

A PLACE IN THE SUN



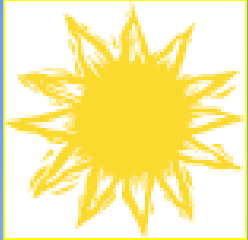
SOLAR BUILDINGS

# Solar Thermal Systems Analysis

Tim Merrigan

National Renewable Energy Laboratory

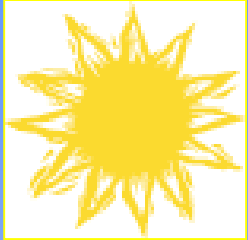
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Solar Energy Technologies



## *Presentation Outline*

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- Systems analysis tools used in solar heating R&D
  - Thermal system performance analysis
  - System cost analysis
  - Material durability analysis
  - Market analysis
- Example of systems analysis tools applied to the management of the innovative, low-cost solar water heater R&D project
- Use of systems analysis in the development of solar heating R&D goals



## *Presentation Acknowledgements*

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- **DOE**

- Tex Wilkins
- Lew Pratsch

- **Industry**

- Les Nelson, Western Renewables Group
- Bob Lorand, SAIC
- Bill Scholten, SAIC

- **NREL**

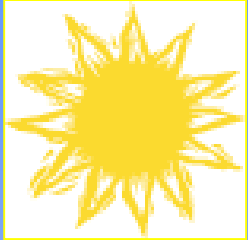
- Jay Burch
- Craig Christensen
- Gary Jorgensen
- Mark Mehos

- **SNL**

- Rod Mahoney

- **University**

- Jane Davidson, Univ. of Minnesota
- Bill Beckman, Univ. of Wisconsin



## *Solar Thermal Systems Analysis*

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# **System Analysis Tools**

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## *The Product Requirement Triad*

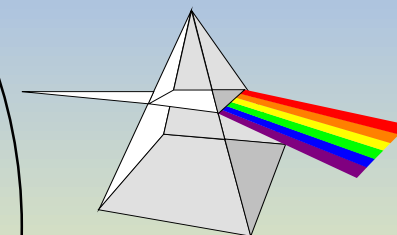
**Must be  
minimized**



**Cost**

**Performance  
[P(t=0)]**

**Must be  
maximized**



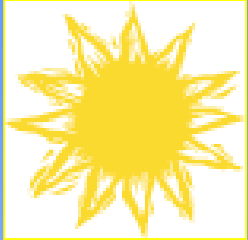
**Durability  
[P(t)]**

**“Lifetime” = 10-30 yr**

**Must be  
demonstrated**



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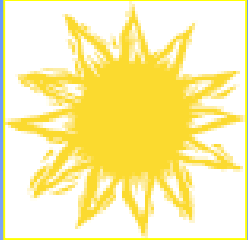


## *Solar Thermal Systems Analysis*

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# **Systems Performance Analysis**

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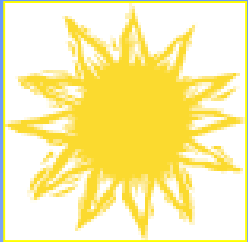
## *Thermal Performance Analysis*

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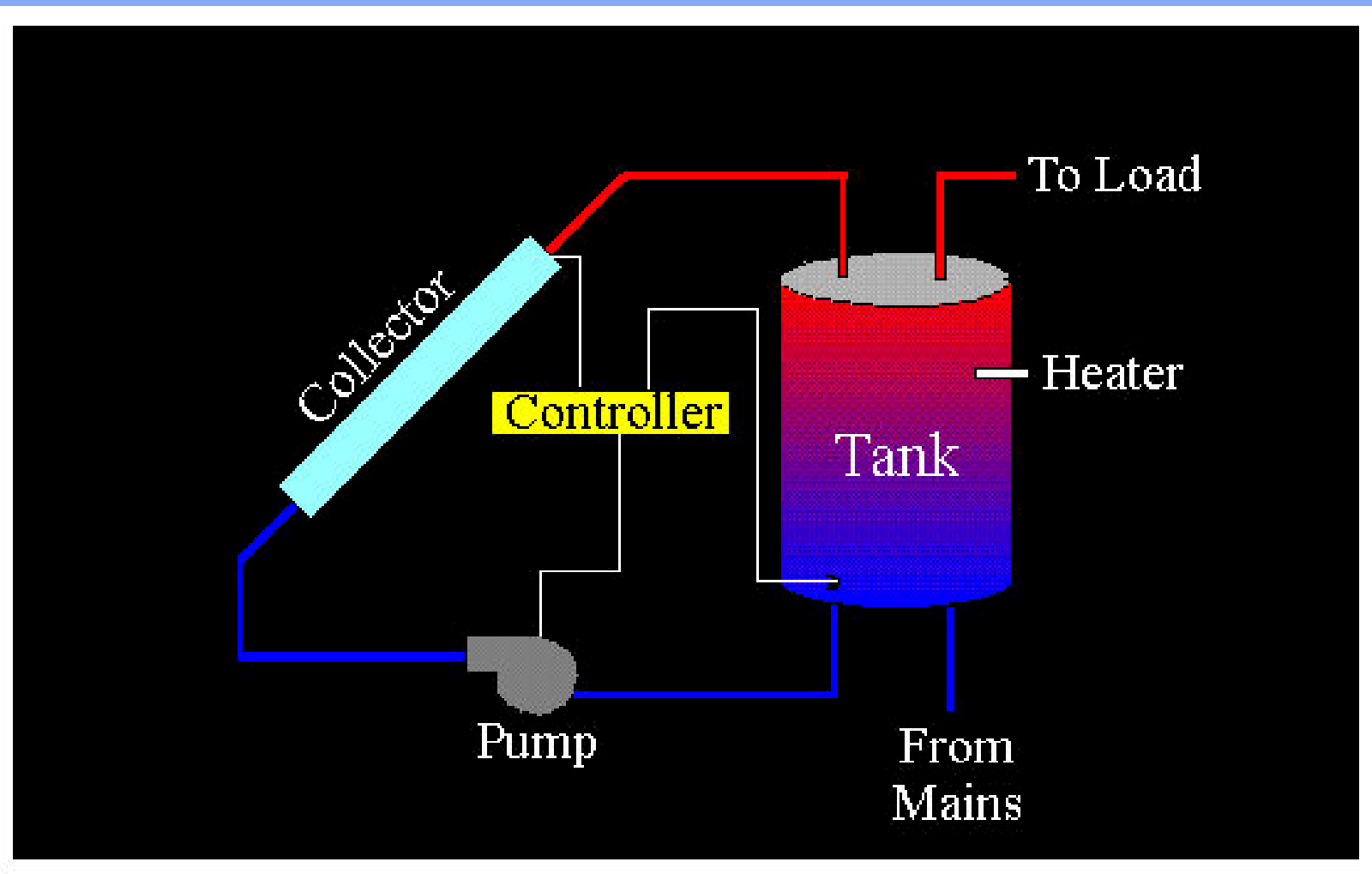
### TRNSYS (Transient System Simulation):

- Modular program written in FORTRAN
- Mathematical models of individual system components are connected together to form a complete system for simulation
- TRNSYS solves the set of algebraic and differential equations that describe the system at a user-selectable timestep

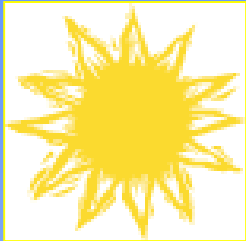
Developed at University of Wisconsin Solar Energy Laboratory:  
<http://sel.me.wisc.edu>



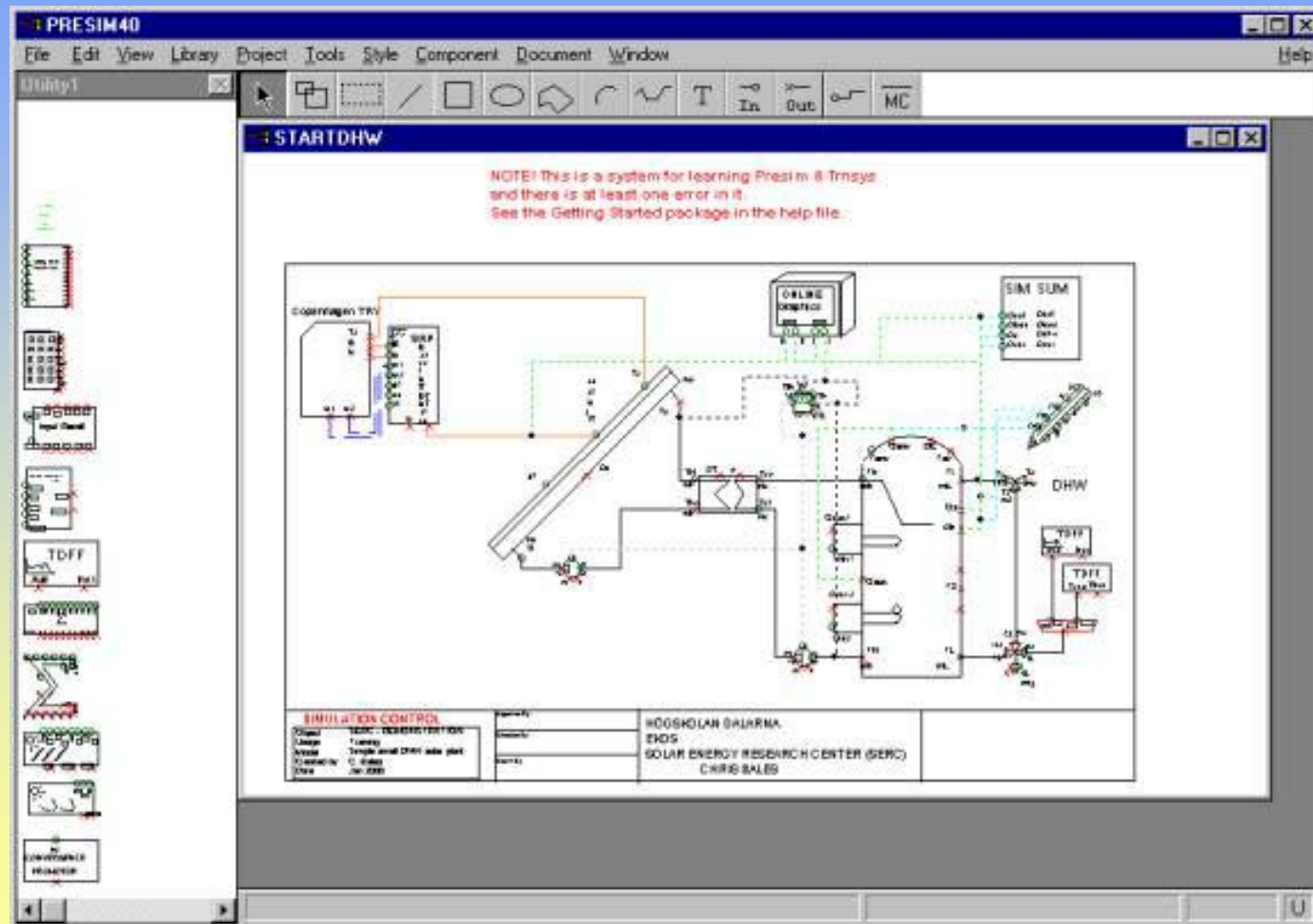
*TRNSYS*

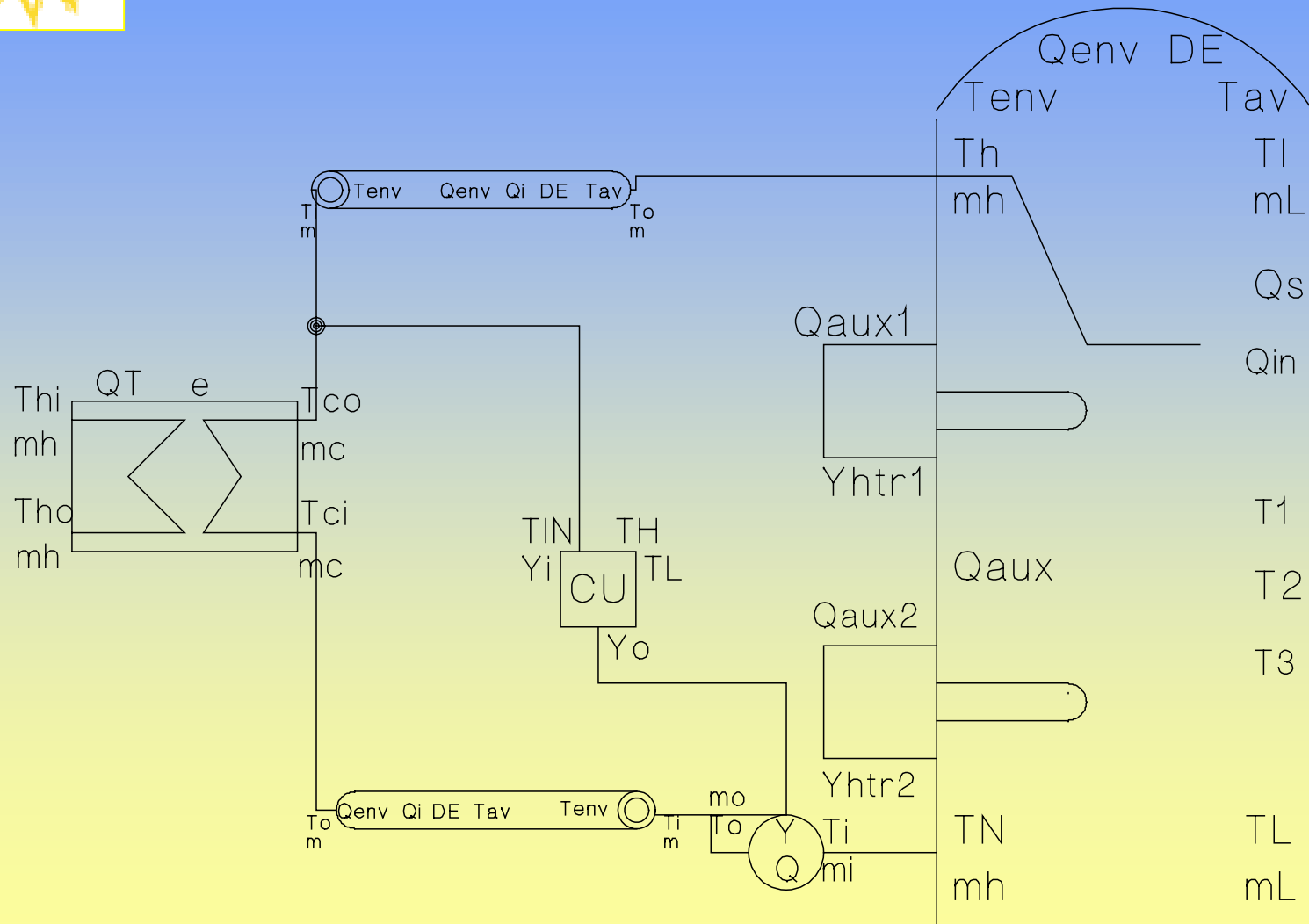


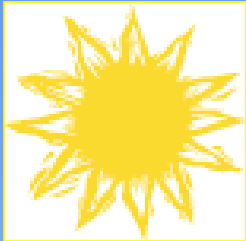




# TRNSYS







# TRNSYS

```
TRANSHLL
File Edit TRNSYS TRNSED Parametrics Utilities Plot Windows Help

C:\trnsys15\examples\Ex7.LST
EX7.OUT
1 C:\trnsys15\examples\Ex7.dck (Line 3)
  ASSIGN \TRNSYS15\EXAMPLES\EX7.LST      6
  ASSIGN \TRNSYS15\ASHRAE.COF            8
  ASSIGN \TRNSYS15\WEATHER\WINTER.DAT    10
  ASSIGN \TRNSYS15\EXAMPLES\EX7.PLT      11
  ASSIGN \TRNSYS15\EXAMPLES\EX7.OUT      12

  *****
  *                                     *
  *           EXAMPLE    7             *
  *   DIRECT GAIN WINDOW - WINTER      *
  *           SEPTEMBER 1999           *
  *                                     *
  *****

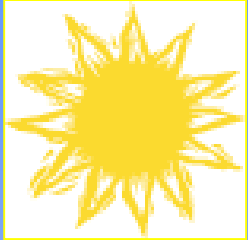
  SIMULATION 0 168 0.25
  TOLERANCES -.01 -.01
  LIMITS 20 50
  WIDTH 72

  *-*-*-*

  UNIT 9 TYPE 9 CARD READER
  PAR 18
  1 0 7 1 -5 41.87 0 4 0.447 0. 6 0.55556 -17.778 7 0.55556 -17.778 10 -1

  *-*-*-*

  UNIT 16 TYPE 16 RADIATION PROCESSOR 1
  PAR 9
  1 1 1 8 40. 4871 0 2 -1
```

