



# **X-Ray Photoelectron Spectroscopy (XPS)**

## **Applied to Soot &**

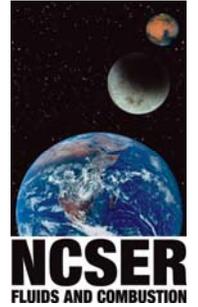
## **What It Can Do for You**

**Randy L. Vander Wal,  
Vicki Bryg  
USRA**

**& Michael D. Hays  
The U.S. EPA**

### **Acknowledgements:**

- 1. Former funding through NASA NRA 99-HEDs-01 (RVW) and the U.S. EPA**
- 2. Soot samples supplied by the U.S. EPA, Sandia National Labs**
- 3. Vicki Bryg, Patrick Rodgers, Y.L.Chen, David R. Hull and Dr. Chuck Mueller, Sandia National Labs**



# Results Regarding Soot Nanostructure

## Soot Nanostructure: (Definition)

- \* Soot Nanostructure refers to carbon lamella (layer plane) length, orientation, separation and tortuosity.
- \* Nanostructure is variable, dependent upon temperature, residence time and fuel identity.

## Fringe Analysis Algorithm: (Quantification)

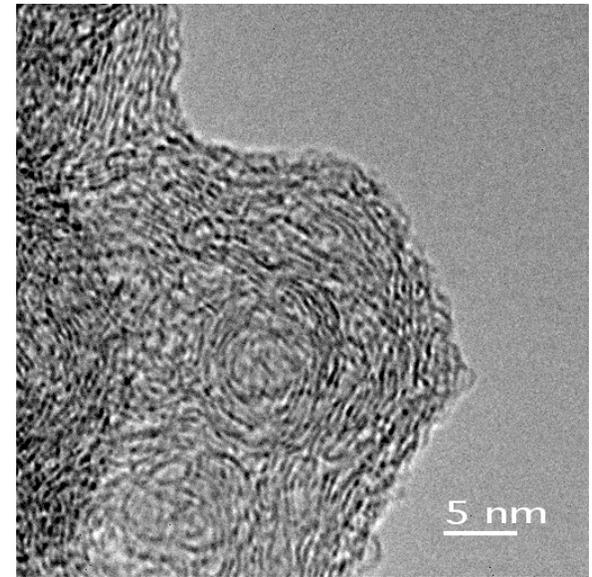
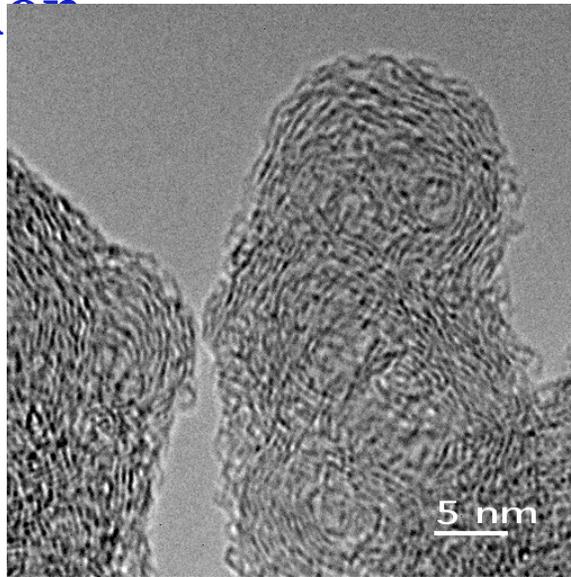
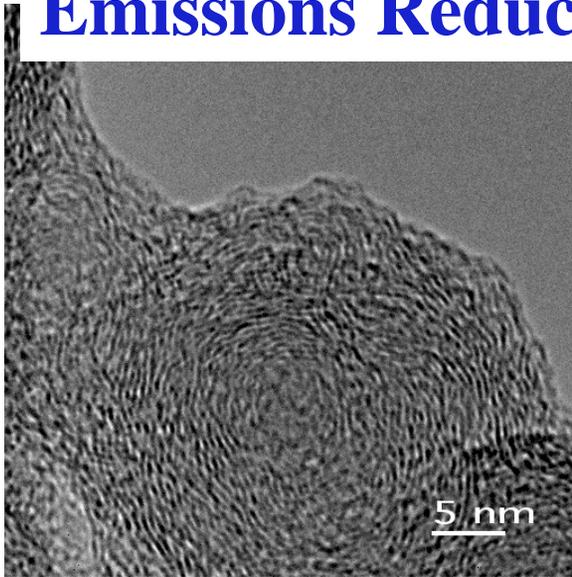
- \* Lattice fringe analysis can be used to analyze HRTEM image data and quantify carbon nanostructure through statistical analysis.

## Oxidation Rates: (Implications)

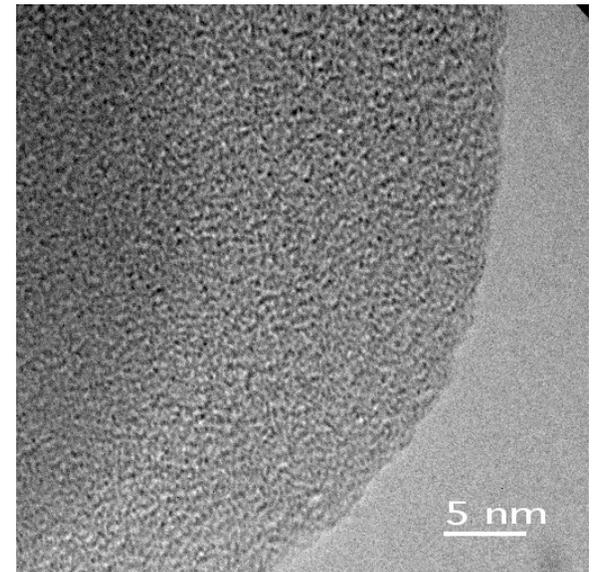
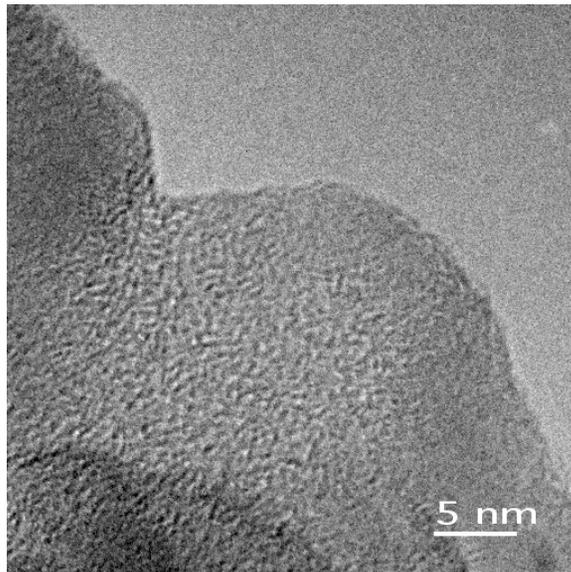
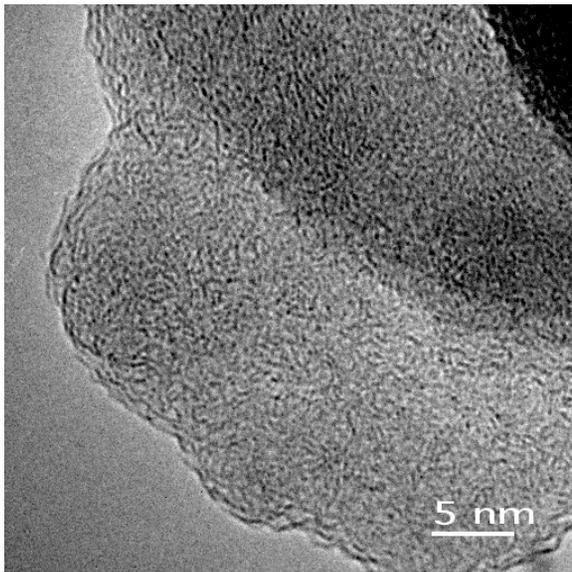
- \* Oxidation rates are dependent upon nanostructure - suggests using nanostructure to control (accelerate) oxidation.
- \* Source apportionment via analysis of nanostructure?
- \* Health consequences related to nanostructure?
- \* Environmental impact dependent upon nanostructure?

# Application I: Emissions Reduction

## Pure Hydrocarbon

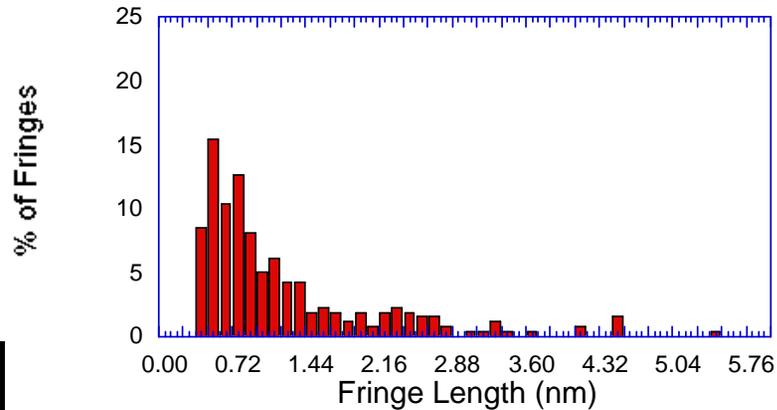


## Oxygenated Additive

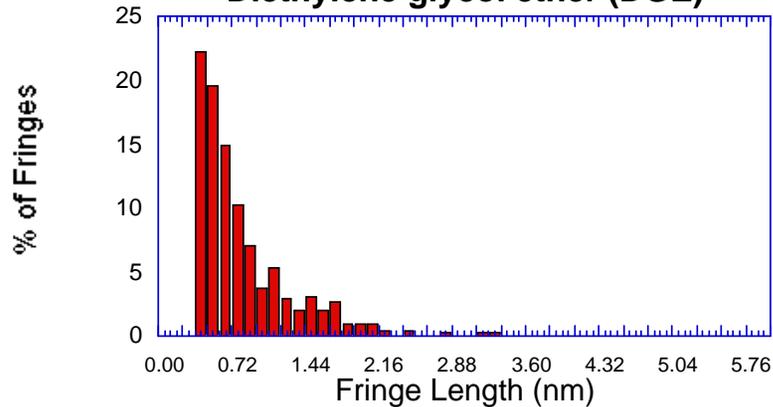


# Fringe Length Analysis

Reference Fuel-n-hexadecane + heptamethylnonane

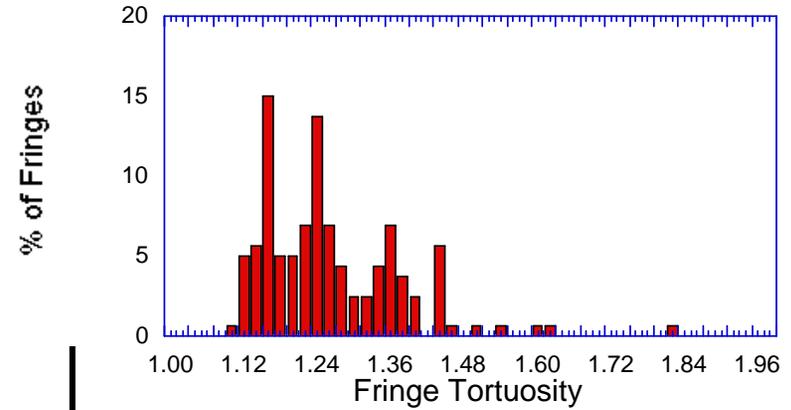


Diethylene glycol ether (DGE)

