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# First Principles Calculations and NMR Spectroscopy of Electrode Materials

Project ID ES054

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Massachusetts Institute of technology

**and C. P Grey**

Cambridge University and Stony Brook University

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# Overview

## Timeline

- Project start date: May 2006
- Project end date: Jan 2012
- Percent complete: 30% (FY 2011)

## Budget

- Total project funding: \$1,351,370
- Funding for FY10: \$351k (GC)  
\$351k (CPG)
- Funding for FY11: \$385k (GC)  
\$385k (CPG)

## Objectives

- Determine the effect of structure on stability and **rate** capability of cathodes and anodes. Use this information to improve performance
- Apply *in situ NMR spectroscopy* to working lithium-ion cells
- Explore relationship between electrochemistry and **particle size** and shape.
- Develop **new, stable, cathode materials** with high energy-density.

## Barriers Addressed

- Low rates
- High cost
- Poor stability
- Low specific energy and cycle life

## Partners / Collaborations

### BATT program:

- J. Cabana, T. Richardson, G Chen, M.M.Thackeray, M. S. Whittingham , K. Persson, R. Kostecki, V. Srinivasan

### Others:

- J. M. Tarascon, M. Morcrette, C. Masquelier (Amiens)
- A. S. Best, A. F. Hollenkamp (CSIRO)
- V. Chevrier
- Companies: Bosch , Umicore

# Milestones

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## Milestones 2010

Obtain size effect on Li mobility in olivines. (Sep. 10) - **COMPLETE**

Li mobility calculations in other materials (graphite and spinel). (Sep. 10) ) - **COMPLETE**

Identify potential new electrode materials for synthesis experiments. (Sep. 10) ) - **COMPLETE**

Complete Si PDF data. Initiate *in situ* NMR studies of multicomponent electrodes (Mar. 10)

Complete analysis of Si nanoparticles. (Sep. 10) **COMPLETE**

## Milestones 2011

Initiate Na calculations. (Mar. 11) ) - **COMPLETE**

Initiate electrochemical testing of one new material in sidorenkite class. (Mar. 11) -

**COMPLETE**

Initiate surface characterization. (Mar. 11) - **ONGOING**

Investigate two new cathode materials and structurally characterize. (Sep. 11) - **ONGOING**

Explore Li dendrite formation on a series of ionic liquids. (Sep. 11) - **ONGOING**

Investigate local structure in various  $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{1.5})\text{O}_4$  spinels and compare with rate performance. (Sep. 11) - **ONGOING**

# Approach

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## Kinetics

- Use **first principles modeling** to determine Li migration barriers
- Apply **phase transformation theory** to understand rate of first order transitions
- **Electrochemical rate testing** in cells/electrodes optimized to evaluate rate

## New Materials

- **High-throughput computational screening** of candidate materials on voltage, capacity, stability, Li mobility, and oxygen release (safety)
- **Synthesis, characterization and electrochemistry** of novel materials

## Characterization

- Use **solid-state NMR** and **diffraction** based methods to characterize short, intermediate and longer-range structure as a function of state of charge, and number of cycles
- Continue to develop the use of **in-situ NMR** methods to identify structural changes and reactivity in oxides and intermetallics.
- Use in-situ methods to capture **metastable or reactive intermediates**
- Apply **PDF methods** to examine disordered systems.

# Technical Accomplishments

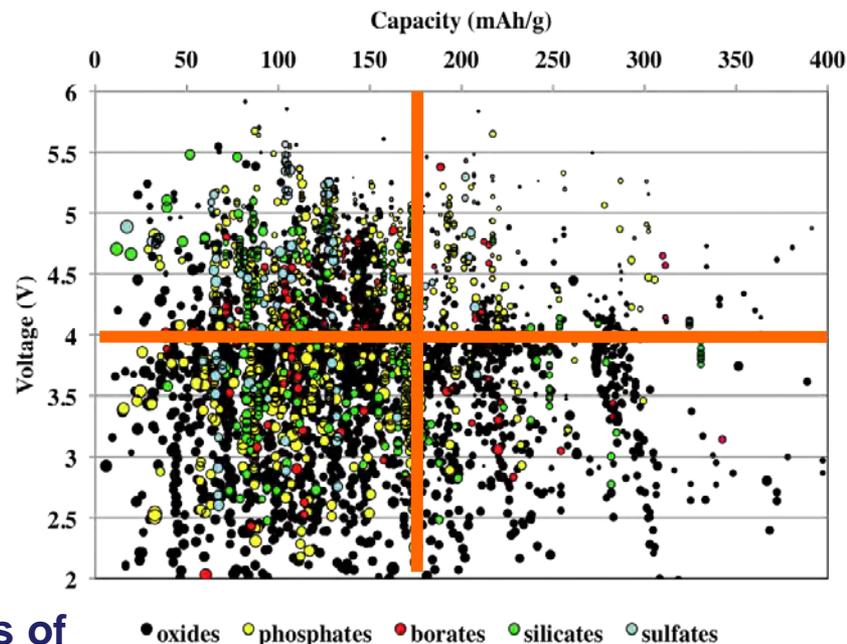
## New materials discovery (ongoing)

Periodic Table of the Elements

1	2																	10
3	4																	18
5	6	7	8	9												17	18	
11	12											13	14	15	16	17	18	
19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	
37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	
55	56	*La	57	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86
87	88	89	104	105	106	107	108	109	110	111	112	113						

\* Lanthanide Series  
+ Actinide Series

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr



• Use scalability of computing to evaluate thousands of possible new cathode materials.

• Screen on voltage, capacity, density, stability, thermal stability in charged state. Interesting compounds further studied for Li diffusion and electron mobility.

• Search covers existing compounds as well as completely new materials

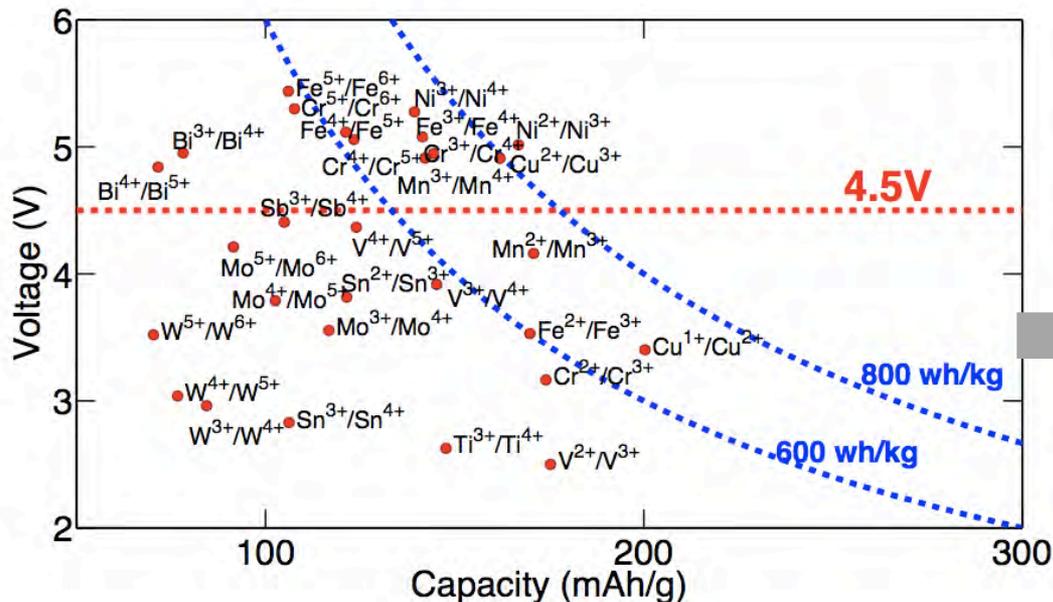
• Many existing battery compounds found back in search, as well as novel intercalation compounds

Voltage vs capacity for over 20,000 potential Li-ion cathode compounds calculated by high-throughput ab initio methods.