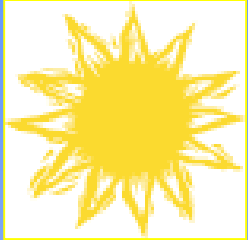


## *Solar Thermal Systems Analysis*

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# **System Cost Analysis**

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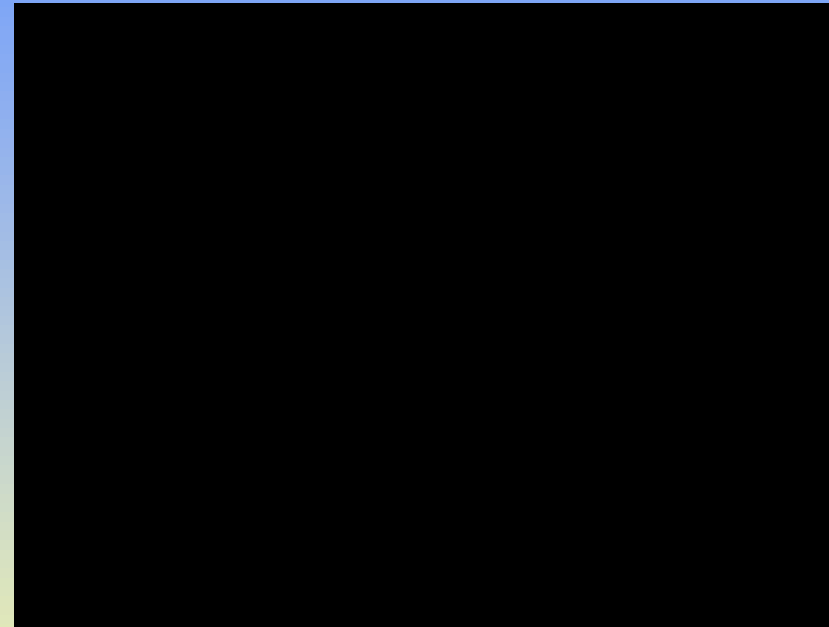
# *Residential Solar Water Heating*

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## Common System Types

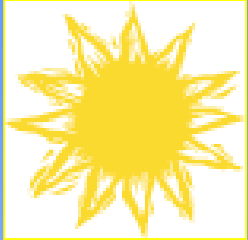


Passive



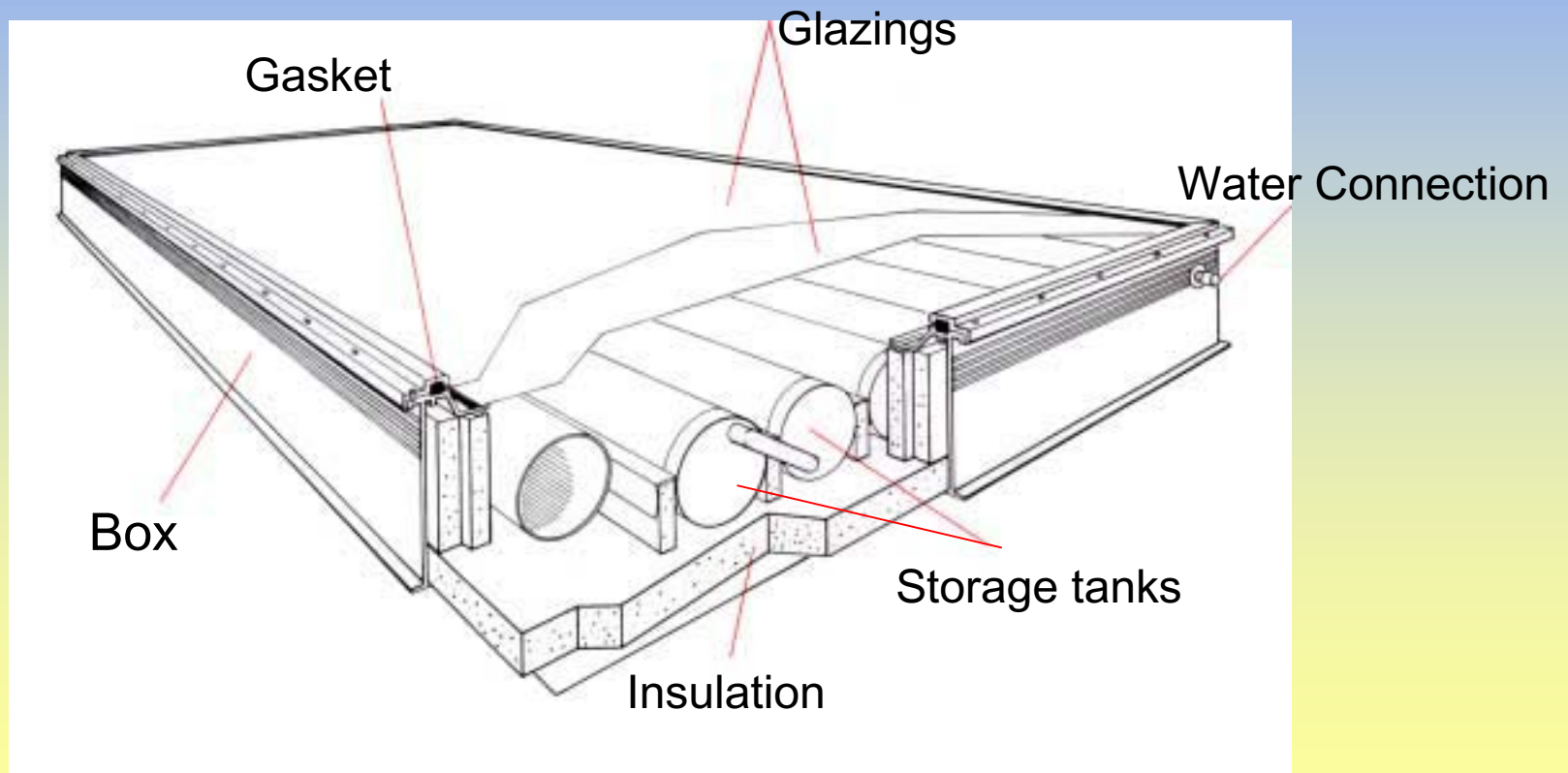
Active





## *Passive Solar Water Heating*

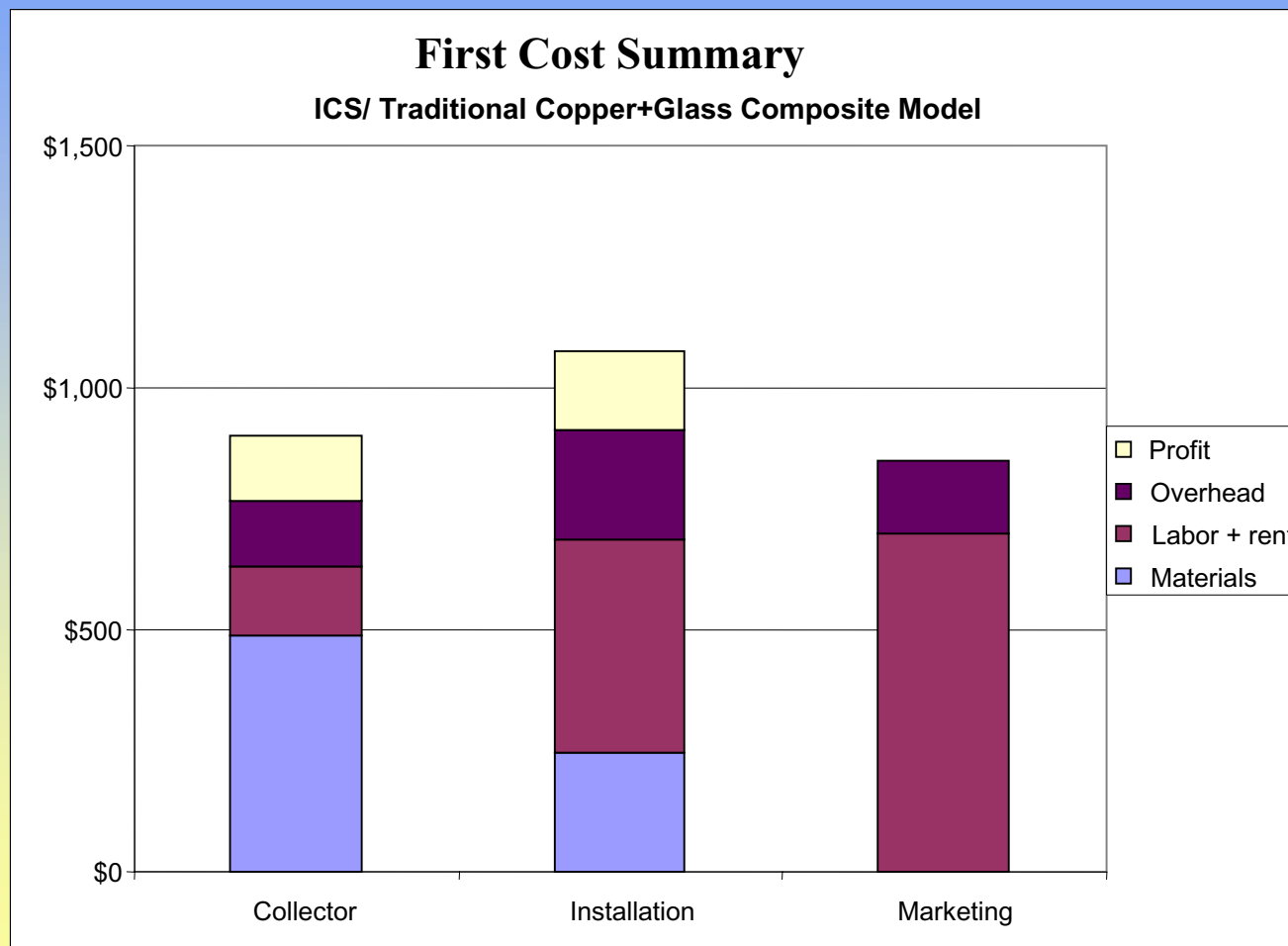
### Integral Collector-Storage (ICS) Unit



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# *Solar Water Heating System Cost Model*



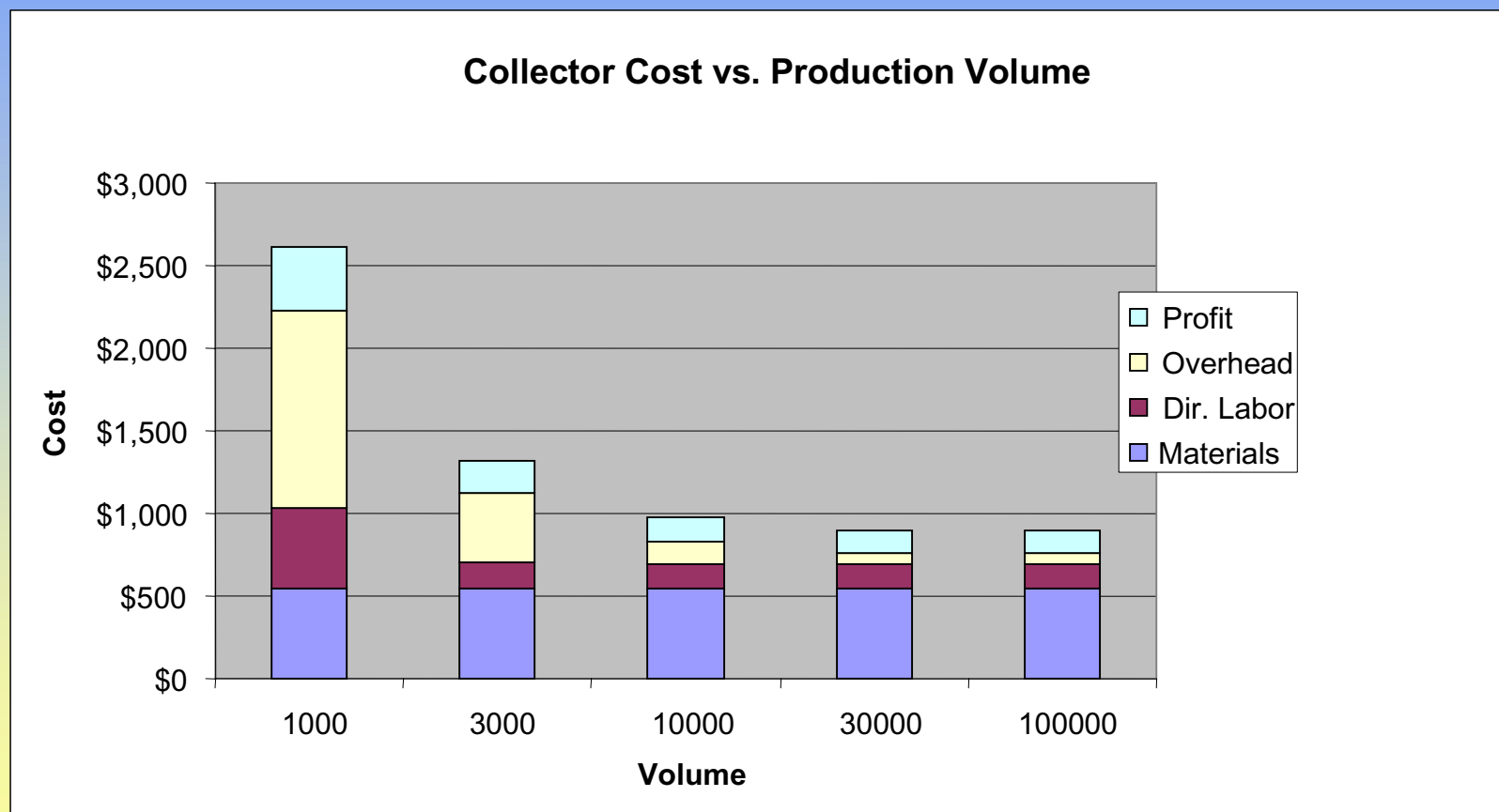
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[illegible]





