on participants in all activity areas and uses the data to estimate output and savings outcome performance of BestPractices. Participants include representatives from domestic or international manufacturing plants, corporations, research or educational institutions, state and local governments, and engineering or consulting organizations. Using information on participant affiliation, the tracking database provides estimates of the number of unique, domestic plants participating in each activity. The number of unique plants is then scaled back to estimate the number of unique, U.S. plants that are believed to take action to implement energy savings projects as a result of the dissemination of this information.

Estimates of energy savings from BestPractices' activities focus on the four core activities of PWAs, training, software, and qualified specialists. As a result of the peer review, estimates were constrained to these activities because of their significant savings potential and the higher quality of available data. The basic methodology for estimating the energy outcome of BestPractices is a combination of averaged energy savings reported by PWAs and calculated savings for training, software use, and qualified specialists. Energy benefits generated by PWAs are based on engineering estimates of savings identified in assessment reports and plant followup. Savings associated with unique U.S.-based plants that implement projects following interaction with qualified specialists or by participating in training or use of software are estimated using historical assessment data from BestPractices and the Industrial Assessment Centers (IACs). Savings and descriptions for each of the four main delivery activities are summarized below.

Plant-Wide Assessments

Plant-wide energy assessments identify overall energy use in manufacturing processes and highlight opportunities for best energy management practices for industry, including the adoption of new, efficient technologies. Plants are selected through a competitive solicitation process and agree to a minimum 50% cost-share for conducting the assessment. A PWA team conducts an on-site analysis of total energy use with plant personnel and identifies opportunities to reduce energy use and costs. Plant-wide assessments were initially offered by BestPractices in FY 2000 and replaced by energy savings assessments in FY 2006, making 2005 the final year using the comprehensive, PWA approach.

In FY 2005, 8 PWAs were completed and replication activities occurred at 1 additional plant. Original PWAs reported identified savings totaling 1.90 TBtu. Similarly, plants that replicated PWA results elsewhere identified savings totaling 11.48 TBtu. Previous year savings from PWAs are assumed to persist for seven years, which add 26.84 TBtu in savings for FY 2005. BestPractices PWAs saved 40.23 TBtu in FY 2005 and cumulatively saved 99.57 TBtu from FY 2000 through 2005.

Method of Calculating Results for the BestPractices Program

IMPACTS

Training

Training activities continue to play a key role in the BestPractices' strategy. Participants who attend end-user training learn how to apply the software in their own plants to identify and implement savings in energy-intensive systems. The number of unique plants participating in a training activity is recorded in the ITP Tracking Database. From 1998 through 2005, representatives from over 4,100 unique plants attended BestPractices' training sessions. In 2005, of 1197 plants attending training sessions, about 599 were estimated to actually take action to implement projects in their own energy-intensive systems, resulting in an estimated savings of 5.14 TBtu. Additionally, savings that persist from measures implemented as a result of training conducted in previous years contributed 48.91 TBtu in FY 2005. BestPractices' training saved 54.05 TBtu in FY 2005 and cumulatively saved 196 TBtu from FY 1998 through 2005.

Software Tools Distribution

BestPractices has a variety of resources to help address a company's energy management needs and facilitate energy-efficiency decision-making. A range of software tools is available to help a plant manager perform a self-assessment of a plant's fan, motor, pumping, compressed air, steam, or process heating systems. Software tools available in FY 2005 included Fan System Assessment Tool (FSAT), AirMaster+, MotorMaster, Pumping System Assessment Tool (PSAT), Steam System Scoping Tool, Steam System Assessment Tool, Process Heating Assessment Tool (PHAST), and 3E Plus. Users may download the software from the BestPractices website or use the Decision Tools for Industry CD, which contains the entire suite of BestPractices' software tools.

Software is proving to be a powerful means of disseminating technical information for BestPractices. According to the tracking database, over 3,000 unique plants obtained BestPractices' software in FY 2005. Over 618 plants are estimated to have taken action to implement projects, saving an estimated 5.92 TBtu. Savings from measures implemented in previous years that persist in FY2005 contributed 35.75 TBtu. BestPractices' software saved 41.67 TBtu in FY 2005 and cumulatively saved 150.4 TBtu from FY 1998 through 2005.