Collaboration with Dr Persson (LBNL)

# Technical Accomplishments

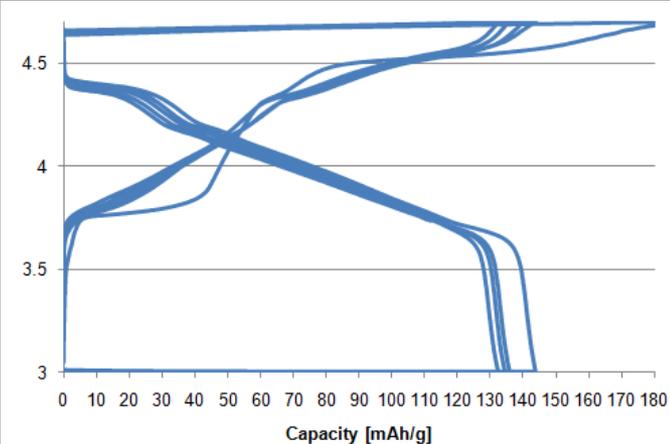
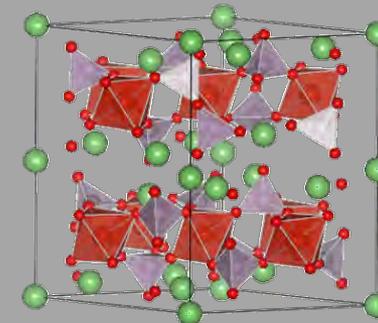
## New materials discovery (phosphates)



- Voltage versus theoretical capacity in phosphates obtained from calculations on several hundred compounds

- Leads to focus on Mn, (Cu ?) , V and Mo

New material developed:  
 $\text{Li}_9\text{V}_3(\text{P}_2\text{O}_7)_3(\text{PO}_4)_2$

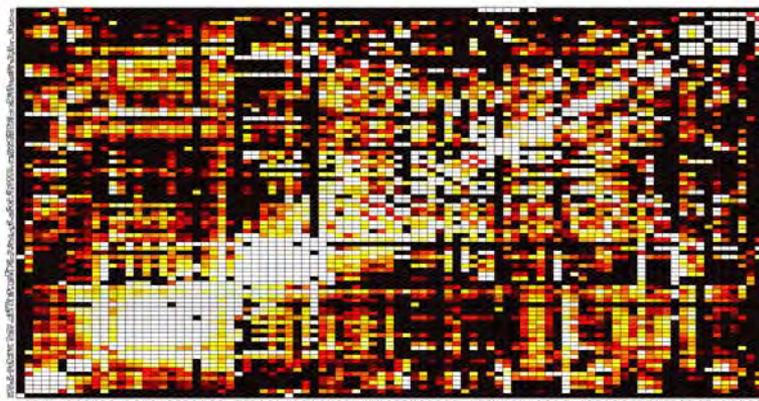


# Technical Accomplishments

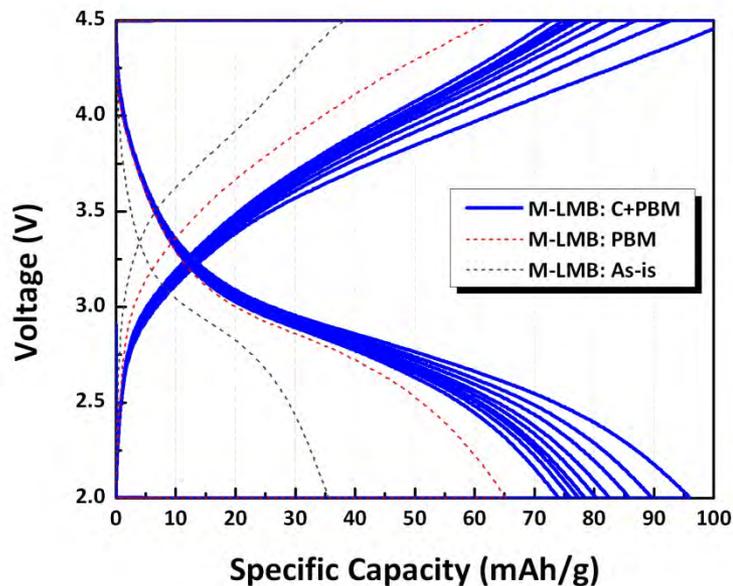
## New materials discovery

### Materials Substitution Information

Search  
known  
compounds



Identification of monoclinic  $\text{LiMnBO}_3$

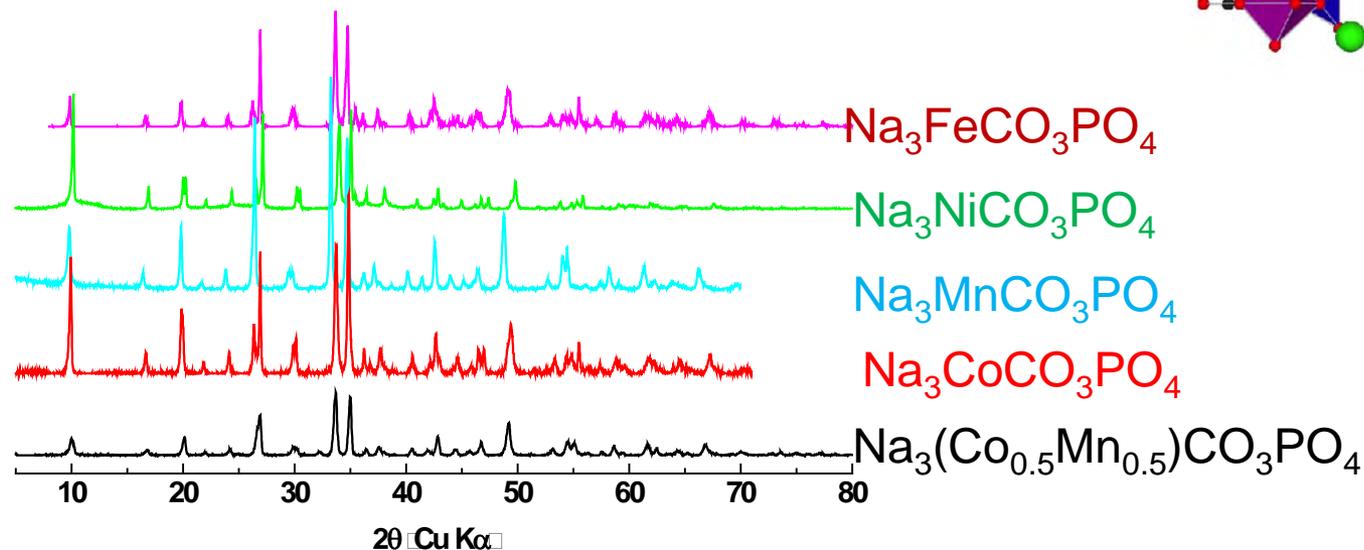
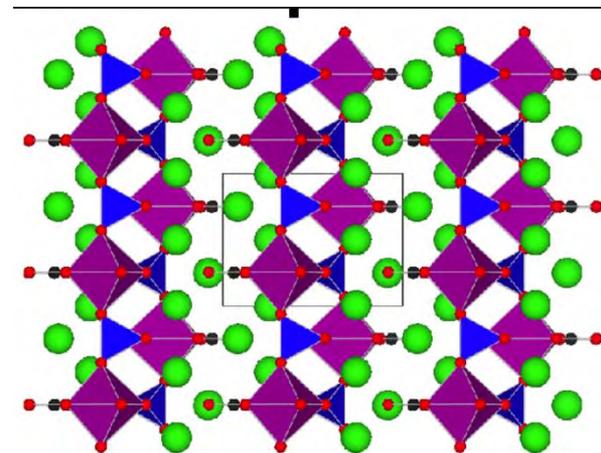