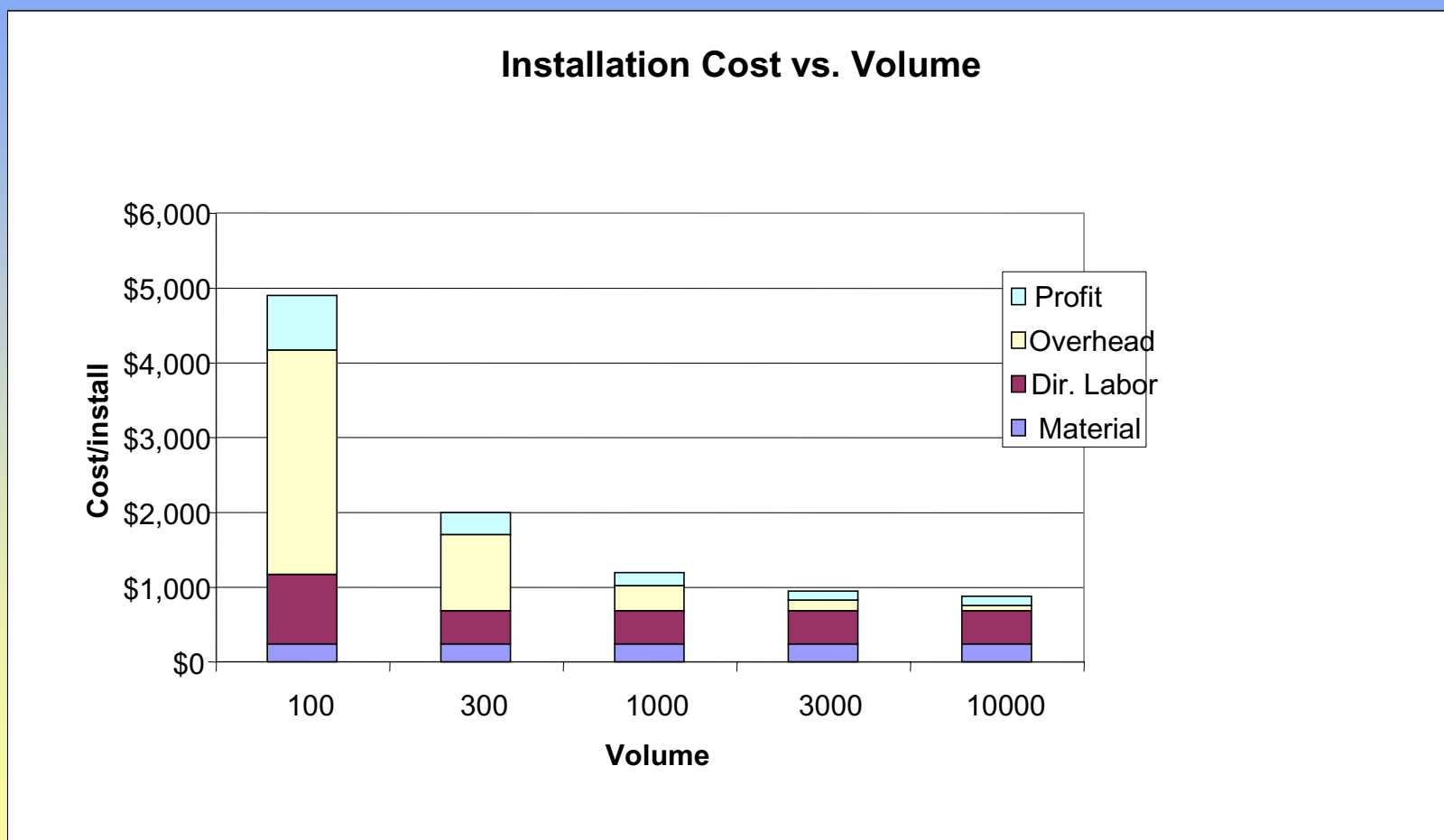
## *Solar Water Heating System Cost Model*



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# *Solar Water Heating System Cost Model*

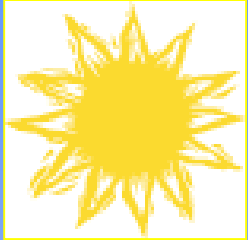


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# Solar Water Heating System Cost Model

First Cost				% 1st cost
<b>Collector</b>	10,000	per year	\$899	32%
Materials		\$488.05		
Labor (Direct + burdened @ 0.477)		\$142.78		
Overhead	explicit method	\$133.54		
Profit Before Tax (@15.0%; A.T.Profit = 10.2%; Tax = 32.0% )		\$134.89		
Cost/ft <sup>2</sup> = \$28	(O+P)/(M+L):	43%		
<b>Installation</b>	1,000	per year	\$1,074	38%
Balance of System Materials + Coll. finance		\$246.85		
Labor (Direct + burdened @ 0.497) + Rentals		\$439.16		
Overhead	explicit method	\$227.16		
Profit Before Tax (@15.0%; A.T.Profit = 10.2%; Tax = 32.0% )		\$161.15		
	(O+P)/(M+L):	57%		
<b>Market</b>			\$849	30%
Sales (94 systems/person-year)		\$696.74		
Advertising		\$30.79		
Distribution (shipping + 10.0% mark-up)		\$121.18		
<b>Total first cost:</b>			<b>\$2,822</b>	
Cost/ft <sup>2</sup> = \$88				
<b>Solar R&amp;M/Life-cycle cost (20 yr. period, disc. rate=3.8%)</b>				% LCC
	First Cost	\$2,822		84%
	Repair and Maintenance (present value)	\$536		16%
	Total Real Cost	\$3,359		
<b>Economic Indicators for Phoenix**</b> (EI = 8.0 c/kWh; Gas = 6.0/.8 \$/MM)			No O&M	With O&M
	Annual Savings (\$/yr)*	\$164	\$125	
	Cost of saved energy (cents/kWh)	9.9	11.8	
	Return on Investment	1.5%	-1.1%	
	Monthly net cash flow (elec., 30 yr loan @ 8.0%, no tax)	-\$7.23	-\$10.46	
	Simple payback vs Electric (yr)	17.3	20.6	
	Simple payback vs Gas (yr)	53.8	64.0	
	Life cycle cost savings (Elec.)	-\$554	-\$1,090	
	Life cycle cost savings (Gas)	-\$2,242	-\$2,778	



## *Solar Thermal Systems Analysis*

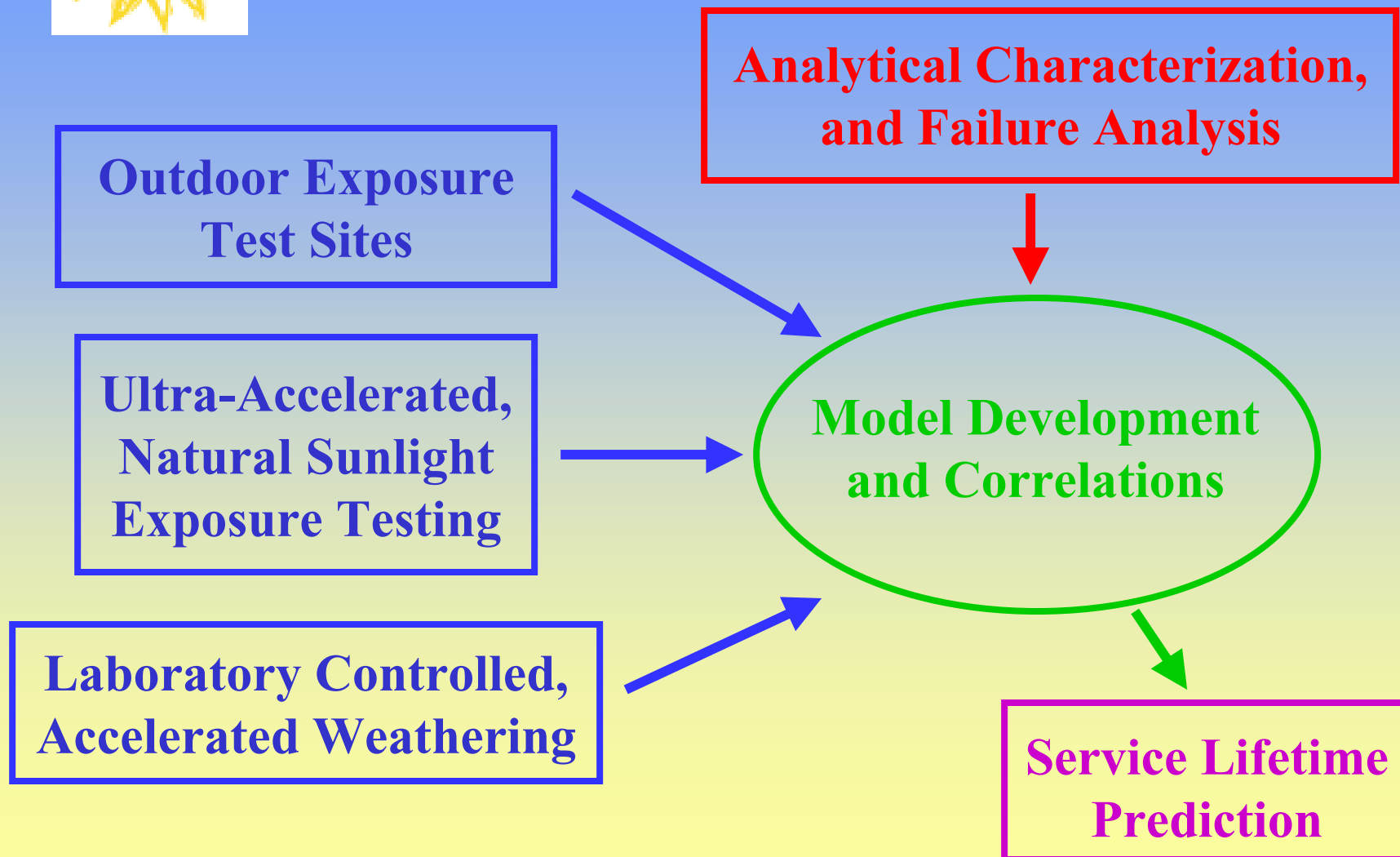
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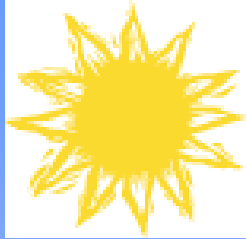
# **Material Durability Analysis**

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## *Approach to Durability Testing*





## *Durability Testing Methodology*

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- Perform accelerated tests using several levels of laboratory-controlled, constant stress values
- Develop material-specific model (damage function) that relates loss in performance ( $\Delta P$ ) to applied/experienced stresses
- Fit measured  $\Delta P$  to model to obtain damage function coefficients
- Use model to predict in-service degradation



## *Model / Damage Function*

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**1) For Constant Accelerated Stresses:**

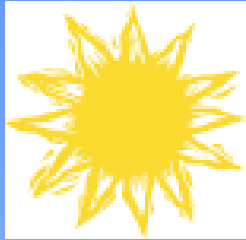
$$\Delta P_i = A I^n \Delta t_i \exp[-E/kT]$$

**2) I and T are known/constant; measure  $\Delta P_i$ ; obtain A, E, and n**

**3) For Variable Real-World Stresses:**

$$\Delta P_i = \sum_j \{A I(t_j)^n \Delta t_j \exp[-E/kT(t_j)]\}_i$$

**4) Monitor stresses; compare predicted degradation with measured outdoor results**



## *Durability Testing*



**Outdoor**



**Accelerated  
Laboratory  
Chambers**



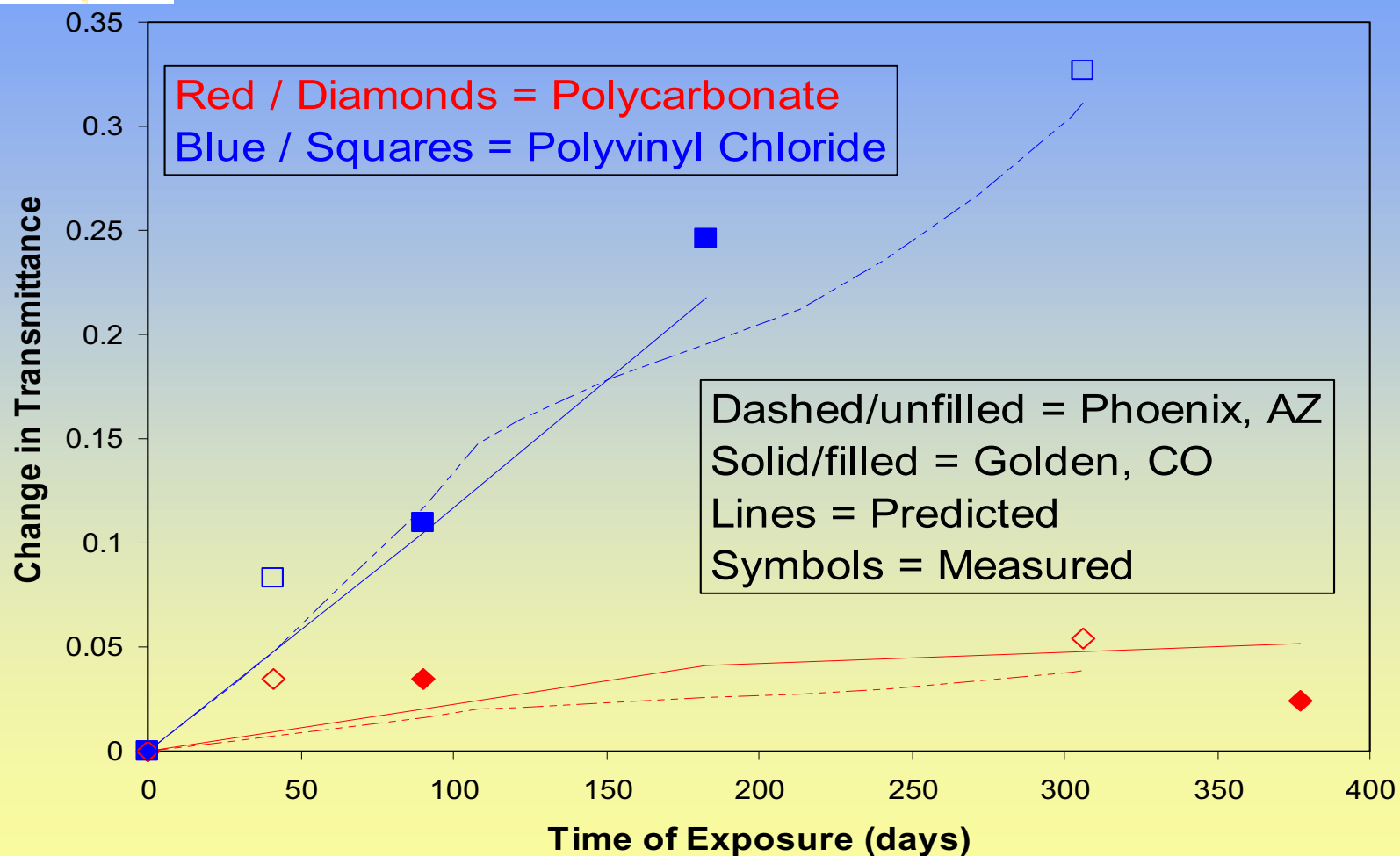
**Ultra-Accelerated,  
Natural Sunlight**