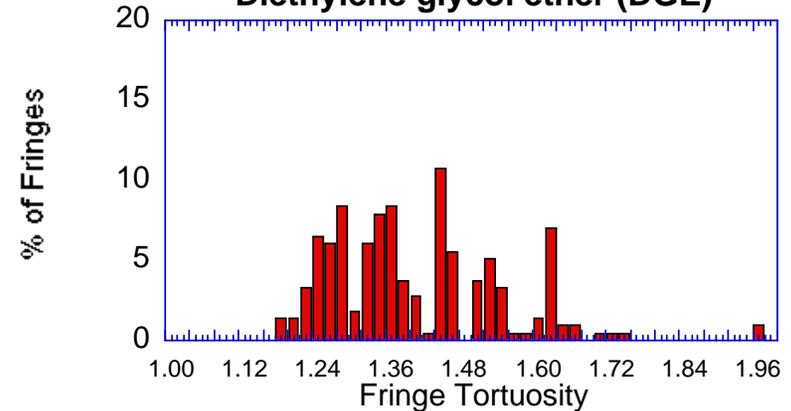


# Fringe Tortuosity Analysis

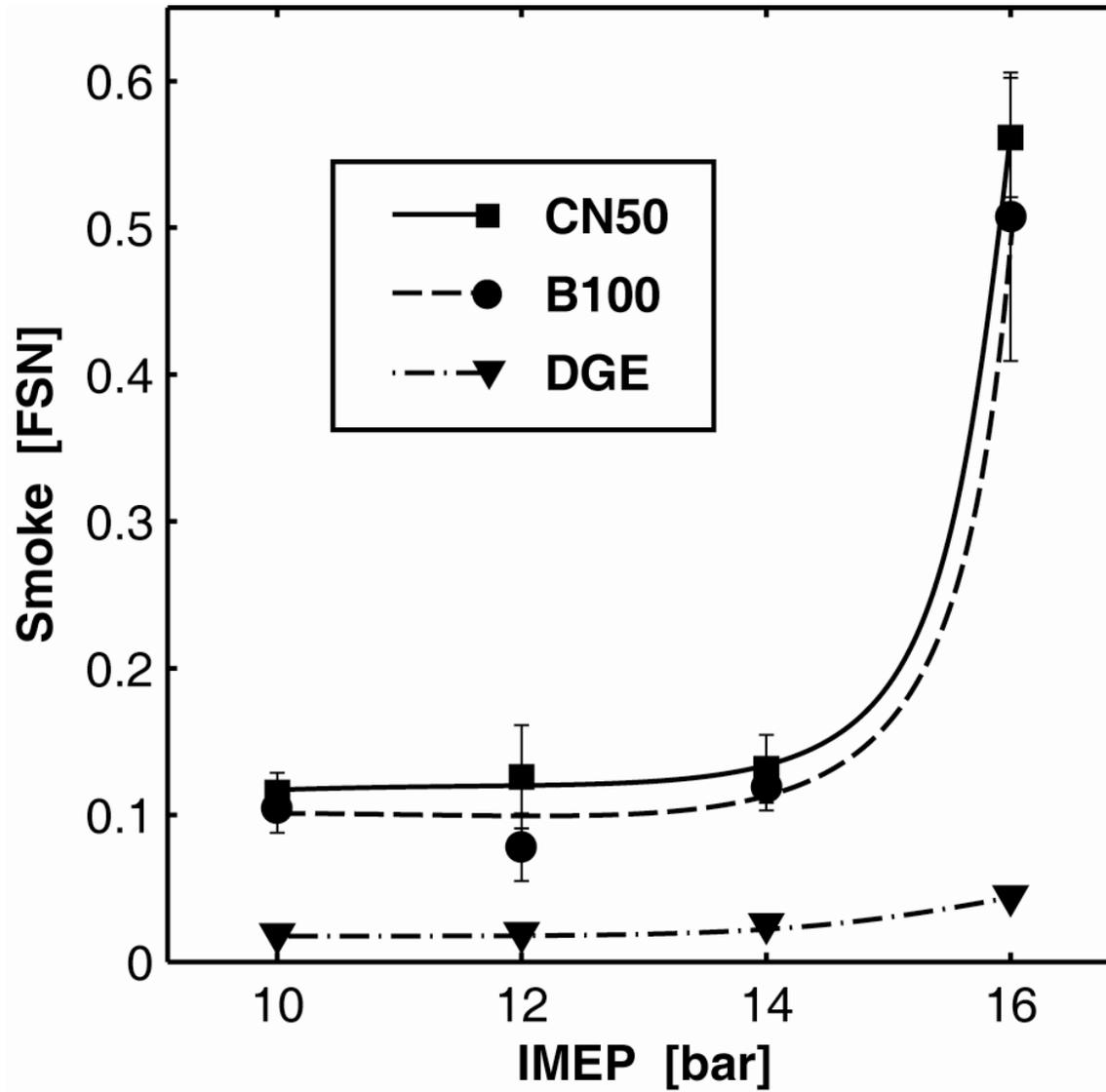
Reference Fuel- n-hexadecane + heptamethylnonane



Diethylene glycol ether (DGE)



# Smoke Meter (Engine Out)



# Microscopic and Spectroscopic Analysis Techniques for Soot Characterization

## **HRTEM**

(high resolution transmission  
electron microscopy)

**Microscopy Technique**

**Physical Structure  
(nanostructure)**

## **XPS**

(X-ray photoelectron  
spectroscopy)

**Spectroscopy Technique**

**Chemical Composition  
(& bonding states)**

# Outline - XPS

1. Motivation & Background

2. Introduction to XPS

3. Analytical capabilities:

A. Elemental Composition (Identification of source; wear, etc.)

B. Carbon Oxidation State - Oxygen Functional Groups  
(Oxidation conditions)

\* Consistency of samples within the same class

\* Distinctness between different classes of samples

C. Carbon (nano)structure

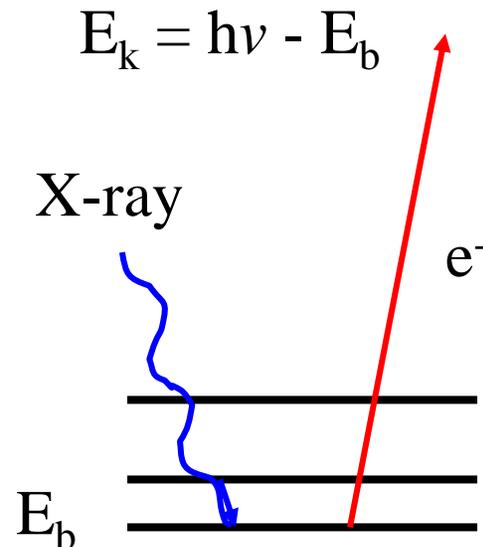
4. Conclusions

# Introduction to X-Ray Photoelectron Spectroscopy (XPS)

- \* XPS provides information about elemental composition and oxidation state of the surface.
- \* A monochromatic X-ray beam of known energy displaces an electron from a K-shell orbital.
- \* The kinetic energy of the emitted electron is measured in an electron spectrometer.
- \* The binding energy  $E_b = h\nu - E_k$  is characteristic of the atom and orbital from which the electron is emitted.

## Introduction to XPS (continued)

- \* A low-resolution wide-scan (survey) spectrum serves as the basis for the determination of the elemental composition of samples.
- \* At higher resolution, chemical shifts are observed depending upon oxidation state.



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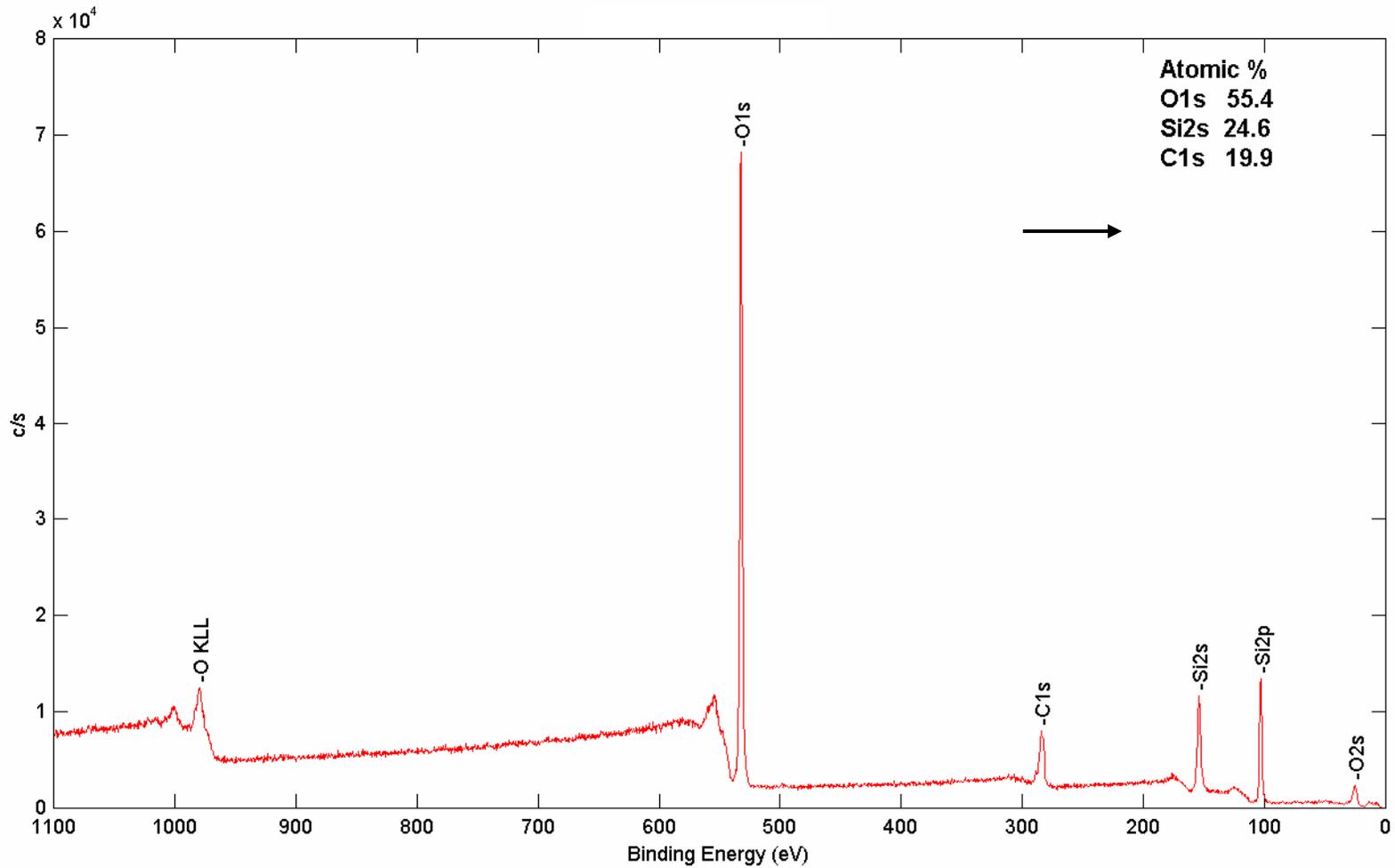
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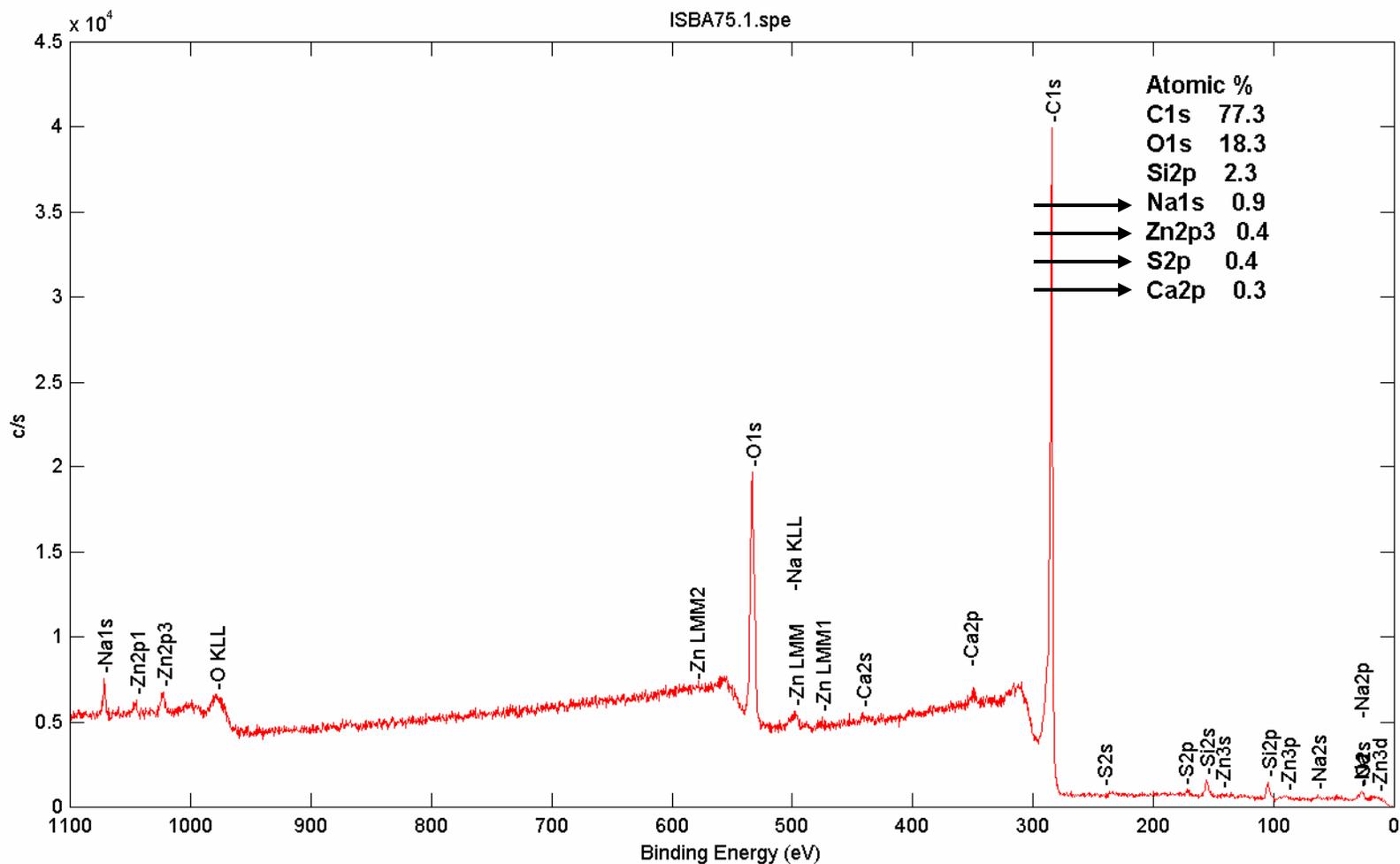
C. Carbon (nano)structure

