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**Energy Efficiency**  
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# Photovoltaic (PV) Inverter and BOS Development & Testing

for the **DOE Solar Energy Technologies Program Peer Review**

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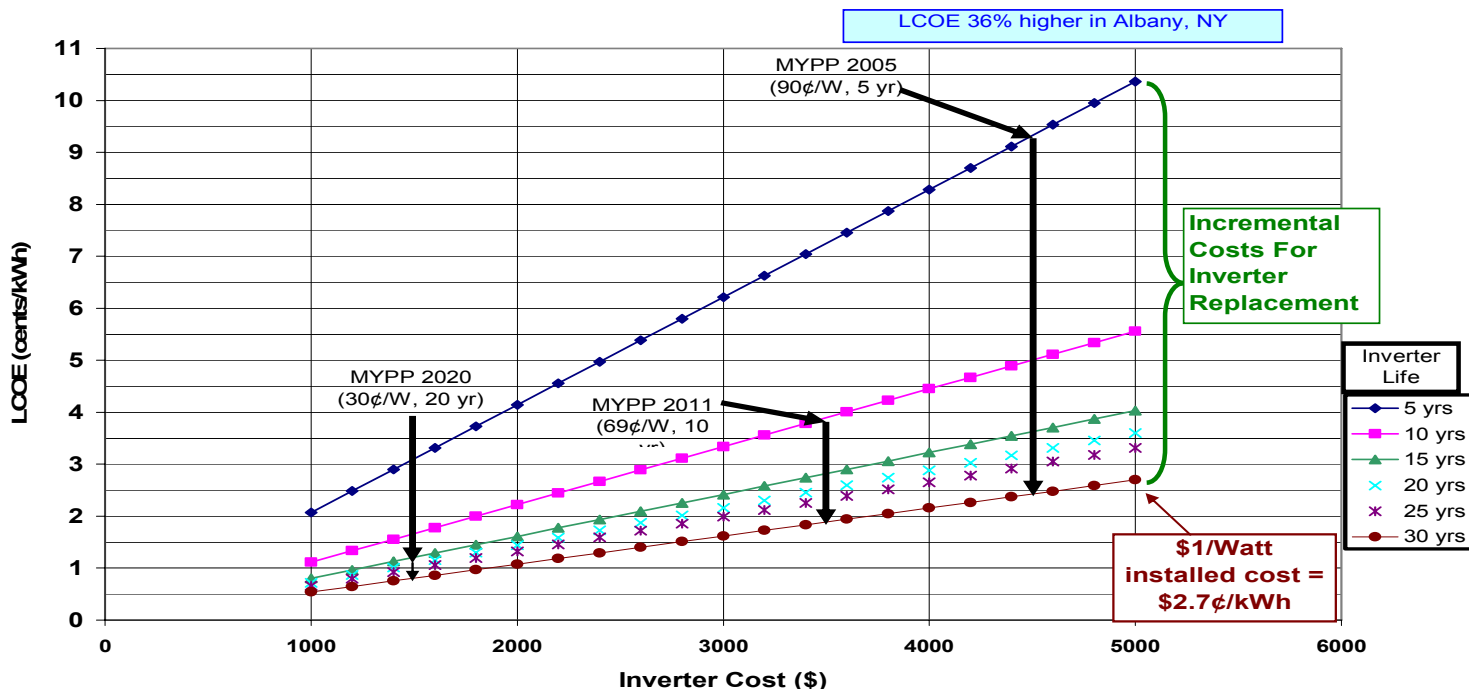
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### Advanced Inverter & BOS Designs & Performance Are Essential For A Successful USA PV Industry!