Qualified Specialists

Qualified specialists are industry professionals who have completed additional training and demonstrated proficiency in using BestPractices' software tools. Specialists apply these tools to help industrial customers identify ways to improve system efficiency. In FY 2005, BestPractices offered specialist qualifications in the following software tools: Steam Systems, PSAT, AirMaster+, FSAT, and PHAST.

By the end of FY 2005, 351 software specialists were qualified by BestPractices. That same year, an estimated 844 plants interacted with qualified specialists, resulting in implemented projects at 434 plants. Estimated savings from qualified specialists' activities in FY 2005 are 6.5 TBtu. Savings that persist in FY 2005 from measures implemented in FY 2001 through 2004 contributed 8.42 TBtu. Qualified specialists saved 14.92 TBtu in FY 2005 and cumulatively saved 27.58 TBtu from FY 2001 through 2005.

Conclusion

The table below shows the total annual energy savings from ITP's BestPractices activities from 1998 through 2005. The subtotals from the four delivery activities are added together to calculate the total annual energy savings for FY 2005 of 151 TBtu and a cumulative energy savings of 473 TBtu. Fuel prices and emission coefficients for various fuels from Energy Information Administration publications were used to determine cumulative energy cost savings and carbon reduction.

Method of Calculating Results for the BestPractices Program

IMPACTS

	1998	1999	2000	2001	2002	2003	2004
Plant-Wide Assessments							
Unique Plants Implementing Improvements Each Year			2	14	17	8	9
New Plant Replications				1	10	22	5
Annual Energy Savings from Plant-Wide Assessments (TBtu)			0.61	1.28	9.45	20.5	27.4
Cumulative Energy Savings from Plant-Wide Assessments (TBtu)			0.61	1.89	11.3	31.9	59.3
Training							
Unique Plants Reached Each Year	75	150	300	330	791	652	693
Unique Plants Implementing Improvements Each Year	38	75	150	165	396	326	347
Annual Energy Savings from Training (TBtu)	0.84	2.51	5.86	10.2	28.5	44.0	49.8
Cumulative Energy Savings from Training (TBtu)	0.84	3.35	9.21	19.4	47.9	91.9	142
Software Tools Distribution							
Unique Plants Reached Each Year	479	959	4,793	10,718	9,608	5,847	1,842
Unique Plants Implementing Improvements Each Year	96	192	959	2,143	1,922	1,169	368
Annual Energy Savings from Software (TBtu)	0.24	1.04	4.63	13.3	21.1	32.4	36.0
Cumulative Energy Savings from Software (TBtu)	0.24	1.28	5.91	19.2	40.3	72.7	109
Qualified Specialists							
Number of Qualified Specialists				27	89	177	300
Unique Plants Interacting Each Year with Qualified Specialists				13	43	85	667
Unique Plants Implementing Improvements Each Year				7	22	43	352
Annual Energy Savings from Qualified Specialists (TBtu)				0.17	0.77	3.30	8.42
Cumulative Energy Savings from Qualified Specialists (TBtu)				0.17	0.94	4.24	12.7
Sum of All BestPractices Areas							
Unique Plants Reached Each Year	554	1,109	5,095	11,076	10,469	6,614	3,216
Unique Plants Implementing Improvements Each Year	134	267	1,111	2,330	2,367	1,568	1,081
Annual Energy Savings (TBtu)	1.08	3.55	11.1	25.0	59.8	100	122
Cumulative Energy Savings (TBtu)	1.08	4.63	15.7	40.7	101	201	322
Energy Cost Savings (B\$)	0.005	0.023	0.096	0.264	0.625	1.37	2.40
Carbon Reduction (MMTCE)	0.019	0.083	0.282	0.731	1.81	3.61	5.81