Novel  
Compounds

# Technical Accomplishments

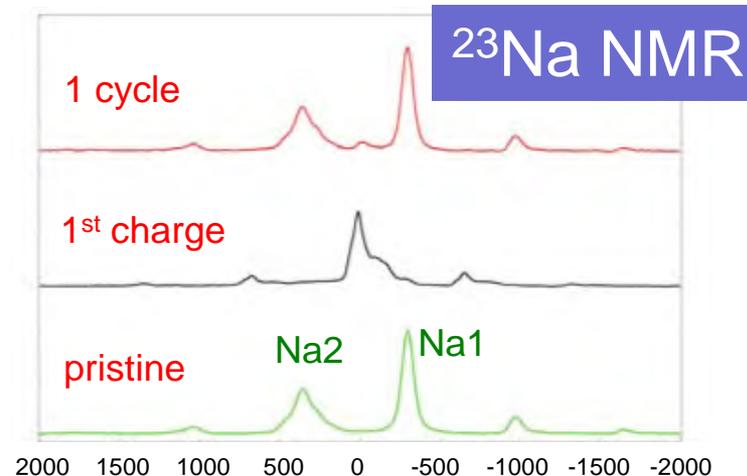
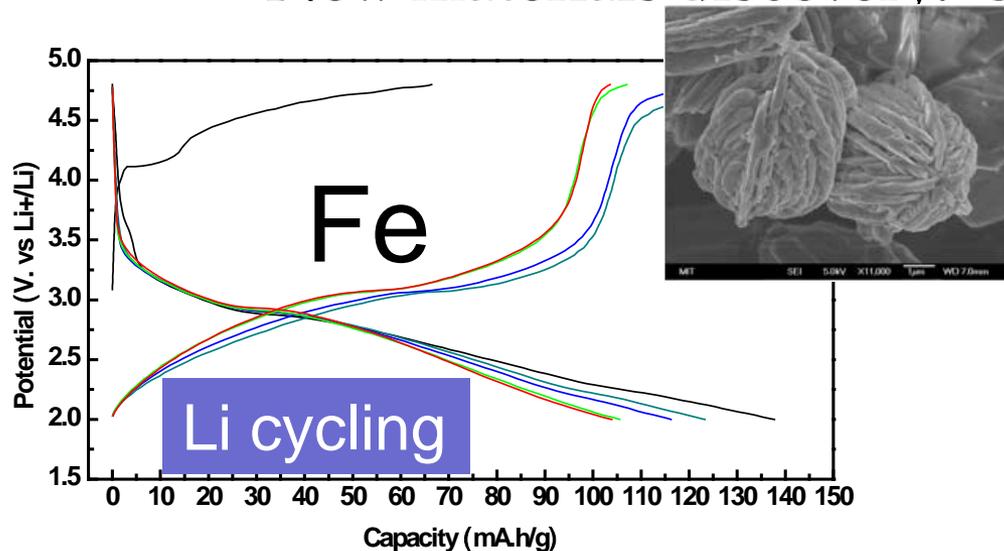
## New materials discovery: Sidorenkite Class

- Sidorenkite  $\text{Na}_3\text{Mn}(\text{CO}_3)(\text{PO}_4)$  is a rare mineral
- Calculations predict that structures may be good for Li intercalation.
- Made many “synthetic carbonates phosphates with Mn replaced by Fe, Co, Ni ...
- Created first ever Li-containing carbonates phosphates

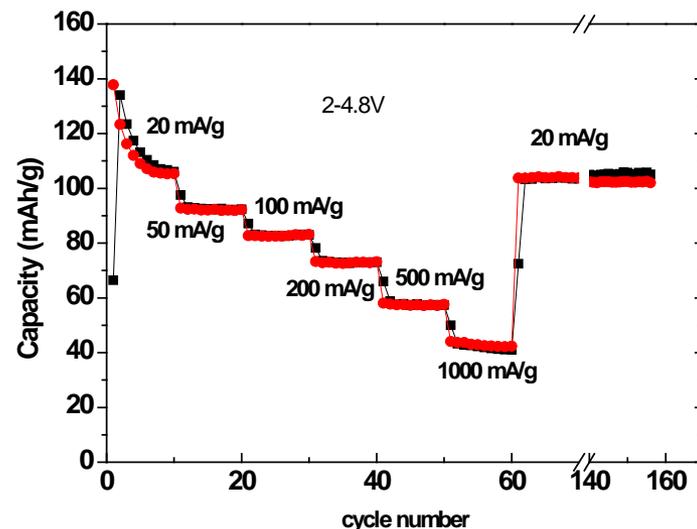


# Technical Accomplishments

## New materials discovery: Sidorenkite Class



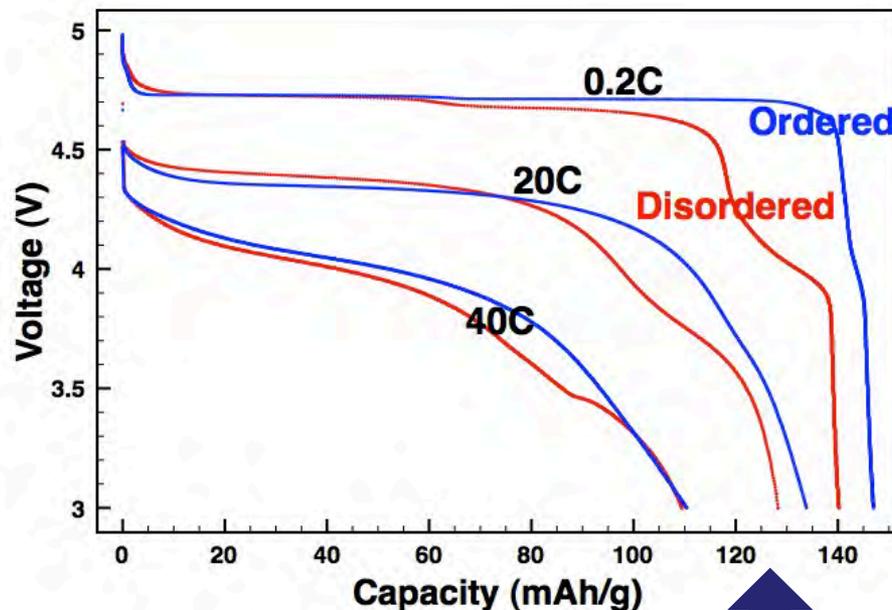
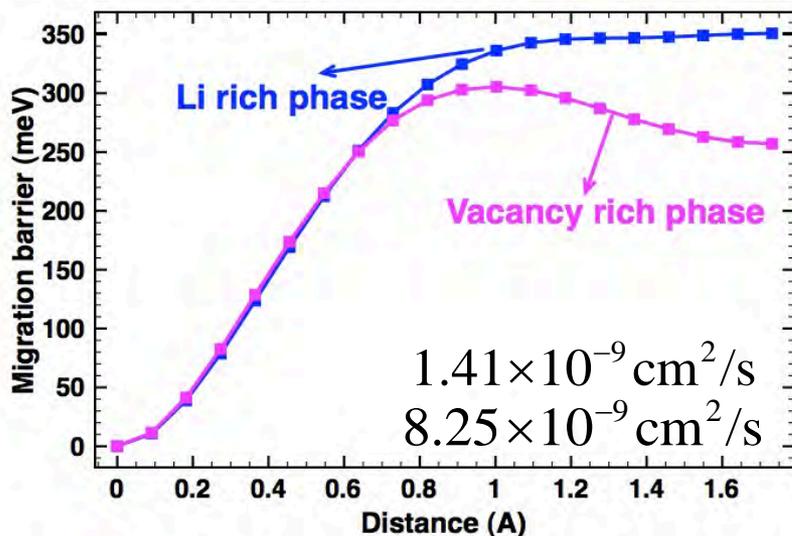
- Established that this structure is very good for Li intercalation
- Mn system has theoretical capacity of 220 mAh/g
- Only release of  $\text{CO}_2$  upon thermal decomposition
- $^7\text{Li}$  and  $^{23}\text{Na}$  NMR used to follow structural changes and Fe oxidation state and to investigate Na materials as Na cathodes.