Contribution of 5 kW Inverter to LCOE - Phoenix

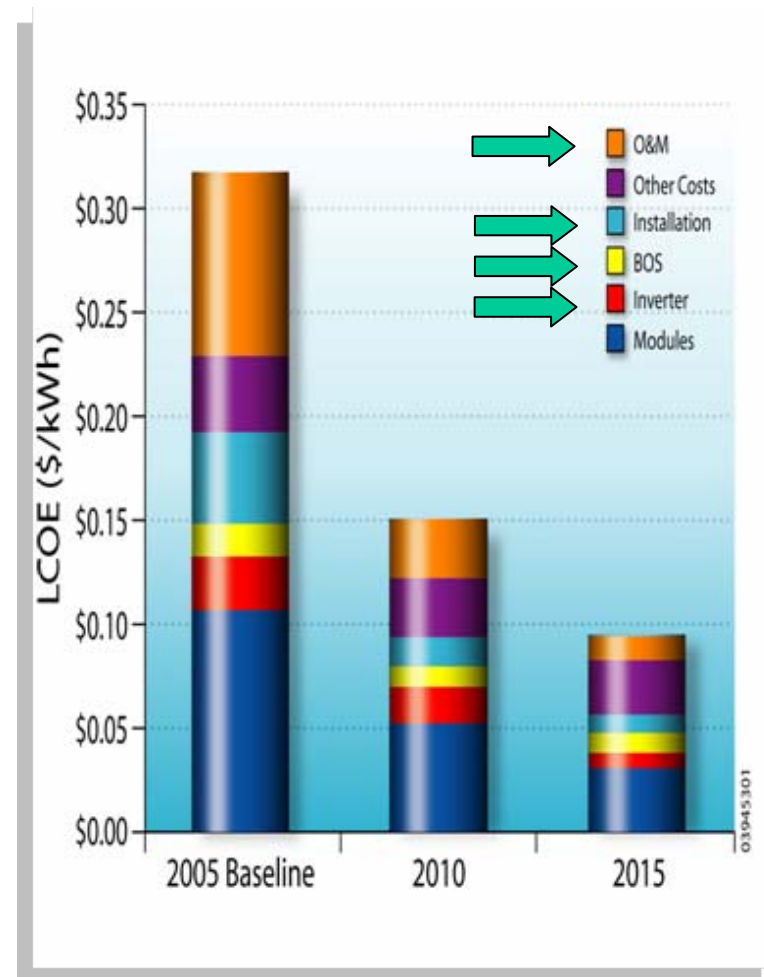


- Advanced inverters/BOS are will improve system reliability, efficiency, LCOE
- The lifetime of the inverter should match that of PV modules and other BOS
- The goals of development and testing are consistent with the “Solar America Initiative” goals for LCOE (\$.05 - \$.10/kWh)



## Improved Inverter & BOS Designs & Performance

- AOP tasks included:
  - 1) Inverter/BOS Performance Modeling and System Test Protocol Development,
  - 2) Applied R&D Industry Support
  - 3) Technology Acceptance
- Focus on power electronics/hardware advances through R&D, characterization and validations
- Feedback to industry
  - Encourages technology advances
  - Incorporation of new inverters/BOS into completely integrated systems
- Work tied to priorities derived from:
  - “DOE Workshop On a SDA to Inverter R&D”
  - “DOE High-tech Inverter” Workshop
  - SETP MYPP.





### SNL-directed Technology Development was Driven by Well-documented and Well-defined Requirements

- **Coordinated with the industry/users**
- **Requirements based on present/potential markets and consumer/utility values**
- **Technology/R&D trade-off considered/prioritized via workshops and industry input**
- **“Testing and Evaluation” is prioritized to meet the goals of the PV program AND the industry needs.**





1. Inverter/BOS Hardware Development [(HRII) (Micro-inverter)]
2. Inverter/BOS Performance, Validations and Analysis
3. Long-term Performance Test/Characterization of Inverters
4. Inverter/BOS Modeling and Protocol Development (PVSoL)
5. Applied Development with Support & Collaboration with Industry
6. Technology Acceptance Via Codes, Standards & Certification  
Development and Technical Validation
7. Solar America Initiative Application Evaluations



**PVSoL Inverters Under Long-term Tests**





## The High-reliability Inverter Initiative (HRII)

- General Electric and Xantrex Completed HRII Phase III
- SNL Provided Technical Leadership along with Complex Prototype Evaluations/Analysis To Inverter/BOS Industry
- Micro-inverter Topology Feasibility & Concept Validation Completed