Method of Calculating Results for the BestPractices Program

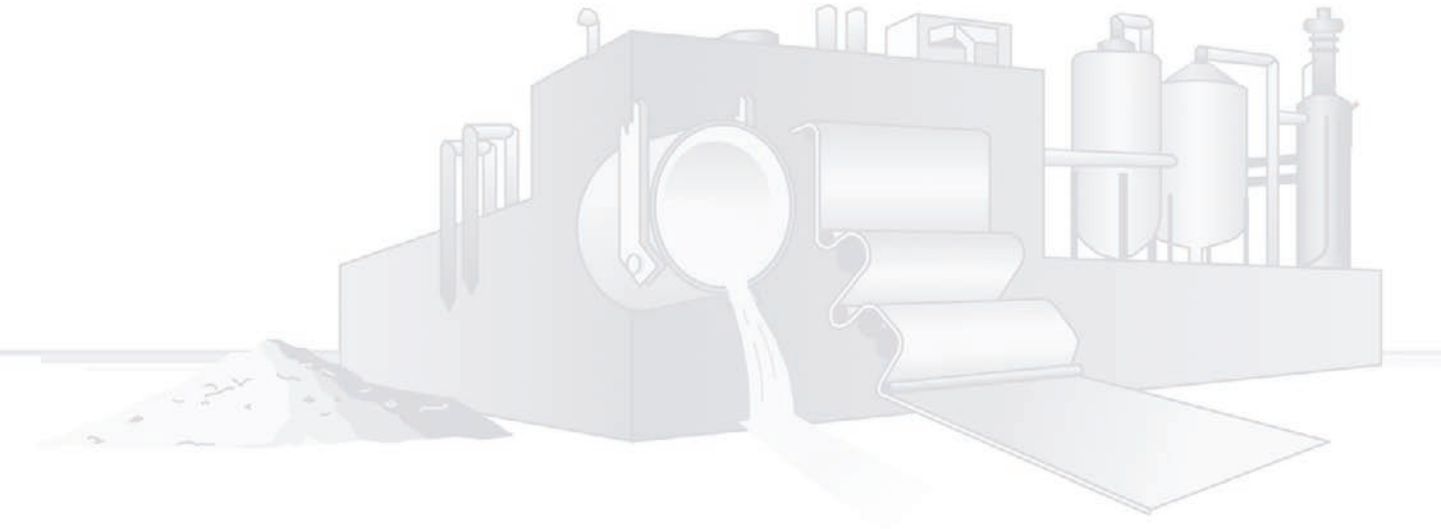
IMPACTS

	2005	2006	2007	2008	2009	2010	2011
Plant-Wide Assessments							
Unique Plants Implementing Improvements Each Year	8						
New Plant Replications	1						
Annual Energy Savings from Plant-Wide Assessments (TBtu)	40.2						
Cumulative Energy Savings from Plant-Wide Assessments (TBtu)	99.5						
Training							
Unique Plants Reached Each Year	1,197						
Unique Plants Implementing Improvements Each Year	599						
Annual Energy Savings from Training (TBtu)	54.1						
Cumulative Energy Savings from Training (TBtu)	196						
Software Tools Distribution							
Unique Plants Reached Each Year	3,088						
Unique Plants Implementing Improvements Each Year	618						
Annual Energy Savings from Software (TBtu)	41.7						
Cumulative Energy Savings from Software (TBtu)	151						
Qualified Specialists							
Number of Qualified Specialists	351						
Unique Plants Interacting Each Year with Qualified Specialists	844						
Unique Plants Implementing Improvements Each Year	434						
Annual Energy Savings from Qualified Specialists (TBtu)	14.9						
Cumulative Energy Savings from Qualified Specialists (TBtu)	27.6						
Sum of All BestPractices Areas							
Unique Plants Reached Each Year	5,138						
Unique Plants Implementing Improvements Each Year	1,660						
Annual Energy Savings (TBtu)	151						
Cumulative Energy Savings (TBtu)	473						
Energy Cost Savings (B\$)	4.05						
Carbon Reduction (MMTCE)	8.54						

Appendix 6:

Methodology for Technology Tracking and Assessment of Benefits

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- ◆ Methods of Estimating Benefits 178
- ◆ Deriving the ITP Cost/Benefit Curve..... 179



Technology Tracking

For over 28 years, the Industrial Technologies Program (ITP), previously the Office of Industrial Technologies (OIT), has been tracking and recording information on technologies developed through cost-shared R&D projects with industry. The tracking process considers technologies that can be classified as commercially successful, mature, or emerging.