4. Conclusions

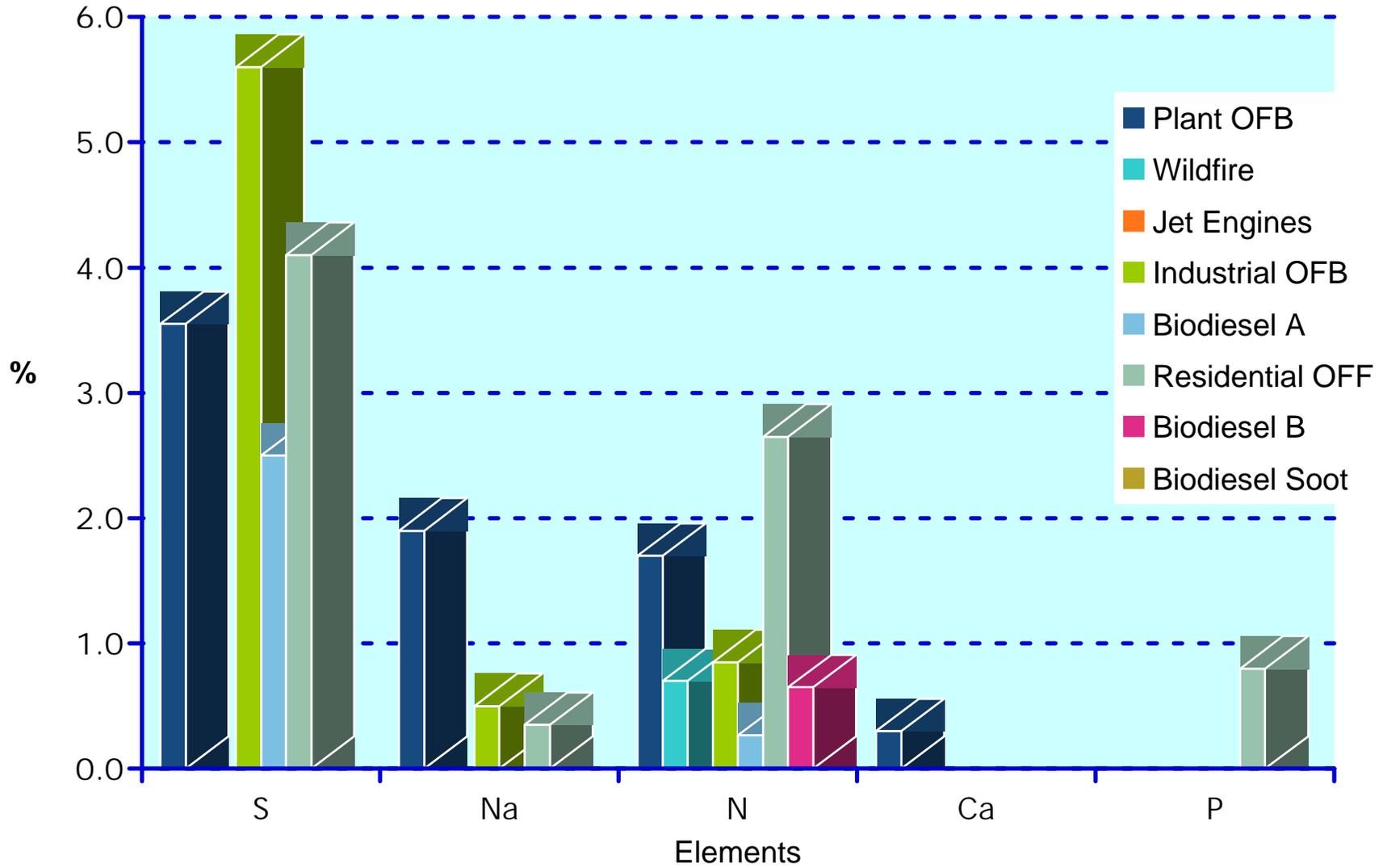
# Low-Resolution Survey Scan - Jet Aircraft Emissions



# Low-Resolution Survey Scan - Diesel Engine Soot



# XPS - Survey Elemental Analysis



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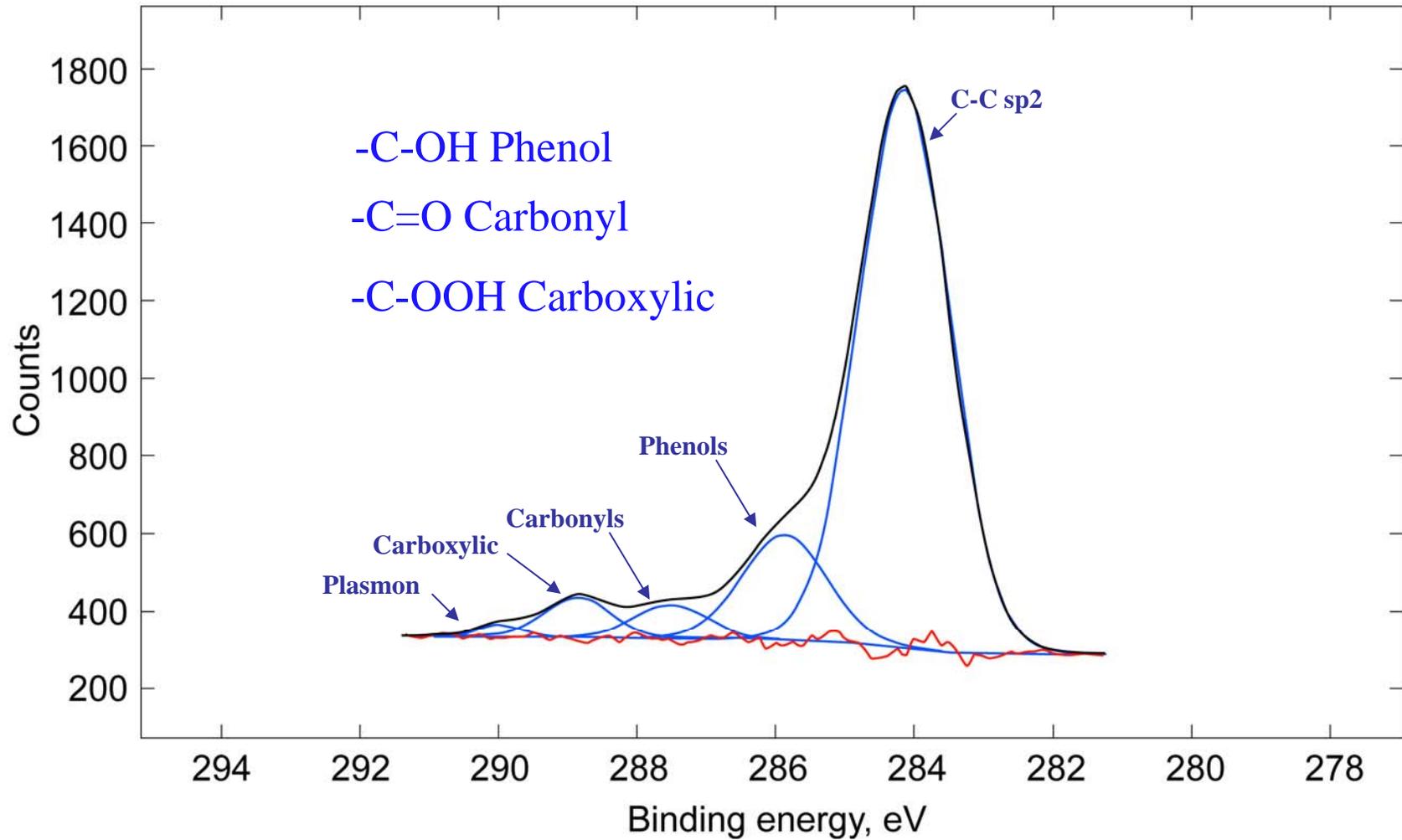
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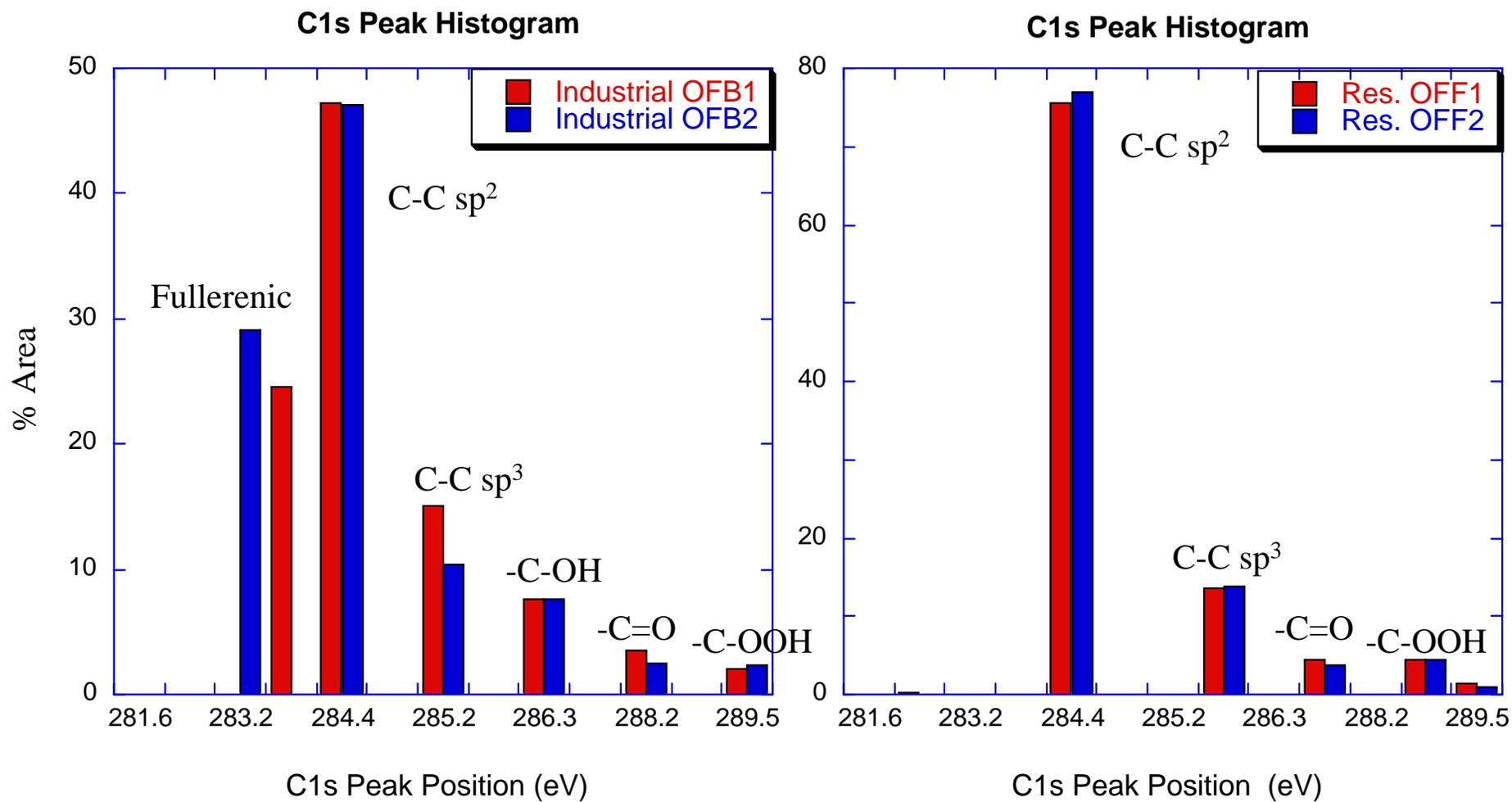
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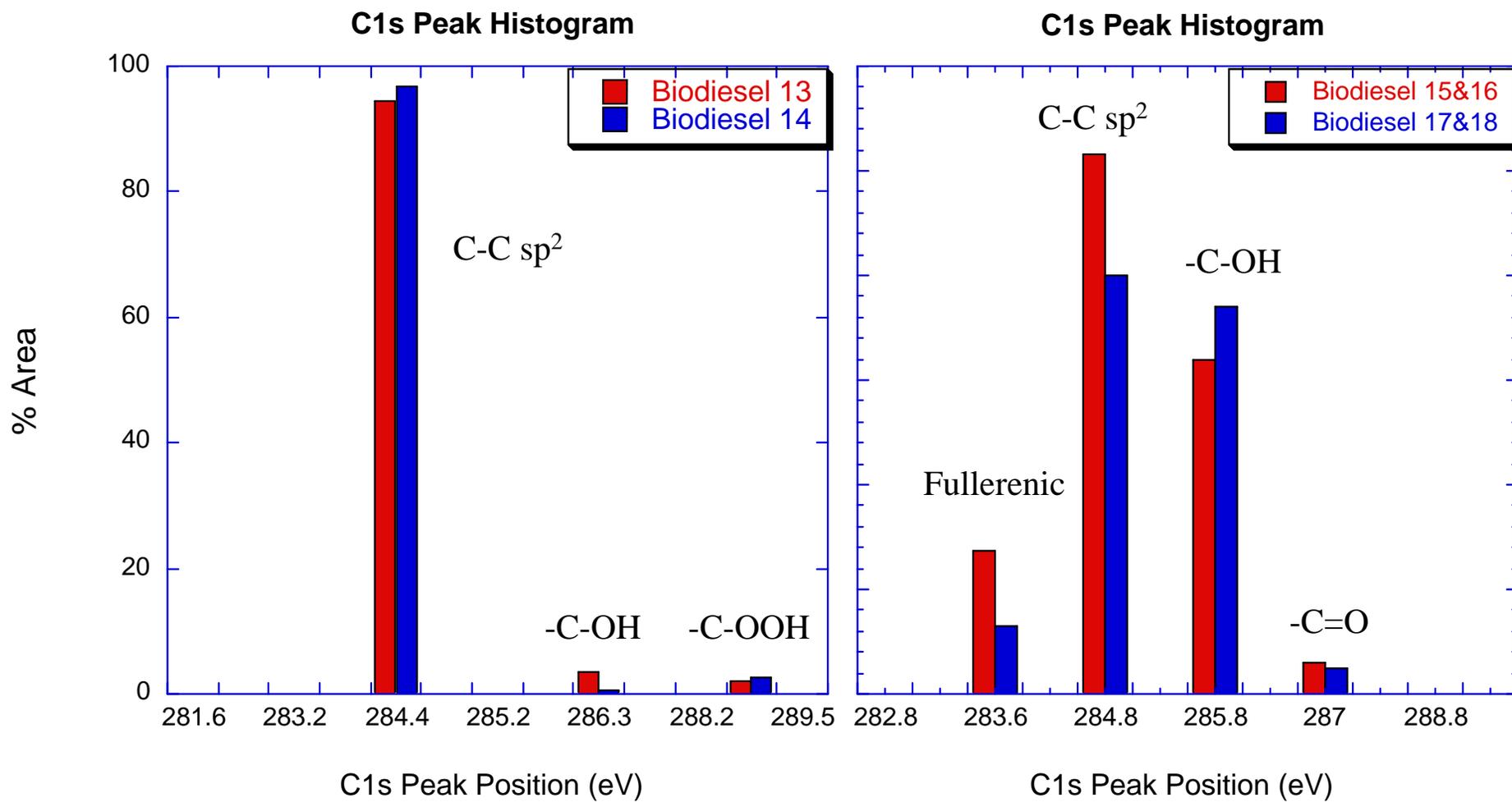
# Identify Oxygen Functional Groups