Good capacity retention  
and rate capability

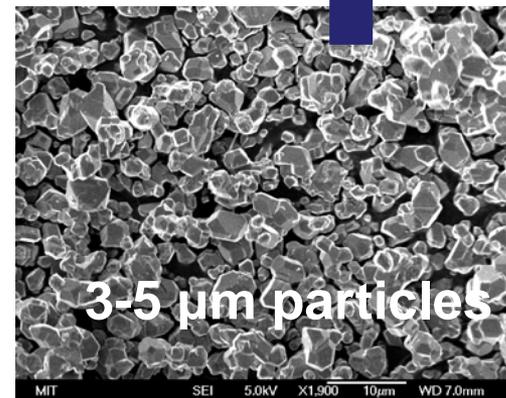
# Technical Accomplishments

## Rate issues in $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{1.5})\text{O}_4$ spinel



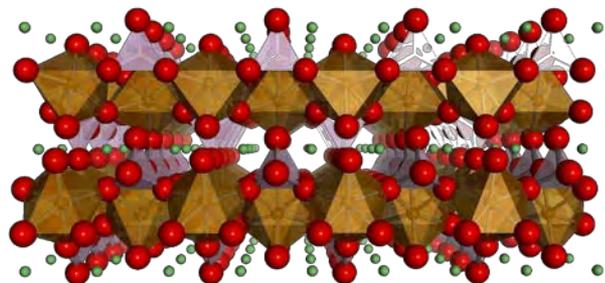
- Li migration energy calculations indicate high Li mobility
- No significant difference between ordered and disordered spinel
- NMR studies of spinels initiated (in collaboration with J. Cabana and G. Chen (LBNL))

XH Ma, B. Kang, G. Ceder, J. Electrochem. Soc., 15), A925-A931 (2010).



# Technical Accomplishments

## Understanding Dimensionality and Particle Size Effects on Diffusion of Lithium

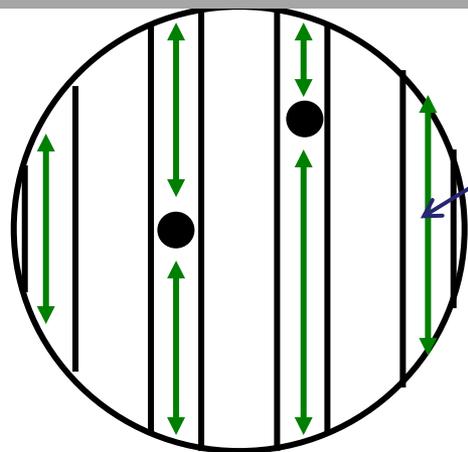


1D diffusion and very high rate



Why no micron sized  $\text{LiFePO}_4$  with good rate ?

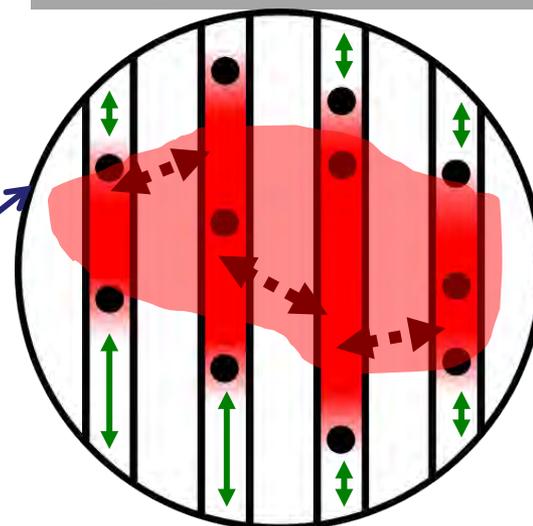
*Small particles*



In small particles only need motion in channel:  $E_a \approx 200\text{-}300\text{meV}$

In large particles also need Li transfer between channels:  $E_a \approx 500\text{ meV}$

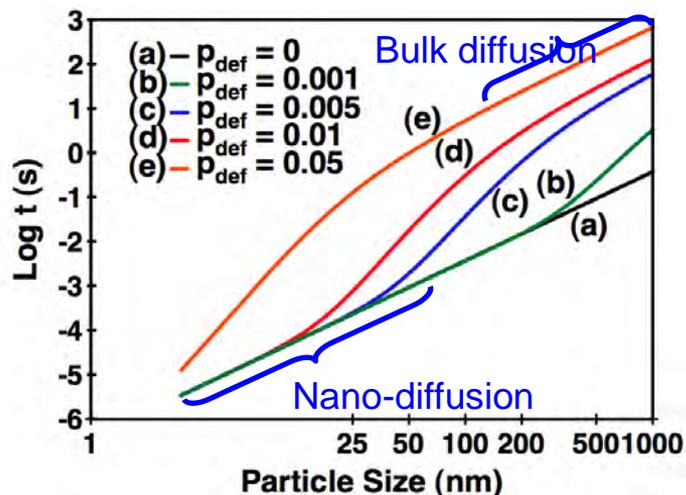
*Large particles*



R. Malik et al., Nano Letters, 10 (10), 4123-4127 (2010).

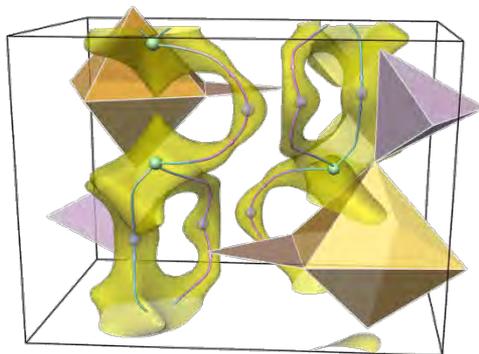
# Technical Accomplishments

- Computationally predicted that the anti-site ( $\text{Fe}_{\text{Li}}$  and  $\text{Li}_{\text{Fe}}$ ) is lowest energy defect



There are two Li diffusion constants: one for nanomaterials (controlled by in-channel diffusion) and one for large crystals (dominated by channel cross over diffusion)

Time to diffuse into particle shows two distinct behaviors



## IMPORTANT GENERAL CONCLUSION

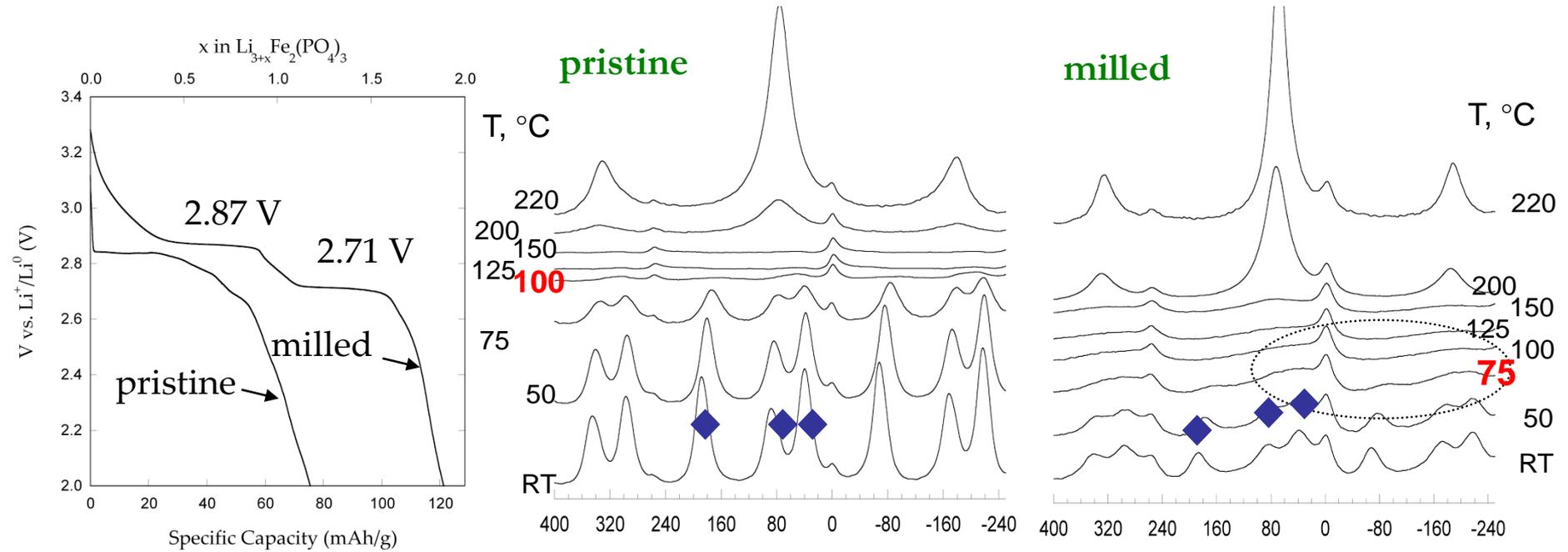
This nano effect will also be seen in other 1D diffusers