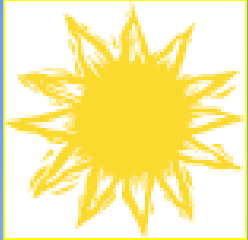
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## Measured vs. Predicted $\Delta\tau$ for 2 Glazings at 2 Sites



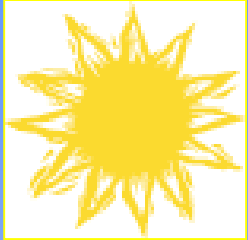


# *Solar Thermal Systems Analysis*

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## **Market Analysis**

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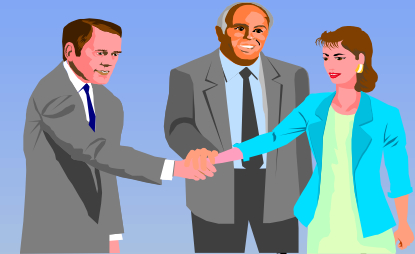


## *Solar Water Heating Market Research*

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### **System Market Research:**

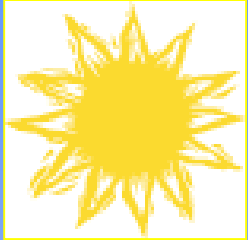
*“Understanding the Customers”*



- **FY98** - builders indicated their concerns over aesthetics, cost, reliability, & public awareness
- **FY98** - survey of 300 recent home buyers indicated interest in, but widespread lack of awareness of solar water heating systems
- **FY99** - development of a marketing plan for solar water heaters in new homes

Link to 9 solar water heating system market studies:

<http://www.eren.doe.gov/solarbuildings/market.html>

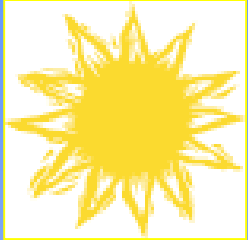


## *New Construction Market Studies*

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### Desired solar water heating system features:

- **Consumers:**
  - Cost ~ \$1,000-\$1,500
  - Trouble-free
  - Warranty/Name Firm
- **Builders:**
  - Trouble-free
  - Easy to install
  - Unobtrusive
  - Cost < \$1,500
- **Architects:**
  - Unobtrusive (skylight-like)
  - Small, inexpensive

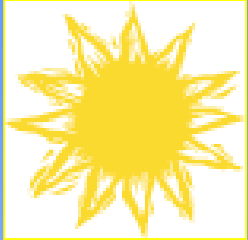


## *Solar Thermal Systems Analysis*

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# **Example of Systems Analysis Applied to Project Management**

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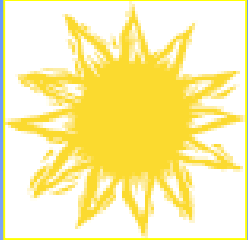
## *Innovative, Low-Cost Solar Water Heaters*

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### Project Goal:

Cut the delivered, life-cycle energy cost of solar water heating systems in half by the year 2005.

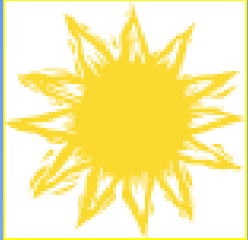
Source: Solar Buildings Strategic Plan - 1997



## *Innovative, Low-Cost Solar Water Heaters*

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- Hardware cost reduction
  - Polymer technology
  - Parts integration
- Installation cost reduction
  - Lighter collectors, flexible bundled piping
  - Integrated balance of system
- Marketing cost reduction
  - New construction: SWH as standard feature or standard option
  - Do-it-yourself/Home Depot



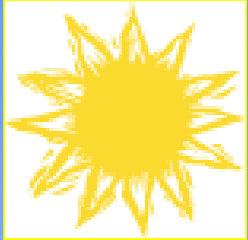
## *Polymer Solar Water Heaters*

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Technical basis for polymer-based systems:

- Low materials **cost**
- Parts integration ☒ lower manufacturing **cost**
- Light weight ☒ lower installation **cost**

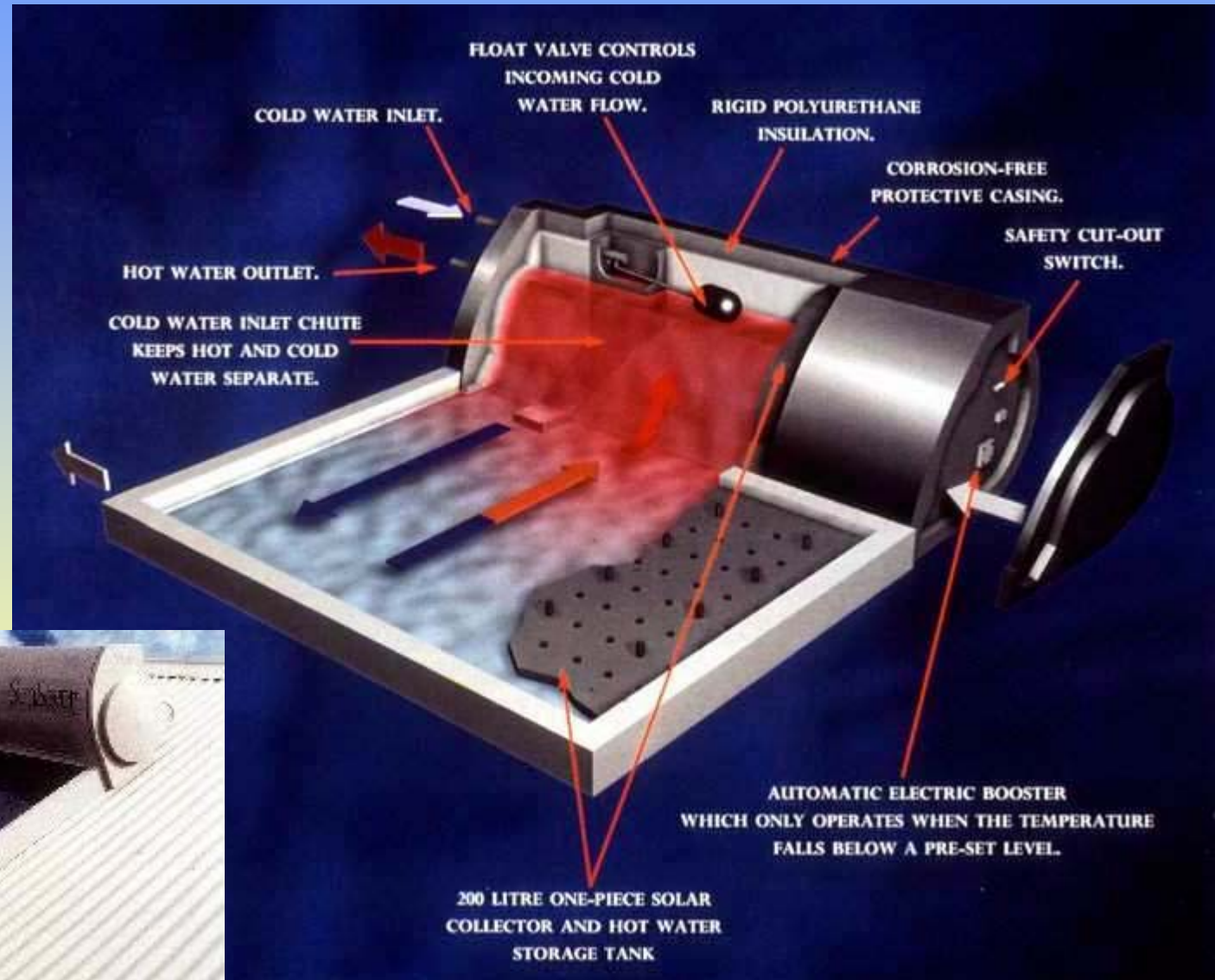


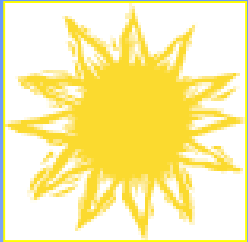


## *Rotomolded Polymer Solar Water Heater*

Australia

Solco Industries Pty Ltd  
Western Australia

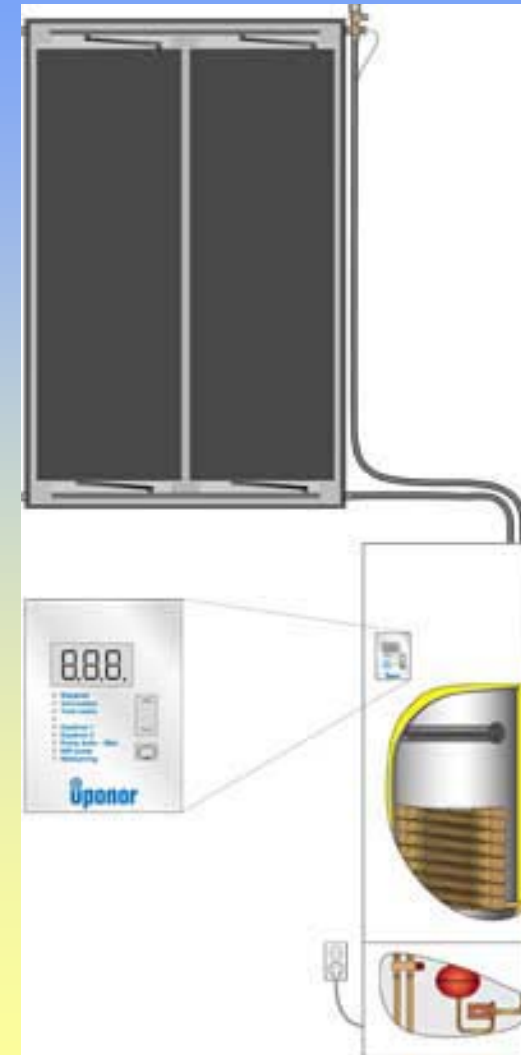


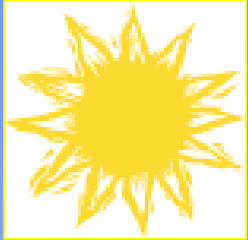


# *IEA Task 24 Competition in Sweden*

## Finland & Sweden

Uponor AB  
Espoo, Finland



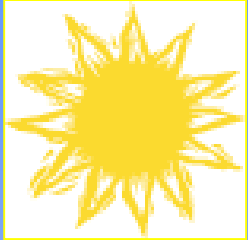


## *Innovative, Low-Cost Solar Water Heaters*

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### Project Phases:

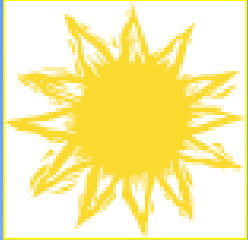
- **Concept Generation / Exploratory Research**
  - Identification of general system configurations which could conceivably reach the project's cost goal
- **Concept Development / Prototype Test**
  - Development of detailed designs for promising concepts and construction and evaluation of prototypes
- **Advanced Development / Field Test**
  - Development of second-generation prototypes and conducting limited field testing and evaluation
- **Engineering / Manufacturing Development**
  - Construction of manufacturing facilities and evaluation of “near-final” systems in “real-world” applications



## *Innovative, Low-Cost SWH Project History*

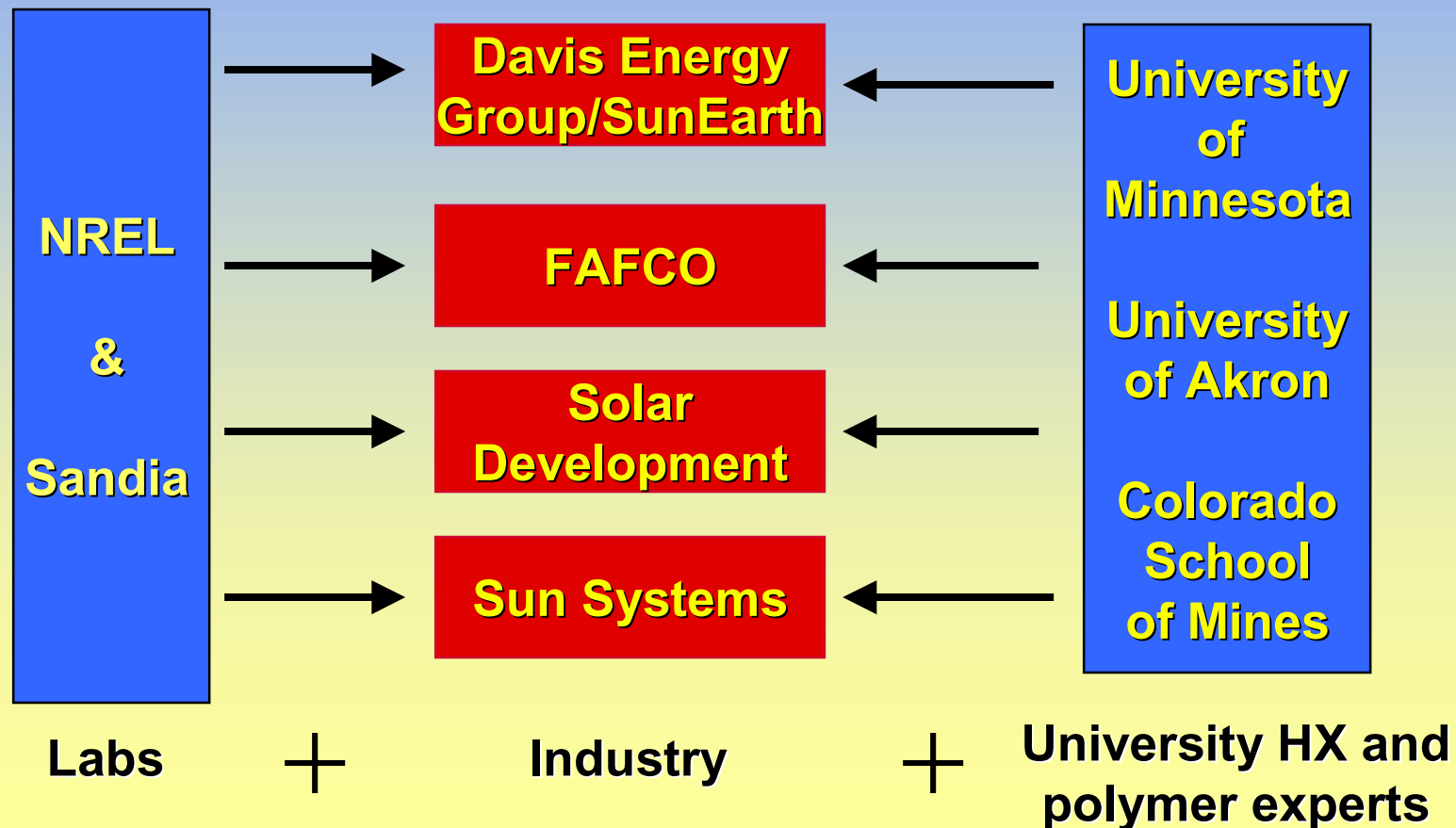
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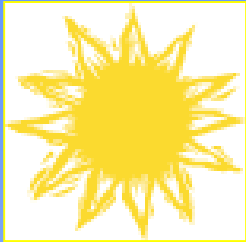
- **1997** - Polymers for Solar Thermal Energy Workshop
- **1998** - New Concepts for Solar Systems RFP
- **1999** - Low-Cost Solar Systems RFP to industry; Phase 2 of New Concepts for Solar Systems RFP; solicitations to thermal and polymer consultants
- **2000** - Concept evaluation and cost analysis; “Best” concepts selected for focused R&D
- **2001 to 2003** - Develop and test prototypes; develop manufacturing process