# Oil Fired Boiler versus Residential Oil Fired Furnace

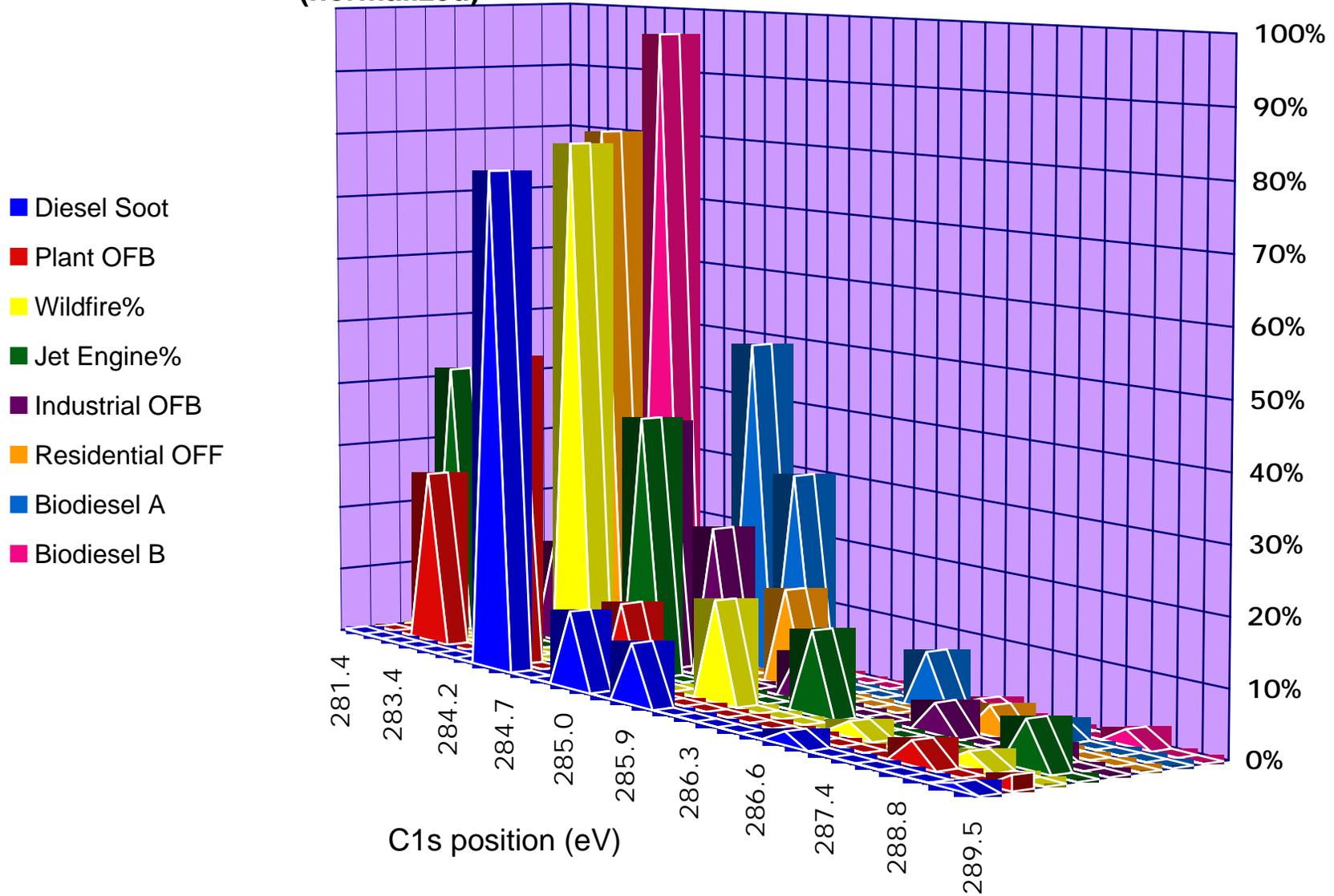


# Comparison Between Biodiesel Soots



# Comparative Peak Intensities - Oxygen Functional Groups

Average C1s Peaks  
(normalized)



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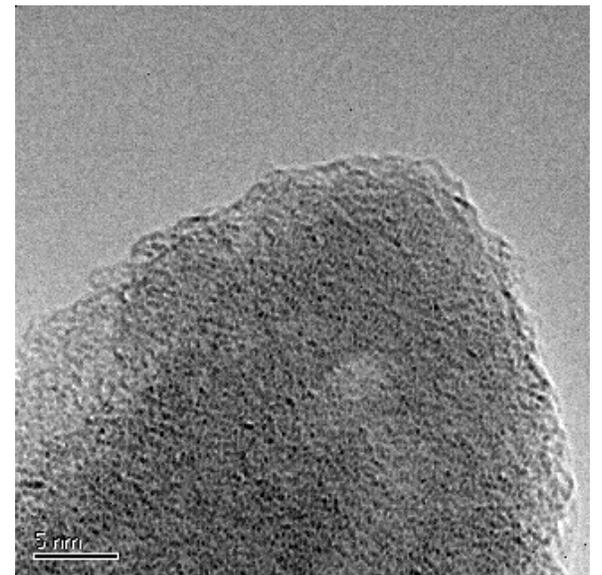
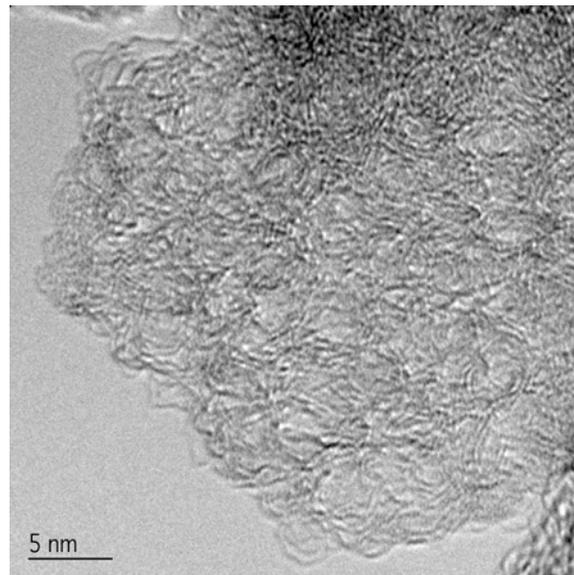
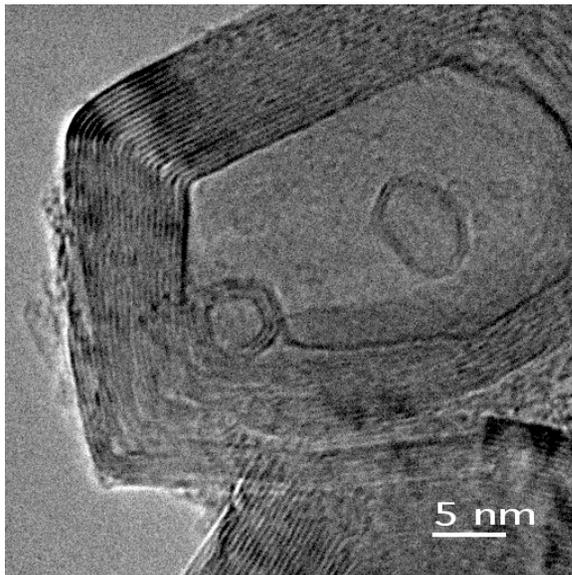
\* Distinctness between different classes of samples

→ C. Carbon (nano)structure

4. Conclusions

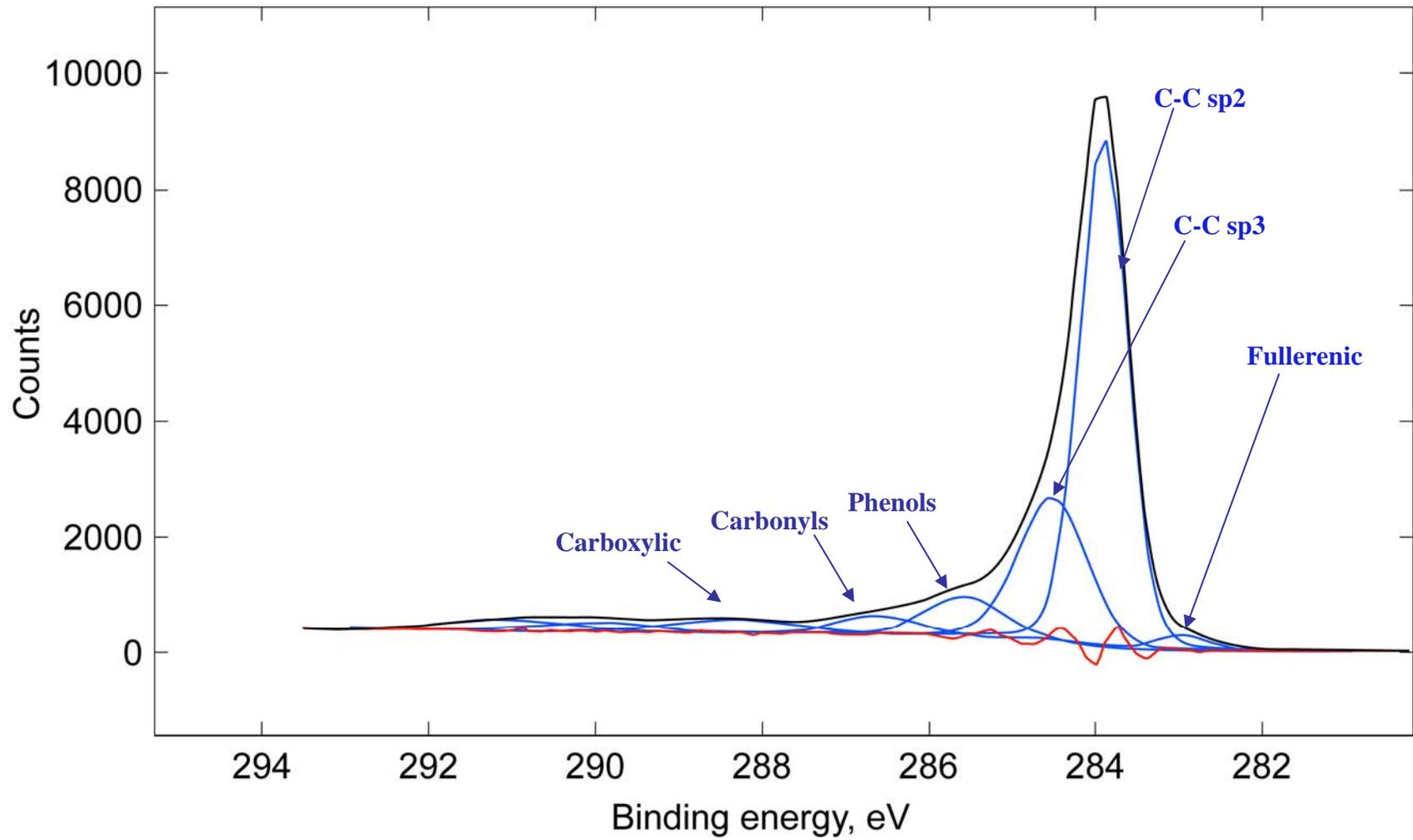
# Motivation for Alternative Analysis Techniques