$\text{LiMnBO}_3$ ,  $\text{LiFeBO}_3$ ,  
Tavorites:  $\text{LiVO}(\text{PO}_4)$ ,  $\text{LiV}(\text{PO}_4)\text{F}$ ,  
and  $\text{LiFe}(\text{SO}_4)\text{F}$

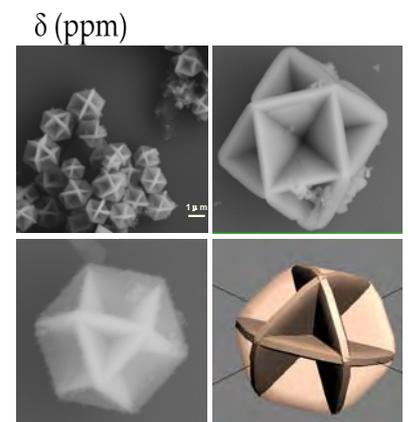
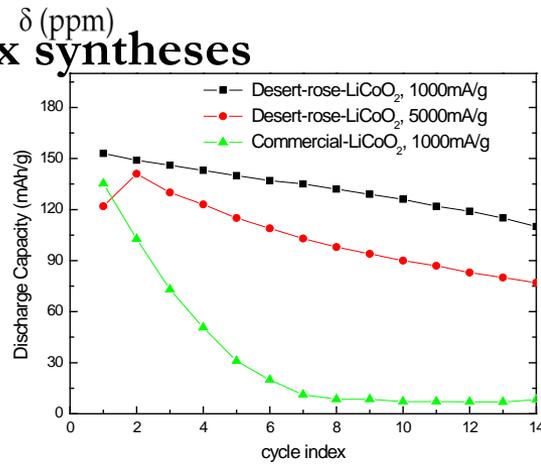
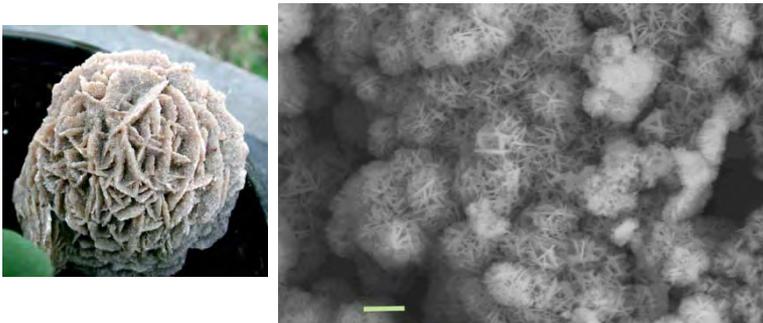
# Experimental Studies of Particle Size/Shape and Defects

## A-type (Monoclinic) Nasicon — milling introduce reduces particle size and introduces defects, increasing mobility

With J. Cabana, J. Shirakawa and M. Wakihara

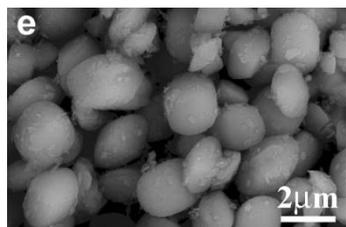


## $\text{LiCoO}_2$ — low temperature molten flux syntheses

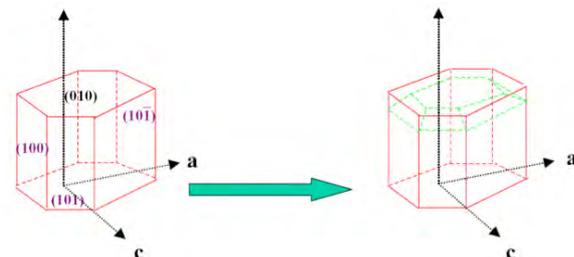
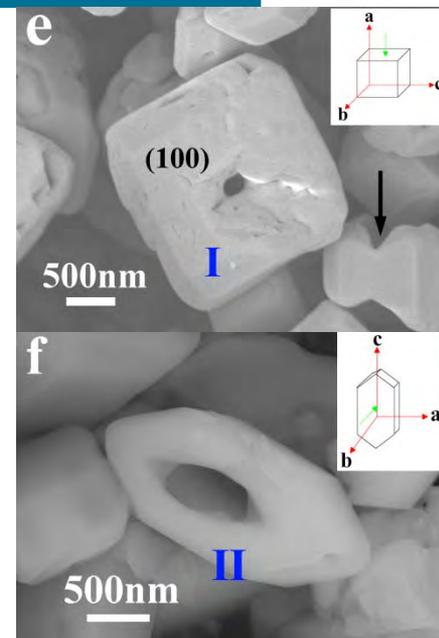
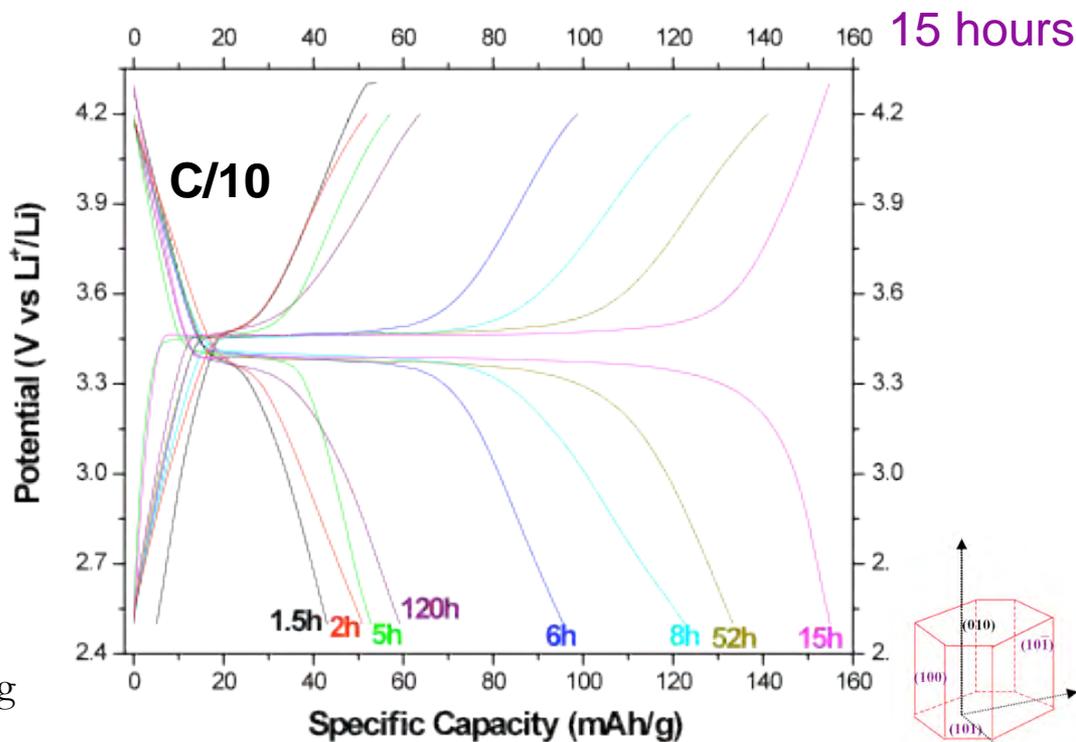