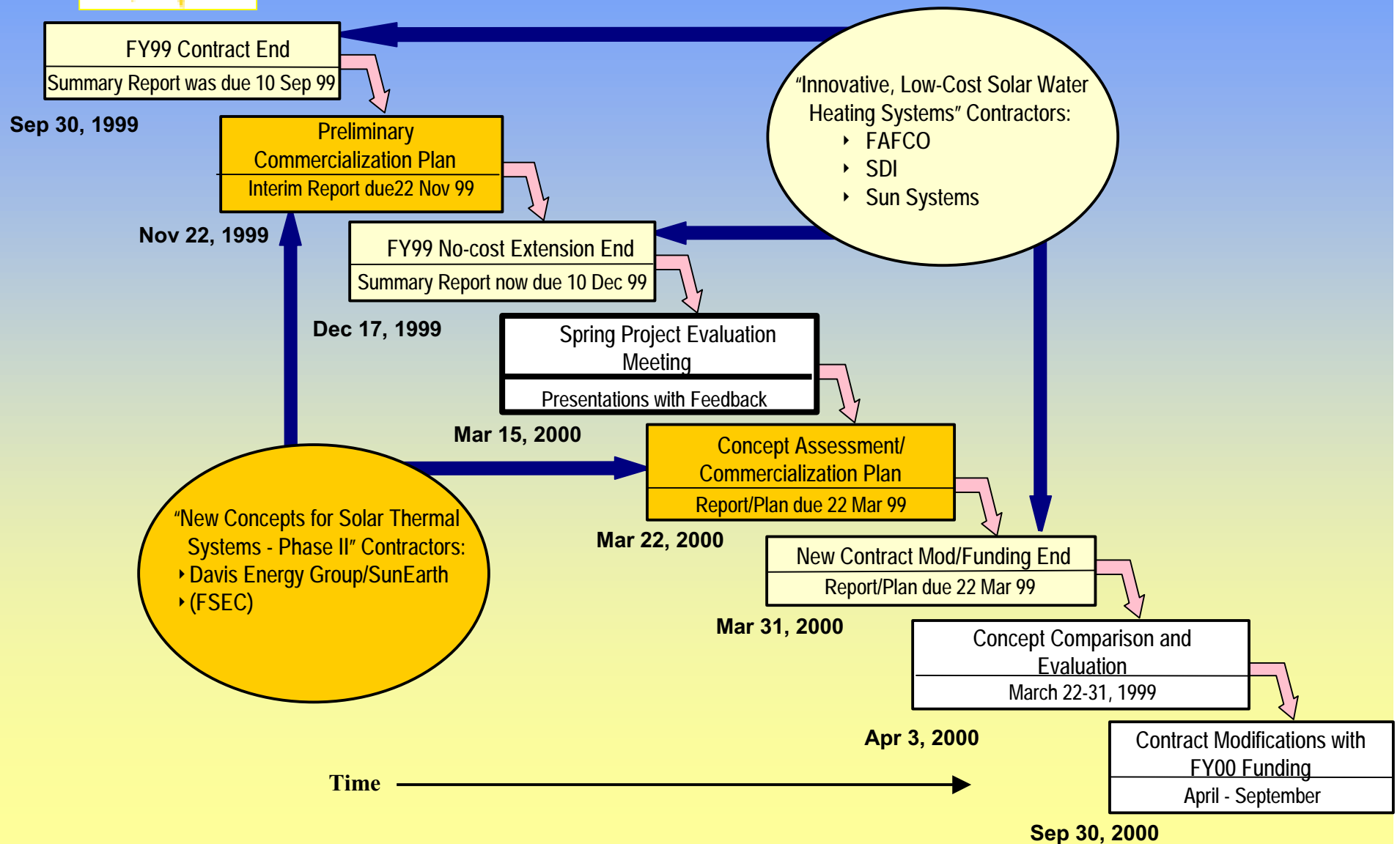
## *Innovative, Low-Cost Solar Water Heaters*

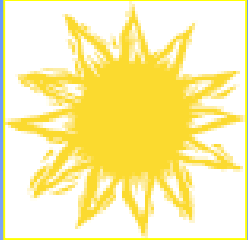
Industry partners developing innovative, low-cost solar water heaters:





## FY 2000 Project Schedule



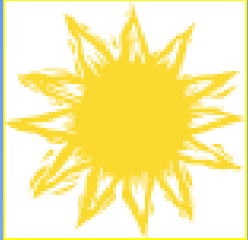


## *Innovative, Low-Cost Solar Water Heaters*

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### **Phase One Evaluation:**

<u>Evaluation Criteria</u>	<u>Weight</u>
• <b>Technical Criteria</b>	<b>33 1/3%</b>
• <b>Market Criteria</b>	<b>33 1/3%</b>
• <b>Probability of Success</b>	<b>33 1/3%</b>
• <b>Programmatic Criteria</b>	<b>N/A</b>
– (Applied After Evaluations Completed)	

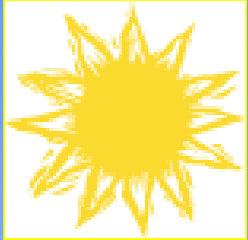


## *Innovative, Low-Cost Solar Water Heaters*

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<u>Technical Criteria</u>	<u>Weight</u>
• <b>Cost of Saved Energy</b>	<b>67%</b>
• <b>Life Cycle Savings</b>	<b>33%</b>
– Material properties were used as inputs to TRNSYS to determine energy performance, since prototypes had not yet been tested.	
– Hardware and installation costs were determined by the detailed system cost model	
– O&M costs were based on the repair histories of each component in the system	
– Business/Marketing Costs were standardized for this evaluation	

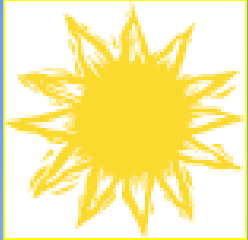




## *Innovative, Low-Cost Solar Water Heaters*

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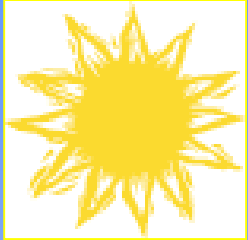
<u>Market Criteria</u>	<u>Weight</u>
• <b>Market Size/Restrictions</b>	<b>40%</b>
– What is the geographic region for this technology?	
• <b>Code Requirements</b>	<b>40%</b>
– What installation skills are required? Does the unit address building code requirements?	
• <b>Aesthetics</b>	<b>20%</b>
– Installed profile, color(s), and appearance.	



## *Innovative, Low-Cost Solar Water Heaters*

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<u>Organizational Criteria</u>	<u>Weight</u>
• <b>Probability of R&amp;D success</b>	<b>40%</b>
• <b>Past performance</b>	<b>40%</b>
• <b>Team experience and skills</b>	<b>10%</b>
• <b>Team resources available</b>	<b>10%</b>
• <b>Management</b>	<b>0</b>
• <b>Production and distribution capability</b>	<b>0</b>

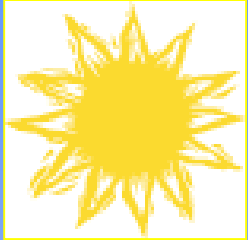


## *Innovative, Low-Cost Solar Water Heaters*

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### Programmatic Criteria

- **Funding Considerations**
  - Funds needed by the team
  - Available funding from the program
- **Time to Market**
  - Early introduction of the technology to the marketplace is critical to success of the program.
- **Geographic Diversity**
- **Technology Diversity**



## *Innovative, Low-Cost Solar Water Heaters*

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### **FAFCO:**

- thermoformed tank
- double glazed, back insulation
- boiling overheat protection  $\Rightarrow$  water makeup

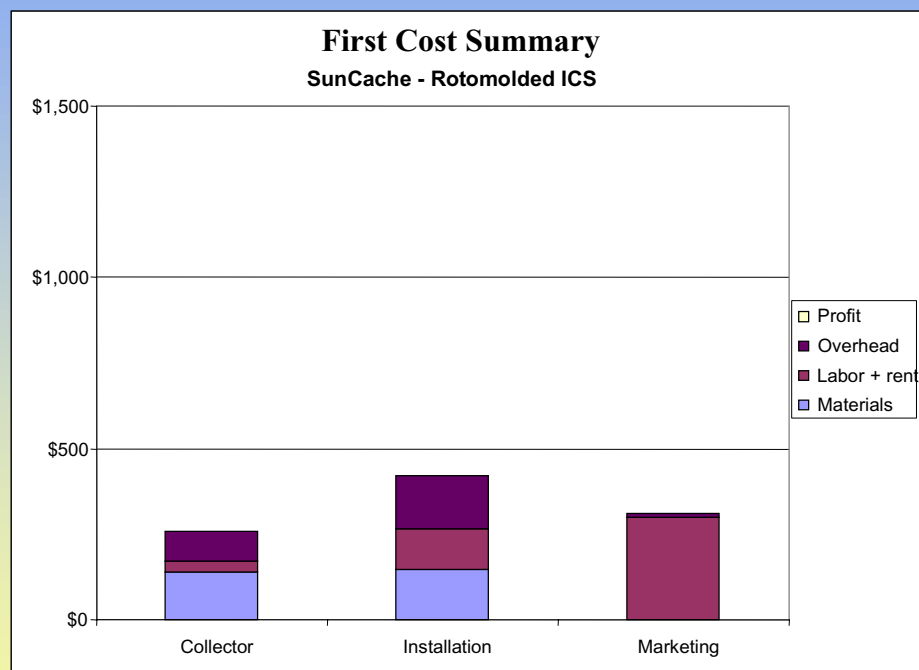
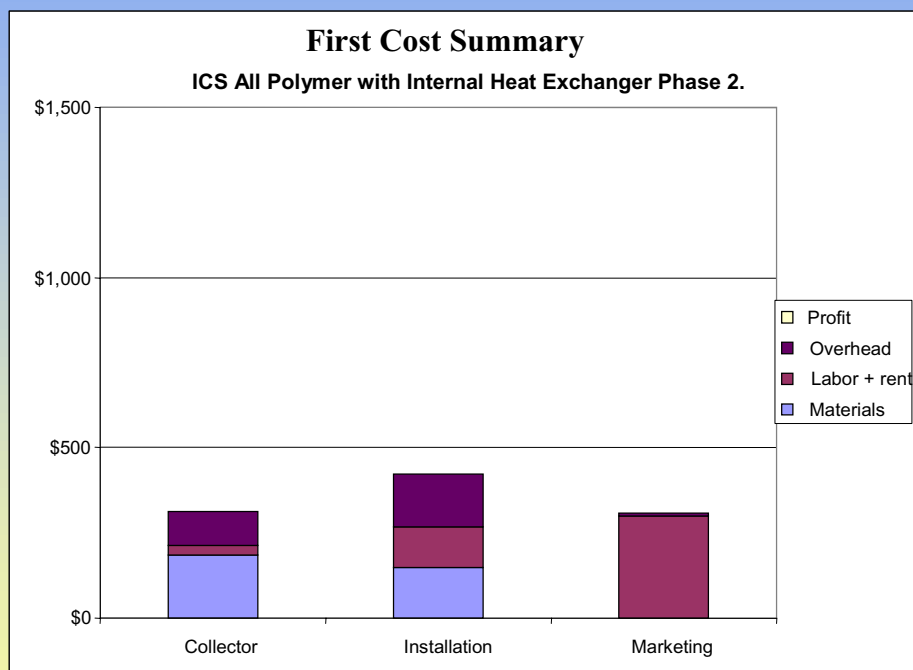
### **Davis Energy Group (DEG) / SunEarth:**

- rotomolded tank
- single glazed, no back insulation
- no overheat protection with sealed tank



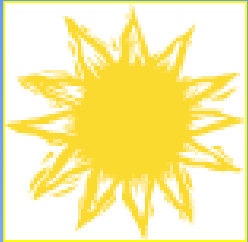
## *Innovative, Low-Cost Solar Water Heaters*