When full-scale commercial units of a technology are operational in private industry, that technology is considered commercially successful and is on the active tracking list. When a commercially successful technology unit has been in operation for approximately 10 years, that particular unit is then considered a mature or historical technology and is usually no longer actively tracked.

Emerging technologies are those in the late development or early commercialization stage of the technology life cycle (roughly within one to two years of commercialization). While preliminary information is collected on emerging technologies, they are not placed on the active tracking list until they are commercially available to industry.

The active tracking process involves collecting technical and market data on each commercially successful technology, including details on the:

- ◆ Number of units sold, installed, and operating in the United States and abroad (including size and location)
- ◆ Units decommissioned since the previous year
- ◆ Energy saved by the technology
- ◆ Environmental benefits from the technology
- ◆ Improvements in quality and productivity achieved through use of the technology
- ◆ Any other impacts of the technology, such as employment, effects on health and safety, etc.
- ◆ Marketing issues and barriers

Methods of Estimating Benefits

Information on technologies is gathered through direct contact with either vendors or end users of the technology. These contacts provide the data needed to calculate the unit energy savings associated with an individual technology, as well as the number of operating units.

Unit energy savings are unique to each individual technology. Technology manufacturers or end users usually provide unit energy savings, or at least enough data for a typical unit energy savings to be calculated. The total number of operating units is equal to the number of units installed minus the number of units decommissioned or classified as mature in a given year—information usually determined from sales data or end user input. Operating units and unit energy savings can then be used to calculate total annual energy savings for the technology.

The cumulative energy savings represents the accumulated energy saved for all units for the total time the technology has been in operation. This includes previous savings from now-mature units and decommissioned units, even though these units are not included in the current year's savings.

Once cumulative energy savings have been determined, long-term impacts on the environment are calculated by estimating the associated reduction of air pollutants. This calculation is straightforward, based on the type of fuel saved and the pollutants typically associated with combustion of that fuel. For example, for every million Btu of coal combusted, approximately 1.25 pounds of sulfur oxides (known acid rain precursors) are emitted to the atmosphere. Thus, every million-Btu reduction in coal use results in the elimination of 1.25 pounds of polluting sulfur oxides.

The results for annual and cumulative energy saving, as well as cumulative pollutant emission reductions for actively tracked technologies, are shown in Table 1 on pages 8 and 9.

Methodology for Technology Tracking and Assessment of Benefits

IMPACTS

Deriving the ITP Cost/Benefit Curve

The approach to estimating the net benefits of ITP energy savings used here relied on the following methodology: First estimate the Cumulative Production Cost Savings which provides an estimate of the gross benefit of the ITP program since its inception. Next estimate the Cumulative Appropriations that were allocated by the government to support the development of these technologies that saved energy. Finally make adjustments to the gross energy savings to account for the cost to industry of adopting the new technologies. The method is based on the following sequence of steps:

- ◆ Cumulative energy savings – the accumulated energy savings (Btu) produced by ITP-supported technologies have been commercialized and tracked since the program began. As of 1997, this figure was 1729 trillion Btu and in 2005 it was 3,380 trillion Btu.
- ◆ ITP appropriations – cumulative funding provided for ITP programs. As of FY 2005, this number was \$2.40 billion.
- ◆ Cost of industrial energy saved – the average fuel price (dollars/Btu) that would have been paid to purchase energy multiplied by annual savings. The nominal prices (in dollars per million Btu) for various fuels are reported in the Energy Information Administration's Annual Energy Review (AER). In the 2005 AER these are extended back in time from 2005 to 1978. These prices are adjusted for inflation based on an index of all fuels and power as reported by the Bureau of Labor Statistics (BLS), but normalized to 2005 so that all prices are in current dollars. These annual fuel prices are multiplied by the amount of energy saved per fuel type per year for each of the ITP commercialized and tracked technologies.
- ◆ Correct for Implementation Costs – Since we do not have reliable information about the incremental capital and operating and maintenance costs of these new technologies, an assumption must be made to adjust for these costs. The assumption we use is that industry demands at least a two-year payback period on all such investments, so we ignore the first two years of the cumulated energy savings for each of the technologies, arguing that these first two years savings are needed to recoup the life-cycle capital costs of adopting the new technology. Again, these costs are normalized for inflation just as are the fuel prices for savings.