# Experimental Studies of Particle Size/Shape and Defects

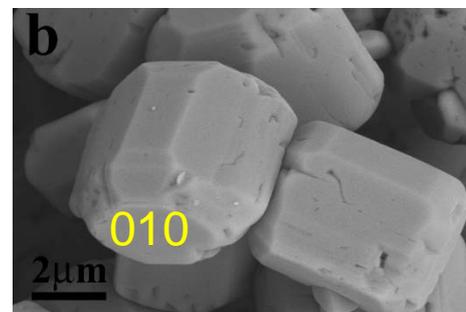
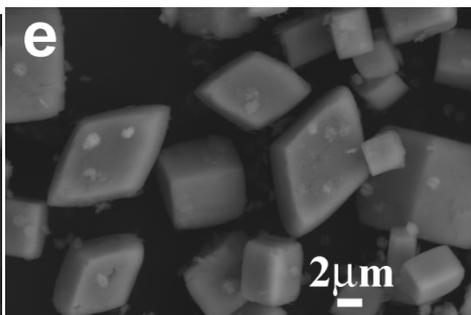
## Synthesis of $\text{LiFePO}_4$ with citric acid and $(\text{NH}_4)_2\text{H}_2\text{PO}_4$



1.5 hours



With Z. Lu  
(Hongkong/SBU,  
L. Wu (BNL) and  
Jonathan C.Y. Chung  
(Hongkong)



52 hours

5 hours

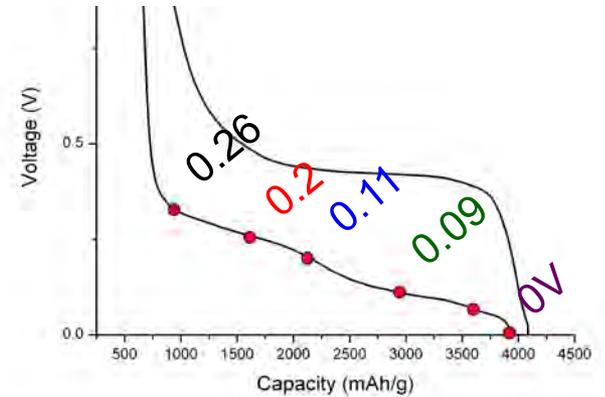
# Anodes: NMR and PDF Studies of Silicon

**Relevance to goals:** Very high capacity (>3700 mAh/g)

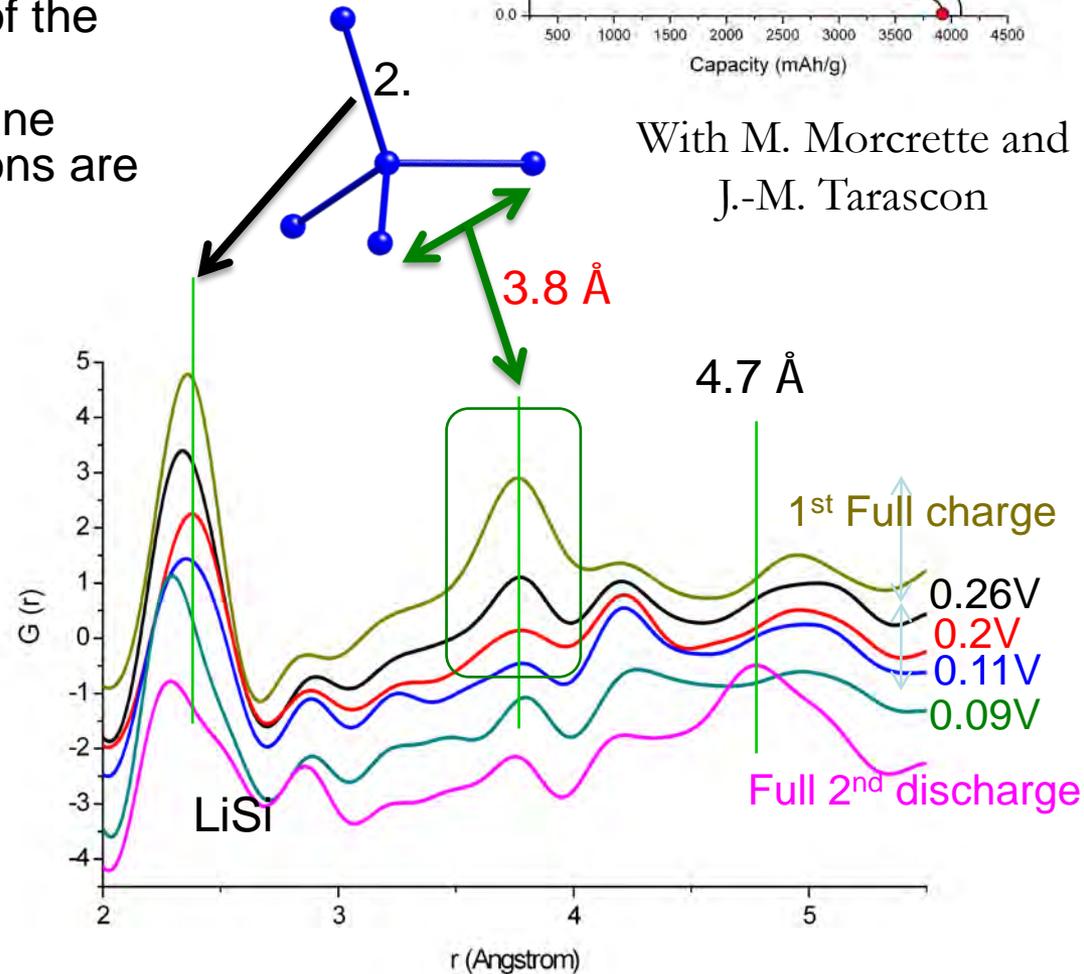
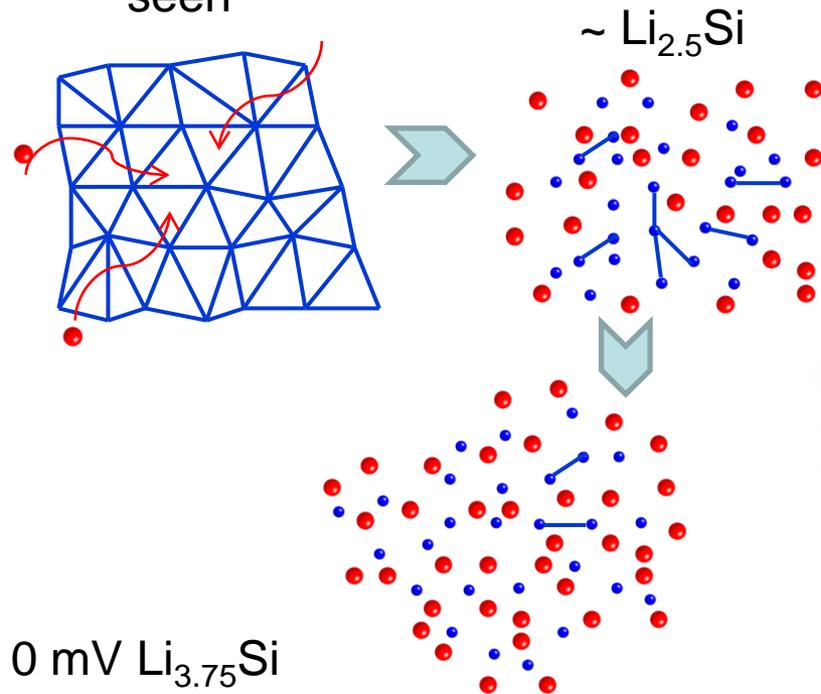
**Barriers:** Large hysteresis; poor rate performance; reactivity of Si with electrolyte