## General Electric

- **General Electric delivered its Phase II prototype to SNL for validation.**
- **GE Performed Preliminary Evaluations on its Phase III Prototype**
- **SNL benchmark evaluations and validations resulted in**
  - **Design improvements**
  - **Changes for the upgraded prototypes that were re-evaluated before designs moved on to the Phase III**





# Inverters and BOS

## Results of GE Effort

Requirement		Result
MTBF >10 Years		Calculated better than 12 years
Efficiency > 94%		Peak efficiency near 94% (93.5% final design)
UL 1741 Certification		Preliminary design analysis only (UL delays)
Meet FCC Part 15, Class B		Exceeds requirements
DC/AC disconnects per NEC		Meets contractor's system requirements
Heavy duty power connections		Beta prototype showed improvements
DC and AC over current protection (150% for 30 seconds)		Innovative smart IGBT and DSP software solutions have been implemented
Non-volatile memory		Fully functional
Surge protected per IEEE C62.41		Conducted at manufacturer's facility only
Cost of < \$.90/watt (at quantities of 10,000/year)		Met and was better than "cost target" <i>Note: Cost was not an initiative priority</i>
TDD (total demand distortion) < 5%		Meets the IEEE519 requirements
Compliance w/ IEEE 929 (IEEE1547)		Meets the IEEE929 requirements (Now 1547)
Contract Status		Completed beta model & tested @ SNL. Completed gamma model and tested @ GE. Funding & redesign delays had negative impacts. GE is designing a more competitive inverter.





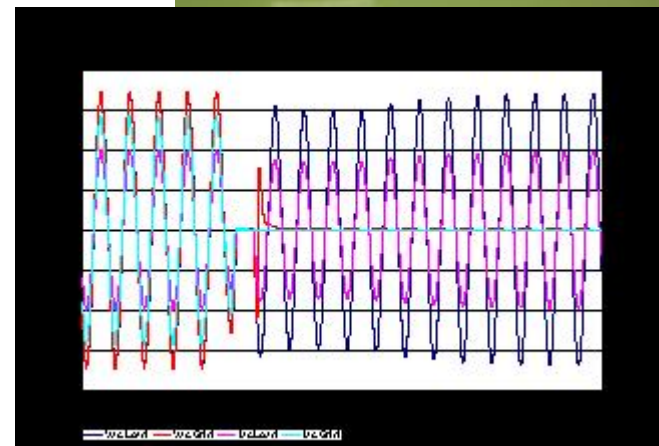
## GE HRII Advances Summary

- **GE initiated, developed and continues with its total system concept of vertically integrated PV systems for the new construction market**
- **The design integrated internal protection (IGBT), innovative DSP controls, wide (dual input) PV operating window and active communications**
- **The inverter development was a pioneering project for transformerless inverters in the U.S.**
- **GE continues improvements in cost and efficiency with a high reliability/lower cost focus**
- **Results of the work (12-year MTBF) is a major step toward the SAI (LCOE) goals**



## Xantrex

- **Xantrex delivered its Phase II and Phase III inverter and charger prototypes to SNL.**
- **SNL benchmark evaluations/validations resulted in**
  - **Improvements in MPPT, anti-islanding, thermal layout, hardware & control methodologies**
  - **Changes for upgraded prototypes were re-evaluated before design moved to Phase III**





# Inverters and BOS

## Results of Xantrex Effort

Requirement		Result
MTBF >10 Years		Calculated @ better than 10 Years
Efficiency > 94%		Peak efficiency is near 94%-(93.5 U-I) Exceeds all known S-A inverter efficiencies.
UL 1741 Certification		Listed through ETL and CSA 107.1
Meet FCC Part 15, Class B		Exceeds requirements
DC/AC disconnects per NEC		Meets contractor's system requirements
Heavy duty power connections		Innovative XW packaging; IMS Power Ckts.
DC and AC over current protection (150% for 30 seconds)		Surges to 200% with stand-alone MPPT for both S-A and U-I
Nonvolatile memory		Fully functional
Surge protected per IEEE C62.41		Conducted at manufacturer's facility only
Cost of < \$.90/watt (at quantities of 10,000/year)		Met and was better than "cost target" <i>Note: Cost was not an initiative priority</i>
TDD (total demand distortion) < 5%		Meets the IEEE519 requirements
Compliance w/ IEEE 929 (IEEE1547)		Meets the IEEE929 requirements (Now 1547)
Contract Status		Xantrex has completed commercial design. Final testing @ SNL in Nov 2006. Funding & redesign delays had negative impacts.