### Project Evaluation Results

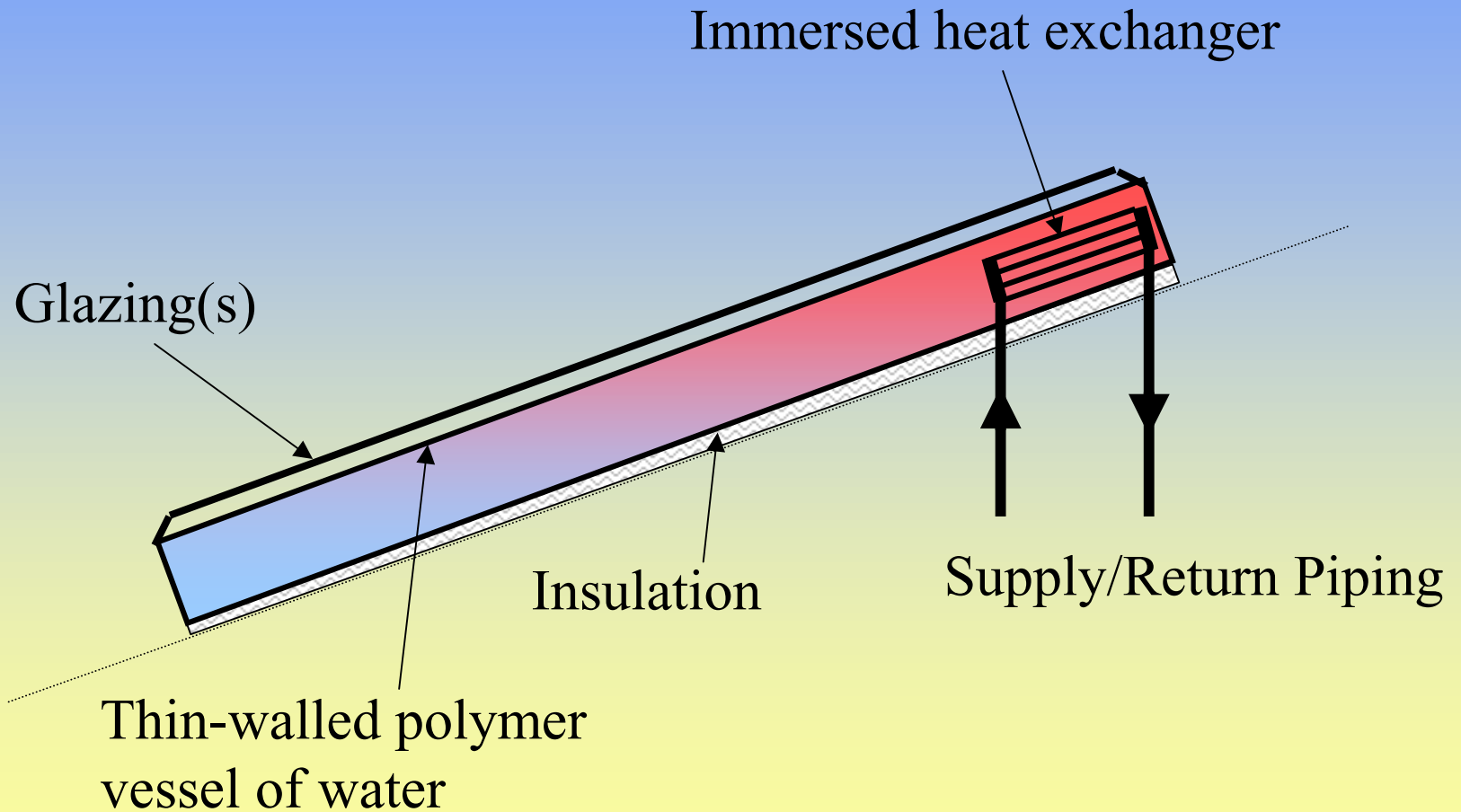


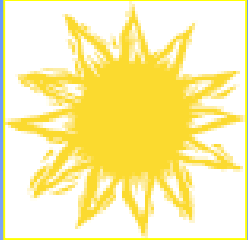
**FAFCO Unpressurized ICS**

**DEG Unpressurized ICS**



## *Unpressurized Integral Collector Storage*



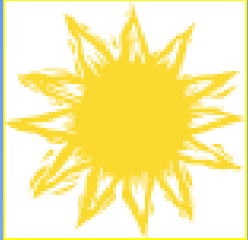


## *Solar Thermal Systems Analysis*

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# **Systems Analysis Applied to Program Management**

U.S. Department of Energy  
Solar Energy Technologies

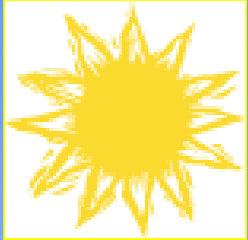


## *Solar Buildings Historical R&D Areas*

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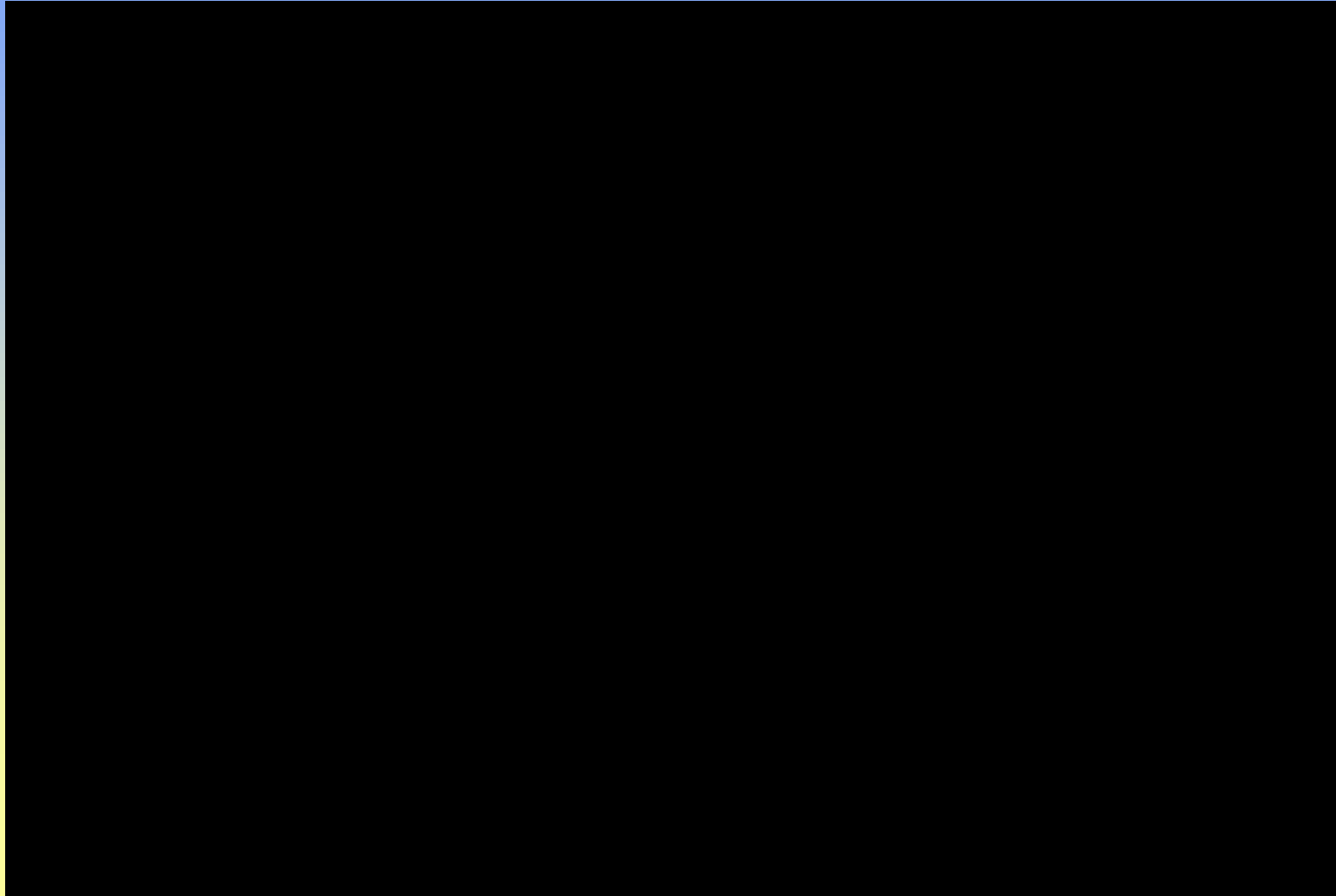
- **Water heating**
  - Low-cost solar water heating systems
  - Solar system standards and certification
  - Solar collector manufacturing assistance
- **Space heating**
  - Packaged solar systems
- **Ventilation air heating**
  - Transpired solar collector (R&D 100 Award - 1994)
- **Space cooling**
  - Desiccant cooling
  - Absorption air conditioning





## *EERE Renewable Program Budgets*

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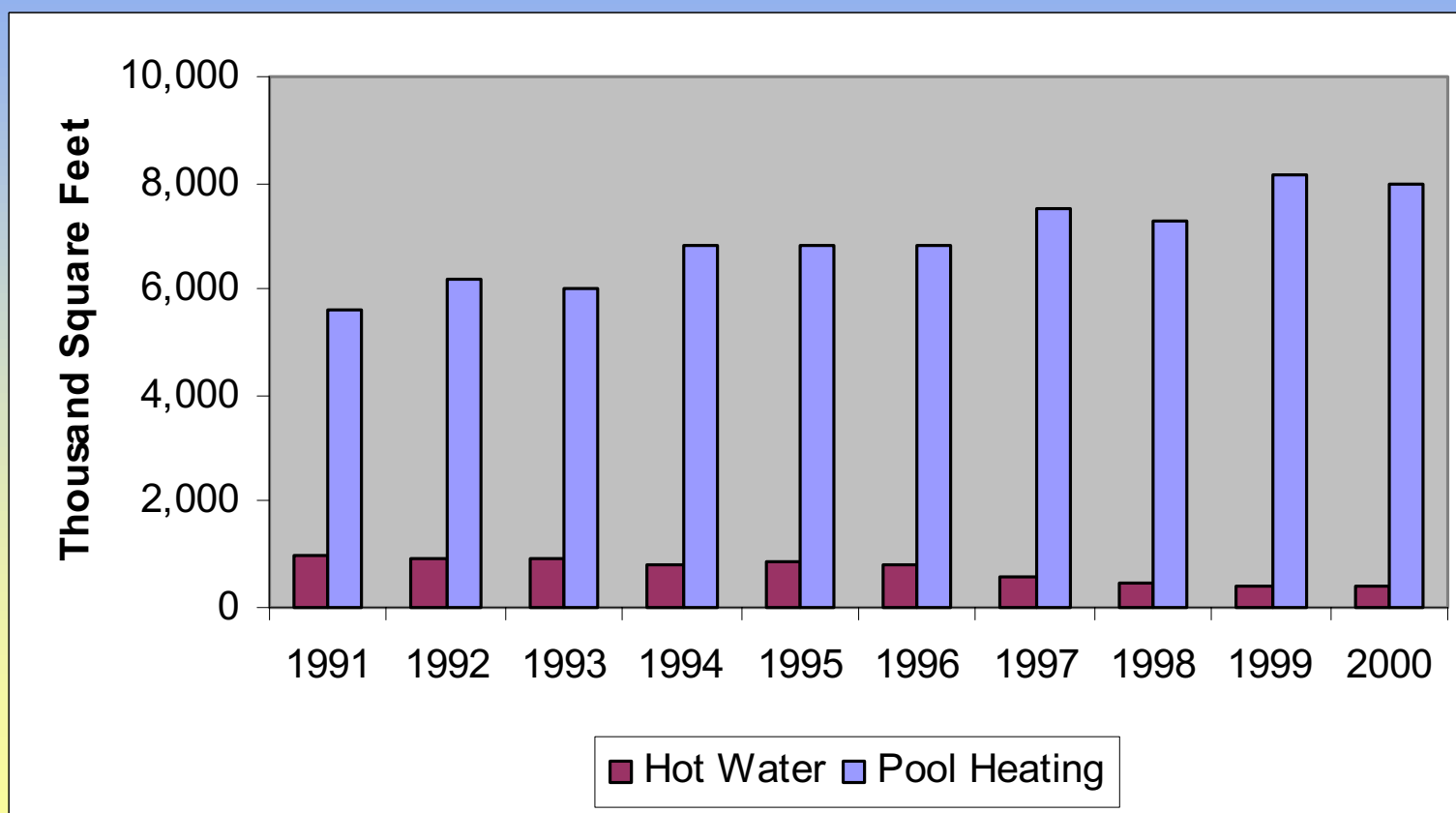




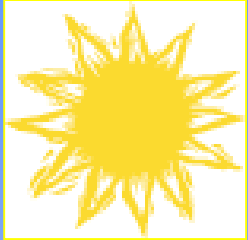
# *U.S. Solar Water Heating Industry*

## Solar Thermal Collector Shipments

Source: EIA Renewable Energy Annual 2000



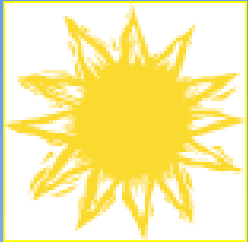
U.S. Department of Energy  
Solar Energy Technologies



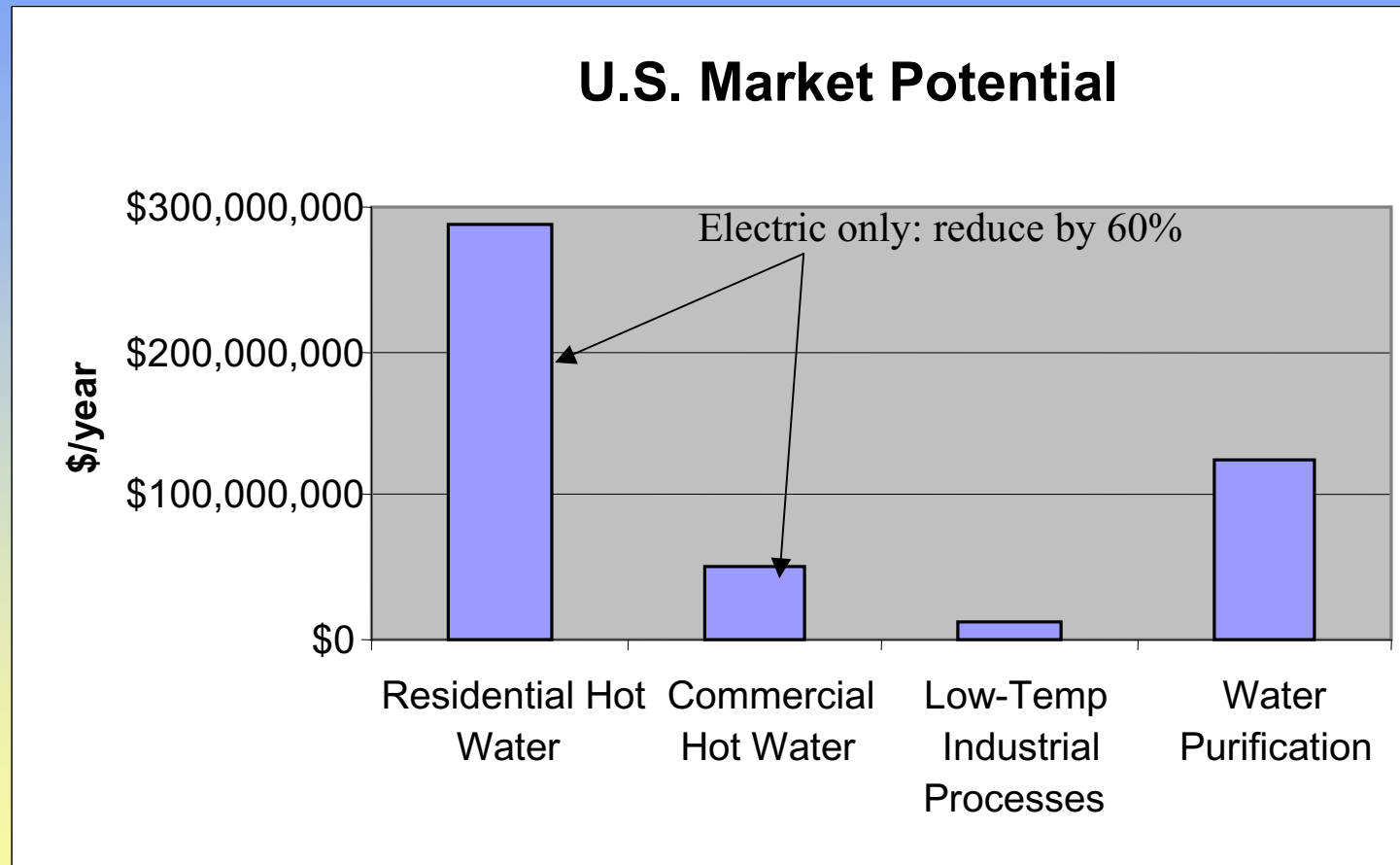
## *Potential Solar Thermal Collector Markets*