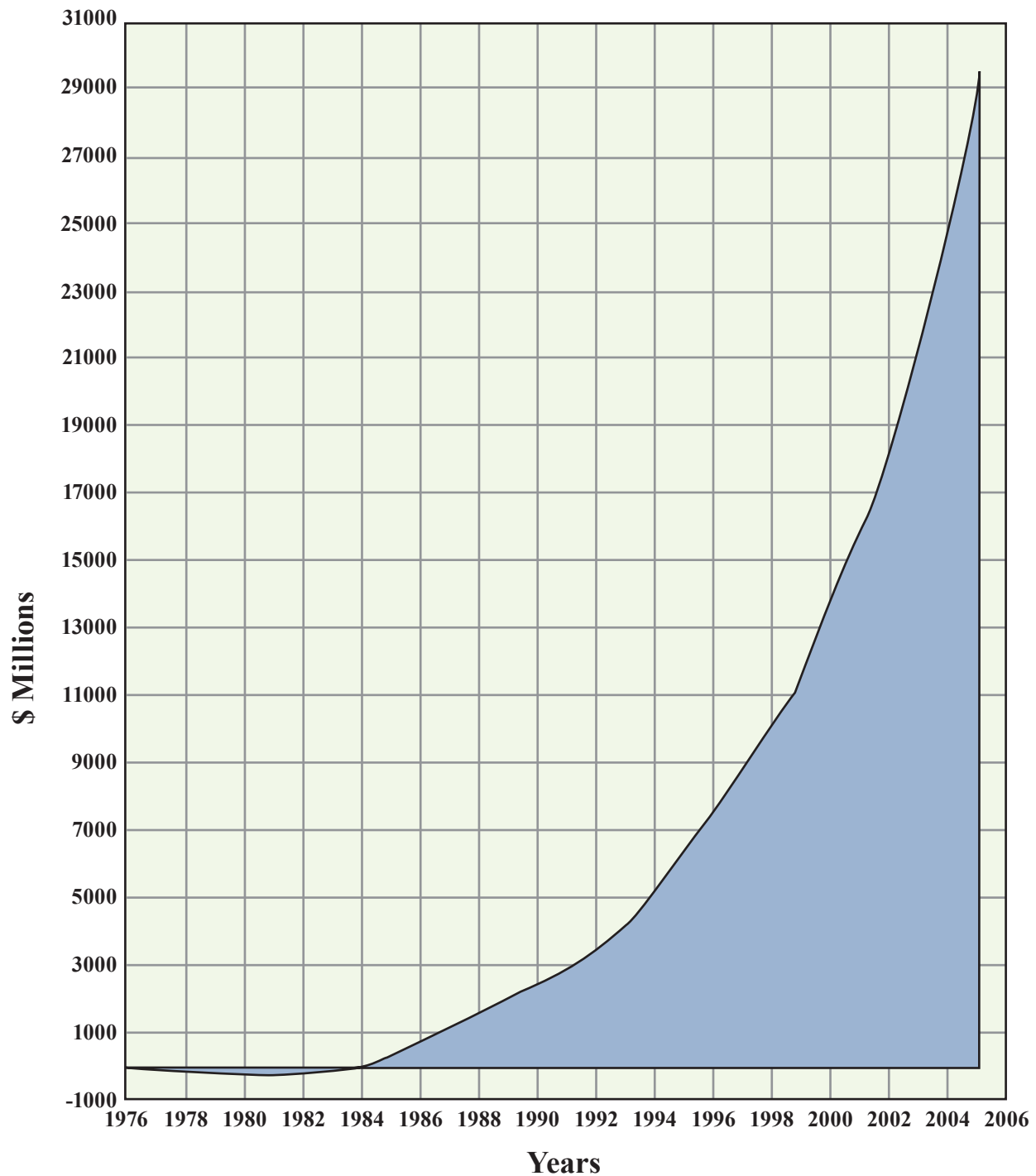
For each technology, the annual energy savings by fuel type is multiplied by the real price of that fuel. The sum of all energy saved times the average real energy price yields an estimate of the annual savings for all technologies in that particular year.