## Xantrex HRII Advances Summary

- **Initiated, developed and continues with system concept of vertically integrated PV systems for any combination of battery based SA+UI applications**
- **The inverter used insulated-metal substrate (IMS) for robust circuit boards, “Xanbus” communications for interface/controls and new, innovative DSP controls**
- **The Xantrex inverter development will be used as the product base for many new Xantrex products**
- **Commercialization of the XW series of products is a giant step toward fully-integrated systems**



## Resulting Xantrex Commercialized HRII System Product







## Industry Support (Tests, Evaluations, Product Development)

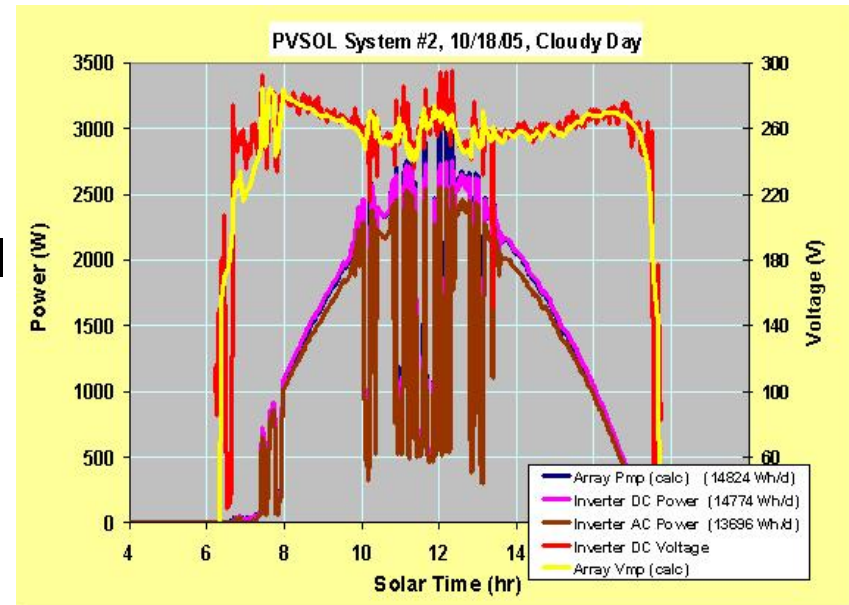
- **Key Alpha and Beta Inverter Tests and Evaluations for Industry Development**
  1. Beacon Power M5
  2. Xantrex GT
  3. Solectria (2500)
  4. PVPowered (2800XV)
  5. PVPowered (30kW)(3-phase)
- **Industry Received Support and Analysis of Inverter Operations and Performance**





## Modeling, Long-term Tests and Protocols

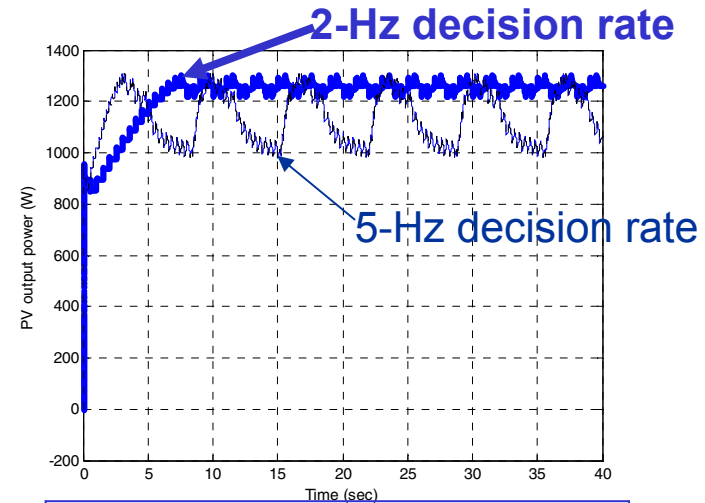
- Completed PVSOL Benchmark Characterizations (5 Inverters)
- Data Provided Input for Inverter “Performance” Model (PV SAM)
- Data Supported SNL (MATLAB/Simulink) Inverter “Development Model”