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- **Residential**
  - Domestic hot water; space heating and cooling; swimming pool heating
- **Commercial**
  - Service hot water in hotel/motels, hospitals, prisons; institutional swimming pool heating
- **Industrial**
  - Low temperature processes: food processing, chemicals,...
- **Water Purification**
  - Desalination; pasteurization



## *U.S. Solar Water Heating Market Size*

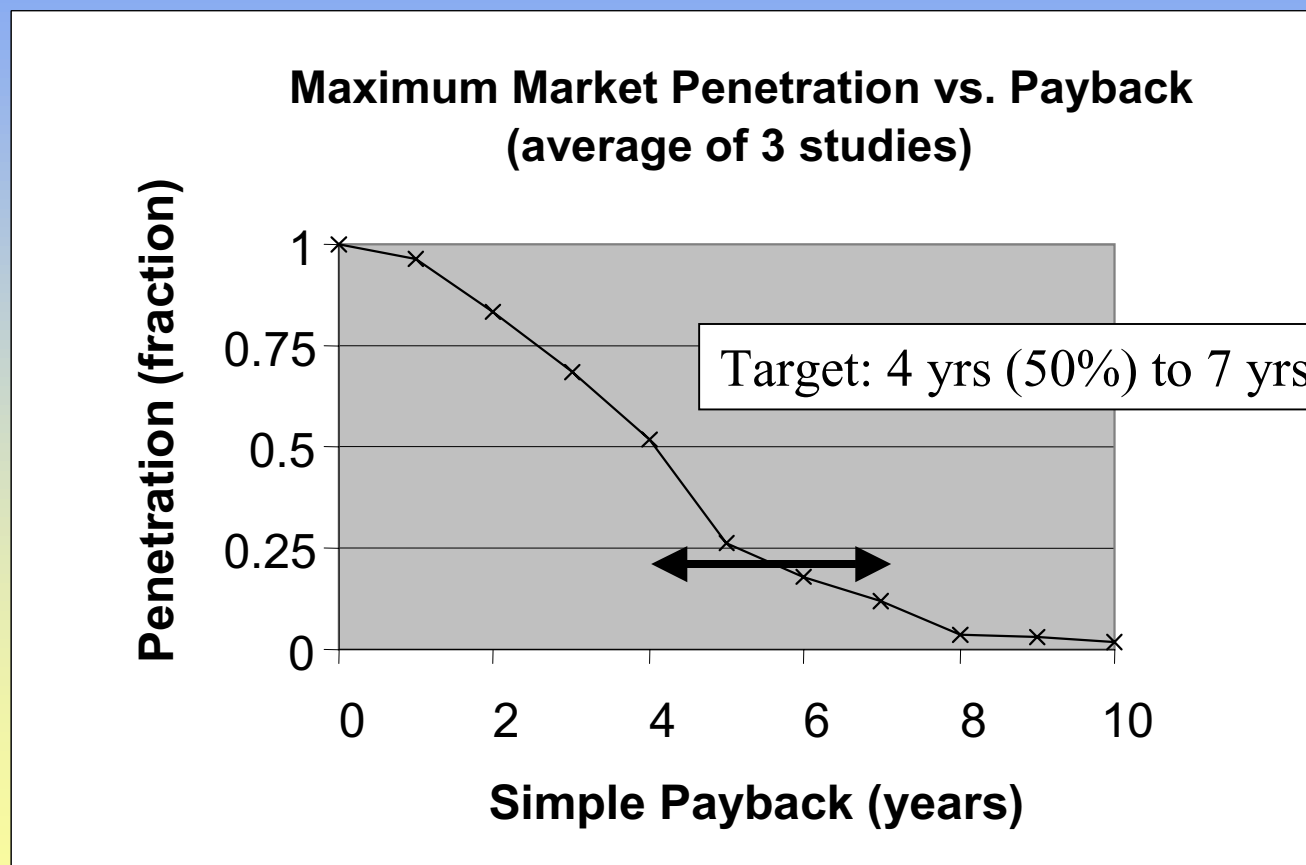


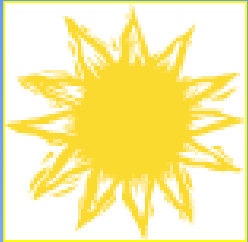
U.S. potential: >\$450 Million/year

World potential: ~ 10x U.S. potential

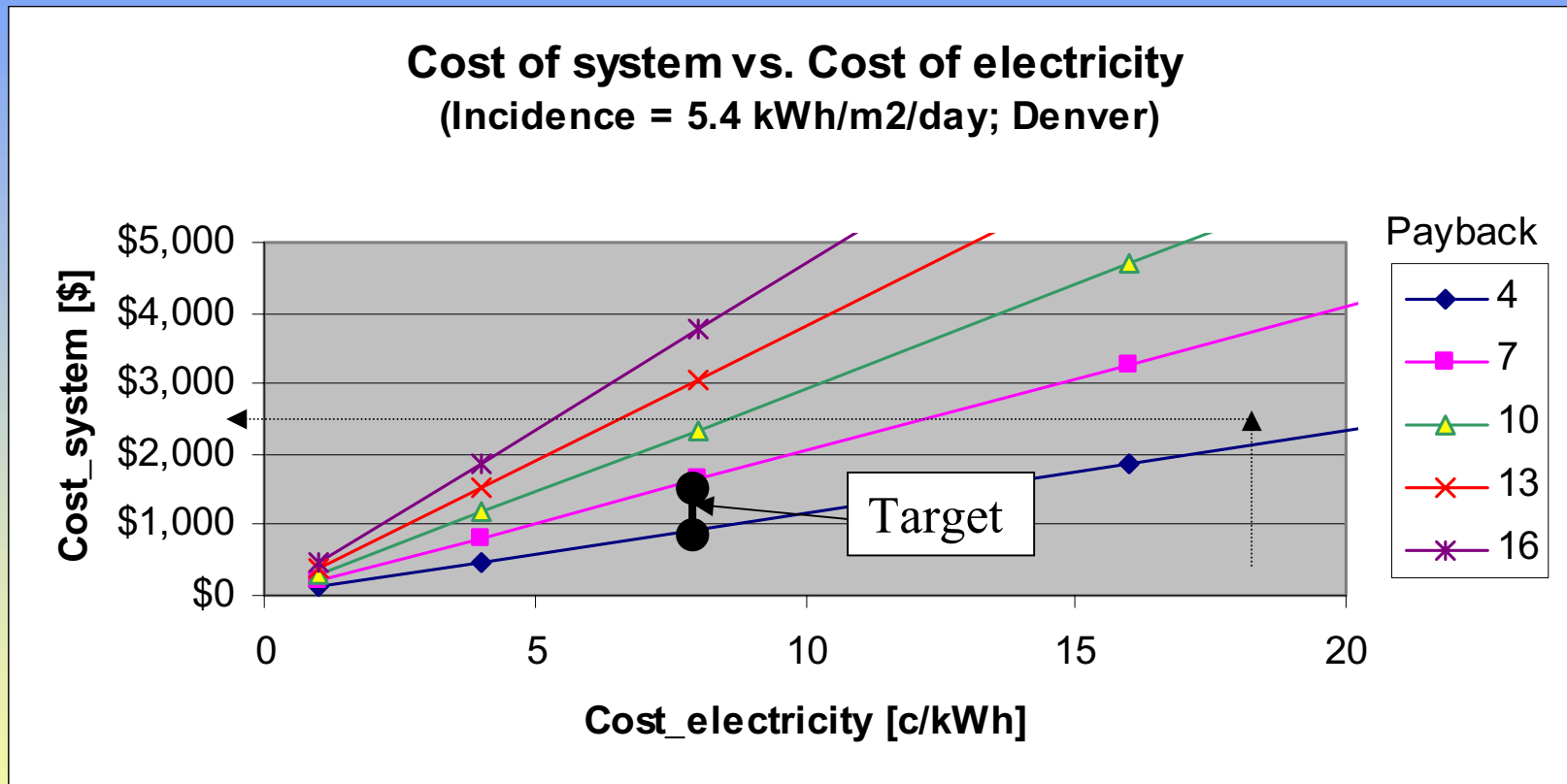


## *Market Penetration Curve*

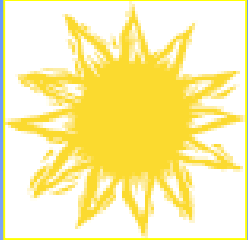




## *Example Solar Water Heating System Cost*

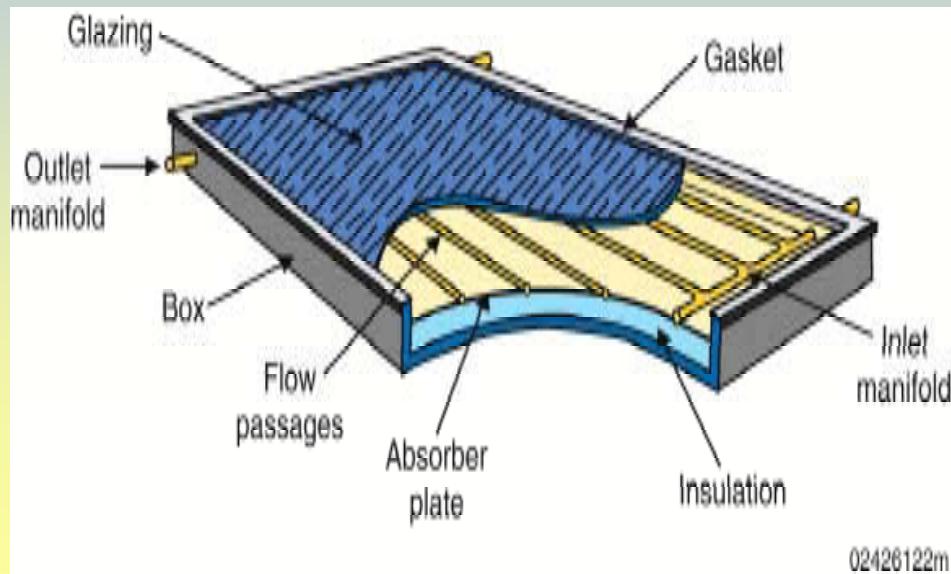


1. Choose market penetration % ==> payback (previous slide)
2. For site cost of fuel, find system cost @ payback line

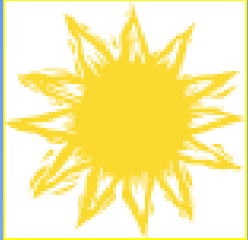


## *Active Solar Water Heating*

### Flat Plate Collector



### Indirect Circulation Solar System



## *Active Solar System Cost Reduction*

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For active systems, large cost reductions are needed:

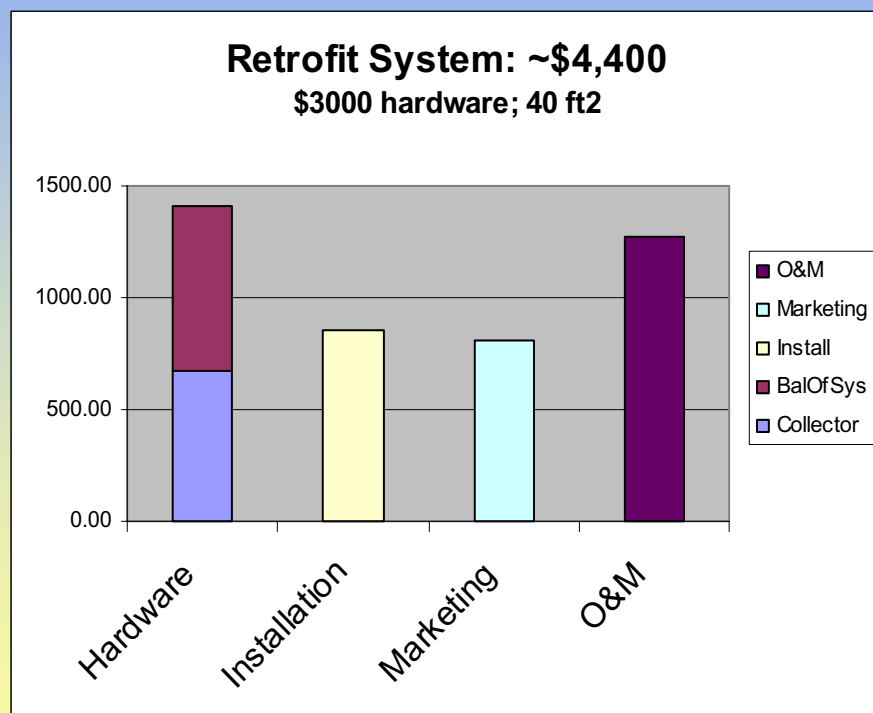
	<u>Today</u>	<u>Goal</u>
Hardware	\$1,400	\$600
Installation	\$900	\$200
Marketing	\$800	\$200
O&M	\$1,300	\$200
Total	\$4,400	\$1,200
<b>Hardware cost reduction</b>		<b>2.3</b>
<b>System cost reduction</b>		<b>3.7</b>



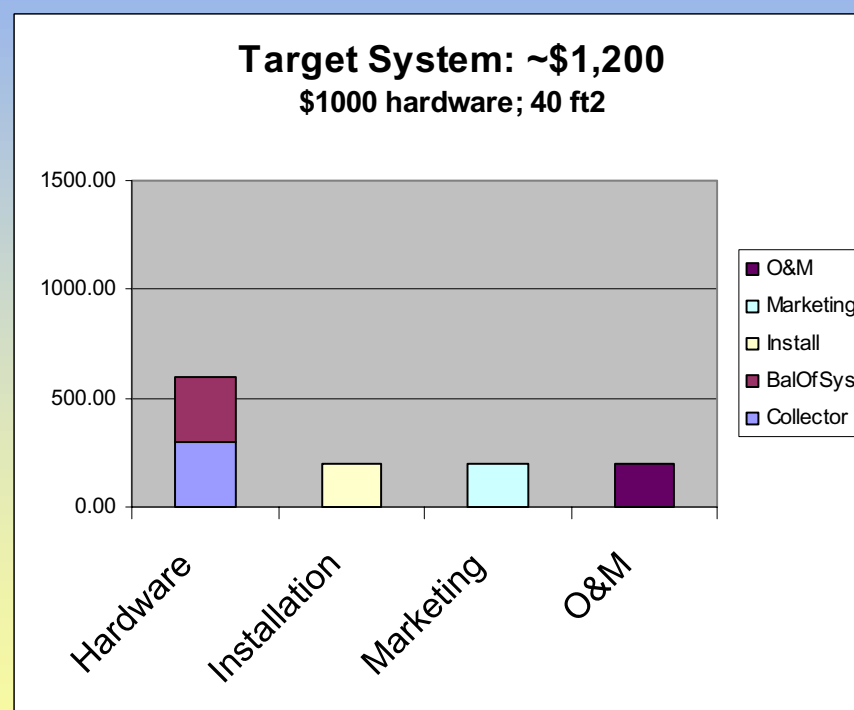


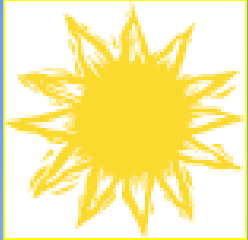
# Active Solar Water Heating System Costs