1. Lattice Fringe Analysis is time consuming
2. Analysis can be difficult to apply (in some cases)

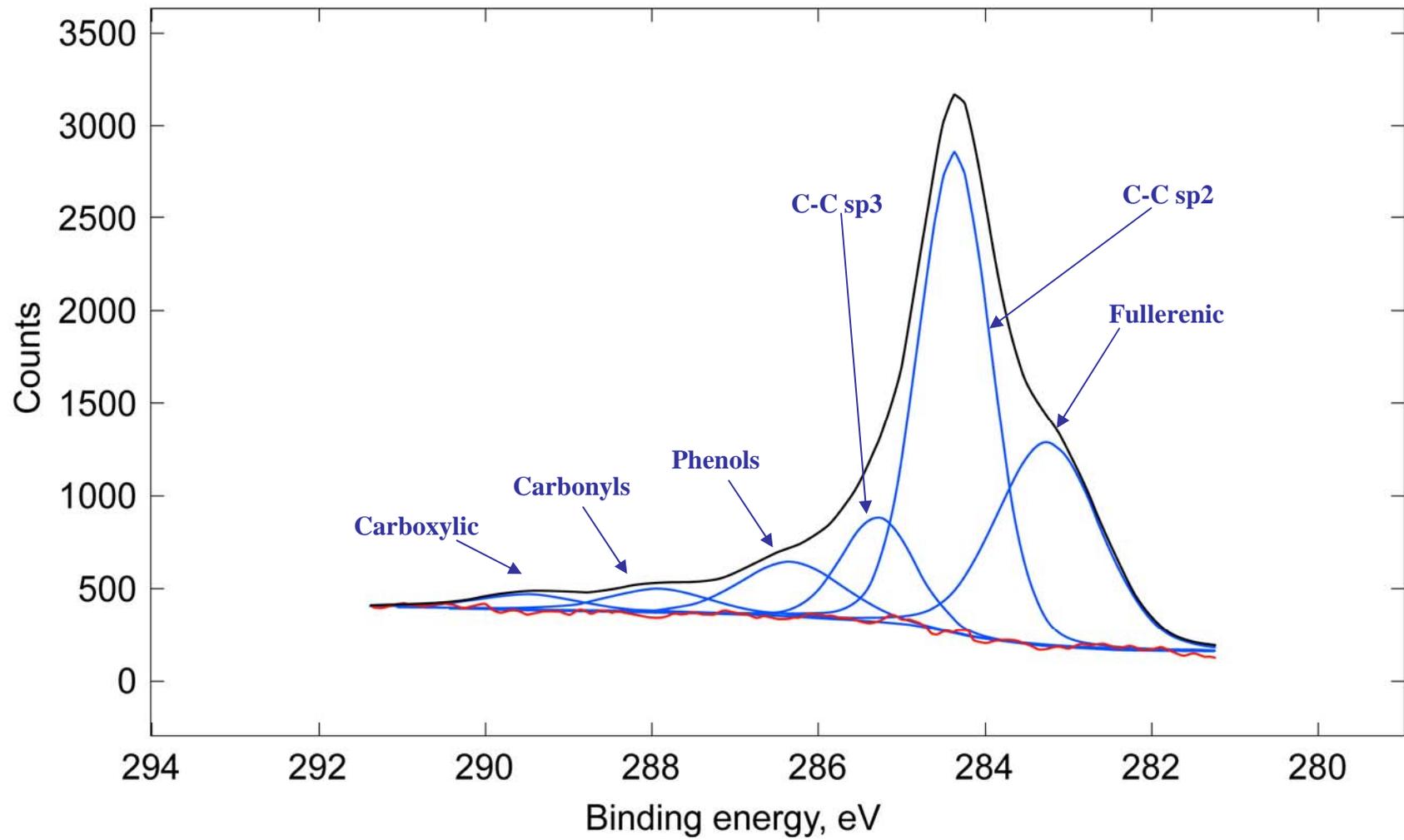


*Hmmm....*

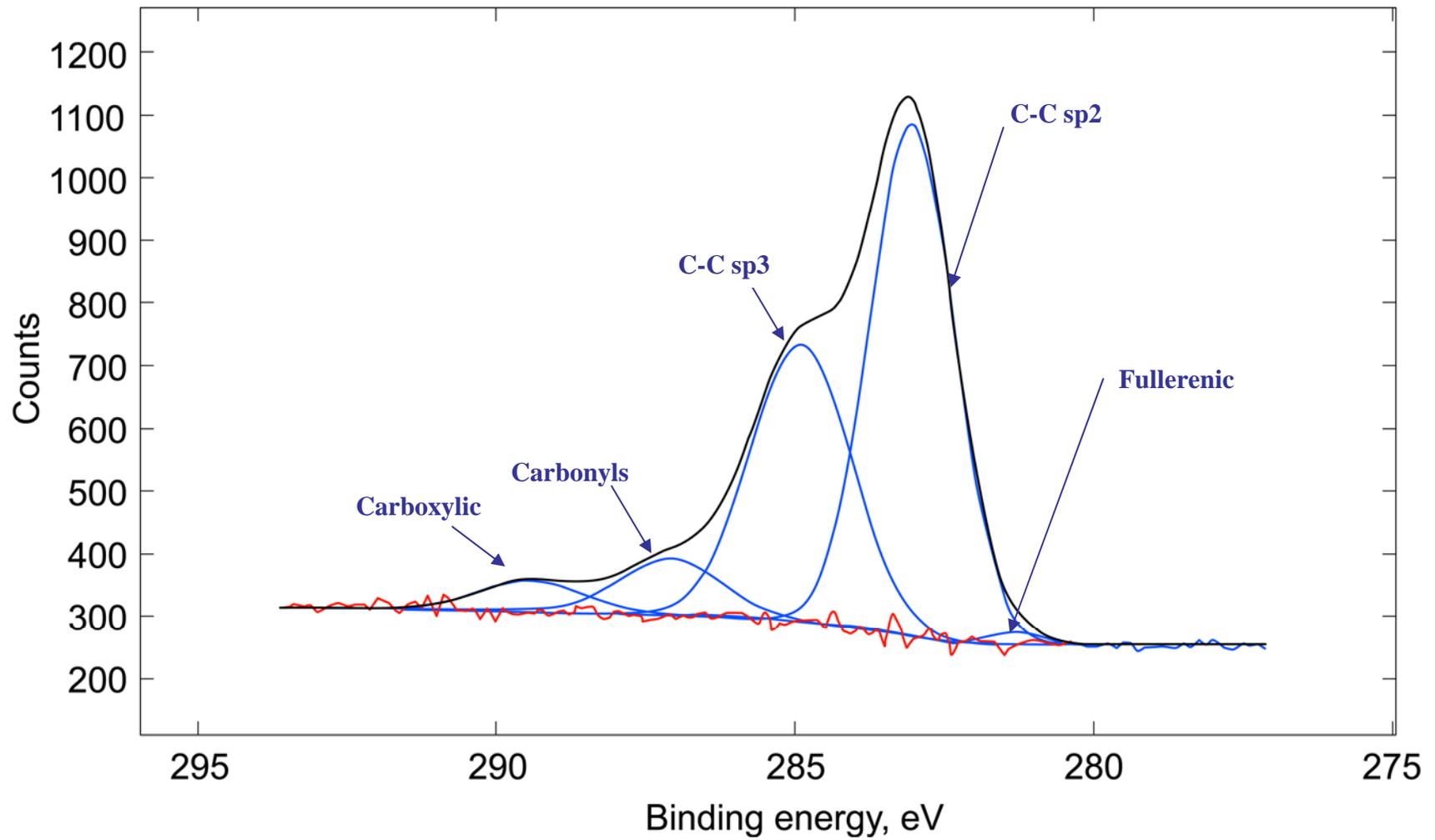
# Identify Types of Carbon Nanostructure



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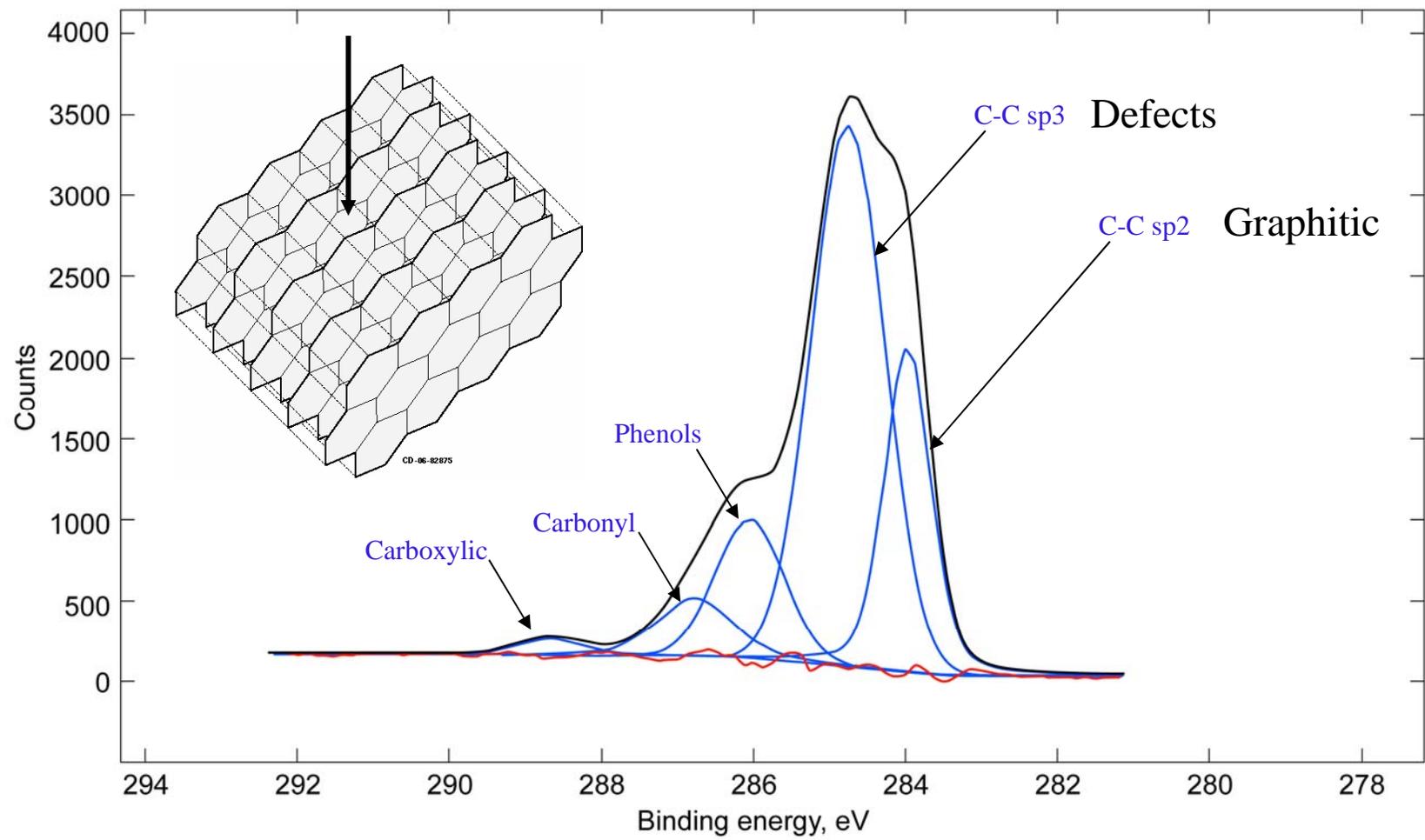