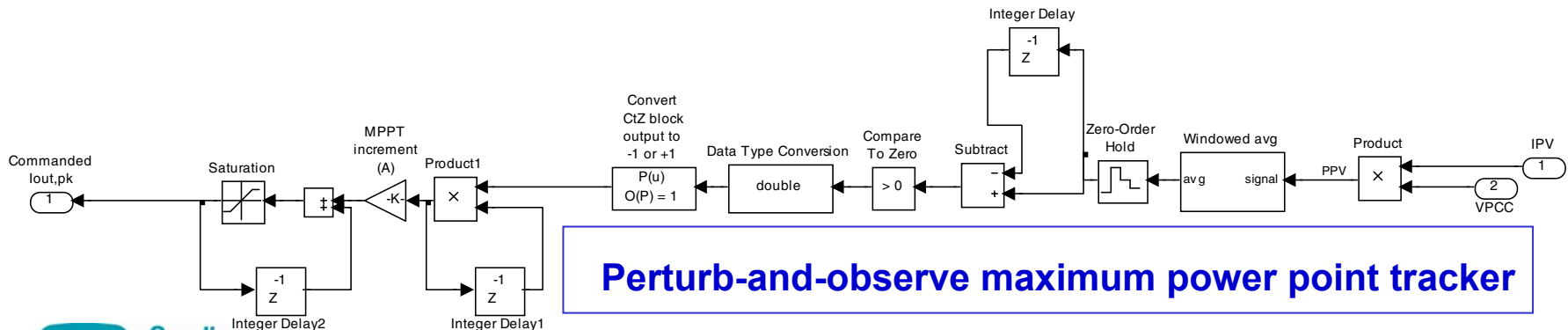


## SNL MATLAB/Simulink Inverter “Development” Model

- Models MPPT controls
- Simulates PV Array Responses
- Simulates Safety Responses
- Supports Standards Development



Model predicts oscillation as MPPT decision rate increases



Perturb-and-observe maximum power point tracker



### All CPS Milestones/Deliverables Were Met Or Significantly Advanced as They Were Folded Into The SAI

Agreement ID	Title	AFP Recipient	Prime Awardee		
9786	High Reliability Inverter Initiative-Base	Sandia National Laboratory (SNL)	Sandia National Laboratory		
9790	Inverter Development & Manufacturing R&D	Sandia National Laboratory (SNL)	Sandia National Laboratory		

Milestone ID	Title	Planned Complete	Actual Complete	Status
23481	Conduct High-tech Inverter, BOS and Systems Integration Project as the initial phase of the "High-tech 5-year Strategies"	9/30/2006	9/22/2006	Complete
25068	Complete prototype and component evaluations and verifications to establish and verify high-reliability inverter performance and characteristics.	2/1/2006	2/1/2006	Complete
25179	Complete High-reliability commercialized inverter designs and verifications of performance and design.	12/29/2006	3/15/2007	Complete
25180	Initiate contracts for "High-tech Inverter, BOS & Systems R&D Strategies" concepts that will seek to combine inverter R&D with new electronic technologies and integrate designs into complete systems.	9/30/2006		To SAI
25181	Report on High-tech Inverter to Systems Workshop	12/29/2006	12/29/2006	Complete
25249	Send Request for Proposals for High-tech R&D	9/30/2006		To SAI
25250	Review and Select winning proposals to initiate high-tech R&D strategies	9/30/2006		To SAI
25251	Conduct High-tech Inverter to Systems Link Workshop	9/28/2006		To SAI
25252	Complete Final Reports on High-reliability prototypes, evaluations and verifications with commercialization plans	9/30/2006	2/1/2007	On Track