In addition to technology energy savings, savings from the IAC and BestPractices Programs were also determined on an annual basis as described in Appendices 4 and 5, respectively. The economic benefits are the accumulation of these savings over time adjusted for inflation, as described above. The economic costs are two-fold: ITP appropriations and the implementation costs reflected in the two-year payback period. The appropriations are adjusted for inflation by using the implicit deflator for non-defense federal government expenditures, as published by the Bureau of Economic Analysis of the U.S. Department of Commerce. The implementation costs are adjusted for inflation in the same manner as fuel savings. The net economic benefits are then the benefits minus the costs.

Just as there may be benefits not accounted for by this method – spin offs, derivative technologies, etc. – there may be incremental costs not accounted for by this method. For example, there may be incremental capital costs associated with the use of a particular technology that are not currently captured in the tracking process, and thus are not included in the cost side of the equation.

The results of the application of this method are shown in the graph on page 180.

Cumulative Production Cost Savings Minus Cumulative Program and Implementation Costs



The cumulative Federal costs for the ITP Programs through fiscal year 2005 total \$2.40 billion. Cumulative energy savings from completed and tracked ITP projects and programs add to approximately 5.13 quadrillion Btu in 2005, representing a net cumulative production cost savings of \$29.3 billion after adjusting for inflation (using the implicit price deflator for GDP, renormalized to 2005). These production cost savings represent the net total value of all energy saved by technologies developed in ITP programs plus the energy cost savings from the IAC and BestPractices Programs, minus the cost to industry of using the technologies (estimated by assuming a two-year payback on investment) minus ITP Program costs. The graph shows that benefits substantially exceed costs.



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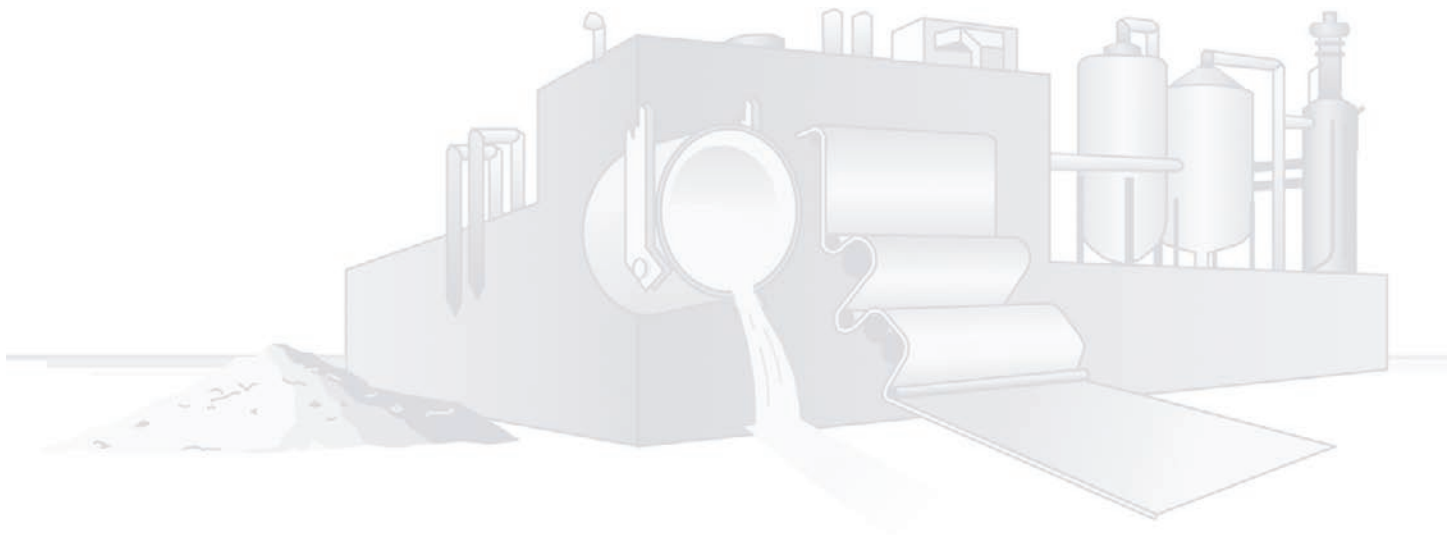


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