# Identify Types of Carbon Nanostructure

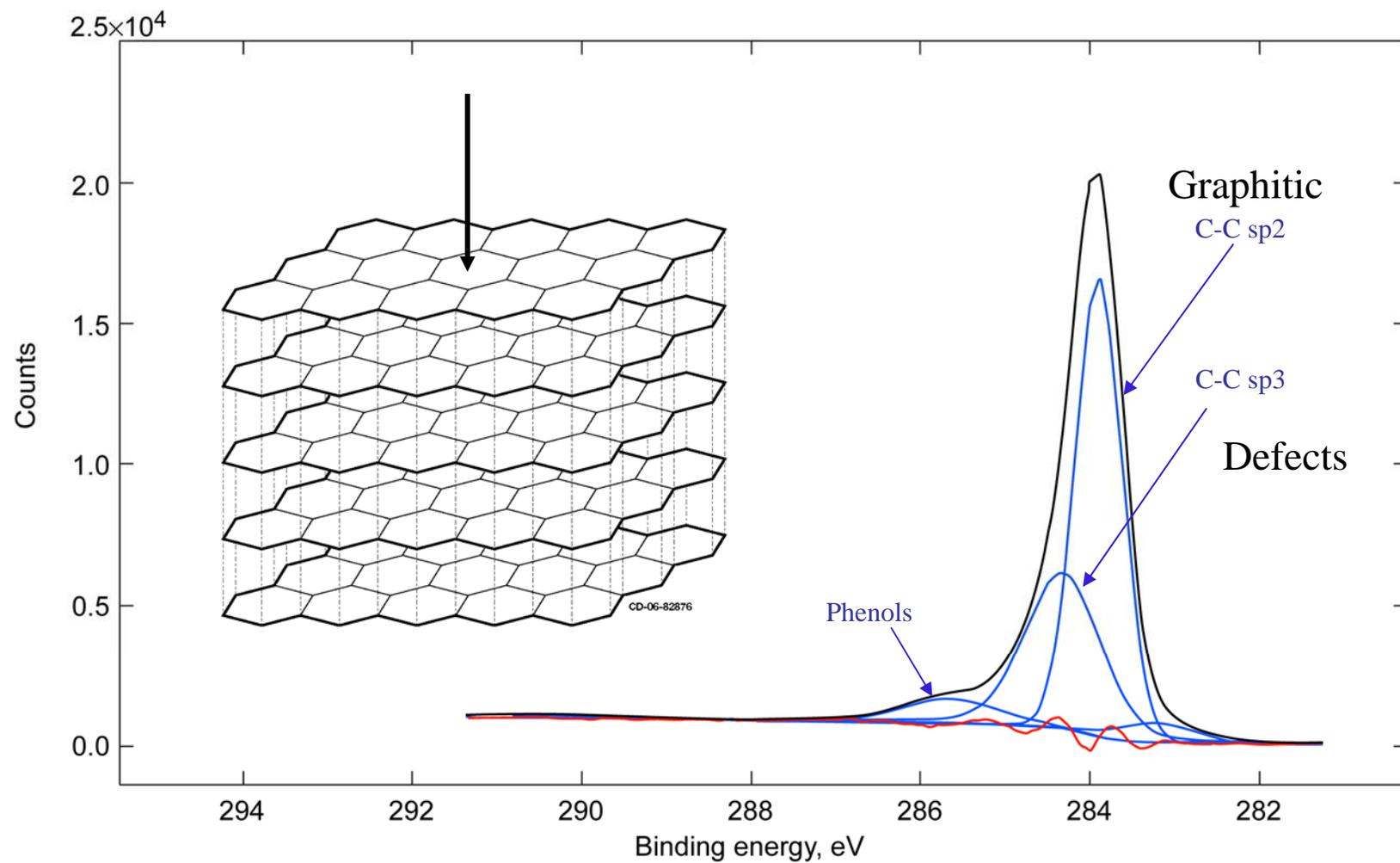




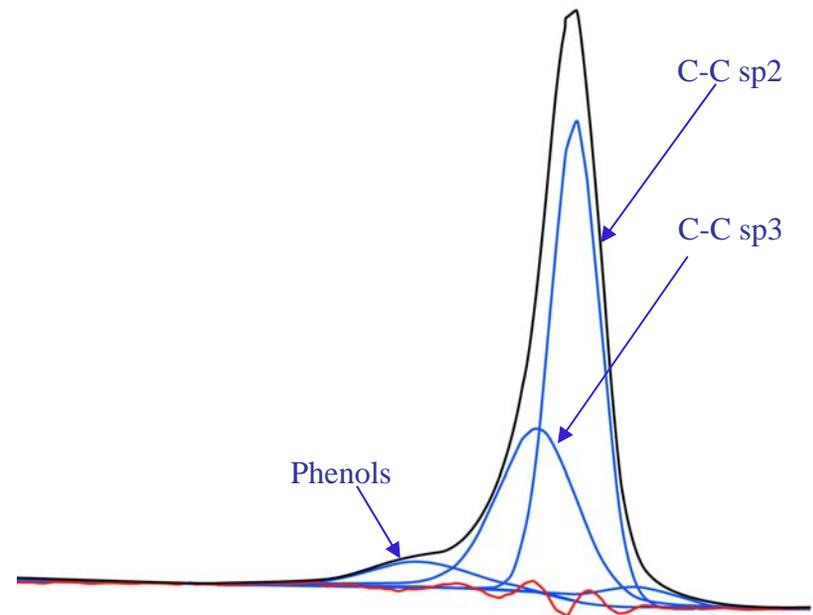
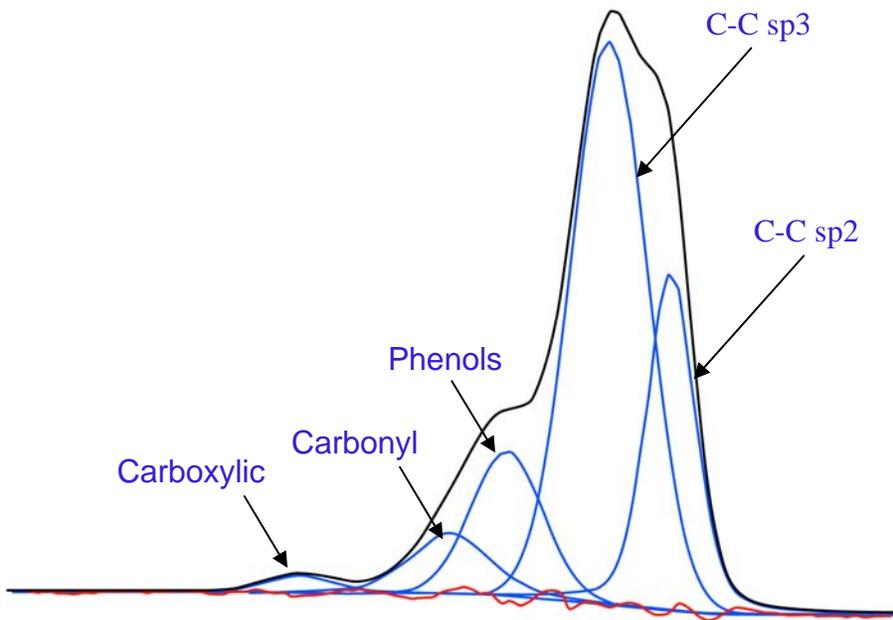
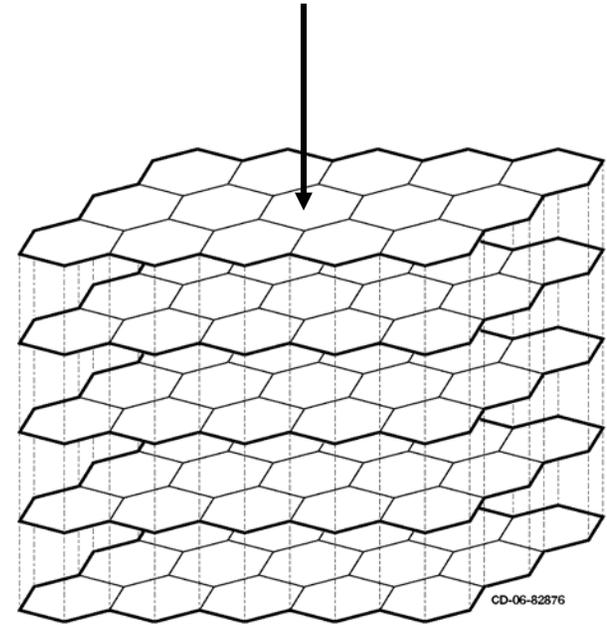
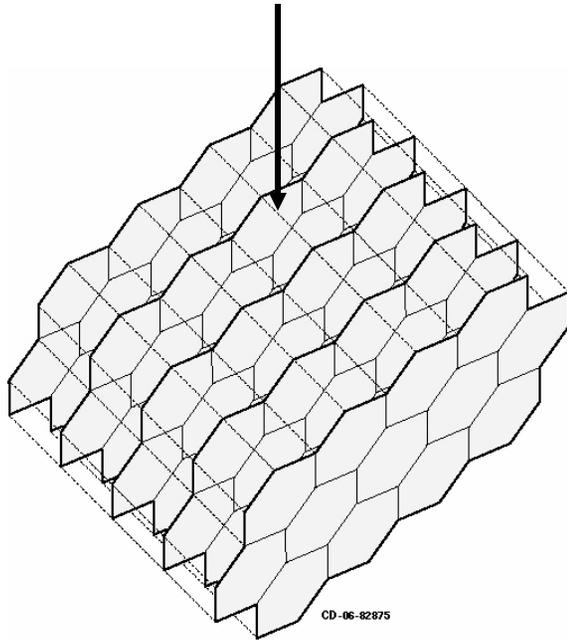
# High Resolution Scan - C1s Region - HOPG Edge Planes



# High Resolution Scan - C1s Region - HOPG Layer Planes



# XPS Sensitivity to Carbon Nanostructure

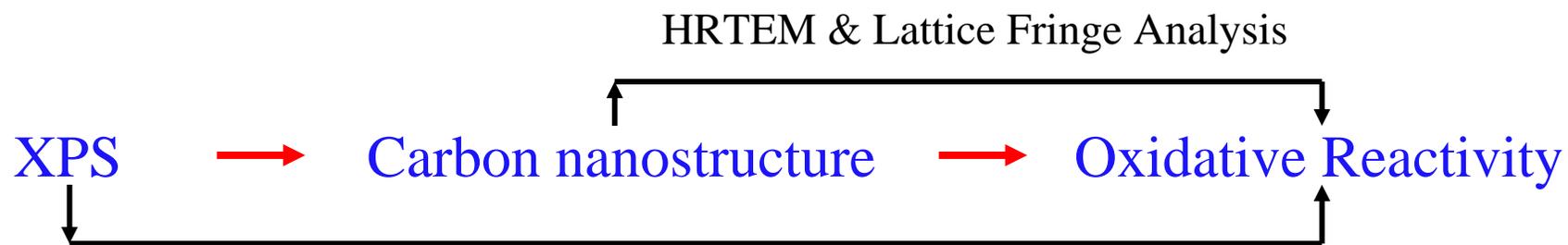


*\* Developing Correlations with Carbon Reactivity\**

Sample:	Edge Sites Intensity Sum	Basal Plane Intensity Sum
Planar Graphite ( ~ 284 eV, sp <sup>2</sup> )	1749 13%	11265 87%
Edge Graphite ( ~ 285 eV, sp <sup>3</sup> )	4021 37%	6723 63%
Ratio (G/D)	0.35	1.38

*Edge site carbons can be nearly 10-fold more reactive than basal plane sites*

# Goal & Result



# Conclusions

1. XPS analysis can identify & quantify trace elements.

Utility: Can be used to identify source based on specific elements present and their distribution.

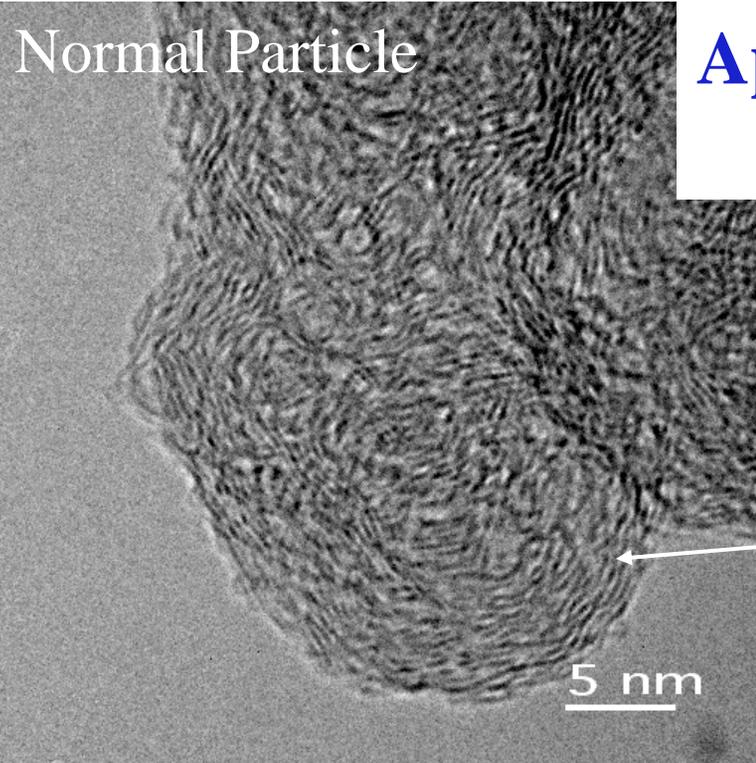
- \* Track fuel and/or oil elements
- \* Analysis of engine wear

2. XPS can identify oxygen groups by bonding type; C-OH, C=O, and C-OOH. These reflect the soot oxidation history.

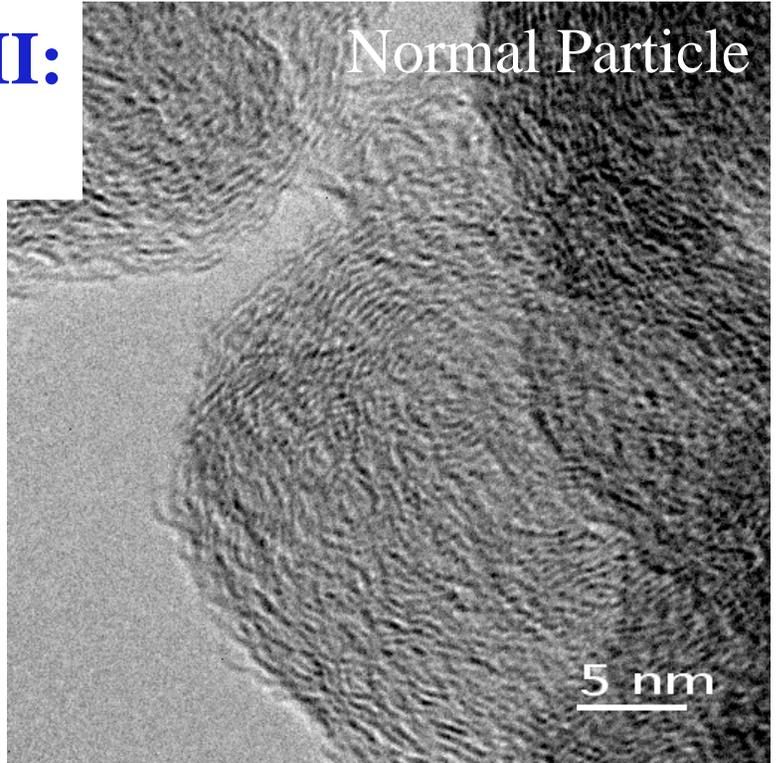
Utility: Identify the occurrence and degree of oxidation, such as the soot cake within a DPF

3. XPS can identify the types of carbon present,  $sp^2$ ,  $sp^3$  and fullerenic. Therein it can provide a complimentary method to HRTEM and image analysis.