## All CPS Milestones/Deliverables Were Met Or Significantly Advanced as They Were Folded Into The SAI

### Agreement: PV System Performance Testing, Modeling, and Aging (Agreement ID: 13574)

Milestone ID	Title	Plan Complete	Actual Complete	Status
25413	Complete and document baseline performance of five commercial inverter types used in initial phase of long-term inverter performance evaluation at SNL, FSEC, and SWTDI.	1/1/2006	11/1/2006	Complete
25414	Joint report with FSEC and SWTDI describing new inverter aging and performance characterization capabilities and procedures.	6/1/2006		Individual Reports
25415	Continued effort to develop and improve a general performance model for inverters including characteristics associated with dc/ac efficiency, MPPT effectiveness, start up, shut down, power limiting, thermal derate, and power factor.	9/30/2006		On Track & to SAI

### Agreement: Codes, Standards, and Certification (Agreement ID: 13493)

Milestone ID	Title	Plan Complete	Actual Complete	Status
25041	Support Practitioner Certification through incremental funding for NABCEP.	8/1/2006	Ongoing	Complete
25042	Provide Proposed National Electrical Code Changes per the SNL Industry Forum to the National Fire Protection Association	11/4/2005	12/6/06	Complete
25253	Submit Revised Inverter Performance Certification Protocol for IEEE or IEC Standard Consideration	9/30/2006		On Track & to SAI
25254	Initiate PV Module Performance Certification Forum	12/1/2005	On Going	To SAI

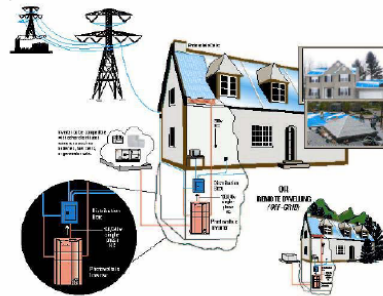




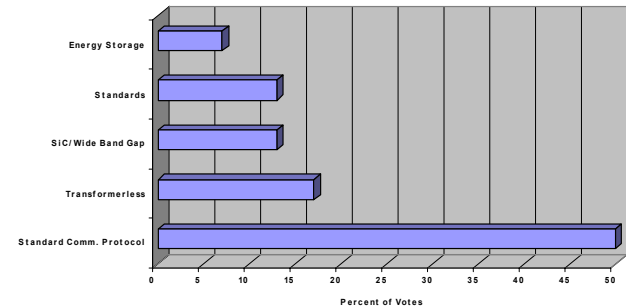
# Inverters and BOS Budget History

<b>SNL Project Areas</b>	<b>FY06 \$K</b>	<b>FY07 \$K</b>
<b>Inverter/BOS Development, Manufacturing R&amp;D, &amp; Testing</b>	<b>380*</b>	<b>1000*</b>
<b>System Performance Optimization, Modeling, and Benchmarking</b>	<b>835</b>	<b>850</b>
<b>Module, Array, and System Manufacturing, Reliability, Test and Evaluation</b>	<b>300</b>	<b>1500</b>

*\*Relates to information provided in this presentation*



Priorities for Technical Recommendations Breakout Group C, Day 1  
 (POWER ELECTRONICS, COMMUNICATIONS, CONTROLS)



- **TPPs will likely produce EVOLUTIONARY and short-term inverter advances.**
- **However “REVOLUTIONARY (leap-frog) Changes” are needed.**
- **“Advanced Integrated Inverter & Energy Management Technology Initiative” will:**
  - **Fill critical inverter/integration gaps**
  - **Provide complementary, long-term inverter/system development utilizing new (high-tech) technology advances and like-technology synergisms**
  - **Complement critical technical issues (TIOs) such as building integration, surge protection, thermal management, communications, magnetics, advanced semiconductors, packaging, materials compatibility, and safety.**
- **DOE Headquarters and SNL developed a 5-year initiative (1<sup>st</sup> Workshop at SETP Program Review 4/17-19/07)**
- **The 5-year plan will include workshops to solicit inputs. Technical workshop (May 10-11, 2007, Albuquerque, NM) will contribute to needs/priorities.**





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# THANK YOU!