**Status March 2011:**

1. Determined the structural basis of the electrochemical profile
2. Helps explain why only the xstalline phase(s) containing isolated Si ions are seen



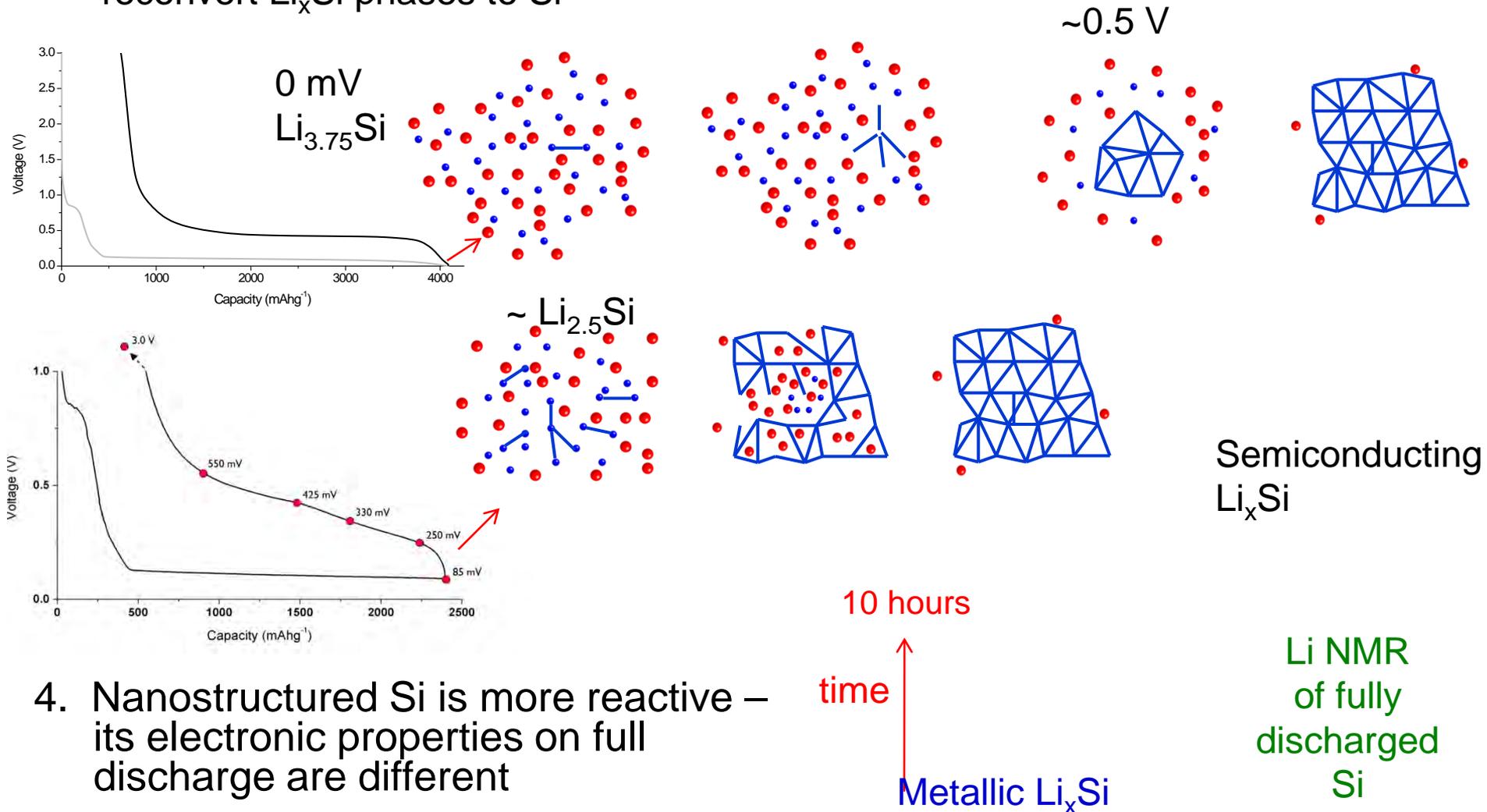
With M. Morcrette and J.-M. Tarascon



# Anodes: NMR and PDF Studies of Silicon

Status March 2011 (cont.):

3. Residual clusters act as nuclei to reconvert  $\text{Li}_x\text{Si}$  phases to Si



4. Nanostructured Si is more reactive – its electronic properties on full discharge are different

# *In Situ* NMR: Detection of Li Dendrites and Mossy Li

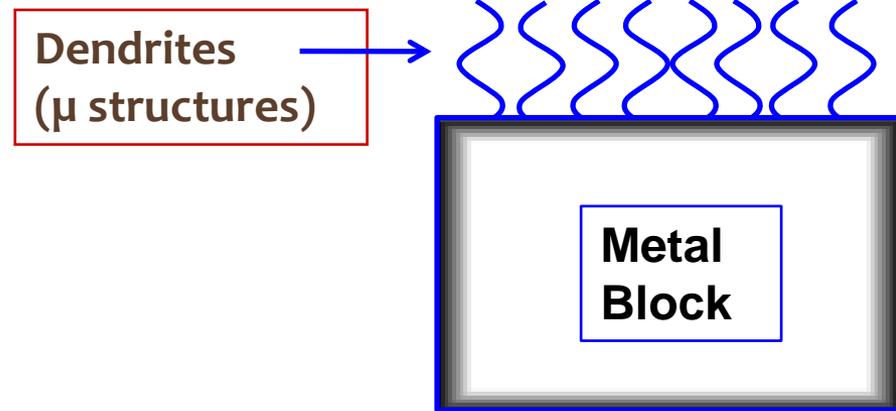
## Relevance to goals:

Dendrites and short-circuits are a serious safety issue that:

- Prevents use of (high capacity) Li-metal anode
- Has been implicated in failure of LIBs in PHEV's when charged at high rates (e.g., during regenerative braking)

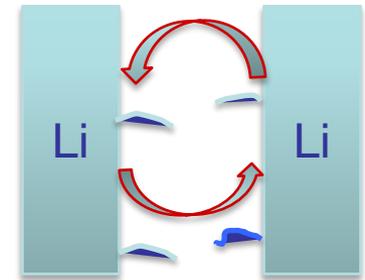
## Status 2011:

- Devised simple, non-destructive method for monitoring and *quantifying* dendrite formation in Li cells and for readily determining the conditions under which these dendrites form.



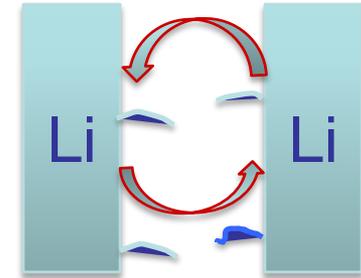
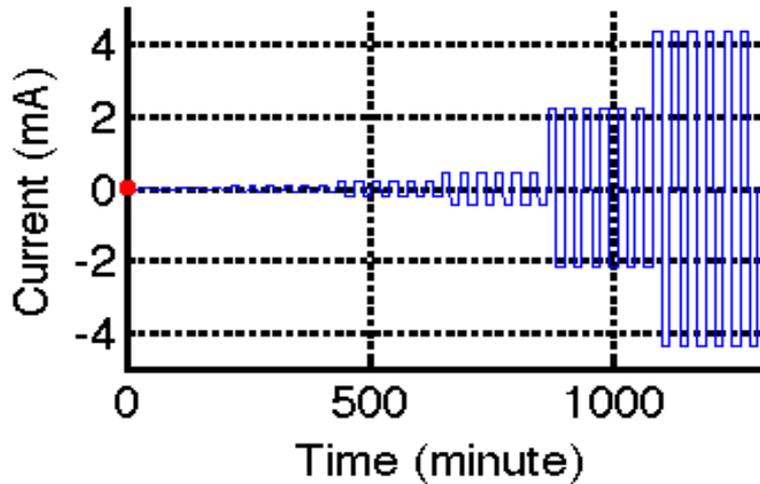
*Skin depth,  $d = 15 \mu\text{m}$  for Larmor frequency = 77 MHz (Low field  $^7\text{Li}$  NMR)*  
i.e., can penetrate the dendrite, not Li foil  
( $d \propto 1/f^{1/2} \Rightarrow$  decreases at higher fields)