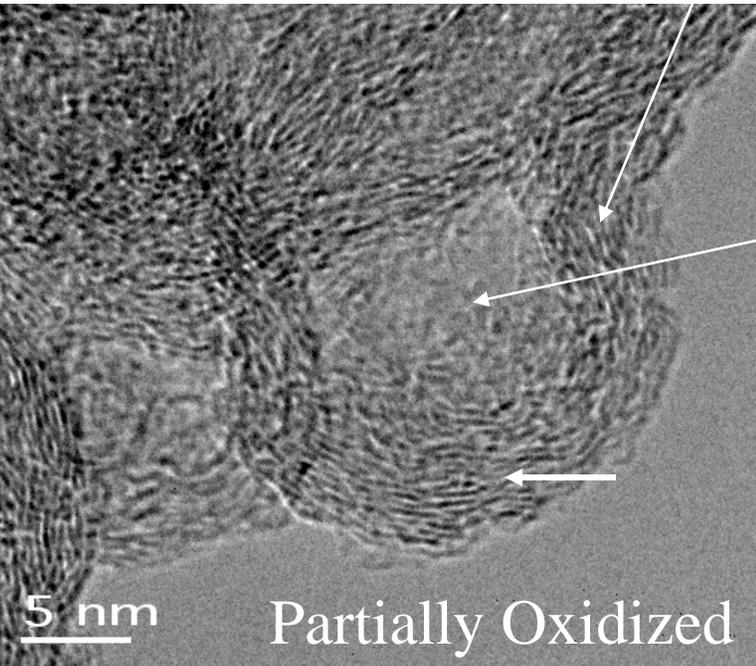
Utility: Correlate nanostructure with soot reactivity, and changes new fuels, e.g. biodiesel



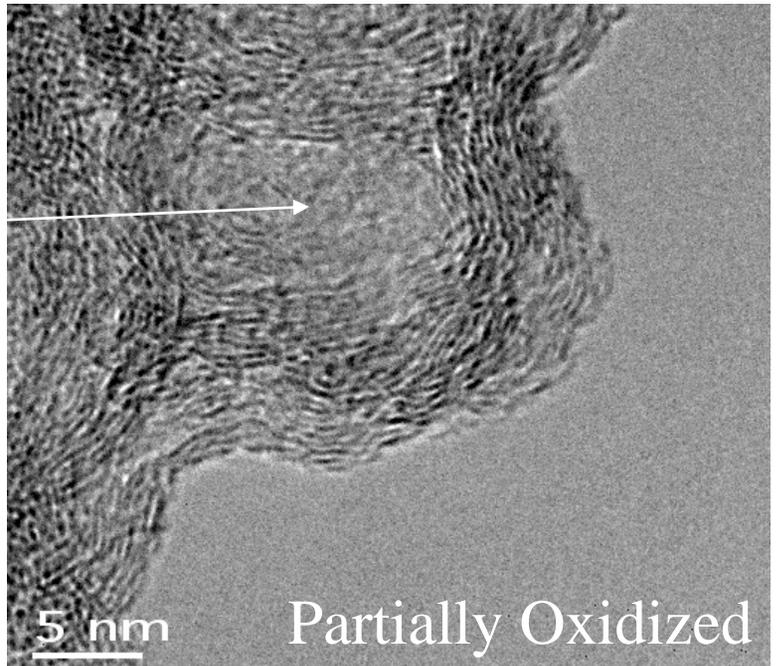
### Application III: DPFs



DPF  
Soot  
Graphitic  
outer shell



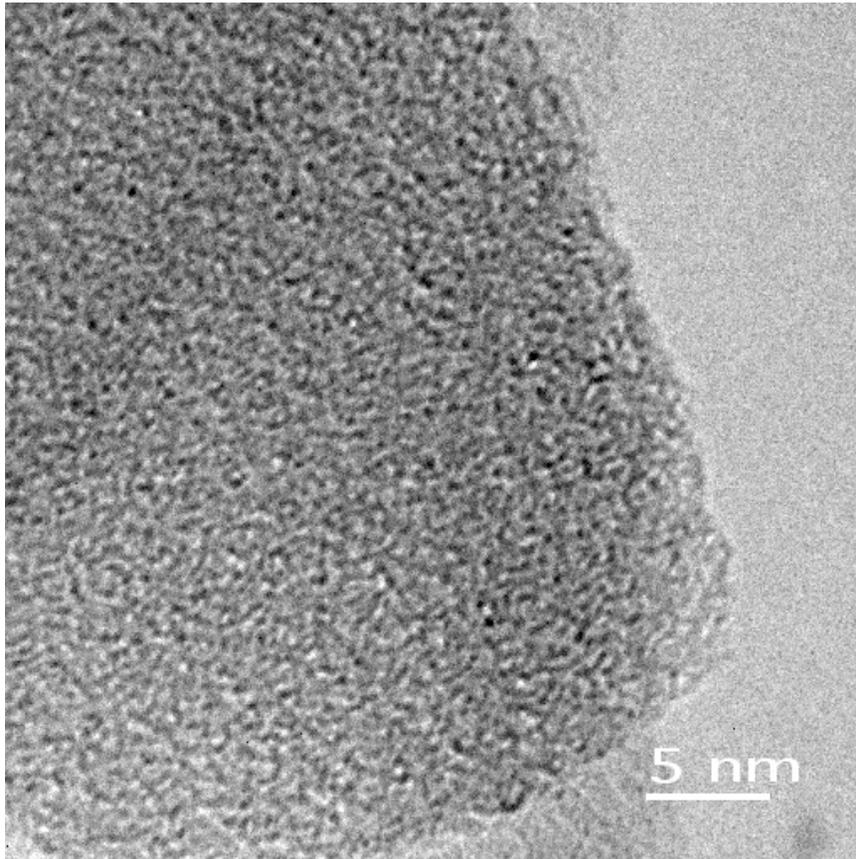
Hollow  
Interiors



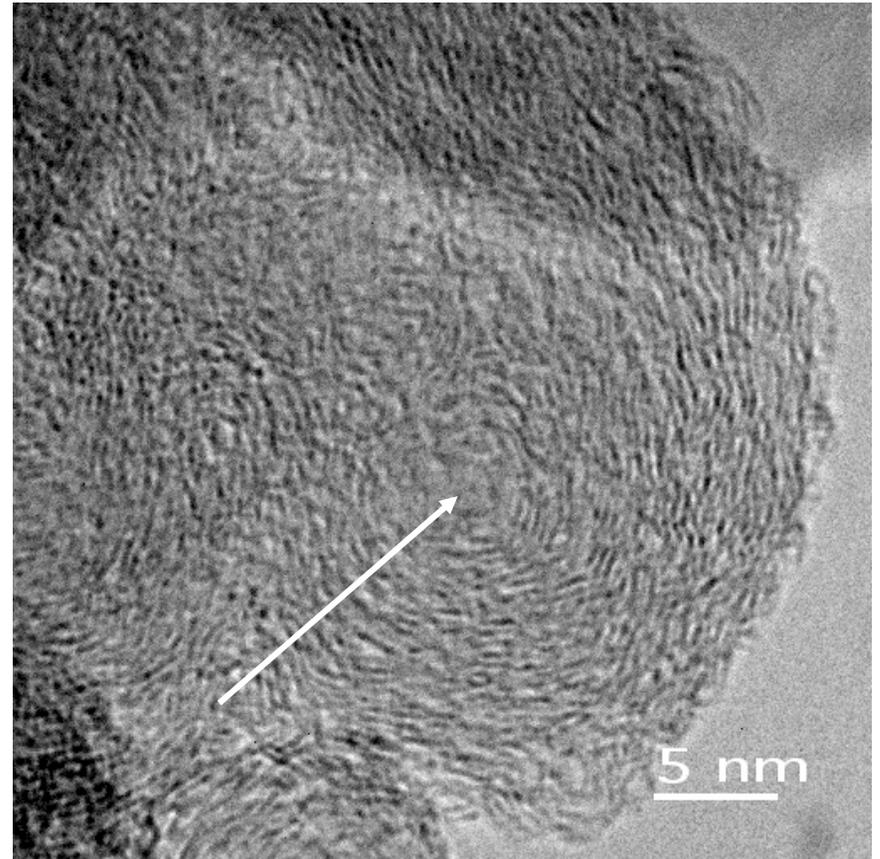
Partially Oxidized

## Application II: Source Specific Nanostructure

**Wildfire Emissions**

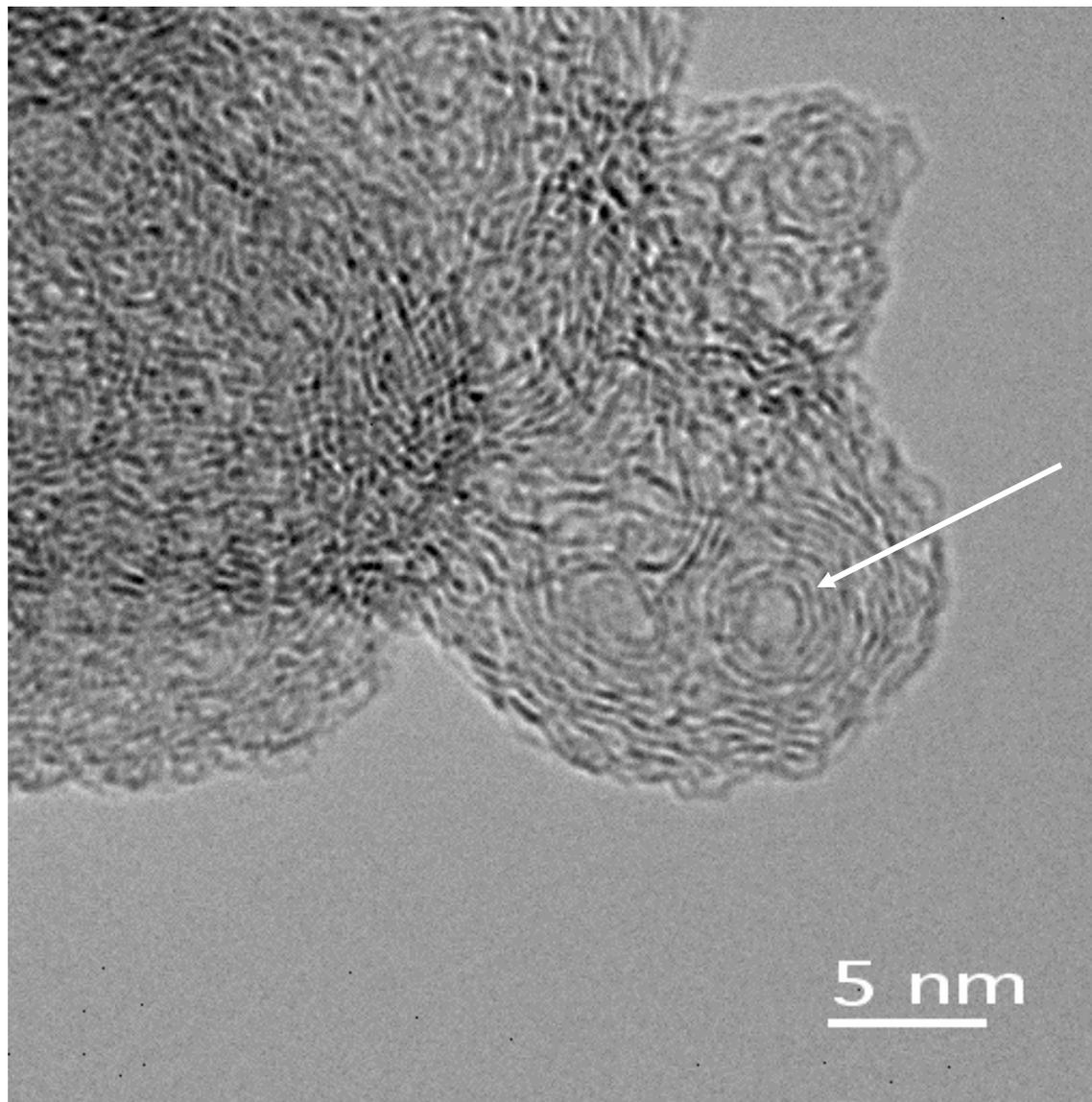


**Oil Fired Boiler**



Comparison between carbon lamella;  
short, disconnected versus longer range structure and order