## Today



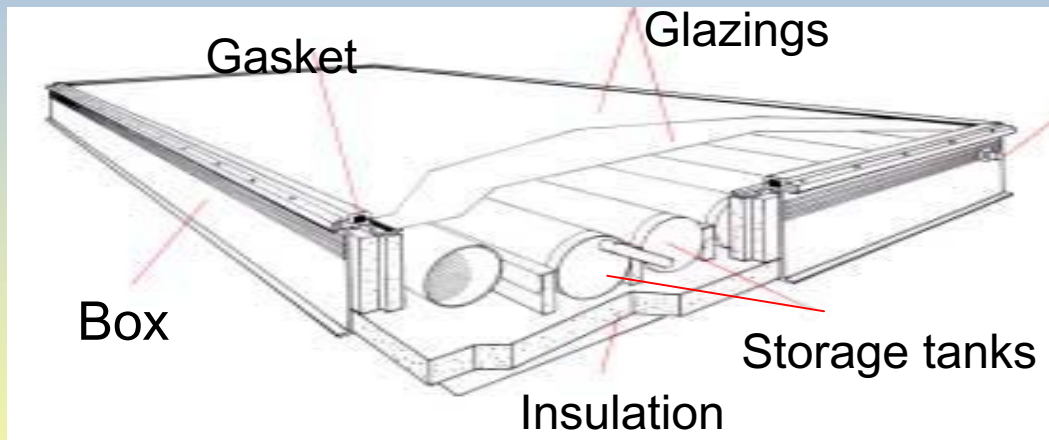
## Goal

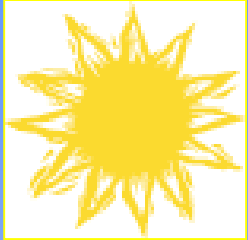




# *Passive Solar Water Heating*

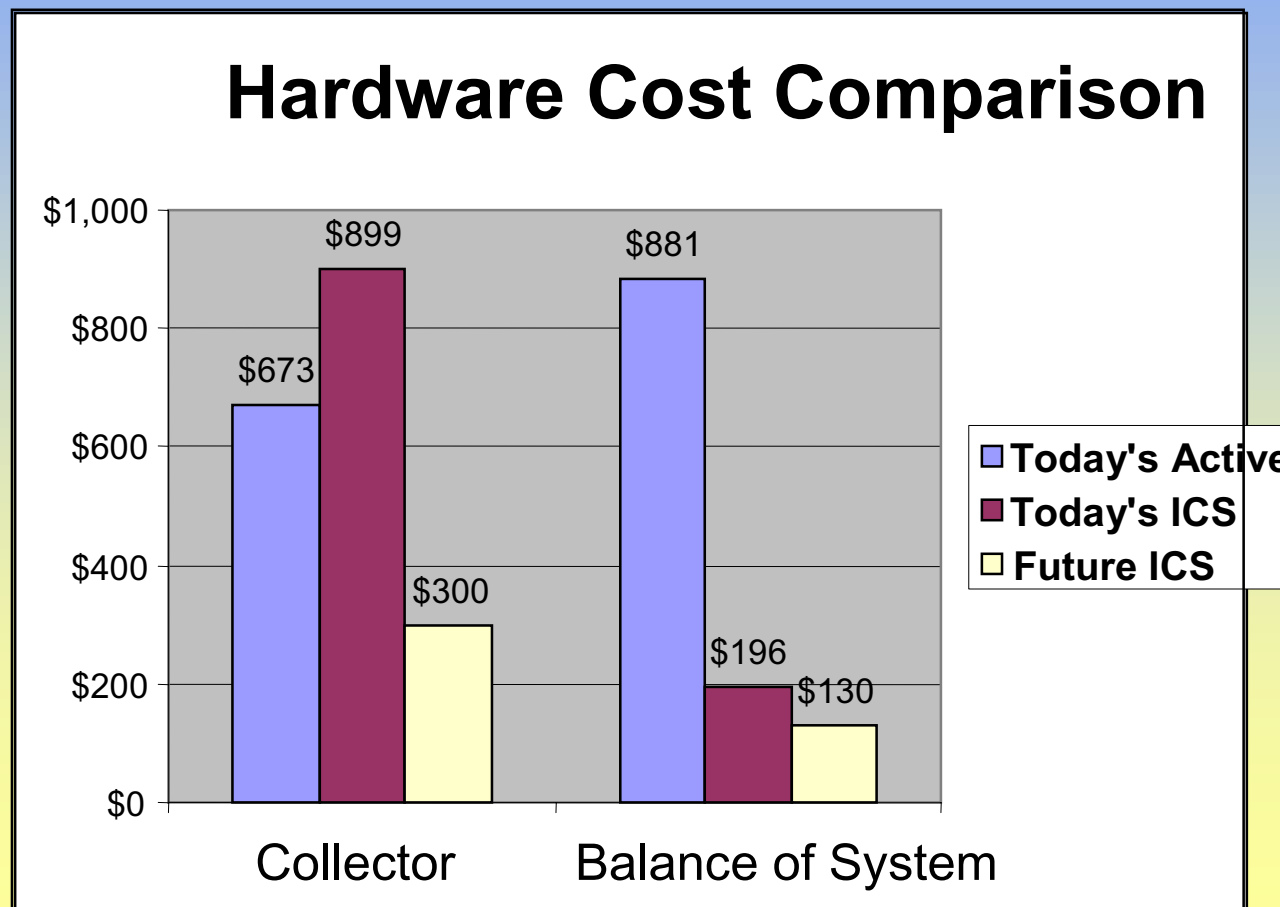
## Integral Collector-Storage (ICS) System

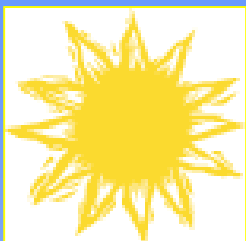




## *Solar Water Heating Hardware Costs*

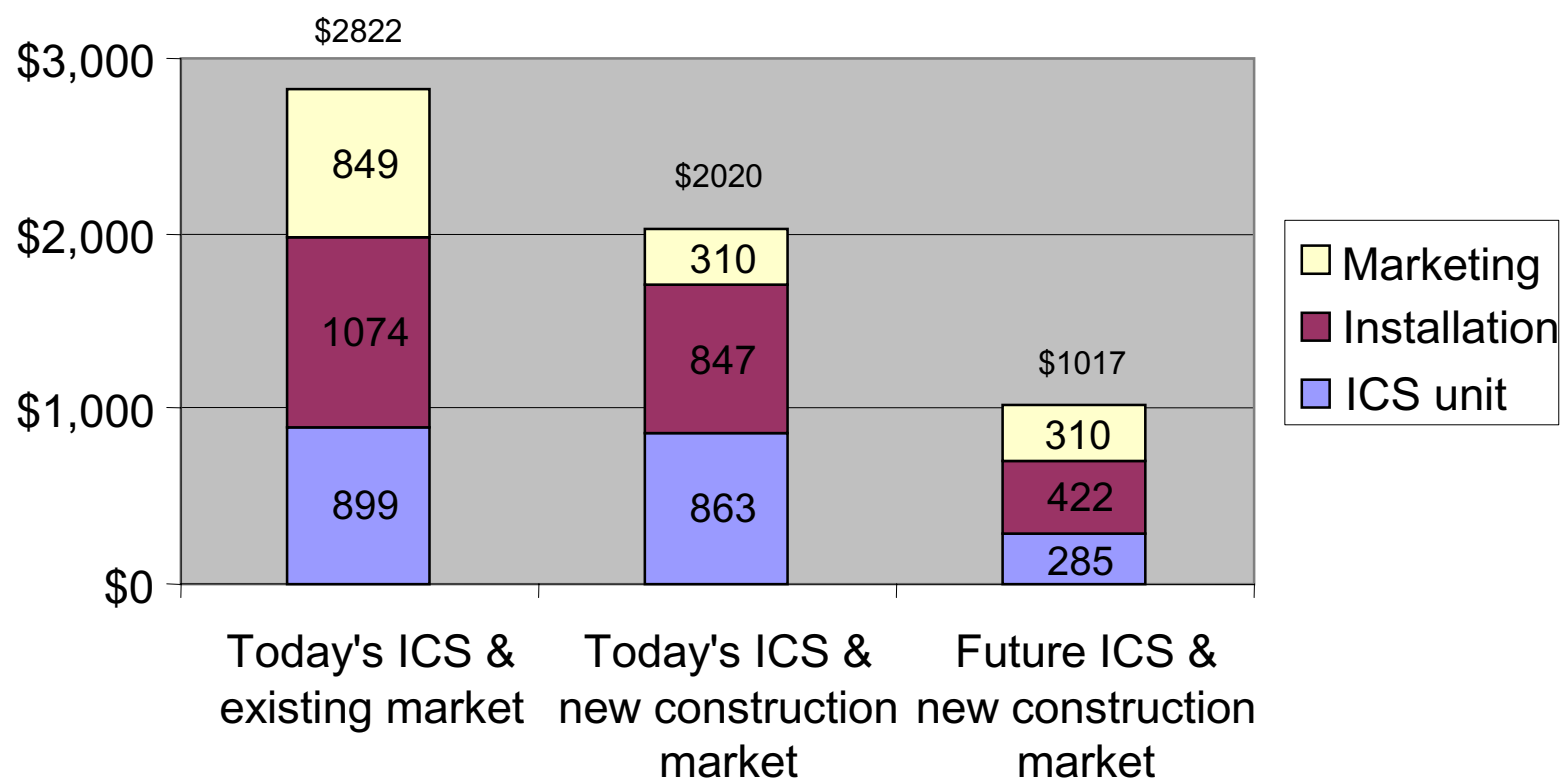
Balance of System costs makes ICS systems inherently less expensive than active



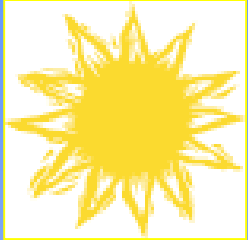


## *Passive Solar Water Heating System Costs*

### First Cost Comparison



Phoenix; Discount Rate = 3.8%; Cost of Electricity = 8 c/kWh



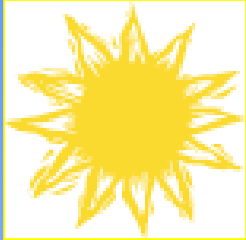
## *Innovative, Low-Cost Solar Water Heaters*

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### Project Goal:

Cut the delivered, life-cycle energy cost of solar water heating systems in half by the year 2005.

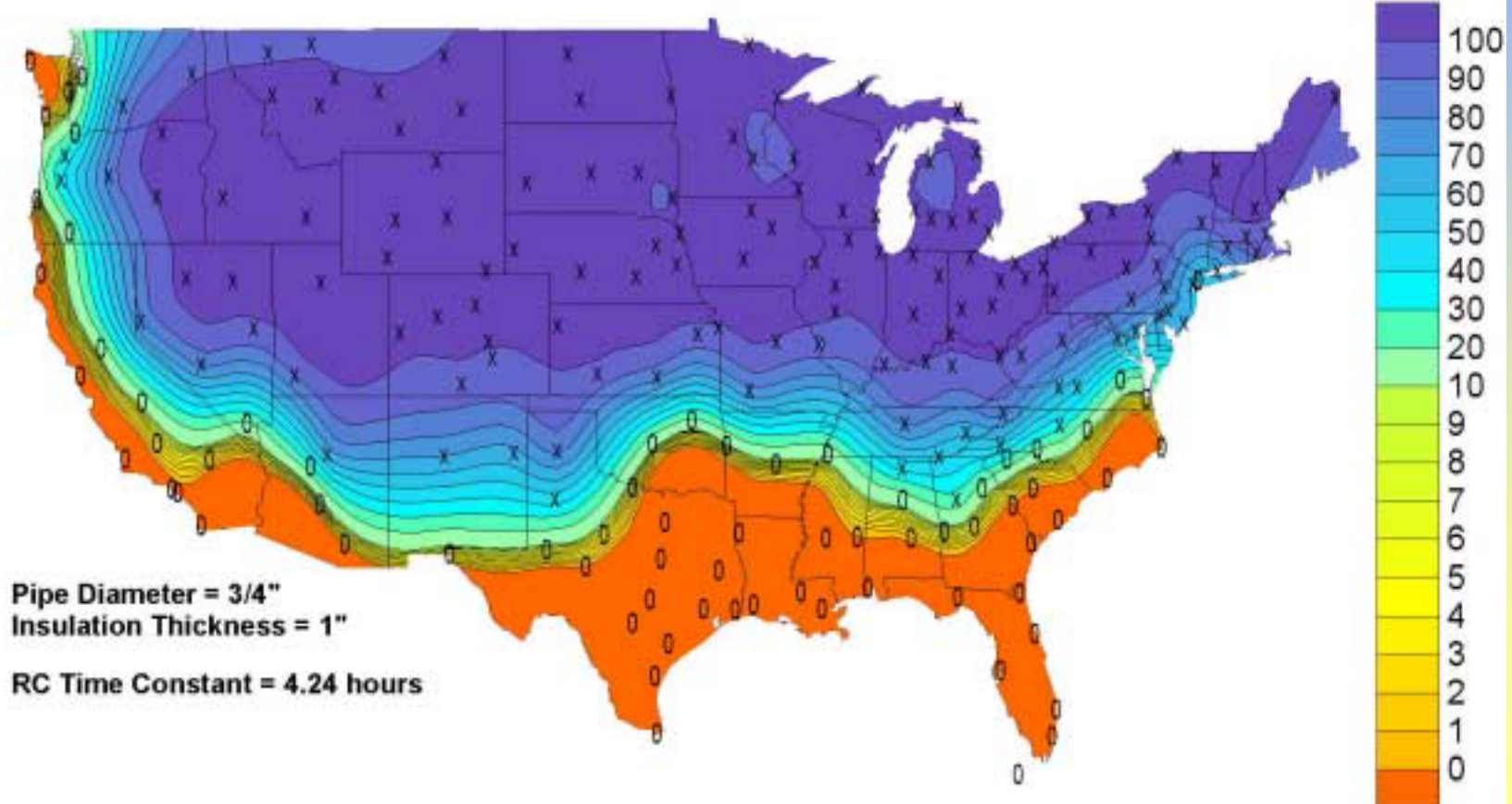
Source: Solar Buildings Strategic Plan - 1997

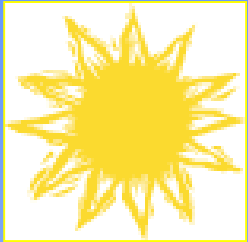


## *Geographical Limitations of ICS Systems*

### **Probability of at Least One Pipe Freeze in 20 Years**

**Always Occupied (No Vacations/Draws made every day)**





## *Solar Thermal Systems R&D Goals*

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### **Near-Term (2005):**

- Mild-climate solar water heating systems that deliver energy at \$.04/kWh

### **Mid-Term (2008-2010):**

- Cold-climate solar water heating systems that deliver energy at \$.04-\$.05/kWh

### **Long-Term (2015-2020):**

- Solar space heating and cooling systems that deliver energy at \$.04-\$.05/kWh