Use to investigate effect of different currents, electrolytes, additives



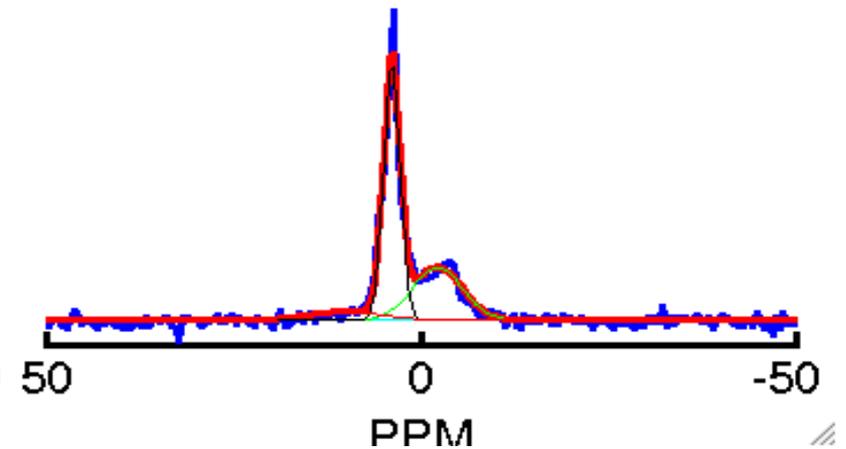
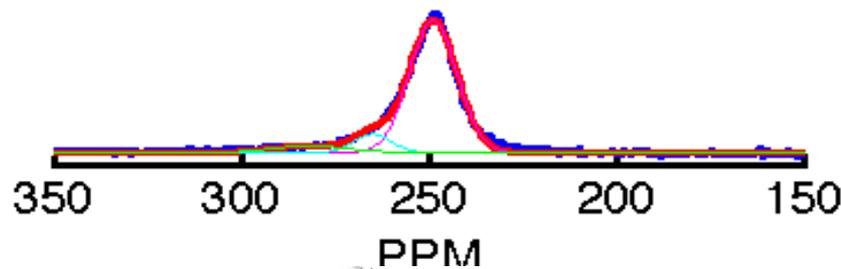
With A. Best, A. Hollenkamp CSIRO

# Symmetric Cells (constant Li mass):

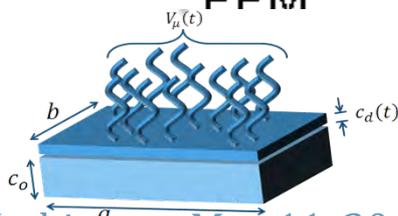


Effect of current on dendrite formation

Electrode size = 0.1mm x 0.4mm  
Maximum current 4.4 mA = 11 mA/cm<sup>2</sup>



Electrolyte decomposition on Li

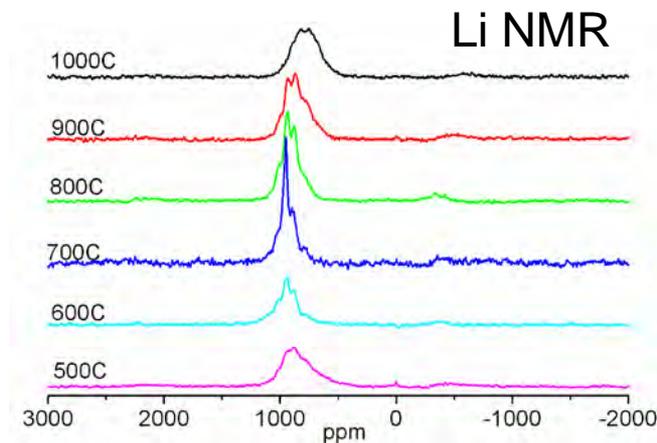


# Summary and Future Work

- Understood Li diffusion limits in nano versus micron olivine, and broadened insight to many other 1D intercalation materials.
- Investigated Li diffusion in graphite (with Kostecki and Persson) and  $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{1.5})\text{O}_4$  spinel and established high rate capability of both.
- Investigated shape, size and processing effects on rate in a series of cathode materials
- Several novel intercalation cathodes proposed by high-throughput computing and tested
- Collaborated with Whittingham on novel pyrophosphates
- Developed structure – function correlations in silicon anodes; observed different reactivities of lithiated silicides as a function of size and lithiated state
- Developed new diagnostic method to detect Li dendrite formation.

## Ongoing and future work

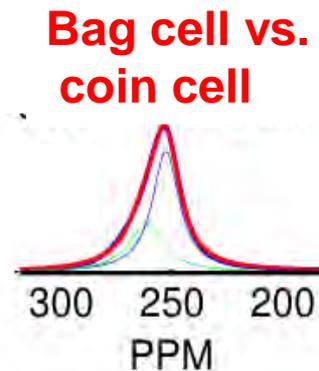
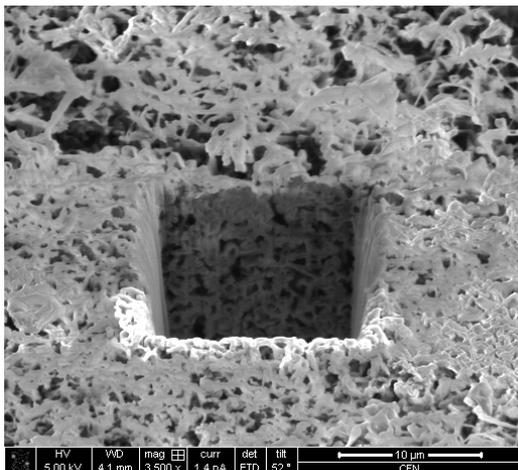
- Local structure – function correlations in the high voltage spinel (BATT collaboration): NMR very sensitive to Ni/Mn ordering
- Develop further structure functions correlations in Si anodes by using  $^{29}\text{Si}$  NMR
- Investigate SEI-binder-Si interactions to mitigate side reactions
- Explore Si anodes prepared by A. Dillon (nrel/BATT collab)



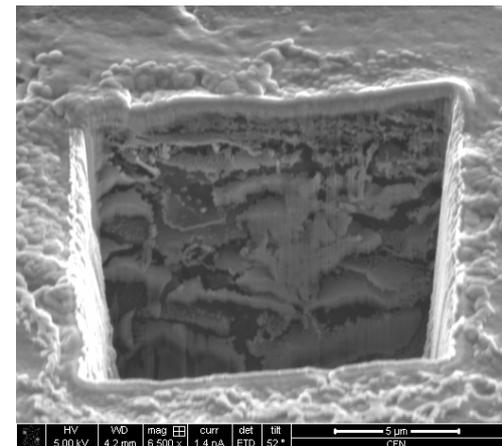
J. Cabana

# Ongoing and Future Work continued

- Investigate relationships between current, temperature, pressure and mossy/dendritic Li by SEM/in-situ NMR and MRI
- Electrode surfaces and degradation mechanisms
- Continue novel compound evaluation. Several other interesting leads to follow up on for new intercalation cathodes
- Carbonophosphates: structural characterization upon delithiation through NMR and in-situ XRD (Brookhaven). Other physical properties
- Evaluate effects on dimensionality in other novel cathode materials
- Support BATT investigation relating  $\text{Li}(\text{Ni}_{0.5}\text{Mn}_{1.5})\text{O}_4$  structure to performance
- Explore Na batteries: structure, Na mobility, voltage, and structural stability in relation to Li-intercalation compounds



Li metal  
signal



D. Zeng, BNL