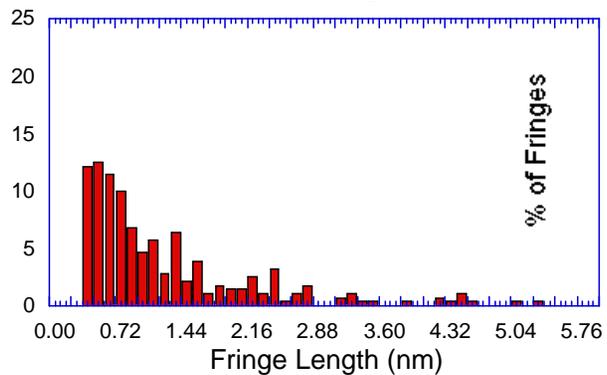
# Jet Aircraft Engine Exhaust



Fullerenic  
Structure

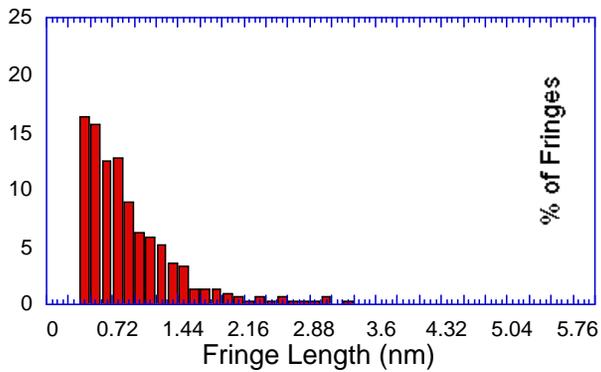
# Jet Engine Emission

c4-hb-ROI\_1



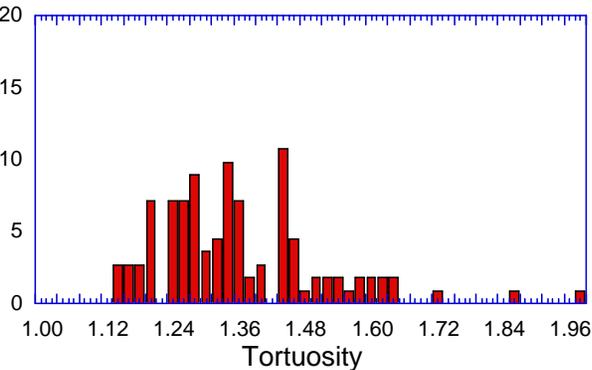
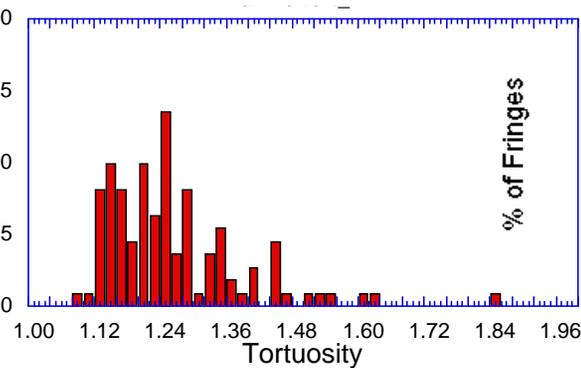
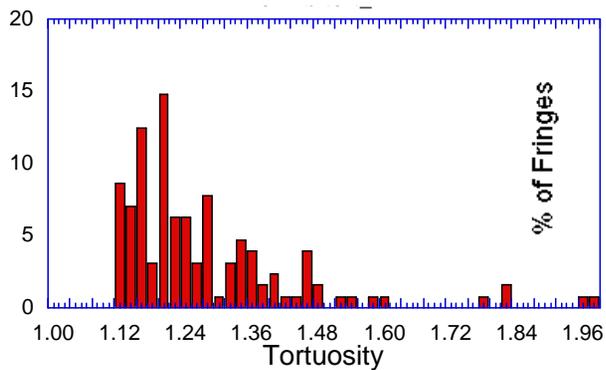
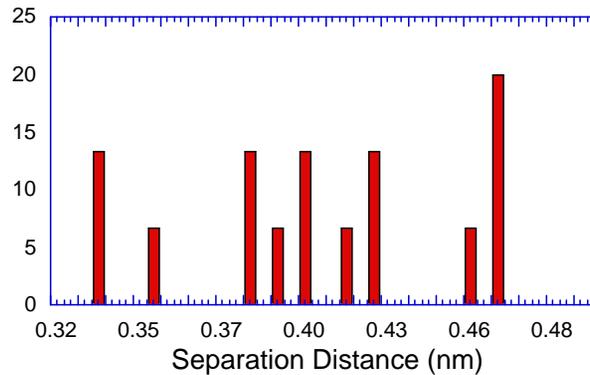
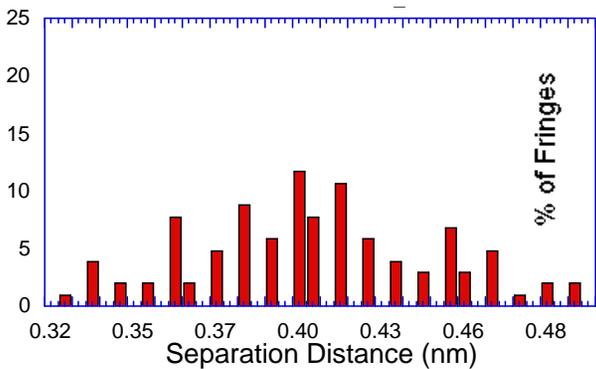
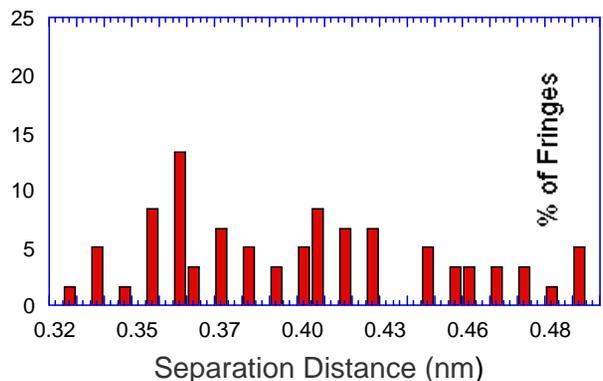
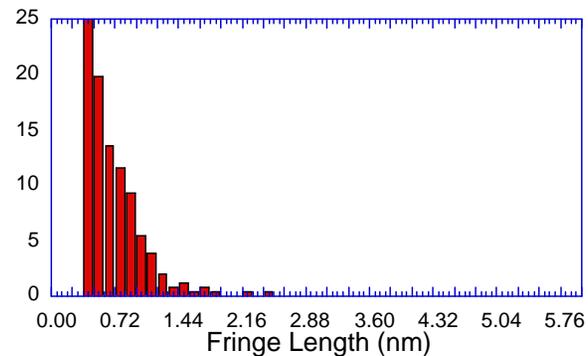
# Diesel Emission

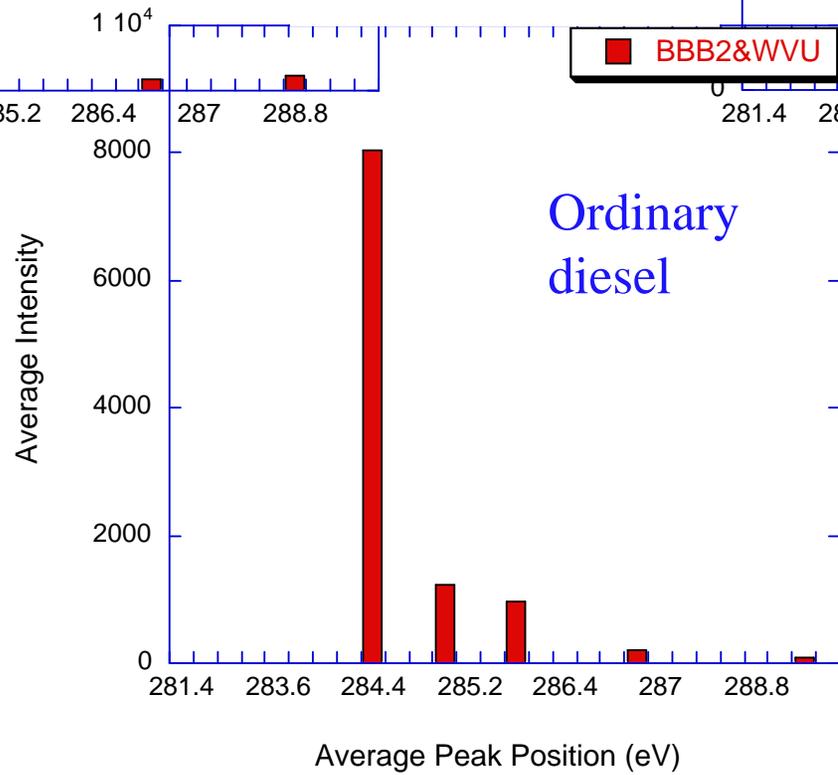
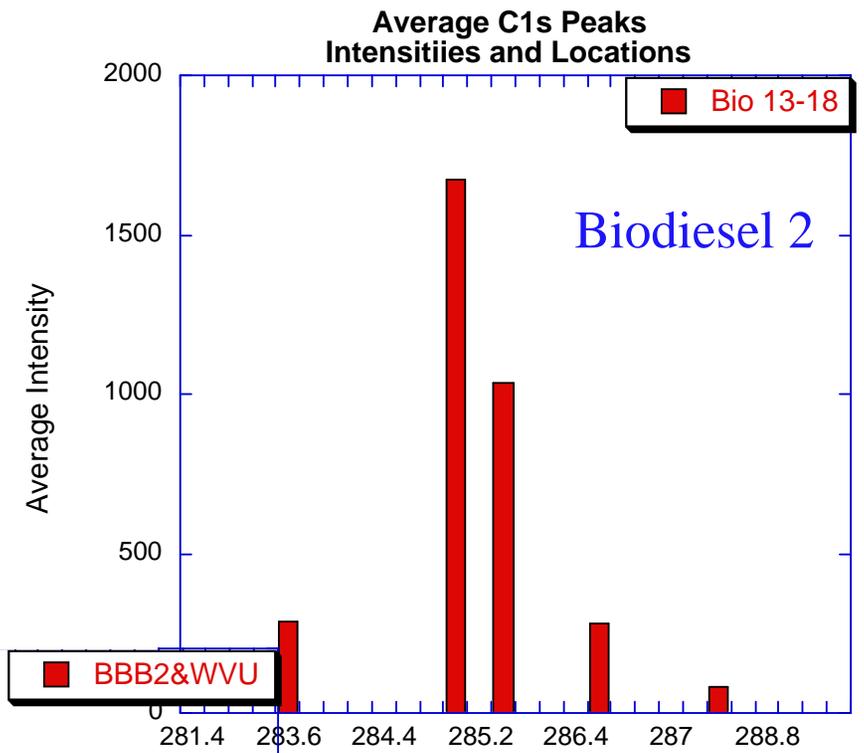
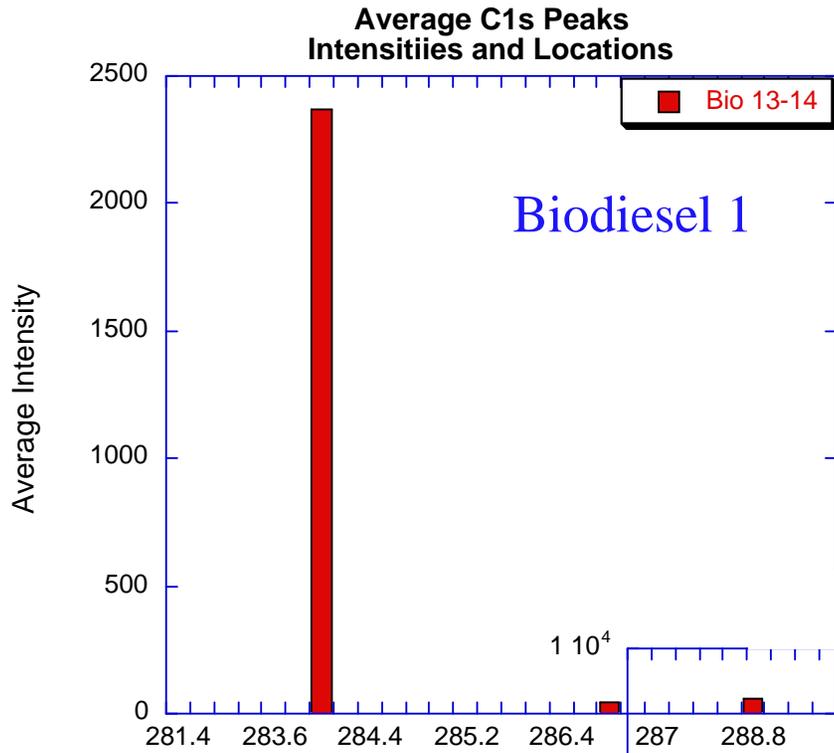
a1-1c-ROI\_14



# Wildfire Emission

e2-3a-roi\_1





Average Peak Position (eV)

**Averaged by Intensity**

**Averaged by Position**